# POSITIVELY CURVED MANIFOLDS WITH LARGE CONJUGATE RADIUS

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ABSTRACT. Let M denote a complete simply connected Riemannian manifold with all sectional curvatures  $\geq 1$ . The purpose of this paper is to prove that when M has conjugate radius at least  $\pi/2$ , its injectivity radius and conjugate radius coincide. Metric characterizations of compact rank one symmetric spaces are given as applications.

#### 1. Introduction

The Rauch-Berger-Klingenberg sphere theorem has inspired many results in the study of complete, connected, and positively curved manfolds [Rch51, Ber60, Kli61].

**Theorem** (Rauch-Berger-Klingenberg). If M is a simply connected manifold with  $1 \le \sec < 4$ , then M is homeomorphic to a sphere.

The simply connected compact rank one symmetric spaces satisfy  $1 \le \sec \le 4$ . Consequently, the above hypothesis that sectional curvatures are positive and *strictly* quarter pinched cannot be relaxed to allow quarter pinched positive sectional curvatures. Berger's rigidity theorem [Ber60] classifies manifolds with positive quarter pinched sectional curvatures.

**Theorem** (Berger). If M is a simply connected manifold with  $1 \le \sec \le 4$ , then M is homeomorphic to a sphere or isometric to a compact rank one symmetric space.

The problem of improving homeomorphism to diffeomorphism in the above two theorems has very recently been resolved using Ricci flow (c.f. [BöWi08, BrSc09, BrSc08, NiWo07, PeTa09]). An important step in the original proofs involves estimating the injectivity radii of manifolds with quarter pinched positive sectional curvatures. In [Kli61, ChGr80, KlSa80], it is proved that if M satisfies the hypotheses of Berger's theorem, then its injectivity radius  $\operatorname{inj}(M)$  and conjugate radius  $\operatorname{conj}(M)$  are equal. By the Rauch comparison theorem, manifolds with  $\operatorname{sec} \leq 4$  satisfy  $\operatorname{conj}(M) \geq \pi/2$ . In this paper, the upper

curvature bound assumption is replaced by a lower bound on the conjugate radius.

**Proposition 1.** Let M be a simply connected manifold with  $\sec \ge 1$ . If  $\operatorname{conj}(M) \ge \pi/2$ , then  $\operatorname{inj}(M) = \operatorname{conj}(M)$ .

Proposition 1 is applied to prove three rigidity results. The first generalizes Berger's rigidity theorem.

**Theorem 1.** Let M be a simply connected manifold with  $\sec \ge 1$ . If  $\operatorname{conj}(M) \ge \pi/2$ , then M is homeomorphic to a sphere or isometric to a compact rank one symmetric space.

Theorem 1 is an easy consequence of propostion 1 and earlier generalizations of the sphere and Berger rigidity theorems [GrSh77, GrGr87, Wi01]. As with the injectivity radius, conjugate radius, and diameter, the radius of a manifold  $\operatorname{rad}(M)$  has played an important role in rigidity results for positively curved manifolds (c.f. [GrPe93, ShYa89, Wa04, Wi96, Xia09]). The second application of proposition 1 generalizes the following theorem due to Xia [Xia06].

**Theorem** (Xia). If M satisfies  $\sec \ge 1$  and  $\operatorname{rad}(M) > \pi/2$ , then  $\operatorname{conj}(M) \le \operatorname{rad}(M)$  with equality if and only if M is isometric to a constant curvature sphere.

Note that manifolds M with  $\sec \ge 1$  and  $\operatorname{rad}(M) > \pi/2$  are homeomorphic to a sphere by [GrSh77]. In particular, such manifolds are simply connected.

**Theorem 2.** If M is simply connected and satisfies  $\sec \ge 1$  and  $\operatorname{rad}(M) \ge \pi/2$ , then  $\operatorname{conj}(M) \le \operatorname{rad}(M)$  with equality if and only if M is isometric to a compact rank one symmetric space.

A final application is motivated by the Shankar-Spatzier-Wilking spherical rank rigidity theorem [ShSpWi05].

**Theorem** (Shankar-Spatzier-Wilking). Let M be a simply connected manifold with  $\sec \le 1$ . If for each unit speed geodesic  $\gamma : \mathbb{R} \to M$ ,  $\gamma(\pi)$  is the first conjugate point to  $\gamma(0)$  along  $\gamma$ , then M is isometric to a compact rank one symmetric space.

