Graph Coloring and Symmetric Functions in Noncommuting Variables

Bruce E. Sagan
Department of Mathematics
Michigan State University
East Lansing, MI 48824-1027
sagan@math.msu.edu
www.math.msu.edu/~sagan

- 0. History
- 1. Chromatic Polynomials
- 2. Stanley's Symmetric Function
- 3. Noncommuting Variables
- 4. Basis Change à la Doubilet
- 5. MacMahon and Schur Symmetric Functions

0. History

1932	H. Whitney
1936	M. C. Wolf
1969	G M. Bergman & P. M. Cohn
1981	MP. Schützenberger
	& A. Lascoux
1994 & 1995	R. P. Stanley
1995	I. M. Gelfand, D. Krob,
	A. Lascoux, B. Leclerc,
	V. Retakh, & JI. Thibon
1998	S. Fomin & C. Greene
2000 & 2001	D. Gebhard & BES
2002	M. Rosas & BES

1. Chromatic Polynomials

Let G = (V, E) be a graph with vertices V and edges E. Loops and multiedges are permitted. A *proper* coloring of G is $c: V \to \{1, 2, ..., n\}$ such that

$$uv \in E \Rightarrow c(u) \neq c(v).$$

The chromatic polynomial of G is

$$P(G) = P(G, n) := \# \text{ of proper } c : V \to \{1, 2, \dots, n\}.$$

Ex. The path P_3 .

$$P_3 = v$$

$$P(G,n) = (\# \text{ of ways to color } u)$$

 $\cdot (\# \text{ of ways to then color } v)$
 $\cdot (\# \text{ of ways to then color } w)$
 $= n(n-1)(n-1)$
 $= n^3 - 2n^2 + n$.

1a. Deletion/Contraction

If $e \in E$ then let

 $G \setminus e = G$ with e deleted, G/e = G with e contracted to a point.

Ex. Deletion and contraction in a specific graph.

$$G = \bigcap_{e \in \mathcal{G}} G \setminus e = \bigcap_{e \in \mathcal{G}} G / e = \bigcap_{$$

Lemma 1 (Deletion-Contraction) If $e \in E$ then

$$P(G) = P(G \setminus e) - P(G/e).$$

Proof If e = uv then

$$P(G \setminus e) = (\# \text{ of colorings with } c(u) \neq c(v))$$

 $+(\# \text{ of colorings with } c(u) = c(v))$
 $= P(G) + P(G/e).$

1b. Whitney's Theorem

If $F \subseteq E$ then let F also stand for the subgraph of G with vertices V and edges F.

Ex. The two meanings of F.

$$G = \begin{cases} f \\ f \\ h \end{cases}$$

$$F = \{f, h\} = \begin{cases} f \\ h \\ h \end{cases}$$

Theorem 2 (Whitney, 1932) We have

$$P(G) = \sum_{F \subseteq E} (-1)^{|F|} n^{k(F)}$$

where |F| is the cardinality of F, and k(F) is the number of connected components of F.

Ex. The path P_3 .

$$F : \sum_{i=1}^{r} (-1)^{|F|} n^{k(F)} = n^3 - n^2 - n^2 + n$$

$$= n^3 - 2n^2 + n.$$

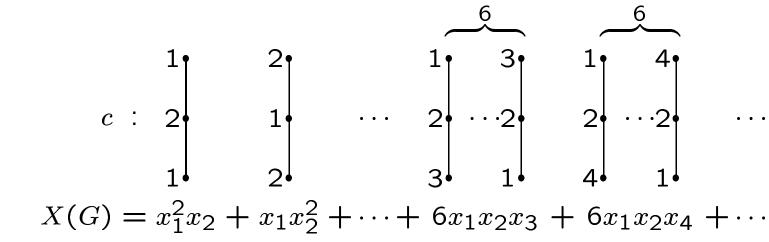
5

2. Stanley's Symmetric Function

Let $V = \{v_1, \dots, v_d\}$ and let $x = \{x_1, x_2, \dots\}$ be a set of commuting variables. Define

$$X(G)=X(G,x):=\sum x_{c(v_1)}x_{c(v_2)}\cdots x_{c(v_d)}$$
 where the sum is over all proper $c:V\to\{1,2,\ldots\}$.

Ex. The path P_3 .



Notes.

