

# CHAPTER I: SEPARABILITY AND THE MODULE OF DIFFERENTIALS

## §1: THE MODULE OF DIFFERENTIALS

General assumptions: all rings commutative with 1

all homomorphisms of rings map 1 into 1

all modules are unitary:  $\forall m \in M: 1 \cdot m = m$

(1.1) Definition:  $R$  a ring;  $M$  an  $R$ -module;  $d: R \rightarrow M$  a map.  $d$  is a derivation from  $R$  into  $M$  if  $d$  satisfies:

(a)  $\forall a, b \in R: d(a+b) = d(a) + d(b)$

(b) (Product rule)  $\forall a, b \in R: d(ab) = a d(b) + b d(a)$

(1.2) Remark:  $d: R \rightarrow M$  a derivation

(a)  $d(1) = d(1) + d(1) \Rightarrow d(1) = 0$

(b)  $u \in R^*$  a unit  $\Rightarrow 0 = d(1) = d(uu^{-1}) = u d(u^{-1}) + u^{-1} d(u) \Rightarrow d(u^{-1}) = -\frac{du}{u^2}$

(c)  $\ker(d) = \{a \in R \mid d(a) = 0\}$  is a subring of  $R$ .

(1.3) Examples: (a)  $I \subseteq \mathbb{R}$  an open interval,  $R$  the ring of differentiable functions  $f: I \rightarrow \mathbb{R}$  with continuous derivations.  $M$  the set of continuous functions  $g: I \rightarrow \mathbb{R}$ .  $M$  is an  $R$ -module (in the natural way). Define:

$$d: R \rightarrow M \quad \text{by } d(f) = f'.$$

$d$  is a derivation in the sense of (1.1).

(b)  $S$  a ring,  $R = S[x_1, \dots, x_n]$  the polynomial ring over  $S$  (in  $n$  variables).

The partial derivations  $\partial/\partial x_j: R \rightarrow R$  defined by: for

$$f = \sum' s_{(i)} x_1^{i_1} \dots x_n^{i_n}; \quad \frac{\partial f}{\partial x_j} = \sum' i_j s_{(i)} x_1^{i_1} \dots x_j^{i_j-1} \dots x_n^{i_n}$$

are derivations in the sense of (1.1). Similarly, partial derivations over

polynomial rings in infinitely many variables and over power series rings are defined.

(1.4) Definition:  $k$  a ring;  $R$  a  $k$ -algebra;  $M$  an  $R$ -module.

(a) A  $k$ -linear derivation  $d: R \rightarrow M$  is called a  $k$ -derivation.

(b)  $\text{Der}_k(R, M) := \{d: R \rightarrow M \mid d \text{ a } k\text{-derivation}\}$

$$\text{Der}_{\mathbb{Z}}(R, M) := \text{Der}(R, M).$$

Note that every derivation is a  $\mathbb{Z}$ -derivation.

(1.5) Remark: (a)  $\varphi: k \rightarrow R$  a morphism of rings;  $M$  an  $R$ -module;  $d: R \rightarrow M$  a derivation.  $d$  is a  $k$ -derivation  $\Leftrightarrow d(k) = d(\varphi(k)) = 0$ .

$$\text{Pf: } \Rightarrow: a \in k, d(a) = d(a \cdot 1) = a d(1) + 1 d(a) = a d(1) \Rightarrow d(a) = 0$$

$$\Leftarrow: r \in R, a \in k: d(ar) = a d(r) + r d(a) = a d(r)$$

(b) Every derivation is a  $\mathbb{Z}$ -derivation.

$$\text{Pf: } n \in \mathbb{Z}, n > 1: \text{ by induction } d(n) = d(n-1) + d(1) = d(n-1) = 0$$

$$d(-1+1) = d(0) = d(-1) + d(1) = 0 \Rightarrow d(-1) = 0$$

$$n \in \mathbb{Z}, n > 1: d(-n) = (-1)d(n) + n d(-1) = 0. \text{ Now use (a).}$$

(c)  $d: R \rightarrow M$  a  $k$ -derivation;  $\varphi: M \rightarrow N$  an  $R$ -map. Then  $\varphi \circ d$  is a  $k$ -derivation

$$\text{Pf: } r, t \in R: \varphi \circ d(rt) = \varphi(rd(t) + td(r)) = r\varphi d(t) + t\varphi d(r)$$

(d)  $\text{Der}_k(R, M)$  is an  $R$ -module (in a natural way). Associate:

$$M \rightsquigarrow \text{Der}_k(R, M); \varphi: M \rightarrow N, \text{ then } \text{Der}_k(R, M) \rightarrow \text{Der}_k(R, N)$$

defined by  $d \mapsto \varphi \circ d$ , is an  $R$ -map.

This defines a functor  $\text{Der}_k(R, -)$  from  $R$ -mod to  $R$ -mod.

(1.6) Definition: Let  $\delta: R \rightarrow N$  be a  $k$ -derivation.  $\delta$  is called a universal  $k$ -derivation and  $N$  a module of differentials of  $R$  over  $k$  if for any  $k$ -derivation  $d: R \rightarrow M$  there is a unique  $R$ -linear map  $\varphi: N \rightarrow M$

so that the diagram

$$\begin{array}{ccc} R & \xrightarrow{d} & M \\ \delta \searrow & & \nearrow \varphi \\ & N & \end{array}$$

commutes, i.e.  $d = \varphi\delta$ .

A module of differentials is denoted by  $\Omega_{R/k}$ . If  $k = \mathbb{Z}$ ,  $\Omega_{R/\mathbb{Z}} = \Omega_R$ .

(1.7) Remark: (a) If a module of differentials exists it is unique up to unique isomorphism.

(b) Suppose  $\Omega_{R/k}$  exists with universal derivation  $\delta: R \rightarrow \Omega_{R/k}$ . For all  $R$ -modules  $M$  the map:

$$\begin{array}{ccc} \text{Hom}_R(\Omega_{R/k}, M) & \xrightarrow{\cong} & \text{Der}_k(R, M) \\ \varphi & \longmapsto & \varphi\delta \end{array}$$

is an isomorphism of  $R$ -modules.

Pr: Surjectivity follows from the definition of  $(\Omega_{R/k}, \delta)$ .

Injectivity follows from the uniqueness part of the definition of  $(\Omega_{R/k}, \delta)$ .

(c) Conversely, if  $N$  is an  $R$ -module,  $d: R \rightarrow N$  a  $k$ -derivation so that

$$\begin{array}{ccc} \text{Hom}_R(N, M) & \xrightarrow{\cong} & \text{Der}_k(R, M) \\ \varphi & \longmapsto & \varphi d \end{array}$$

is an isomorphism for all  $R$ -modules  $M$ , then  $(N, d)$  is a module of differentials, i.e.  $N \cong \Omega_{R/k}$  and  $d$  is a universal  $k$ -derivation.

(1.8) Theorem:  $k$  a ring,  $R = k[x_i]_{i \in I}$  the polynomial ring over  $k$ . Then  $\Omega_{R/k}$  exists. Moreover,  $\Omega_{R/k}$  is a free  $R$ -module with basis  $\{\partial x_i\}_{i \in I}$  and universal  $k$ -derivation  $\delta = \bigoplus \partial/\partial x_i$ .

Proof: Let  $N = \bigoplus_{i \in I} R e_i$  be a free  $R$ -module with basis  $\{e_i\}_{i \in I}$  and

$$\begin{array}{ccc} \delta: R & \longrightarrow & N \\ \delta & \longmapsto & (\partial/\partial x_i e_i)_{i \in I}. \end{array}$$

$\delta$  is a  $k$ -derivation from  $R$  to  $N$ .