**Theorem 3.** Let M be a simply connected manifold with  $\sec \ge 1$ . If for each unit speed geodesic  $\gamma : \mathbb{R} \to M$ ,  $\gamma(\pi/2)$  is the first conjugate point to  $\gamma(0)$  along  $\gamma$ , then M is isometric to a compact rank one symmetric space.

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### 2. Preliminaries

This section collects together preliminary material and notation. General references about Riemannian manifolds include [ChEb75], and [doCa92] though our notation differs in parts. Throughout, M denotes a closed Riemannian manifold and  $\pi:TM\to M$  its tangent bundle. Let  $T_pM$  denote the fiber of TM above a point  $p\in M$  and for r>0, let  $B_r(0)\subset T_pM$  denote the open r-ball centered at 0. Let  $S_pM\subset T_pM$  denote the unit sphere.

The Riemannian metric induces an exponential map  $\exp: TM \to M$ . Its restriction to the tangent space  $T_pM$  at a point p will be denoted by  $\exp_p: T_pM \to M$ . The metric also induces a Riemannian connection denoted by  $\nabla$ . This connection is used to define the curvature tensor R by

$$R(X, Y, Z) = \nabla_Y \nabla_X Z - \nabla_X \nabla_Y Z + \nabla_{[X,Y]} Z$$

where X, Y, and Z are vector fields on M. Finally, let  $d: M \times M \to \mathbb{R}$  denote the distance function on M.

2.1. Metric invariants. A critical point  $v \in T_pM$  of  $\exp_p$  is defined to be a conjugate vector. Its multiplicity is defined to be the dimension of the kernel of the derivative map of  $\exp_p$  at the vector v. The point  $q = \exp_p(v)$  is said to be a conjugate point to p along the geodesic  $\gamma(t) = \exp_p(tv)$  and its multiplicity as a conjugate point is defined to be the multiplicity of v as a conjugate vector. Equivalently, q is a conjugate point to p along  $\gamma$  if there is a nonzero normal Jacobi field J(t) along  $\gamma$  with J(0) = J(1) = 0. Jacobi fields are vector fields along  $\gamma$  satisfying the second order differential equation

$$J'' + R(\dot{\gamma}, J)\dot{\gamma} = 0.$$

They are determined by their initial value J(0) and initial derivative J'(0).

Let  $TConj(p) \subset T_pM$  denote the locus of conjugate vectors in  $T_pM$ . The *conjugate radius of* M at a point p is defined by

$$\operatorname{conj}(p) = \sup\{r > 0 \mid \operatorname{TConj}(p) \cap B_r(0) = \emptyset\}$$

$$= \sup\{r > 0 \mid \exp_p|_{B_r(0)} \text{ has full rank}\}$$

and the  $conjugate\ radius$  of M is defined by

$$\operatorname{conj}(M) = \inf \{ \operatorname{conj}(p) \, | \, p \in M \}.$$

By the Cartan-Hadamard theorem, manifolds with a point p satisfying  $\operatorname{conj}(p) = \infty$  have universal covers diffeomorphic to Euclidean space. By the Bonnet-Myers theorem, manifolds with  $\sec \ge 1$  have

compact universal covers and therefore finite conjugate radius at each point.

The radius of M at a point p is defined by  $\operatorname{rad}(p) = \max_{x \in M} \operatorname{d}(p, x)$ . The radius of M is defined by  $\operatorname{rad}(M) = \min_{p \in M} \operatorname{rad}(p)$  and the diameter of M is defined by  $\operatorname{diam}(M) = \max_{p \in M} \operatorname{rad}(p)$ .

For a unit vector  $v \in S_pM$ , the geodesic  $\gamma(t) = \exp_p(tv)$  satisfies  $d(p, \exp_p(tv)) = t$  for small t > 0. Define  $\mu : S_pM \to \mathbb{R}$  by

$$\mu(v) = \max\{t > 0 \mid d(p, \gamma(t)) = t\}.$$

The vector  $\mu(v)v \in T_pM$  is said to be a *cut vector*. The function  $v \mapsto \mu(v)$  is a continuous function on the unit sphere  $S_pM$ . It achieves its minimum value, the *injectivity radius of* M at the point p, denoted by  $\operatorname{inj}(p) > 0$ . Equivalently,