1. Suppose that we let $x_1 = x_2 = \cdots = x_n = 1$ and $x_i = 0$ for i > n, denoted $x = 1^n$, then

$$X(G, 1^n) = \sum_{\substack{c:V \to \{1,2,\dots,n\} \\ c \text{ proper}}} 1 = P(G, n).$$

2. X(G,x) is a symmetric function.

2a. Symmetric Functions

Let S_m be the symmeteric group on $\{1, 2, ..., m\}$ and let $\mathbb{Q}[[x]]$ denote the algebra of formal power series in x over \mathbb{Q} . Now $g \in S_m$ acts of $f(x) \in \mathbb{Q}[[x]]$ by

$$gf(x_1, x_2, \ldots) = f(x_{g1}, x_{g2}, \ldots)$$

where gi = i for i > m. Then f is symmetric if

$$gf = f$$
 for all $g \in S_m$ and all $m \ge 1$.

An integer partition of d, $\lambda \vdash d$, is a weakly decreasing sequence of positive integers $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$ such that $\sum_i \lambda_i = d$. The associated monomial symmetric function is

 $m_{\lambda} = \text{sum of all monomials with exponent } \lambda.$

Ex. If $\lambda = (3, 3, 1)$ then

$$m_{(3,3,1)} = x_1^3 x_2^3 x_3 + x_1^3 x_2 x_3^3 + x_1 x_2^3 x_3^3 + x_1^3 x_2^3 x_4 + \cdots$$

The algebra of symmetric functions is

$$\Lambda = \Lambda(x) = \operatorname{span}\{m_{\lambda} : \operatorname{all} \lambda\}.$$

Another basis for Λ consists of the *power sum symmetric functions*, p_{λ} , defined as follows:

$$\begin{array}{lll} p_d &=& x_1^d + x_2^d + x_3^d + \cdots & \text{for } d \text{ a positive integer,} \\ p_\lambda &=& p_{\lambda_1} p_{\lambda_2} \cdots p_{\lambda_k} & \text{for } \lambda = (\lambda_1, \lambda_2, \dots, \lambda_k). \end{array}$$

Ex.
$$p_{(3,3,1)} = p_3 p_3 p_1$$

= $(x_1^3 + x_2^3 + \cdots)^2 (x_1 + x_2 + \cdots)$.

Theorem 3 (Stanley, 1995) We have

$$X(G) = \sum_{F \subset E} (-1)^{|F|} p_{\lambda(F)}$$

where $\lambda(F) = (\lambda_1, \lambda_2, \dots, \lambda_k)$, λ_i being the number of vertices in the *i*th component of F.

Ex. If $G = P_3$ then

$$F$$
:

$$\sum (-1)^{|F|} p_{\lambda(F)} = p_{(1,1,1)} - p_{(2,1)} - p_{(2,1)} + p_{(3)}$$

Stanley implies Whitney: Substituting $x = 1^n$

$$p_d(1^n) = \underbrace{1+1+\cdots+1}_{k(F)} = n$$
 and $p_\lambda(1^n) = \underbrace{n\cdot n\cdot n}_{k(F)} = n^{k(F)}.$

3. Noncommuting Variables

Let x_1, x_2, \ldots be noncommuting and let $\mathbb{Q}\langle\langle x\rangle\rangle$ be the corresponding ring of formal power series. Fix a total order v_1, v_2, \ldots, v_d on V and define

$$Y(G) = Y(G, x) := \sum x_{c(v_1)} x_{c(v_2)} \cdots x_{c(v_d)}$$

where the sum is over all proper $c: V \to \{1, 2, \ldots\}$.

Define an operation of *induction*, \uparrow , by

$$x_{i_1}x_{i_2}\cdots x_{i_d}\uparrow = x_{i_1}x_{i_2}\cdots x_{i_d}x_{i_d}$$

and linear extension to $\mathbb{Q}\langle\langle x\rangle\rangle$.

Ex.
$$x_2^3x_1x_3^2 \uparrow = x_2^3x_1x_3^3$$
.

Lemma 4 (Gebhard-S, 2000) If $v_{d-1}v_d \in E$ then

$$Y(G) = Y(G \setminus e) - Y(G/e) \uparrow$$
.

3a. Symmetric Functions in Noncommuting Variables

The action of S_m on $\mathbb{Q}\langle\langle x\rangle\rangle$ is the same as on $\mathbb{Q}[[x]]$. The symmetric functions in noncommuting variables have bases indexed by partitions of $[d] := \{1, 2, \ldots\}$.