Let  $d: R \rightarrow M$  be a  $k$ -derivation with  $d(x_i) = m_i$  for all  $i \in I$ .

Define  $\varphi: N = \bigoplus R e_i \rightarrow M$  by  $\varphi(e_i) = m_i$ . Then for all  $f \in R$ :

$$d(f) = \sum_{i \in I} \frac{\partial f}{\partial x_i} m_i$$

(check only on monomials and apply the product rule).

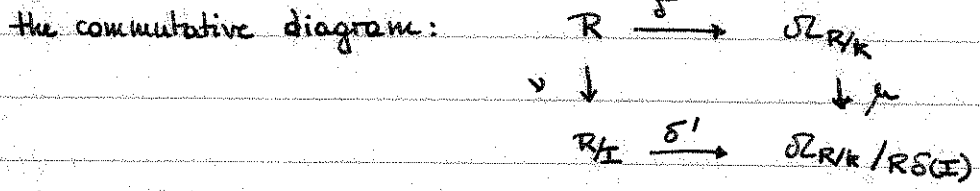
Thus  $d = \varphi \delta$ . If  $\psi: N \rightarrow M$  is an  $R$ -linear map with  $d = \psi \delta$ , then  $d(x_i) = \psi \delta(x_i) = \psi(e_i) = m_i$  and thus  $\varphi = \psi$ .

(1.9) Lemma:  $R$  an  $k$ -algebra. Suppose  $(\Omega_{R/k}, \delta)$  exists. If  $I \subseteq R$  is an ideal, then  $I \Omega_{R/k} \subseteq R \delta(I) \subseteq I \Omega_{R/k} + \delta(I)$ .

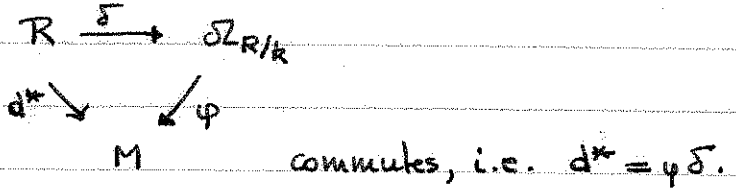
Proof: Let  $a \in I, r \in R$ . Then  $a \delta(r) = \delta(ar) - r \delta(a) \Rightarrow I \Omega_{R/k} \subseteq R \delta(I)$ , since  $\Omega_{R/k}$  is generated by  $\delta(R)$ . Similarly,  $R \delta(I) = I \Omega_{R/k} + \delta(I)$ .

(1.10) Theorem:  $R$  a  $k$ -algebra,  $I \subseteq R$  an ideal. Suppose  $(\Omega_{R/k}, \delta)$  exists. Then  $\Omega_{(R/I)/k}$  exists and  $\Omega_{(R/I)/k} = \Omega_{R/k} / R \delta(I)$ .

Proof: By (1.9)  $I \Omega_{R/k} \subseteq R \delta(I)$ , thus  $\Omega_{R/k} / R \delta(I)$  is an  $R/I$ -module. Consider



where  $\delta'(r+I) = \delta(r) + R \delta(I)$ .  $\delta'$  is a  $k$ -derivation. Let  $d: R/I \rightarrow M$  be a  $k$ -derivation and  $d^* = d \nu: R \xrightarrow{\nu} R/I \xrightarrow{d} M$  the composition.  $d^*$  is a  $k$ -derivation. Thus there is an  $R$ -map  $\varphi: \Omega_{R/k} \rightarrow M$  so that



Since  $d^*(I) = 0$ ,  $\varphi(\delta(I)) = 0$  and  $\varphi$  factors through  $\Omega_{R/k} / R \delta(I)$ . The

diagram:  $R \xrightarrow{\delta} \Omega_{R/k} \xrightarrow{\varphi} M$

$$\begin{array}{ccc} & & \nearrow \varphi \\ \downarrow \nu & & \downarrow \mu \\ R/I & \xrightarrow{\delta'} & \Omega_{R/k/R\delta(I)} \end{array}$$

with  $\varphi = \varphi \mu$ , commutes.

Thus  $\varphi \delta' \nu = \varphi \mu \delta = \varphi \delta = d^* = d \nu$  and  $\varphi \delta' = d$ .

$\varphi$  is unique. Suppose there is another  $R/I$ -linear map  $\varphi': \Omega_{R/k/R\delta(I)} \rightarrow M$  with  $\varphi' \delta' = d$ . Then  $\varphi \mu = \varphi' \mu$  and  $\varphi = \varphi'$  since  $\mu$  is surjective.

(1.11) Corollary:  $k$  a ring;  $R$  a  $k$ -algebra. Then  $\Omega_{R/k}$  exists. If  $R$  is a finitely generated  $k$ -algebra,  $\Omega_{R/k}$  is a finitely generated  $R$ -module.

(1.12) Corollary: There is an exact sequence of  $R/I$ -modules:

$$I/I^2 \xrightarrow{\bar{\delta}} \Omega_{R/k} / I \Omega_{R/k} \xrightarrow{\lambda} \Omega_{(R/I)/k} \rightarrow 0$$

where  $\bar{\delta}(a+I^2) = \delta(a) + I \Omega_{R/k}$  and  $\lambda$  the natural map.

A different construction of  $\Omega_{R/k}$ .

Consider the map  $\tau: R \otimes_k R \rightarrow R$  defined by  $\tau(a \otimes b) = ab$ . Let  $I = \ker(\tau)$ .

Note that  $\tau$  is a homomorphism of rings and  $I$  is generated by the set  $\{1 \otimes a - a \otimes 1 \mid a \in R\}$ .

There are 2 (different)  $R$ -module structures on  $R \otimes_k R$  given by:

$$\forall a, x, y \in R: \quad 1) \quad a(x \otimes y) = (ax) \otimes y = (a \otimes 1)(x \otimes y)$$

$$2) \quad a(x \otimes y) = x \otimes (ay) = (1 \otimes a)(x \otimes y).$$

Both  $R$ -module structures on  $R \otimes_k R$  induce the same  $R$ -module structure

$$\text{on } I/I^2: \quad a, x \in R \Rightarrow (a \otimes 1)[(1 \otimes x) - (x \otimes 1)] = a \otimes x - ax \otimes 1$$

$$\equiv (1 \otimes a)[(1 \otimes x) - (x \otimes 1)] \pmod{I^2}$$

$$= 1 \otimes ax - x \otimes a$$

In the following we consider  $I/I^2$  an  $R$ -module via these structures.

The map  $\delta': R \rightarrow I/I^2$  defined by  $\delta'(a) = (1 \otimes a - a \otimes 1) + I^2$  is a

$k$ -derivation from  $R$  into the  $R$ -module  $I/I^2$ .

(1.13) Definition: Let  $R$  be a ring;  $M$  an  $R$ -module. Define a multiplication on  $R \oplus M$  as follows:  $\forall a, a' \in R, m, m' \in M: (a, m)(a', m') = (aa', am' + a'm)$ . This defines a ring structure on  $R \oplus M$ . This ring is called the trivial extension of  $R$  by  $M$  and is denoted by  $R * M$ .  $R * M$  is a commutative ring with identity  $(1, 0)$ . The canonical embedding  $\lambda: R \rightarrow R * M$  by  $\lambda(a) = (a, 0)$  is an injective morphism of rings. In the following  $R * M$  is considered an  $R$ -algebra via  $\lambda$ .

(1.14) Theorem:  $(I/I^2, \delta')$  is the module of differentials of  $R$  over  $k$ .