 $\operatorname{inj}(p) = \max\{r > 0 \mid \exp_p|_{B_r(0)} \text{ is a diffeomorphism onto its image}\}.$ 

The *injectivity radius* of M is defined by

$$\inf(M) = \min\{\inf(p) \mid p \in M\}.$$

The inequalites  $\operatorname{inj}(M) \leq \operatorname{rad}(M) \leq \operatorname{diam}(M)$  always hold. Geodesics do not minimize beyond their first conjugate point so that  $\operatorname{inj}(M) \leq \operatorname{conj}(M)$ . In fact, the injectivity radius equals the minimium of the conjugate radius and half the length of a shortest closed geodesic in M.

Denote the locus of cut vectors in  $T_pM$  by  $\mathrm{TCut}(p)$ . Its image in M under  $\exp_p$ , denoted by  $\mathrm{Cut}(p)$ , is the cut locus of p in M. Much of the topology of M is contained in the cut locus of a point  $p \in M$ . To be more precise, note that each point  $q \in M \setminus \{p\}$  has a unique expression as  $q = \exp_p(t_q v_q)$  for some  $v_q \in S_pM$  and  $0 < t_q < \mu(v_q)$ . Then the map

$$r:M\setminus\{p\}\times[0,1]\to M\setminus\{p\}$$

defined by

$$(q,s) \mapsto \exp_p([t_q + s(\mu(v_q) - t_q)]v_q)$$

is a strong deformation retraction of  $M \setminus \{p\}$  to  $\operatorname{Cut}(p)$ . In particular,  $\operatorname{Cut}(p)$  is homotopy equivalent to  $M \setminus \{p\}$ .

2.2. **Indices of geodesics.** A reference for this section is [BaThZi82, Section 1], though our notation differs slightly.

Let  $\Lambda = \Lambda(M)$  denote the path space of M. It consists of piecewise smooth curves  $c:[0,1]\to M$ . The energy function  $E:\Lambda\to\mathbb{R}$  is defined by

$$E(c) = \int_0^1 \langle \dot{c}, \dot{c} \rangle \, dt$$

for  $c \in \Lambda$ . Critical points of E are point maps and geodesic segments parameterized proportionally to arc length.

Given a geodesic  $\gamma \in \Lambda$ , let  $T_{\gamma}\Lambda$  denote the space of piecewise smooth normal vector fields V(t) along  $\gamma$  with V(0) = V(1) = 0. The index form is the symmetric bilinear  $I_{\Lambda} : T_{c}\Lambda \times T_{c}\Lambda \to \mathbb{R}$  defined by

$$I_{\Lambda}(X,Y) = \int_{0}^{1} \langle X', Y' \rangle - \langle R(\dot{\gamma}, X) \dot{\gamma}, Y \rangle dt$$

for vector fields  $X, Y \in T_{\gamma}\Lambda$ .

For a fine enough subdivision  $0 = t_0 < t_1 < \dots < t_n = 1$  of [0,1], the index form  $I_{\Lambda}$  is positive definite on the subspace  $P \subset T_{\gamma}\Lambda$  consisting of normal vector fields V(t) with  $V(t_i) = 0$  for each  $i = 0, \dots, n$ . The orthogonal complement of P in  $T_{\gamma}\Lambda$  with respect to  $I_{\Lambda}$  is the finite dimensional space of piecewise Jacobi fields: vector fields  $V \in T_{\gamma}\Lambda$  such that the restriction of V to  $[t_i, t_{i+1}]$  is Jacobi for each  $i = 0, \dots, n-1$ . Thus, the index and nullity of a  $I_{\Lambda}$  are both finite.

The index of  $\gamma$  as a geodesic segment, denoted by  $\operatorname{ind}_{\Lambda}(\gamma)$ , is defined to be the index of the form  $I_{\Lambda}$  and the nullity of  $\gamma$  as a geodesic segment, denoted by  $\operatorname{null}_{\Lambda}(\gamma)$ , is defined to be the nullity of  $I_{\Lambda}$ . By the Morse index theorem,  $\operatorname{ind}_{\Lambda}(\gamma)$  is equal to the number of points  $\gamma(t)$  conjugated to  $\gamma(0)$  along  $\gamma$  with  $t \in (0,1)$  and counted with multiplicities.