A set partition of [d], $\pi \vdash [d]$, is a collection of sets $\pi = B_1/B_2/\dots/B_k$ such that $\uplus_i B_i = [d]$. The B_i are called the blocks of the set partition. The type of π is the integer partition

$$\lambda(\pi) = (|B_1|, |B_2|, \dots, |B_k|).$$

Ex. $\pi = 143/268/57 \vdash [8]$ is of type $\lambda(\pi) = (3,3,2)$.

The associated monomial symmetric function is

$$m_{\pi} = \sum x_{i_1} x_{i_2} \cdots x_{i_d}$$
 where $i_j = i_k$ iff j, k are in the same block of π .

Ex.
$$m_{13/2} = x_1 x_2 x_1 + x_2 x_1 x_2 + x_1 x_3 x_1 + \cdots$$

The algebra of symmetric functions in noncommuting variables is

$$\Pi = \Pi(x) = \operatorname{span}\{m_{\pi} : \operatorname{all} \pi\}.$$

The power sum symmetric function basis for $\Pi(x)$ is

$$p_{\pi} = \sum x_{i_1} x_{i_2} \cdots x_{i_d}$$
 where $i_j = i_k$ if j,k are in the same block of π .

Ex.
$$p_{13/2} = m_{13/2} + x_1^3 + x_2^3 + \cdots$$

An integer partition $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k) \vdash d$ can be written

$$\lambda = (1^{m_1}, 2^{m_2}, \dots, d^{m_d})$$

where m_i is the multiplicity of i in λ . Define

$$\lambda! = \lambda_1! \lambda_2! \cdots \lambda_k!$$

$$\lambda^! = m_1! m_2! \cdots m_d!$$

Let $\rho: \mathbb{Q}\langle\langle x\rangle\rangle \to \mathbb{Q}[[x]]$ be the forgetful map.

$$\rho(m_{\pi}) = \lambda(\pi)^! m_{\lambda(\pi)} \quad \text{and} \quad \rho(p_{\pi}) = p_{\lambda(\pi)}.$$

Theorem 5 (Gebhard-S, 2000) If V = [d] then

$$Y(G) = \sum_{F \subseteq E} (-1)^{|F|} p_{\pi(F)}$$

where $\pi(F) = B_1/B_2/.../B_k$, B_i being the vertices in the *i*th component of F.

3b. The (3+1)-Free Conjecture

Let C_n denote a totally ordered set on n elements. A poset (partially ordered set) P is (n+m)-free if it contains no induced subposet isomorphic to $C_n \uplus C_m$. The incomparability graph of a poset P is G_P where V = P with an edge from u to v if u and v are not related in P. Let $\{e_{\lambda} : \text{ all } \lambda\}$ be the elementary symmetric function basis for Λ .

Conjecture 6 (Stanley and Stembridge, 1993) If P is (3+1)-free and $X(G_P) = \sum_{\lambda} c_{\lambda} e_{\lambda}$ then $c_{\lambda} \geq 0$ for all λ . (We say that $X(G_P)$ is e-positive.)

The *indifference graphs* are the G_P where P is both (3+1)-free and (2+2)-free. They can also be characterized as follows. Let $\mathcal{I}=(I_1,I_2,\ldots,I_k)$ be a sequence of intervals $I_j\subseteq [d]$. The corresponding indifference graph, $G_{\mathcal{I}}$, has vertex set V=[d] with a complete graph on the vertices of I_j for each j. WLOG, there is no containment among the I_j and they are listed in order of increasing left endpoint.

Theorem 7 (Gebhard and S, 2000) If we have $|I_j \cap I_{j+1}| \leq 1$ for all j, then $X(G_{\mathcal{I}})$ is e-positive.

4. Basis Change à la Doubilet

A basis b_{λ} for $\Lambda(x)$ is multiplicative if it's defined by

- 1. defining b_d for positive integers d,
- 2. defining $b_{\lambda} = b_{\lambda_1} b_{\lambda_2} \cdots b_{\lambda_k}$ for $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$.