Proof: Let  $d: R \rightarrow M$  be a  $k$ -derivation. Define  $\varphi: R \otimes_k R \rightarrow R * M$  by  $\varphi(a \otimes b) = (ab, ad(b))$ .  $\varphi$  is a morphism of  $k$ -algebras with  $\varphi(I) \subseteq M \subseteq R * M$ .  $[\varphi(a \otimes 1 - 1 \otimes a) = (a, 0) - (a, d(a)) = (0, d(a))]$ . Thus  $\varphi|_I: I \rightarrow M$  is an  $R$ -linear map with  $\varphi(I^2) = 0$ , since  $M^2 = 0$  in  $R * M$ .

Thus  $\varphi$  induces an  $R$ -linear map  $\varphi: I/I^2 \rightarrow M$ . Let  $a \in R$ , then  $\varphi \delta'(a) = \varphi(1 \otimes a - a \otimes 1 + I^2) = (0, d(a)) \equiv d(a)$  where  $M$  is considered a submodule of  $R * M$ .

Thus  $\varphi \circ \delta' = d$ . Uniqueness follows since  $\delta'(R)$  generates  $I/I^2$  as an  $R$ -module.

(1.15) Remark: Both constructions of  $\mathcal{D}_{R/k}$  are isomorphic.

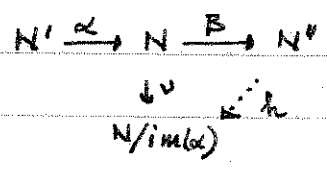
(1.16) Lemma: Let  $N' \xrightarrow{\alpha} N \xrightarrow{\beta} N''$  be a sequence of  $R$ -modules. If for all  $R$ -modules  $M$  the induced sequence:

$$\text{Hom}_R(N', M) \xleftarrow{\alpha^*} \text{Hom}_R(N, M) \xleftarrow{\beta^*} \text{Hom}_R(N'', M)$$

is exact, then  $N' \xrightarrow{\alpha} N \xrightarrow{\beta} N''$  is exact.

Proof: (a)  $\ker(\beta) \subseteq \text{im}(\alpha)$ .

Let  $M = N/\text{im}(\alpha)$  and consider the diagram:



Since  $\alpha^*(v) = 0$ ,  $v \in \text{im}(\beta^*)$  and there is an  $h \in \text{Hom}_R(N'', M)$  with  $h\beta = v = \beta^*(h)$ .

Thus  $\ker(\beta) \subseteq \text{im}(\alpha)$

(b)  $\text{im}(\alpha) \subseteq \ker(\beta)$

Let  $M = N''$ . Then  $\alpha^*\beta^*(\text{id}_{N''}) = 0 \Rightarrow \beta\alpha = 0 \Rightarrow \text{im}(\alpha) \subseteq \ker(\beta)$ .

(1.17) Theorem: Let  $f: k \rightarrow R$  and  $g: R \rightarrow S$  be morphisms of rings. Then there is an exact sequence of  $S$ -modules:

$$\Omega_{R/k} \otimes_R S \xrightarrow{\alpha} \Omega_{S/k} \xrightarrow{\beta} \Omega_{S/R} \rightarrow 0$$

where

(1)  $\alpha(\delta_{R/k}(a) \otimes b) = b\delta_{S/k}(g(a))$  for all  $a \in R, b \in S$ .

(2)  $\beta(\delta_{S/k}(b)) = \delta_{S/R}(b) \quad \forall b \in S$ .

Proof: For any  $S$ -module  $M$  apply  $\text{Hom}_S(-, M)$ :

$$\text{Hom}_S(\Omega_{R/k} \otimes_R S, M) \xleftarrow{\alpha^*} \text{Hom}_S(\Omega_{S/k}, M) \xleftarrow{\beta^*} \text{Hom}_S(\Omega_{S/R}, M) \leftarrow 0$$

$\downarrow \cong$

$$\text{Hom}_R(\Omega_{R/k}, \text{Hom}_S(S, M))$$

$\downarrow \cong$

is

is

$$\text{Hom}_R(\Omega_{R/k}, M)$$

$\downarrow \cong$

$$\text{Der}_k(R, M) \xleftarrow{\alpha^{**}} \text{Der}_k(S, M) \xleftarrow{\beta^{**}} \text{Der}_R(S, M) \leftarrow 0$$

The last sequence is exact.

(1.18) Remark: The exact sequence of (1.17) is part of a long exact sequence.

(André-Quillen homology).

## §2: SEPARABILITY

(1.19) Theorem:  $K$  a field;  $K \subseteq L = K(\alpha)$  a simple algebraic field extension. Suppose that  $f(x) = \sum_{i=0}^n a_i x^i$ ,  $a_n = 1$ , is the minimal polynomial of  $\alpha$  over  $K$ . Let  $V$  be an  $L$ -vector space and  $d: K \rightarrow V$  a derivation. For all  $v \in V$  the following conditions are equivalent:

(a) There is a derivation  $\tilde{d}: L \rightarrow V$  extending  $d$  with  $\tilde{d}(\alpha) = v$ .

(b)  $\sum_{i=0}^n d(a_i) \alpha^i + f'(\alpha) v = 0$  in  $V$ .

Proof: (a)  $\Rightarrow$  (b): Let  $\tilde{d}: L \rightarrow V$  be a derivation with  $\tilde{d}|_K = d$  and  $\tilde{d}(\alpha) = v$ .

Since  $f(\alpha) = 0$ ,  $0 = \tilde{d}(f(\alpha)) = \sum_{i=0}^n d(a_i) \alpha^i + f'(\alpha) v$ .

(b)  $\Rightarrow$  (a): Since  $L = K(\alpha) \cong K[x]/(f)$ , we may consider  $V$  as a  $K[x]$ -module.

Define  $d_1: K[x] \rightarrow V$  by  $d_1(\sum b_i x^i) = \sum d(b_i) x^i$

and  $d_2: K[x] \rightarrow V$  by  $d_2(g) = g'(\alpha) v$ .

$d_1$  and  $d_2$  are derivations from  $K[x]$  into  $V$  with  $d_1|_K = d$  and  $d_2|_K = 0$ .

Thus  $d_1 + d_2$  is an extension of  $d$  to  $K[x]$ . By assumption (b)  $(d_1 + d_2)(f) = 0$

and  $d_1 + d_2$  factors through  $L$ .

(1.20) Proposition: Let  $K \subseteq L$  be a separable algebraic field extension. Any derivation from  $K$  into an  $L$ -vector space  $V$  can be uniquely extended to a derivation from  $L$  into  $V$ .

Proof: Case 1:  $K \subseteq L$  is finite.

Then there is an  $\alpha \in L$  with  $L = K(\alpha)$ . Let  $f(x) = \sum_{i=0}^n a_i x^i$ ,  $a_n = 1$ , be the minimal polynomial of  $\alpha$  over  $K$ . Since  $\alpha$  is separable over  $K$ ,  $f'(\alpha) \neq 0$ .

If  $d: K \rightarrow V$  is a derivation from  $K$  into an  $L$ -vector space  $V$ , there is a unique  $v \in V$  so that  $\sum_{i=0}^n d(a_i) \alpha^i + f'(\alpha) v = 0$ . By (1.19)  $d$  extends uniquely.



Case 2: the general case

Write  $L$  as a union of finite field extensions of  $K$ :  $L = \bigcup_{i \in I} E_i$  and let  $d: K \rightarrow V$  be a derivation from  $K$  into an  $L$ -vector space  $V$ . For all  $i \in I$  there is a unique extension  $d_i: E_i \rightarrow V$  of  $d$ . By uniqueness, if  $E_i \subseteq E_j$  then  $d_i = d_j|_{E_i}$ . Hence the derivation  $\tilde{d}: L \rightarrow V$  with  $\tilde{d}(\beta) = d_i(\beta)$  for  $\beta \in E_i$  is well defined and unique.