The index of a smoothly closed geodesic is defined analogously by considering variations of the closed geodesic in the free loop space of M. Let  $\Omega = \Omega(M)$  denote the free loop space of M. It consists of piecewise smooth curves  $c:[0,1] \to M$  with c(0)=c(1). The energy function defined on  $\Lambda$  restricts to the energy function  $E:\Omega \to \mathbb{R}$ . Its critical points are point maps and smoothly closed geodesics parameterized proportionally to arc length.

Given a closed geodesic  $\gamma \in \Omega$ , let  $T_{\gamma}\Omega$  denote the space of piecewise smooth normal vector fields V(t) along  $\gamma$  with V(0) = V(1). The index form  $I_{\Omega}: T_{\gamma}\Omega \times T_{\gamma}\Omega \to \mathbb{R}$  is defined by the same formula as  $I_{\Lambda}$ . As above, the index and nullity of  $I_{\Omega}$  are both finite. The index of  $\gamma$  as a closed geodesic, denoted by  $\operatorname{ind}_{\Omega}(\gamma)$ , is defined to be the index of the form  $I_{\Omega}$  and the nullity of  $\gamma$  as a closed geodesic, denoted by  $\operatorname{null}_{\Omega}(\gamma)$ , is defined to be the nullity of  $I_{\Omega}$ .

The nullspace of  $I_{\Omega}$ 

$$\operatorname{null}(I_{\Omega}) = \{ V \in T_{\gamma}\Omega \mid I_{\Omega}(V, X) = 0 \text{ for all } X \in T_{\gamma}\Omega \}$$

consists of *periodic* normal Jacobi fields along  $\gamma$ . As Jacobi fields are determined by their initial value and derivative, these are normal Jacobi fields J(t) along  $\gamma$  satisfying J(0) = J(1) and J'(0) = J'(1).

Note that since  $T_{\gamma}\Lambda \subset T_{\gamma}\Omega$ ,  $\operatorname{ind}_{\Lambda}(\gamma) \leq \operatorname{ind}_{\Omega}(\gamma)$ . The difference  $\operatorname{ind}_{\Omega}(\gamma) - \operatorname{ind}_{\Lambda}(\gamma)$  is known as the *concavity* of  $\gamma$  and its calculation involves the *Poincare map*. Let  $L = \dot{\gamma}(0)^{\perp}$ . The Poincare map is the linear map

$$\Theta: L \times L \to L \times L$$

defined by  $\Theta(v, w) = (J(1), J'(1))$ , where J(t) is the normal Jacobi field along  $\gamma$  with initial conditions J(0) = v and J'(0) = w. When  $\Theta = \text{Id}$  the concavity is zero so that  $\text{ind}_{\Lambda}(\gamma) = \text{ind}_{\Omega}(\gamma)$  (c.f. [BaThZi82, pg. 219]).

This section concludes with the statement of a simple lemma. Let V be a finite dimensional real vector space and  $I: V \times V \to \mathbb{R}$  a symmetric bilinear form.

**Lemma 1.** Suppose that I has index one and that X is a subspace of V such that  $I(x,x) \leq 0$  for each  $x \in X$ . Then

$$\dim(X \cap \operatorname{null}(I)) \ge \dim(X) - 1.$$

A proof is readily obtained after diagonalizing I.

2.3. Sublevels of E. For a>0, let  $\Omega^{< a}$  (resp.  $\Omega^{\le a}$ ) denote the subset of curves  $c\in\Omega$  with E(c)< a (resp.  $E(c)\le a$ ). This section contains two lemmas from [ChGr80] concerning the components of these sublevel sets. Some notation is needed first.

A continuous curve  $\tilde{c}:[0,1]\to TM$  is said to be vertical if  $c=\pi\circ\tilde{c}$  is constant. Let P denote the set of all continuous curves  $c:[0,1]\to M$  and  $P_0\subset P$  the subset of closed curves. Let  $\tilde{P}$  denote the set of all vertical curves in TM emanating from the zero section and  $\tilde{P}_0\subset\tilde{P}$  the subset of closed curves. Endow  $P,\ \tilde{P}$ , and their subsets with the uniform topology. Let  $\exp:\tilde{P}\to P$  denote the continuous map induced by  $\exp:TM\to M$ . Its image is defined to be the set of liftable curves in M and a curve  $\tilde{c}\in\tilde{P}$  is said to be a lift of the curve  $c=\exp(\tilde{c})$ .