The following bases are multiplicative: the *power* sum symmetric functions

$$p_d = x_1^d + x_2^d + \cdots,$$

the elementary symmetric functions

 $e_d = {\sf sum}$ of all square-free monomials of degree d, the complete homogeneous symmetric functions

 $h_d = \text{sum of all monomials of degree } d,$

Ex. We have

$$p_{(3,3,1)} = (x_1^3 + x_2^3 + \cdots)^2 (x_1 + x_2 + \cdots)$$

$$e_{(3,3,1)} = (x_1 x_2 x_3 + x_1 x_2 x_4 + \cdots)^2 (x_1 + x_2 + \cdots)$$

$$h_{(3,3,1)} = (x_1^3 + x_1^2 x_2 + x_1 x_2 x_3 + \cdots)^2 (x_1 + x_2 + \cdots)$$

Note that the monomial symmetric fuctions

 $m_{\lambda}=$ sum of all monomials with exponent λ do not form a multiplicative basis.

In $\Pi(x)$ we have corresponding bases

$$p_{\pi} = \sum x_{i_1} x_{i_2} \cdots x_{i_d}$$
 where $i_j = i_k$ if j,k are in the same block of π ,

and

$$e_{\pi} = \sum x_{i_1} x_{i_2} \cdots x_{i_d}$$
 where $i_j \neq i_k$ if j,k are in the same block of π .

Recall also

$$m_{\pi} = \sum x_{i_1} x_{i_2} \cdots x_{i_d}$$
 where $i_j = i_k$ iff j, k are in the same block of π .

Ex. We have

$$m_{13/2} = x_1 x_2 x_1 + x_2 x_1 x_2 + x_1 x_3 x_1 + \cdots,$$

$$p_{13/2} = x_1 x_2 x_1 + x_2 x_1 x_2 + \cdots + x_1^3 + x_2^3 + \cdots$$

$$= m_{13/2} + m_{123},$$

$$e_{13/2} = x_1 x_1 x_2 + \cdots + x_1 x_2 x_2 + \cdots + x_1 x_3 x_2 + \cdots$$

$$= m_{12/3} + m_{1/23} + m_{1/2/3}.$$

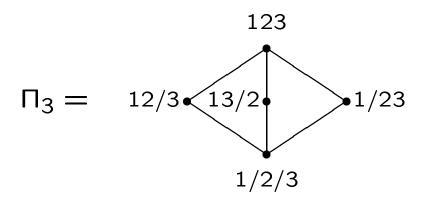
If $\rho: \mathbb{Q}\langle\langle x\rangle\rangle \to \mathbb{Q}[[x]]$ is the forgetful map.

$$\rho(m_{\pi}) = \lambda(\pi)! m_{\lambda(\pi)}
\rho(p_{\pi}) = p_{\lambda(\pi)}
\rho(e_{\pi}) = \lambda(\pi)! e_{\lambda(\pi)}.$$

4a. The Partition Lattice and Möbius Inversion

Let Π_d be the poset of all $\pi \vdash [d]$ ordered by refinement, i.e., if $\pi = B_1/\ldots/B_k$ and $\sigma = C_1/\ldots/C_l$ then $\pi \leq \sigma$ if each B_i is contained in some C_j .

Ex. We have



 Π_d is a *lattice*, meaning every pair $\pi, \sigma \in \Pi_d$ has

 $\pi \wedge \sigma = greatest lower bound or meet of <math>\pi$ and σ , $\pi \vee \sigma = least upper bound or join of <math>\pi$ and σ .

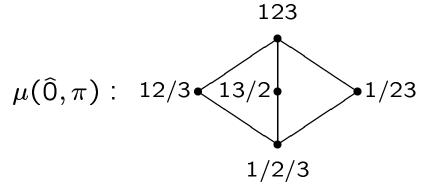
So Π_d has a unique minimal element $\hat{0} = 1/2/.../d$. Immediately from the definitions

$$p_{\pi} = \sum_{\sigma \geq \pi} m_{\sigma}$$
 and $e_{\pi} = \sum_{\sigma \wedge \pi = \hat{0}} m_{\sigma}$.

To invert these sums, define the *Möbius function* of a finite poset P to be $\mu: P \times P \to \mathbb{Z}$ such that

$$\mu(a,b) = \begin{cases} 1 & \text{if } a = b, \\ -\sum_{a \le c < b} \mu(a,c) & \text{else.} \end{cases}$$

Ex.