(1.21) Corollary: Let  $K \subseteq L$  be a separable field extension. Then  $\Omega_{L/K} = 0$ .

Proof: Let  $\delta: L \rightarrow \Omega_{L/K}$  be the universal  $K$ -derivation. Then  $\delta|_K = 0$  and  $\delta|_K$  extends uniquely to a derivation from  $L$  into  $\Omega_{L/K}$ . Thus  $\delta = 0$ . Since  $\Omega_{L/K}$  is generated by  $\delta(L)$ , it follows that  $\Omega_{L/K} = 0$ .

(1.22) Corollary: Let  $K \subseteq L \subseteq E$  be field extensions with  $L \subseteq E$  separable algebraic. Then  $\Omega_{E/K} \cong \Omega_{L/K} \otimes_L E$ .

Proof: By (1.17) the sequence

$$(*) \quad \Omega_{L/K} \otimes_L E \xrightarrow{\alpha} \Omega_{E/K} \xrightarrow{\beta} \Omega_{E/L} \longrightarrow 0$$

is exact. By (1.21)  $\Omega_{E/L} = 0$  and  $\alpha$  is surjective. In order to show injectivity, let  $M$  be an  $L$ -vector space.  $(*)$  yields an exact sequence

$$\text{Hom}_E(\Omega_{L/K} \otimes_L E, M) \xleftarrow{\alpha^{**}} \text{Hom}_E(\Omega_{E/K}, M) \longleftarrow 0$$

$$\text{Der}_K(L, M) \xleftarrow{\alpha^{***}} \text{Der}_K(E, M) \longleftarrow 0$$

where  $\alpha^{**}(d) = d|_L$ . By (1.20)  $\alpha^{***}$  is surjective. Thus  $\alpha$  is injective by (1.16).

(1.23) Proposition: Let  $K \subseteq L$  be a finite field extension. If  $\Omega_{L/K} = 0$ , then  $L$  is separable over  $K$ .

Proof: Let  $K_0$  be the separable closure of  $K$  in  $L$  and suppose that  $K_0 \neq L$ .

Then there is an intermediate field  $K_0 \subseteq K_1 \subseteq L$  with  $[L:K_1] = p$  where  $\text{char } K = p > 0$ . By (1.17)  $\mathcal{D}_{L/K_1}$  is a homomorphic image of  $\mathcal{D}_{L/K}$  and  $\mathcal{D}_{L/K} = 0$  by assumption. It suffices to show that  $\mathcal{D}_{L/K_1} \neq 0$ .

Let  $\alpha \in L - K_1$ . Since  $L$  is purely inseparable over  $K_1$ ,  $\alpha^p = a \in K_1$  and  $f(x) = x^p - a$  is the minimal polynomial of  $\alpha$  over  $K_1$ . Let  $V$  be a nonzero vector space over  $L$  and  $d: K_1 \rightarrow V$  the trivial derivation, i.e.  $d = 0$ . For all  $v \in V$  the equation  $d(1)\alpha^p - d(a) + f'(\alpha)v = 0$  holds. By (1.19)  $d$  extends to a derivation  $\tilde{d}: L \rightarrow V$  with  $\tilde{d}(\alpha) = 0$ . Thus  $\tilde{d} \neq 0$  if  $v \neq 0$ . Moreover,  $0 \neq \tilde{d} \in \text{Der}_{K_1}(L, V) \cong \text{Hom}_L(\mathcal{D}_{L/K_1}, V)$  and  $\mathcal{D}_{L/K_1} \neq 0$ .

(1.24) Definition: Let  $K$  be a field and  $R$  a  $K$ -algebra.  $R$  is called separable over  $K$  if for any field extension  $K \subseteq L$  the tensor product  $R \otimes_K L$  is reduced.

(1.25) Remark: Let  $K \subseteq L$  be an algebraic field extension. Then there are possibly two different notions of separability. We will call  $L$  separable algebraic over  $K$  when referring to the concept of separability from field theory. The new definition is simply called 'separable'.

(1.26) Proposition: Let  $K$  be a field,  $R$  a  $K$ -algebra. Then:

(a) If  $R$  is separable over  $K$  then any  $K$ -subalgebra of  $R$  is separable over  $K$ .

(b)  $R$  is separable over  $K$  if and only if every finitely generated  $K$ -subalgebra of  $R$  is separable over  $K$ .

(c)  $R$  is separable over  $K$  if and only if for every finitely generated field extension  $K \subseteq L$  the tensor product  $R \otimes_K L$  is reduced.

(d) If  $R$  is separable over  $K$  and if  $K \subseteq K'$  is a field extension then  $R \otimes_K K'$  is separable over  $K'$ .

Proof: (a) Since  $K$  is a field, every  $K$ -module is flat over  $K$ . Thus

$$R_0 \otimes_K L \subseteq R \otimes_K L$$

for every  $K$ -subalgebra  $R_0$  of  $R$  and every field extension  $K \subseteq L$ .

(b) By (a) we only need to show the backward direction. Write  $R = \varinjlim_{i \in I} R_i$  where  $\{R_i\}$  is the directed set of all finitely generated  $K$ -subalgebras of  $R$ .

Let  $K \subseteq L$  be a field extension. Since the tensor product commutes with direct limits we have  $R \otimes_K L = (\varinjlim_{i \in I} R_i) \otimes_K L = \varinjlim_{i \in I} (R_i \otimes_K L)$ .

The direct limit of reduced algebras is reduced.

(c) By a similar argument as in (a). If  $K \subseteq L$  is an arbitrary field extension, write  $L$  as a direct limit of finitely generated fields over  $K$ .

(d) obvious.

(1.27) Exercise: Let  $K$  be a field,  $R$  a reduced  $K$ -algebra. Show:

(a) If  $x$  is a variable over  $K$ , then  $R \otimes_K K(x)$  is reduced.

(b) If  $K \subseteq L$  is a finite separable algebraic field extension and if  $R$  has only finitely many minimal prime ideals, then  $R \otimes_K L$  is reduced.

(c) Let  $R$  be reduced with only finitely many minimal prime ideals.

If  $K \subseteq L$  is a separable algebraic field extension, then  $R \otimes_K L$  is reduced. If  $K \subseteq L$  is a field extension which is separable in the sense of (1.24) then  $R \otimes_K L$  is reduced.

(1.28) Proposition: Let  $K \subseteq L$  be an algebraic field extension. The following are equivalent:

(a)  $K \subseteq L$  is separable algebraic.

(b)  $K \subseteq L$  is separable in the sense of Definition (1.24).

Proof: By Proposition (1.26) we may assume that  $K \subseteq L$  is finite.

(a)  $\Rightarrow$  (b): Set  $L = K(\alpha) = K[x]/(f)$  where  $f(x) = \sum_{i=0}^n a_i x^i$ ,  $a_n = 1$ , is the minimal polynomial of  $\alpha$  over  $K$ . By assumption  $f$  only has simple roots in the algebraic

closure  $\bar{K}$  of  $K$ . Let  $K \subseteq K'$  be a field extension. Then

$$L \otimes_K K' \cong K'[x]/(f).$$

Let  $f = f_1 \cdots f_r$  be a factorization of  $f$  into irreducible factors in  $K'[x]$ . Since  $f$  is separable, for all  $i, j \in \{1, \dots, r\}$  with  $i \neq j$ ,  $f_i$  and  $f_j$  are relatively prime.

By the Chinese Remainder theorem:

$$K'[x]/(f) \cong K'[x]/(f_1) \times \cdots \times K'[x]/(f_r)$$

and  $K'[x]/(f)$  is a product of fields.