A lift  $\tilde{c} \subset T_pM$  is said to be a regular lift if  $\exp_p$  has full rank at  $\tilde{c}(t)$  for each  $t \in [0,1]$ . Let  $\tilde{Q}$  denote the open subset of  $\tilde{P}$  consisting of regular lifts. The restriction of Exp to  $\tilde{Q}$  is a homeomorphism onto its image  $Q = \operatorname{Exp}(\tilde{Q})$ . Let  $\tilde{Q}_0 = \tilde{P}_0 \cap \tilde{Q}$  denote the set of closed regular lifts. The map Exp maps  $\tilde{Q}_0$  onto an open subset  $Q_0$  of  $P_0$ .

Finally, for a curve  $c \in P$ , let  $c_{\frac{1}{2}}$  and  $c_{-\frac{1}{2}}$  be the curves defined by  $c_{\frac{1}{3}}(t) = c(\frac{1}{2}t)$  and  $c_{-\frac{1}{3}}(t) = c(1 - \frac{1}{2}t)$ .

The next two lemmas are lemmas 1 and 3 from [ChGr80] respectively. They will be applied with r = conj(M).

**Lemma 2.** Suppose for some r > 0 and all  $p \in M$ ,  $\exp_p$  is nonsingular on the open ball  $B_r(0) \subset T_pM$ . Let  $c \in P_0$  be in the closure of  $\exp(\tilde{P}_0) \cap \Omega^{\leq 4r^2}$ . Then either  $c \in Q_0$  (and thus is not a closed geodesic if nonconstant), or  $c_{\frac{1}{2}}$  and  $c_{-\frac{1}{2}}$  are geodesics of length r with conjugate endpoints. Furthermore  $C = Q_0 \cap \Omega^{<4r^2}$  is a connected component of  $\Omega^{<4r^2}$ .

**Lemma 3.** Assume the hypotheses of lemma 2 and furthermore that any closed geodesic  $c \in \overline{Q}_0 \cap \Omega^{\leq 4r^2}$  (necessarily of length 2r) has index at least two. Then  $\overline{Q}_0 \cap \Omega^{\leq 4r^2}$  is the closure of  $Q_0 \cap \Omega^{\leq 4r^2}$  and is a connected component of  $\Omega^{\leq 4r^2}$ 

## 3. Proofs of main results

The main new technical observation in this paper consists of the following lemma.

**Lemma 4.** Assume that M is an oriented Riemannian manifold with  $\sec \ge 1$  and  $n = \dim(M)$  odd. If  $\gamma \subset M$  is a closed geodesic of length  $\pi$ , then the index of  $\gamma$  in the free loop space  $\Omega(M)$  is not one.

Proof. The proof is by contradiction. Assume that  $\gamma \subset M$  is a closed geodesic of length  $\pi$  with  $\operatorname{ind}_{\Omega}(\gamma) = 1$ . Let v be a tangent vector to  $\gamma$  of length  $\pi$  and fix the parameterization of  $\gamma$  defined by  $\gamma(t) = \exp(tv)$ . Let  $L(t) = \dot{\gamma}(t)^{\perp}$  and let L = L(0). For each  $t \in \mathbb{R}$ , parallel translation along  $\gamma$  defines an orientation preserving isometry  $P_t: L \to L(t)$ . Let  $P = P_1: L \to L$ .

The first step is to show that  $P = -\operatorname{Id}$ . Consider the (n-1)-dimensional space of vector fields along  $\gamma$  defined by

 $X = \{\sin(\pi t)E(t) \mid E(t) \text{ is a unit normal parallel field along } \gamma\}.$ 

Note that  $X \subset T_{\gamma}\Omega$  and that for each  $V \in X$ ,

$$I_{\Omega}(V,V) = \int_{0}^{1} \pi^{2} \cos^{2}(\pi t) - \pi^{2} \sin^{2}(\pi t) \sec(\dot{\gamma}, E)(t) dt$$
$$\leq \int_{0}^{1} \pi^{2} \cos^{2}(\pi t) - \pi^{2} \sin^{2}(\pi t) dt = 0$$

since  $\sec \ge 1$ . As  $\operatorname{ind}_{\Omega}(\gamma) = 1$ , lemma 1 implies that

$$\dim(X \cap \operatorname{null}(I_{\Omega})) \ge \dim(X) - 1 = n - 2.$$

A vector field  $V(t) = \sin(\pi t)E(t) \in X \cap \text{null}(I_{\Omega})$  is a periodic Jacobi field. In particular, V'(0) = V'(1), whence E(0) = -E(1). Therefore -1 is an eigenvalue for  $P: L \to L$  with multiplicity at least n-2. Since  $\dim(L) = n-1$  is even and  $P: L \to L$  is an orientation preserving

isometry, -1 must have multiplicity n-1, concluding the proof that  $P=-\operatorname{Id}$ .