In general,
$$\mu(\widehat{0}, B_1/\dots/B_k) = \prod_i (-1)^{|B_i|-1} (|B_i|-1)!$$

Theorem 8 (Möbius Inversion, Rota, 1964) Let P be a finite poset and G be an additive group. If $f,g:P\to G$ then

$$f(a)=\sum_{b\geq a}g(b)$$
 for all $a\in P$
$$iff \ g(a)=\sum_{b\geq a}\mu(a,b)f(b) \ \ for \ all \ a\in P.$$

Corollary 9 (Doubilet, 1972) We have

$$m_{\pi} = \sum_{\sigma \geq \pi} \mu(\pi, \sigma) p_{\sigma}, \quad m_{\pi} = \sum_{\sigma \geq \pi} \frac{\mu(\pi, \sigma)}{\mu(\widehat{0}, \sigma)} \sum_{\tau \leq \sigma} \mu(\tau, \sigma) e_{\tau}.$$

What about h_{π} ? A function $f:D\to R$ has ker-nal $\ker f\vdash D$ whose blocks are the nonempty sets among the $f^{-1}(r)$ for $r\in R$. Also, $f:[d]\to x$ has associated monomial

$$M_f = f(1)f(2)\cdots f(d).$$

Then

$$m_{\pi} = \sum_{\ker f = \pi} M_f.$$

Ex. If $\pi = 13/2$ then

$$f: f(13) = x_1, f(13) = x_2, f(2) = x_2 f(2) = x_1 \cdots$$

$$\sum M_f = x_1 x_2 x_1 + x_2 x_1 x_2 + \cdots$$

Define

$$h_{\pi} = \sum_{(f,L)} M_f$$

where $f:[d]\to x$ and L is a linear ordering of the elements of each block of $\ker f\wedge\pi$. Then

$$\rho(h_{\pi}) = \lambda(\pi)! h_{\lambda(\pi)}.$$

Ex. If $\pi = 13/2$ then

$$(f,L)$$
: $f(13) = x_1,$ $f(31) = x_1,$ $f(2) = x_1$ \cdots $f(2) = x_1$ \cdots $f(31) = x_1,$ $f(2) = x_1$ \cdots $f(31) = x_1,$ $f(31$

5. MacMahon and Schur Symmetric Functions

Consider the d sets of variables $x' = \{x'_1, x'_2, \ldots\}$, $x'' = \{x''_1, x''_2, \ldots\}$, \dots , $x^{(d)} = \{x^{(d)}_1, x^{(d)}_2, \ldots\}$. Let $g \in S_m$ act on $f(x', x'', \ldots, x^{(d)}) \in \mathbb{Q}[[x', x'', \ldots, x^{(d)}]]$ diagonally:

$$gf(x'_1, x''_1, \dots, x'_2, x''_2, \dots) = f(x'_{g1}, x''_{g1}, \dots, x'_{g2}, x''_{g2}, \dots).$$

The monomial

$$x_1^{\prime a_1}x_1^{\prime\prime b_1}\cdots x_1^{(d)c_1}x_2^{\prime a_2}x_2^{\prime\prime b_2}\cdots x_2^{(d)c_2}\cdots$$

has multiexponent

$$\vec{\lambda} = \{\lambda^1, \lambda^2, \ldots\}$$

:= $\{(a_1, b_1, \ldots, c_1), (a_2, b_2, \ldots, c_2), \ldots\}$

as well as multidegree

$$\vec{n} = (n_1, n_2, \dots, n_d)$$

 $:= (a_1, b_1, \dots, c_1) + (a_2, b_2, \dots, c_2) + \dots$

and we write $\vec{\lambda} \vdash \vec{n}$. The associated monomial MacMahon symmetric function is

 $m_{\vec{\lambda}} = \text{sum of all monomials with multiexponent } \vec{\lambda}.$

Ex.
$$m_{\{(2,1),(3,0)\}} = x_1'^2 x_1'' x_2'^3 + x_1'^3 x_2'^2 x_2'' + \cdots$$

The algebra of MacMahon symmetric functions is

$$\mathcal{M} = \mathcal{M}(x', x'', \dots, x^{(d)}) = \operatorname{span}\{m_{\vec{\lambda}} : \operatorname{all} \vec{\lambda}\}.$$

Consider

$$\mathcal{M}_{(1^d)} = \operatorname{span}\{m_{\vec{\lambda}} : \operatorname{all} \vec{\lambda} \vdash (\overbrace{1,1,\ldots,1}^d)\}.$$

Now there exists an isomorphism of vector spaces $\phi: \bigoplus_{d \geq 0} \mathcal{M}_{(1^d)} \to \Pi$ given by

$$x_i'x_j''\cdots x_k^{(d)} \mapsto x_ix_j\cdots x_k$$

and linear extension. Also, under this isomorphism $m_{\vec{\lambda}} \mapsto m_{\pi}$ where λ_i is the characteristic vector of B_i .