(b)  $\Rightarrow$  (a): Let  $K_0$  be the separable closure of  $K$  in  $L$  and assume that  $K_0 \neq L$ .

Let  $\text{char } K = p > 0$ . Then there is an element  $\alpha \in L - K_0$  with  $\alpha^p = a \in K_0$ . By Proposition (1.26)  $L \otimes_K K_0$  is separable over  $K_0$ . Since  $L$  and  $K_0(\alpha)$  are subalgebras of  $L \otimes_K K_0$ , by (1.26)  $K_0(\alpha)$  is separable over  $K_0$ . But the ring

$$K_0(\alpha) \otimes_{K_0} K_0^{p^{-1}}$$

is not reduced. Since  $K_0(\alpha) \otimes_{K_0} K_0(\alpha) \neq K_0(\alpha)$  (as  $K_0$ -algebras), the element  $\alpha \otimes 1 - 1 \otimes \alpha$  is nonzero in  $K_0(\alpha) \otimes_{K_0} K_0^{p^{-1}}$ . But  $(\alpha \otimes 1 - 1 \otimes \alpha)^p = 0$ .

(1.29) Definition: A field extension  $K \subseteq L$  is called separably generated, if  $L$  admits a separating transcendence basis, i.e., if there is a transcendence basis  $\{w_i\}_{i \in I}$  of  $L$  over  $K$  so that  $L$  is separable algebraic over  $K(w_i)_{i \in I}$ .

(1.30) Theorem: A separably generated field extension is separable.

Proof: Let  $K \subseteq L$  be a separably generated field extension and let  $\{w_i\}_{i \in I}$  be a separating transcendence basis of  $L$  over  $K$ . Let  $K'$  be any extension field of  $K$ . The ring  $K(w_i)_{i \in I} \otimes_K K'$  is a localization of the polynomial ring  $K'[w_i]_{i \in I}$  and thus a domain. Let  $E$  be the quotient field of  $K(w_i)_{i \in I} \otimes_K K'$ . Since  $L$  is separable over  $K(w_i)$  the ring  $L \otimes_{K(w_i)} E$  is reduced. Then  $L \otimes_K K'$  is reduced since

$$L \otimes_K K' = L \otimes_{K(w_i)} (K(w_i) \otimes_K K') \subseteq L \otimes_{K(w_i)} E.$$

(1.31) Theorem: let  $K$  be a field of characteristic  $p > 0$  and let  $L$  be a finitely generated field extension. The following are equivalent:

- (a)  $L$  is separable over  $K$ .
- (b) The ring  $L \otimes_K K^{p^{-1}}$  is reduced.
- (c)  $L$  is separably generated over  $K$ .

Proof: (a)  $\Rightarrow$  (b): Definition (1.24)

(c)  $\Rightarrow$  (a): Theorem (1.30)

(b)  $\Rightarrow$  (c): Let  $L = K(x_1, \dots, x_n)$  where  $x_1, \dots, x_r, r \leq n$ , is a transcendence basis of  $L$  over  $K$ . Suppose that  $x_{r+1}, \dots, x_q$  are separable algebraic over  $K(x_1, \dots, x_r)$  while  $x_{q+1}$  fails to be separable over  $K(x_1, \dots, x_r)$ . Put  $y = x_{q+1}$  and let  $f \in K[x_1, \dots, x_r][y]$  be the minimal polynomial of  $y$  over  $K(x_1, \dots, x_r)$ .

Since  $y$  is inseparable over  $K(x_1, \dots, x_r)$ , there is a monic polynomial  $g \in K(x_1, \dots, x_r)[y]$  with  $f(y) = g(y^p)$ . After clearing denominators there is an irreducible polynomial  $G(x_1, \dots, x_r, y^p) \in K[x_1, \dots, x_r, y]$  with  $G(x_1, \dots, x_r, y^p) = 0$ .

1. case:  $\partial G / \partial x_i = 0$  for all  $1 \leq i \leq r$ .

In this case  $G$  is a polynomial in  $x_1^p, \dots, x_r^p, y^p$  and there is a polynomial  $H(x_1, \dots, x_r, y) \in K^{p^{-1}}[x_1, \dots, x_r, y]$  with  $G(x_1, \dots, x_r, y^p) = H(x_1, \dots, x_r, y)^p$ . Since  $G$  is irreducible,  $K(x_1, \dots, x_r, y) = K(x_1, \dots, x_r)[y] = Q(K[x_1, \dots, x_r, y]/G(x_1, \dots, x_r, y^p))$ . This implies:

$$\begin{aligned} K(x_1, \dots, x_r, y) \otimes_K K^{p^{-1}} &= Q(K[x_1, \dots, x_r, y]/G(x_1, \dots, x_r, y^p)) \otimes_K K^{p^{-1}} \\ &\cong K^{p^{-1}}(x_1, \dots, x_r)[y]/G(x_1, \dots, x_r, y^p) \\ &= K^{p^{-1}}(x_1, \dots, x_r)[y]/(H^p). \end{aligned}$$

Since  $K(x_1, \dots, x_r, y) \otimes_K K^{p^{-1}} \subseteq L \otimes_K K^{p^{-1}}$ , this contradicts assumption (b).

2. case:  $\partial G / \partial x_i \neq 0$  for some  $1 \leq i \leq r$ .

Assume that  $\partial G / \partial x_i \neq 0$ . Then  $x_1$  is separable over  $K(x_2, \dots, x_r, y)$  and we replace the transcendence basis  $x_1, \dots, x_r$  by  $x_2, \dots, x_r, y$ . The extension  $K \subseteq K(x_2, \dots, x_r, y, x_1, x_{r+1}, \dots, x_q)$  is separably generated and we proceed by induction.

(1.32) Remark: If  $K \subseteq L$  is an infinitely generated field extension, then  $K \subseteq L$  may be separable, but not separably generated. For example, if  $K$  is a field and  $x$  a variable over  $K$ ,  $\text{char } K = p > 0$ , then  $K(x) \subseteq K((x))$  is not separably generated.

Let  $K$  be a field of characteristic  $p > 0$ . Define the following subfield of the algebraic closure  $\bar{K}$  of  $K$ :

$$K^{p^{-\infty}} := \bigcup_{n \in \mathbb{N}} K^{p^{-n}}$$

(1.33) Corollary: Let  $K$  be a field of characteristic  $p > 0$ ,  $L$  a finitely generated extension field of  $K$ . The following are equivalent:

(a)  $L \otimes_K K^{p^{-1}}$  is reduced.

(b)  $L \otimes_K K^{p^{-\infty}}$  is reduced.

Proof: (a)  $\Rightarrow$  (b): By Theorem (1.31)  $L$  is separable over  $K$ .

(b)  $\Rightarrow$  (a):  $L \otimes_K K^{p^{-1}} \subseteq L \otimes_K K^{p^{-\infty}}$ .

(1.34) Proposition: Let  $K \subseteq L$  be a finitely generated field extension. There is a finite purely inseparable field extension  $K \subseteq K'$  such that  $K' \subseteq L(K')$  is separable.

Proof: If  $\text{char } K = 0$ , there is nothing to show. If  $\text{char } K = p > 0$ , put  $E = K^{p^{-\infty}}$ .

Claim: The ring  $D = E \otimes_K L$  is local Noetherian of dimension 0.