Let E(t) be an arbitrary unit normal parallel field along  $\gamma$ . Consider the two dimensional space of vector fields along  $\gamma$  defined by

$$Y = \{(a\cos(\pi t) + b\sin(\pi t))E(t) \mid a, b \in \mathbb{R}\}.$$

As  $P = -\operatorname{Id}$  we have that V(0) = V(1) for each vector field  $V \in Y$ . Therefore, Y is a subspace of  $T_{\gamma}\Omega$ . Since  $\sec \geq 1$ ,

$$I(V, V) =$$

$$\pi^{2} \int_{0}^{1} (-a\sin(\pi t) + b\cos(\pi t))^{2} - (a\cos(\pi t) + b\sin(\pi t))^{2} \sec(\dot{\gamma}, E)(t) dt$$

$$\leq \pi^{2} \int_{0}^{1} (-a\sin(\pi t) + b\cos(\pi t))^{2} - (a\cos(\pi t) + b\sin(\pi t))^{2} dt = 0$$

with equality if and only if  $\sec(\dot{\gamma}, E)(t) \equiv 1$ . As  $\operatorname{ind}_{\Omega}(\gamma) = 1$ , lemma 1 implies that

$$\dim(Y \cap \operatorname{null}(I_{\Omega})) \ge \dim(Y) - 1 = 1.$$

In particular, there exists a nonzero field  $V \in Y$  with I(V, V) = 0 so that  $\sec(\dot{\gamma}, E) \equiv 1$ . It follows easily that the space Y consists of periodic Jacobi fields.

The fact that the unit parallel field E(t) was arbitrary in the last paragraph has two consequences. First, the Poincare map

$$\Theta: L \times L \to L \times L$$

is the identity map. Therefore,  $\operatorname{ind}_{\Omega}(\gamma) = \operatorname{ind}_{\Lambda}(\gamma)$ . Secondly, all normal sectional curvatures of  $\gamma$  are one. The Rauch comparison theorem then implies that  $\gamma(t)$  is not conjugated to  $\gamma(0)$  for any 0 < t < 1. By the Morse index theorem  $\operatorname{ind}_{\Lambda}(\gamma) = 0$ . In conclusion,  $0 = \operatorname{ind}_{\Lambda}(\gamma) = \operatorname{ind}_{\Omega}(\gamma) = 1$ , a contradiction.

Next is the proof of proposition 1. The proof is well known given lemma 4 and follows the line of reasoning in [ChGr80] closely.

**Proposition 1.** Let M be a simply connected manifold with  $\sec \ge 1$ . If  $\operatorname{conj}(M) \ge \pi/2$ , then  $\operatorname{inj}(M) = \operatorname{conj}(M)$ .

*Proof.* The proof is by contradiction. Assume that  $\operatorname{inj}(M) < \operatorname{conj}(M)$ . As  $\operatorname{inj}(M)$  always equals the smaller of  $\operatorname{conj}(M)$  and half the length of a shortest closed geodesic in M, there is a closed geodesic  $\tau \subset M$  of length  $2\operatorname{inj}(M)$ .

A standard argument implies that the geodesic  $\tau$  has index zero in  $\Omega$ . To see this, fix  $p \in \tau$  and let  $p' \in \tau$  be the point at distance

inj(M) from p. The geodesic  $\tau$  consists of two subsegments  $\tau_1$  and  $\tau_2$  connecting p to p' and of length inj(M). If  $\operatorname{ind}_{\Omega}(\tau) > 0$ , then there is a third minimizing geodesic  $\tau_3$  joining p to p' and meeting  $\tau$  orthogonally at the point p' (c.f. the proof of [doCa92, Proposition 3.4, pg. 281]). Since  $\operatorname{inj}(M) < \operatorname{conj}(M)$ , the points p and p' are not conjugated along either of the segments  $\gamma_1$  and  $\gamma_3$ . As these segments do not meet smoothly at p' it is possible to find a point  $p'' \in \operatorname{Cut}(p)$  nearer to p than p' (c.f. [doCa92, Proposition 2.12, pg. 274]). This contradicts  $\operatorname{d}(p,p') = \operatorname{inj}(M)$ .