Ex.
$$m_{\{(1,0,1,1,0),(0,1,0,0,1)\}} \mapsto m_{134/25}$$
.

Notes 1. This is *not* an isomorphism of algebras as $\bigoplus_{d \geq 0} \mathcal{M}_{(1^d)}$ is commutative.

2. The multiplicative bases of Λ have analogs in \mathcal{M} . For example, $h_{\vec{\lambda}} = h_{\{\lambda^1\}} h_{\{\lambda^2\}} \cdots$ where $h_{\{(n_1, n_2, \dots, n_d)\}}$ has the generating function

$$\sum_{n_1,n_2,\dots,n_d\geq 0} h_{\{(n_1,n_2,\dots,n_d)\}} r^{n_1} s^{n_2} \cdots t^{n_d}$$

$$= \prod_{i\geq 1} \frac{1}{1 - x_i' r - x_i'' s - \dots - x_i^{(d)} t}.$$

5a. Schur Functions

A partition $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$ has a *shape*, also denoted λ , consisting of k left-justified rows with λ_i dots in row i.

Ex. Partition $\lambda = (3, 3, 2, 1)$ has Ferrers diagram

$$\lambda = \begin{bmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{bmatrix}.$$

A (semistandard) Young tableau T of shape λ , written $\lambda(T) = \lambda$, is obtained by replacing each dot of the shape of λ with a positive integer so that rows weakly increase and columns strictly increase.

Ex. A Young tableau of shape (3,3,2,1) is

$$T = \begin{array}{cccc} 1 & 1 & 3 \\ 2 & 2 & 5 \\ 3 & 6 & \\ 5 & & \end{array}.$$

The Schur function, s_{λ} , is

$$s_{\lambda} = \sum_{\lambda(T)=\lambda} M_T$$
 where $M_T = \prod_{i \in T} x_i$.

Ex. if $\lambda = (2,1)$ then

$$T : \frac{1}{2} \frac{1}{3} \frac{1}{3} \frac{1}{3} \frac{2}{3} \dots \frac{1}{3} \frac{3}{3} \frac{1}{3} \frac{3}{3} \dots$$

$$s_{(2,1)} = x_1^2 x_2 + x_1 x_2^2 + \dots + x_1 x_2 x_3 + x_1 x_2 x_3 + \dots$$

If $\lambda, \vec{n} \vdash d$ then a primed Young tableau T' of shape λ and multidegree \vec{n} is obtained from T by putting primes on n_1 elements of T, double primes on n_2 elements of T, etc. The corresponding MacMahon Schur function is

$$S_{\lambda}^{\vec{n}} = \sum_{\lambda(T')=\lambda} M_{T'}$$
 where $M_{T'} = \prod_{i(j) \in T} x_i^{(j)}$.

Ex. if $\lambda = (2,1)$ and $\mu = (1,1,1)$ then

$$T : \frac{1'}{2'''} \frac{1''}{1}, \frac{1''}{2'''} \frac{1'}{1}, \dots, \frac{1'''}{2'} \frac{1''}{1}, \dots$$

$$S_{(2,1)}^{(1,1,1)} = x_1' x_1'' x_2''' + x_1' x_1'' x_2''' + \dots + x_1'' x_1''' x_2' + \dots$$

The MacMahon Schur functions have many of the same properties as do the regular Schur functions.

Theorem 10 (Jacobi-Trudi Determinant) For the partition $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$, we have

$$s_{\lambda} = |h_{\lambda_i - i + j}|. \quad \blacksquare$$

Define $\vec{m} \leq \vec{n}$ to be the componentwise partial order on vectors of length d.

Theorem 11 (Rosas-S,2001) Given two partitions $\lambda, \vec{n} \vdash d$, we have

$$S^{\vec{n}}_{\lambda} = \left| \sum_{\substack{ec{m} \leq ec{n} \ ec{m} \vdash \lambda_i - i + j}} h_{ec{m}} \right|$$

with the convention that if the product of two monomials does not have multidegree \vec{n} then that product is zero.