Pf of cl:  $E \otimes_K L$  is the localization of a finitely generated  $E$ -algebra. Thus  $D$  is Noetherian. Let  $\sum_{i=1}^n a_i \otimes b_i \in E \otimes_K L$ . By construction of  $E$  there is an integer  $q = p^e$  for some  $e \in \mathbb{N}$  with  $a_i^q \in K$  for all  $1 \leq i \leq n$ . Thus

$$\left(\sum_{i=1}^n a_i \otimes b_i\right)^q = \sum_{i=1}^n a_i^q \otimes b_i^q = 1 \otimes \left(\sum_{i=1}^n a_i b_i\right)^q.$$

Hence either  $1 \otimes \left(\sum a_i b_i\right)^q = 0$  or  $1 \otimes \left(\sum a_i b_i\right)^q$  a unit. Thus every element of  $D$  outside the nilradical is invertible and the nilradical is the maximal ideal of  $D$ .

$D$  is a local Noetherian ring of dimension 0.

Consider a finite system of generators of the nilradical of  $D$ :

$$x_j = \sum_{i=1}^m a_{ij} \otimes b_{ij} \quad \text{for } 1 \leq j \leq n.$$

Let  $K' = K(a_{ij})_{1 \leq i \leq m, 1 \leq j \leq n}$ .  $K'$  is a finite purely inseparable extension of  $K$  with  $K'^{p^{-\infty}} = K^{p^{-\infty}} = E$ . Thus  $E \otimes_{K'} L(K')$  is also a local Noetherian ring of dimension 0. There is a surjective homomorphism of rings

$$\psi: E \otimes_K L \longrightarrow E \otimes_{K'} L(K')$$

and  $\text{nil}(E \otimes_K L) \subseteq \ker(\psi)$ . Thus  $E \otimes_{K'} L(K')$  is a field and by (1.31)  $L(K')$  is separable over  $K'$ .

(1.35) Corollary: Let  $K$  be a field, and  $R$  a  $K$ -algebra with only finitely many minimal prime ideals. The following are equivalent:

(a)  $R$  is separable over  $K$ .

(b) For every finite purely inseparable field extension  $K'$  of  $K$  the ring  $R \otimes_K K'$  is reduced.

Proof: (b)  $\Rightarrow$  (a): By (1.26) it suffices to show that  $R \otimes_K L$  is reduced for every finitely generated field extension  $K \subseteq L$ . By (1.34) there is a finite purely inseparable field extension  $K \subseteq K'$  with  $L(K')$  separable over  $K'$ . By assumption (a)  $R \otimes_K K'$  is reduced. Since  $K'$  is finite over  $K$ ,  $R \otimes_K K'$  only has finitely many minimal prime ideals. By exercise (1.27)  $(R \otimes_K K') \otimes_{K'} L(K') \cong R \otimes_K L(K')$  is reduced and thus  $R \otimes_K L$  reduced since  $R \otimes_K L \subseteq R \otimes_K L(K')$ .



§3: p-BASES

Let  $K \subseteq L$  be a field extension,  $\text{char } K = p > 0$ .

(1.36) Definition: (a)  $x_1, \dots, x_n \in L$  are called p-independent if  $[L^p(K, x_1, \dots, x_n) : L^p(K)] = p^n$ , or equivalently, if  $\{x_1^{\alpha_1} \dots x_n^{\alpha_n} \mid 0 \leq \alpha_i < p\}$  is linearly independent over  $L^p(K)$ .

(b) A subset  $B \subseteq L$  is called p-independent if every finite subset of  $B$  is p-independent.

(c) A subset  $B \subseteq L$  is a p-basis of  $L$  over  $K$  if  $B$  is p-independent and  $L = L^p(K, B)$ .

(1.37) Lemma: Let  $C \subseteq L$  be a p-independent subset. Then  $C$  can be extended to a p-basis of  $L$  over  $K$ .

Proof: Zorn's Lemma yields the existence of a maximal p-independent subset  $B_0 \subseteq L$  with  $C \subseteq B_0$ . If  $L \neq L^p(K, B_0)$  then  $L^p(K, B_0) \subseteq L$  is a proper purely inseparable field extension. Let  $\alpha \in L - L^p(K, B_0)$ , then  $y^p - \alpha^p \in L^p(K, B_0)[y]$  is the minimal polynomial of  $\alpha$  over  $L^p(K, B_0)$ . By the maximality of the set  $B_0$  there are elements  $x_1, \dots, x_n \in B_0$  such that the set  $\{\alpha, x_1, \dots, x_n\}$  is p-dependent over  $K$ . Hence there are elements  $q_i \in L^p(K, B_0)$  so that  $\sum_{i=0}^{p-1} q_i \alpha^i = 0$ , where not all of the  $q_i$  are zero. Thus the minimal polynomial of  $\alpha$  over  $L^p(K, B_0)$  has degree  $t \leq p-1$ , a contradiction.

(1.38) Remark: Let  $B$  be a p-basis of  $L$  over  $K$ . Then the set

$$\Gamma_B = \{x_1^{\alpha_1} \dots x_n^{\alpha_n} \mid 0 \leq \alpha_i < p; n \in \mathbb{N}; x_1, \dots, x_n \in B\}$$

is a basis of the  $L^p(K)$ -vector space  $L$ .

(1.39) Lemma: Let  $B$  be a p-basis of  $L$  over  $K$  and let  $d: B \rightarrow L$  be a map.  $d$  extends uniquely to a  $K$ -derivation  $D \in \text{Der}_K(L) = \text{Der}_K(L, L)$ .



Proof:  $\mathcal{P}_B = \{x_1^{\alpha_1} \dots x_n^{\alpha_n} \mid 0 \leq \alpha_i < p; n \in \mathbb{N}; x_1, \dots, x_n \in B\}$  is a basis of the  $L^p(K)$ -vector space  $L$ . Define an  $L^p(K)$ -linear map  $D: L \rightarrow L$  by:

$$D(x_1^{\alpha_1} \dots x_n^{\alpha_n}) = \sum_{i=1}^n \alpha_i x_1^{\alpha_1} \dots x_i^{\alpha_i-1} \dots x_n^{\alpha_n} d(x_i).$$

$D$  is a  $K$ -derivation which extends  $d$ . Uniqueness is obvious.

(1.40) Remark: It is known from Algebra that if  $K \subset L$  is a finite extension then  $L$  is separable over  $K$  if and only if  $L = L^p(K)$ . In this case any  $p$ -basis of  $L$  over  $K$  is empty.

(1.41) Remark: Note that lemma (1.37) implies that the extension  $K \subset L$  admits a  $p$ -basis.

(1.42) Theorem: Let  $B \subset L$  be a subset. The following are equivalent:

(a)  $B$  is a  $p$ -basis of  $L$  over  $K$ .

(b)  $\{\delta(x) \mid x \in B\}$  is a basis of the  $L$ -vector space  $\Omega_{L/K}$ , where  $\delta: L \rightarrow \Omega_{L/K}$  is the universal  $K$ -derivation.

Proof: First note that  $\Omega_{L/K} = \Omega_{L/L^p(K)}$ .