If  $\dim(M)$  is even, Synge's trick implies that  $\operatorname{ind}_{\Omega}(\tau) > 0$ , a contradiction (c.f. the proof of [doCa92, Proposition 3.4, pg. 274]). From now on, assume that  $\dim(M)$  is odd and at least three.

As M is simply connected,  $\tau$  is null-homotopic. The Abresch-Meyer long homotopy lemma [AbMe97, Lemma 4.1] implies that every null-homotopy of  $\tau$  passes through a curve of length at least  $2\operatorname{conj}(M) \geq \pi$ . It follows that for  $e_0 = 4\operatorname{conj}(M)^2$  the space  $\Omega^{< e_0}$  is disconnected.

Since  $\sec \ge 1$ , any closed geodesic of energy greater than  $e_0$  has index at least  $\dim(M) - 1 \ge 2$  in  $\Lambda$  and hence in  $\Omega$  (c.f. [doCa92, Lemma 3.2, pg 276]). A consequence of this fact and the simple connectivity of M is that  $\Omega^{\le e_0}$  is connected (c.f. [ChGr80, Lemma 4, pg. 440]). This will be used to argue that  $\Omega^{\le e_0}$  is connected as well, the desired contradiction.

The first step is to see that each closed geodesic  $\gamma \in \overline{Q}_0 \cap \Omega^{\leq e_0}$  (necessarily of length  $2\operatorname{conj}(M)$ ) has index at least two in  $\Omega$ . If  $\operatorname{conj}(M) > \pi/2$ , then this follows immediately from the remark at the beginning of the last paragraph. Otherwise,  $\operatorname{conj}(M) = \pi/2$  and  $\gamma$  has length  $\pi$ . By lemma 2,  $\operatorname{ind}_{\Omega}(\gamma) \geq 1$  and by lemma 4,  $\operatorname{ind}_{\Omega}(c) \geq 2$ , concluding this step.

Now lemma 3 implies that  $\overline{Q}_0 \cap \Omega^{\leq e_0}$  is the closure of  $Q_0 \cap \Omega^{< e_0}$  and is a connected component of  $\Omega^{\leq e_0}$ . As  $\Omega^{\leq e_0}$  is connected, it follows that  $\overline{Q}_0 \cap \Omega^{\leq e_0} = \Omega^{\leq e_0}$ . In particular  $Q_0 \cap \Omega^{< e_0}$  is dense in  $\Omega^{\leq e_0}$  and hence in  $\Omega^{< e_0}$ . By lemma 2,  $Q_0 \cap \Omega^{e_0}$  is a connected component of  $\Omega^{< e_0}$ . These last two remarks imply that  $\Omega^{< e_0}$  is connected, a contradiction.

Theorem 1 is an easy consequence of proposition 1 and the next two theorems. The first theorem is due to Grove-Shiohama [GrSh77] and is a generalization of the sphere theorem.

**Theorem 4** (Diameter sphere theorem). If M is a manifold with  $\sec \ge 1$  and  $\operatorname{diam}(M) > \pi/2$ , then M is homeomorphic to a sphere.

The second theorem is due to Gromoll-Grove-Wilking [GrGr87, Wi01]. It is a generalization of Berger's rigidity theorem. For a recent alternative proof, see [CaTa07].

**Theorem 5** (Diameter rigidity theorem). If M is a simply connected manifold with  $\sec \ge 1$  and  $\operatorname{diam}(M) = \pi/2$ , then M is homeomorphic to a sphere or isometric to a compact rank one symmetric space.

**Theorem 1.** Let M be a simply connected manifold with  $\sec \ge 1$ . If  $\operatorname{conj}(M) \ge \pi/2$ , then M is homeomorphic to a sphere or isometric to a compact rank one symmetric space.

*Proof.* Proposition 1 implies that  $\operatorname{inj}(M) = \operatorname{conj}(M) \ge \pi/2$ . Therefore  $\operatorname{diam}(M) \ge \operatorname{inj}(M) \ge \pi/2$ . The conclusion follows from the diameter sphere and rigidity theorems 4 and 5.

