# Counting permutations by congruence class of major index

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The major index

The inversion number

**Shuffles** 

The case  $k = \ell$ 

# **Outline**

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The case k = k

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 then  $\max_{i} \pi = 2 + 4 = 6.$ 

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#### **Theorem**

 $\pi \in S_n$ 

If q is an indeterminate then

$$\sum q^{\text{maj }\pi} = 1(1+q)(1+q+q^2)\cdots(1+q+\cdots+q^{n-1}).$$

$$m_n^{k,\ell}(i,j) = \#\{\pi \in S_n : \operatorname{maj} \pi \equiv i \pmod{k}, \operatorname{maj} \pi^{-1} \equiv j \pmod{\ell}\}.$$

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## **Theorem**

If  $k, \ell \le n$  and  $gcd(k, \ell) = 1$  then

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# Combinatorial Proof (BSS)

(1) Prove the special case 
$$k = 1$$
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- (3) Use (2) and induction on n to prove the final case n > k.

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The case k = k

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We say maj and inv are equidistributed, i.e., have the same generating function. So are (maj, imaj) and (inv, imaj).



**Proof of (2):** If  $m_n^{1,\ell}(i,j) = \frac{n!}{\ell}$  then  $m_n^{n,\ell}(i,j) = \frac{n!}{n\ell}$   $(\forall i,j)$ .

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So

$$m(i,j)=\frac{n!}{n\ell}.$$



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The case  $k = \ell$ 

If  $\tau = a_1 a_2 \dots a_n$  is a sequence and  $I = \{i_1, i_2, \dots, i_\ell\}$  then

$$\tau|_{I}=a_{i_1}a_{i_2}\ldots a_{i_\ell}.$$

If  $au=a_1a_2\dots a_n$  is a sequence and  $I=\{i_1,i_2,\dots,i_\ell\}$  then  $au|_I=a_{i_1}a_{i_2}\dots a_{i_\ell}.$ 

If  $\#\pi = \#I = \ell$  and  $\#\sigma = m$  then the *I-shuffle* of  $\pi$  and  $\sigma$  is  $\tau = \pi \coprod_I \sigma$  where  $\#\tau = \ell + m$ ,  $\tau|_I = \pi$ ,  $\tau|_{[\ell+m]-I} = \sigma$ .

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**Ex.** If  $\pi = 3 \ 1 \ 4 \ 2$ ,  $I = \{1, 3, 4, 6\}$ , and  $\sigma = 6 \ 7 \ 5$  then

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Define  $f: S_n \to S_n$  as follows. If  $\tau \in S_n$  then write  $\tau = \pi \coprod_I \sigma$  where  $\pi \in S_\ell$ .

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$$\tau' = \pi \coprod_{l+1} \sigma$$
 with  $l+1 = \{i_1+1,\ldots,i_\ell+1\} \pmod{n}$ .

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**Ex.** If  $\ell = 4$  and  $\tau = 3 6 1 4 7 2 5$  then  $\tau = 3 1 4 2 \coprod_{I} 6 7 5$ . So  $\tau' = 3 1 4 2 \coprod_{I+1} 6 7 5 = 6 3 7 1 4 5 2$ .

$$\tau = \pi \coprod_{I} \sigma$$
 imples  $\tau' = \pi \coprod_{I+1} \sigma$  for  $\pi \in S_{\ell}$ .

**Ex.** If  $\ell = 4$  and  $\tau = 3614725$  implies  $\tau' = 6371452$ .

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(b) inv 
$$\tau' - \operatorname{inv} \tau \equiv \ell \pmod{n}$$
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- (b) inv  $\tau' \operatorname{inv} \tau \equiv \ell(\operatorname{mod} n)$ .
- (c) imaj  $\tau'$  imaj  $\tau = 0, \pm \ell \equiv 0 \pmod{\ell}$ .