(a)  $\Rightarrow$  (b): If  $B$  is a  $p$ -basis of  $L$  over  $K$ , then  $\{\delta(x) \mid x \in B\}$  generates the  $L$ -vector space  $\Omega_{L/K}$ . Let  $x_i \in B, a_i \in L$  with  $\sum_{i=1}^n a_i \delta(x_i) = 0$  and  $x_i \neq x_j$  for  $i \neq j$ . If  $a_i \neq 0$  for some  $1 \leq i \leq n$ , then there are elements  $l_i \in L$  so that  $\sum_{i=1}^n a_i l_i \neq 0$ . By (1.39) there is a  $K$ -derivation  $D: L \rightarrow L$  with  $D(x_i) = l_i$ . Thus there is an  $L$ -linear map  $\varphi: \Omega_{L/K} \rightarrow L$  so that

$$\begin{array}{ccc} L & \xrightarrow{D} & L \\ \delta \downarrow & \nearrow \varphi & \\ \Omega_{L/K} & & \end{array}$$

commutes, i.e.  $D = \varphi \delta$ . Thus

$$\varphi(\sum a_i \delta(x_i)) = \sum a_i \varphi(\delta(x_i)) = \sum a_i D(x_i) = \sum a_i l_i \neq 0, \text{ a contradiction.}$$

(b)  $\Rightarrow$  (c): Suppose that  $B$  is not a  $p$ -basis of  $L$  over  $K$ , and suppose first

that  $B$  is  $p$ -independent. Then there are  $x_1, \dots, x_n \in B$  so that

$$\sum_{(\alpha)} b_{(\alpha)} x_1^{\alpha_1} \cdots x_n^{\alpha_n} = 0$$

where  $(\alpha) = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$  with  $0 \leq \alpha_i < p$ ,  $b_{(\alpha)} \in L^p(K)$ , and not all  $b_{(\alpha)} = 0$ . We may suppose that  $x_2, \dots, x_n$  are  $p$ -independent. Then there is an  $(\alpha) = (\alpha_1, \dots, \alpha_n)$  with  $\alpha_1 \neq 0$  and  $b_{(\alpha)} \neq 0$ . Hence:

(i) The minimal polynomial of  $x_1$  over  $L^p(K, x_2, \dots, x_n)$  has degree  $t \leq p-1$ .

(ii) Since  $L$  is purely inseparable over  $L^p(K)$ ,  $x_1$  is purely inseparable over  $L^p(K, x_2, \dots, x_n)$ .

Thus  $x_1 \in L^p(K, x_2, \dots, x_n)$ . Let  $f \in L^p(K)[t_2, \dots, t_n]$  with  $f(x_2, \dots, x_n) = x_1$ .

Then 
$$\delta(x_1) = \sum_{i=2}^n \left( \partial f / \partial t_i \right) (x_2, \dots, x_n) \delta(x_i),$$

a contradiction, since  $\delta(x_1), \dots, \delta(x_n)$  are linearly independent. Thus  $B$  is  $p$ -independent. If  $B$  is not a  $p$ -basis by (1.37)  $B$  can be extended to a  $p$ -basis  $B'$  of  $L$  over  $K$ . By (a)  $\Rightarrow$  (b), the elements  $\{\delta(y) \mid y \in B'\}$  form a basis of  $\Omega_{L/K}$ . Thus  $B = B'$  and  $B$  is a  $p$ -basis.

(1.43) Definition: Consider the following diagram of field extensions:

$$\begin{array}{ccc} K & \longrightarrow & L \\ \downarrow & & \downarrow \\ L' & \longrightarrow & E \end{array}$$

$L$  and  $L'$  are called linearly disjoint over  $K$  if the natural map:

$L \otimes_K L' \longrightarrow L[L'] \subseteq E$  is an isomorphism. Here  $L[L']$  denotes the subring of  $E$  which is generated by  $L$  and  $L'$ .

(1.44) Theorem: Let  $K \subseteq L, L' \subseteq E$  be field extension as in (1.43). The following conditions are equivalent:

(a)  $L$  and  $L'$  are linearly disjoint over  $K$ .

(b) If  $\alpha_1, \dots, \alpha_n \in L$  are linearly independent over  $K$ , then  $\alpha_1, \dots, \alpha_n$  are linearly

independent over  $L'$ .

(c) If  $\beta_1, \dots, \beta_n \in L'$  are linearly independent over  $K$ , then  $\beta_1, \dots, \beta_n$  are linearly independent over  $L$ .

Proof: It suffices to show (a)  $\Leftrightarrow$  (b).

(a)  $\Rightarrow$  (b): Let  $\alpha_1, \dots, \alpha_n \in L$  be linearly independent over  $K$ , and let  $\gamma_i \in L'$  with  $\sum_{i=1}^n \gamma_i \alpha_i = 0$ . Since  $\sum \alpha_i \otimes \gamma_i \in L \otimes_K L'$  is mapped to  $\sum \alpha_i \gamma_i = 0$  in  $L[L']$ , it follows that  $\sum \alpha_i \otimes \gamma_i = 0$ . If  $\{e_j\}_{j \in J}$  and  $\{f_j\}_{j \in J'}$  are bases of  $L$  and  $L'$  over  $K$ , then  $\{e_j \otimes f_j\}$  is a basis of  $L \otimes_K L'$  over  $K$ . This implies that  $\gamma_i = 0$  for all  $1 \leq i \leq n$ .

(b)  $\Rightarrow$  (a): Suppose that  $\sum_{i=1}^n x_i \otimes y_i \mapsto \sum_{i=1}^n x_i y_i = 0$  under the natural map  $L \otimes_K L' \rightarrow L[L']$ . Assume that  $x_1, \dots, x_r$  are linearly independent over  $K$ , while  $x_{r+1}, \dots, x_n$  can be expressed as linear combinations of  $x_1, \dots, x_r$ . Then  $\sum x_i \otimes y_i = \sum_{i=1}^r x_i \otimes z_i$  for some  $z_i \in L'$  and  $\sum_{i=1}^r x_i z_i = 0$ . By assumption (b)  $z_i = 0$  for all  $1 \leq i \leq r$  and the morphism  $L \otimes_K L' \rightarrow L[L']$  is injective. Surjectivity is trivial.

(1.45) Theorem: Let  $K \subseteq L$  be an extension of fields with  $\text{char } K = p > 0$ . Then:

(a) If  $L$  is separable over  $K$ , then  $L$  and  $K^{p^{-\infty}}$  are linearly disjoint over  $K$ .

(b) If for some  $n \in \mathbb{N}$   $L$  and  $K^{p^{-n}}$  are linearly disjoint over  $K$ , then  $L$  is separable over  $K$ .

Proof: (a) Let  $\alpha_1, \dots, \alpha_n \in L$  be linearly independent over  $K$  and let  $\gamma_1, \dots, \gamma_n \in K^{p^{-\infty}}$  with  $\sum_{i=1}^n \gamma_i \alpha_i = 0$ . With  $K_1 = K(\gamma_1, \dots, \gamma_n)$ ,  $[K_1 : K] < \infty$  and there is an  $m \in \mathbb{N}$  with  $K_1^{p^m} \subseteq K$ . Since  $L$  is separable over  $K$ , the ring  $A = L \otimes_K K_1$  is reduced. Moreover,  $A$  is a finite  $L$ -module and an Artinian ring.

Consider the morphism of rings:  $A = L \otimes_K K_1 \xrightarrow{\sigma} L$   
 $x \otimes y \longmapsto (xy)^{p^m}$ .

Let  $P = \ker(\sigma)$ .

Claim:  $P$  is the only prime ideal of  $A$ .

Pf of Cl: Since  $L$  is a field,  $P$  is prime. If  $f = \sum x_i \otimes y_i \notin P$ , then  $(\sum x_i y_i)^{p^m} \neq 0$  and  $f^{p^m} = (\sum x_i y_i)^{p^m} \otimes 1$  is a unit of  $A$ . Hence  $f$  is a unit.

Since  $A$  is a reduced local Artinian ring,  $A$  is a field and thus isomorphic to the subfield  $L[K, i] \subseteq \bar{L}$ , where  $\bar{L}$  is the algebraic closure of  $L$ . In particular,  $L$  and  $K$ , are linearly disjoint over  $K$ .

By assumption  $\sum y_i \alpha_i = 0$  with  $\alpha_1, \dots, \alpha_n \in L$  linearly independent over  $K$ . By (1.44)  $\alpha_1, \dots, \alpha_n$  are also linearly independent over  $K$ , and hence  $y_i = 0$  for all  $1 \leq i \leq n$ . Thus  $L$  and  $K^{p^{-n}}$  are linearly disjoint.