**Theorem 2.** If M is simply connected and satisfies  $\sec \ge 1$  and  $\operatorname{rad}(M) \ge \pi/2$ , then  $\operatorname{conj}(M) \le \operatorname{rad}(M)$  with equality if and only if M is isometric to a compact rank one symmetric space.

*Proof.* The proof of the inequality  $\operatorname{conj}(M) \leq \operatorname{rad}(M)$  is by contradiction. If  $\operatorname{conj}(M) > \operatorname{rad}(M) \geq \pi/2$ , then  $\operatorname{inj}(M) = \operatorname{conj}(M) > \operatorname{rad}(M)$  by proposition 1. This is a contradiction since  $\operatorname{inj}(M) \leq \operatorname{rad}(M)$  always holds.

Now consider the equality case  $\operatorname{conj}(M) = \operatorname{rad}(M) \ge \pi/2$ . Theorem 1 implies that M is isometric to a compact rank one symmetric space or homeomorphic to a sphere. Moreover, proposition 1 implies that  $\operatorname{inj}(M) = \operatorname{conj}(M) = \operatorname{rad}(M)$ . Therefore, the conclusion follows from the following lemma.

**Lemma.** Assume that M is homeomorphic to a sphere and that  $\operatorname{inj}(M) = \operatorname{rad}(M)$ . Then M is isometric to a constant curvature sphere.

By the resolution of the Blaschke conjecture for spheres (c.f. [Ber78], [Kaz78], [Wei74], and [Ya80]), a Riemannian metric on the sphere with  $\operatorname{inj}(M) = \operatorname{diam}(M)$  is isometric to a constant curvature sphere. It suffices to prove that  $\operatorname{diam}(M) \leq \operatorname{inj}(M)$ .

Choose a point  $p \in M$  with  $\operatorname{rad}(p) = \operatorname{rad}(M)$ . As  $\operatorname{inj}(M) = \operatorname{rad}(M)$ , all points  $q \in \operatorname{Cut}(p)$  are at distance  $\operatorname{inj}(M)$  from p. In the language of [Bes78, Definition 5.22, pg. 132], M is said to have spherical cut locus at the point p. By [Bes78, Proposition 5.44, pg. 138] and [Bes78, Proposition 5.39, pg. 136], it follows that  $\operatorname{Cut}(p)$  is a smooth closed submanifold of M. Since  $\operatorname{Cut}(p)$  is homotopy equivalent to  $\mathbb{R}^n$  via the strong deformation retraction from  $M \setminus \{p\}$  to  $\operatorname{Cut}(p)$ , it follows that  $\operatorname{Cut}(p) = \{q\}$  for some  $q \in M$ .

Choose points  $x, y \in M$  such that  $d(x, y) = \operatorname{diam}(M)$ . Then x and y each lie in a geodesic of length  $\operatorname{inj}(M)$  connecting p to q. Denote these geodesics by  $\gamma_x$  and  $\gamma_y$ . If  $\gamma_x = \gamma_y$ , then clearly  $\operatorname{d}(x, y) \leq \operatorname{inj}(M)$ . Otherwise x and y lie in the embedded circle  $\gamma_x \cup \gamma_y \subset M$  of length  $2 \operatorname{inj}(M)$ , whence  $\operatorname{d}(x, y) \leq \operatorname{inj}(M)$ . In either case,  $\operatorname{diam}(M) = \operatorname{d}(x, y) \leq \operatorname{inj}(M)$ , concluding the proof.

**Theorem 3.** Let M be a simply connected manifold with  $\sec \geq 1$ . If for each unit speed geodesic  $\gamma : \mathbb{R} \to M$ ,  $\gamma(\pi/2)$  is the first conjugate point to  $\gamma(0)$  along  $\gamma$ , then M is isometric to a compact rank one symmetric space.

Proof. The hypotheses imply that  $\operatorname{conj}(M) = \pi/2$  and that  $\operatorname{rad}(M) \leq \pi/2$  since geodesics do not minimize beyond their first conjugate point. By proposition 1,  $\pi/2 = \operatorname{conj}(M) = \operatorname{inj}(M) \leq \operatorname{rad}(M) \leq \pi/2$  so that  $\operatorname{rad}(M) = \pi/2$  as well. The conclusion follows from theorem 2.

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