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Now (b) and (c) imply that f restricts to

$$f: M(i,j) \rightarrow M(i+\ell,j).$$

$$\tau = \pi \coprod_{I} \sigma$$
 imples  $\tau' = \pi \coprod_{I+1} \sigma$  for  $\pi \in S_{\ell}$ .

**Ex.** If  $\ell = 4$  and  $\tau = 3614725$  implies  $\tau' = 6371452$ .

**Note.** (a) f is bijective: for  $f^{-1}$  use I - 1.

- (b) inv  $\tau' \operatorname{inv} \tau \equiv \ell \pmod{n}$ .
- (c) imaj  $\tau'$  imaj  $\tau = 0, \pm \ell \equiv 0 \pmod{\ell}$ .

Now (b) and (c) imply that f restricts to

$$f: M(i,j) \to M(i+\ell,j).$$

But  $gcd(n, \ell) = 1$ , so iterating f gives a bijection

$$M(i,j) \longleftrightarrow M(i+1,j).$$

# **Outline**

The major index

The inversion number

Shuffles

The case  $k = \ell$ 

### Theorem

If  $gcd(k, \ell) = 1$  and  $d \ge 1$  with  $kd, \ell d \le n$  then  $m_n^{kd, \ell d}$  is composed of  $d \times d$  blocks all equal to

$$\frac{1}{k\ell}m_n^{d,d}$$
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Let  $\mu$  and  $\phi$  be the number-theoretic Möbius and Euler functions, respectively.

## **Theorem**

If  $1 \le i, j \le n$  then

$$m_n^{n,n}(i,j) = \frac{1}{n^2} \sum_{d|n} d^{n/d} \left(\frac{n}{d}\right)! \ \phi(d)^2 \ \frac{\mu\left(\frac{d}{\gcd(i,d)}\right) \mu\left(\frac{d}{\gcd(j,d)}\right)}{\phi\left(\frac{d}{\gcd(i,d)}\right) \phi\left(\frac{d}{\gcd(j,d)}\right)}.$$

**Proof** of  $m_n^{n,n}(i,j) \stackrel{(*)}{=} \frac{1}{n^2} \sum_{d|n} d^{n/d} \left(\frac{n}{d}\right)! \phi(d)^2 \frac{\mu\left(\frac{d}{\gcd(i,d)}\right)\mu\left(\frac{d}{\gcd(j,d)}\right)}{\phi\left(\frac{d}{\gcd(j,d)}\right)\phi\left(\frac{d}{\gcd(j,d)}\right)}$ .

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The right side of (\*) is the inner product  $\langle \chi_i, \chi_j \rangle$  by a formula of FOULKES (1972).

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$$\langle \chi_i, \chi_j \rangle = \sum_{\lambda \vdash n} f_i^{\lambda} f_j^{\lambda} \stackrel{\text{RSK}}{=} m_n^{n,n}(i,j).$$



 $m_n^{n,n}(i,j) \stackrel{(*)}{=} \frac{1}{n^2} \sum_{d|n} d^{n/d} \left( \frac{n}{d} \right)! \phi(d)^2 \frac{\mu\left( \frac{d}{\gcd(i,d)} \right) \mu\left( \frac{d}{\gcd(i,d)} \right)}{\phi\left( \frac{d}{\gcd(i,d)} \right) \phi\left( \frac{d}{\gcd(i,d)} \right)}.$ 

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If  $k = \ell = p^r$  for p prime, then (\*) simplifies. Let  $J_{k,\ell} = \text{the } k \times \ell \text{ matrix of all ones.}$ 

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By induction on *n* we can prove the following result.

#### **Theorem**

For each prime p, there are sequences  $(q_n)_{n\geq 1}$ ,  $(r_n)_{n\geq 1}$ , and  $(s_n)_{n\geq 1}$  such that

$$m_{np}^{p,p} = \begin{bmatrix} q_n J_{1,1} & r_n J_{1,p-1} \\ r_n J_{p-1,1} & s_n J_{p-1,p-1} \end{bmatrix}.$$