(b) Suppose that for some  $n \in \mathbb{N}$ ,  $L$  and  $K^{p^{-n}}$  are linearly disjoint. Then  $L$  and  $K^{p^{-1}} \subseteq K^{p^{-n}}$  are linearly disjoint and  $L \otimes_K K^{p^{-1}} \cong L[K^{p^{-1}}] = L(K^{p^{-1}}) \subseteq \bar{L}$ .

In particular,  $L[K^{p^{-1}}]$  and  $L \otimes_K K^{p^{-1}}$  are fields. By (1.26) it suffices to show that every finitely generated  $K$ -subalgebra  $A$  of  $L$  is separable over  $K$ .

If  $Q(A)$  denotes the field of quotients of  $A$ , then  $Q(A) \otimes_K K^{p^{-1}}$  is reduced as subalgebra of  $L \otimes_K K^{p^{-1}}$ . By (1.31)  $Q(A)$  is separable over  $K$ . Apply (1.26) again to conclude that  $A$  is separable over  $K$ .

(1.46) Proposition: Let  $K \subseteq L \subseteq E$  be field extensions with  $\text{char } K = p > 0$ . Let  $L \subseteq E$  be separable. Then

(a) If  $K \subseteq L$  is separable then  $K \subseteq E$  is separable.

(b) If  $K$  is perfect (i.e.  $K = K^p$ ) then any  $p$ -basis of  $L$  over  $K$  can be extended to a  $p$ -basis of  $E$  over  $K$ .

Proof: (a) Let  $K \subseteq K'$  be a finitely generated field extension. By (1.26) it suffices to show that  $E \otimes_K K'$  is reduced. Note that  $E \otimes_K K' = E \otimes_L (L \otimes_K K')$  and  $T$  is a localization of a finitely generated  $L$ -algebra. Since  $K \subseteq L$  separable,  $T$  is reduced. Thus the total ring of quotients  $Q(T)$  of  $T$  is a product of

finitely many fields:  $Q(T) = \prod_{i=1}^r L_i$ , where each field  $L_i$  is finitely generated over  $L$ .

Thus  $E \otimes_L T \subseteq E \otimes_L Q(T) \cong \prod_{i=1}^r E \otimes_L L_i$ . Since  $E$  is separable over  $L$ , each  $E \otimes_L L_i$  is reduced. Hence  $E \otimes_L T$  is reduced and  $E$  is separable over  $K$ .

(b) Let  $B$  be a  $p$ -basis of  $L$  over  $K$ . By Lemma (1.37) it is enough to show that  $B$  is  $p$ -independent over  $K$  as a subset of  $E$ . Suppose that  $\alpha_1, \dots, \alpha_n \in L$  are linearly independent over  $L^p = L^p(K)$ . Let  $\beta_i^p \in E^p = E^p(K)$ ,  $\beta_i \in E$ , with  $\sum_{i=1}^n \beta_i^p \alpha_i = 0$ . Since  $E$  is separable over  $L$ , by (1.45) the field  $E$  and  $L^{p^{-1}}$  are linearly disjoint over  $L$ . Moreover,  $\alpha_1^{p^{-1}}, \dots, \alpha_n^{p^{-1}} \in L^{p^{-1}}$  are linearly independent over  $L$ . By (1.44)  $\alpha_1^{p^{-1}}, \dots, \alpha_n^{p^{-1}}$  are linearly independent over  $E$ . The equation  $\sum_{i=1}^n \beta_i^p \alpha_i = 0$  implies that  $\sum_{i=1}^n \beta_i \alpha_i^{p^{-1}} = 0$  and hence  $\beta_i = 0$  for all  $1 \leq i \leq n$ .

(1.47) Theorem: Let  $K \subseteq L$  be a field extension. The following are equivalent:

(a)  $L$  is separable over  $K$ .

(b) The canonical map  $\alpha: \Omega_K \otimes_K L \rightarrow \Omega_L$  is injective.

( $\Omega_K$  denotes the module of differentials  $\Omega_{K/P}$ , where  $P$  is the prime field of  $K$ ).

Proof: (a)  $\Rightarrow$  (b): Case 1:  $P = \mathbb{Q}$ .

Let  $\Gamma$  be a transcendence basis of  $K$  over  $P$ . By (1.22) the set  $\{\delta(x) \mid x \in P^{\Gamma}\}$  is a basis of the  $K$ -vector space  $\Omega_K$ . Since  $\Gamma$  extends to a transcendence basis of  $L$  over  $P$ ,  $\alpha$  is injective.

Case 2:  $\text{char } K = p > 0$

By (1.46) a  $p$ -basis of  $K$  over  $P$  extends to a  $p$ -basis of  $L$  over  $P$ . Thus  $\alpha$  is injective.

(b)  $\Rightarrow$  (a): We may assume that  $\text{char } K = p > 0$ . Let  $C$  be a  $p$ -basis of  $K$  over  $P$ . Since  $\alpha$  is injective,  $C$  extends to a  $p$ -basis  $B$  of  $L$  over  $P$ . By (1.45) it suffices to show that  $L$  and  $K^{p^{-1}}$  are linearly disjoint over  $K$ . Consider the sets:  $C' = \{x^{p^{-1}} \mid x \in C\} \subseteq B' = \{y^{p^{-1}} \mid y \in B\}$ . Obviously,  $C'$  is a  $p$ -basis of  $K^{p^{-1}}$  over  $P$  and  $B'$  is a  $p$ -basis of  $L^{p^{-1}}$  over  $P$ . Then  $\Gamma_{C'} = \{(x_1^{x_1} \dots x_n^{x_n})^{p^{-1}} \mid n \in \mathbb{N}, x_i \in C', 0 \leq i < p\}$  is a basis

of the  $K$ -vector space  $K^{p^{-1}}$ . Define  $\Gamma_B$  accordingly and set  $\Gamma_C = \{y_j\}_{j \in J}$ . In particular,  $\Gamma_C \in \Gamma_B$  and  $\Gamma_C$  is linearly independent over  $L$ .

Let  $\{\alpha_i\}_{i \in I}$  be a basis of  $L$  over  $K$ . Then  $\{\alpha_i \otimes y_j\}$  is a basis of the  $K$ -vector space  $L \otimes_K K^{p^{-1}}$ . Let  $\varphi: L \otimes_K K^{p^{-1}} \rightarrow L[K^{p^{-1}}]$  be the natural map. If  $x = \sum \lambda_{ij} (\alpha_i \otimes y_j) = \sum_{j=1}^m (\sum_{i=1}^n \lambda_{ij} \alpha_i) \otimes y_j \in L \otimes_K K^{p^{-1}}$ ,  $\lambda_{ij} \in K$ , with  $\varphi(x) = 0$ . Then  $\varphi(x) = \sum_{j=1}^m (\sum_{i=1}^n \lambda_{ij} \alpha_i) y_j = 0$  and  $\sum_{i=1}^n \lambda_{ij} \alpha_i = 0$  for all  $1 \leq j \leq m$ , since  $y_1, \dots, y_m$  linearly independent over  $L$ . Since  $\alpha_1, \dots, \alpha_n$  are linearly independent over  $K$ ,  $\lambda_{ij} = 0$  for all  $1 \leq i \leq n, 1 \leq j \leq m$ . Thus  $x = 0$  and  $\varphi$  is injective.

(1.48) Theorem: Let  $K \subseteq L$  be a separable field extension with  $\text{char}(K) = p > 0$ . If

$B$  is a  $p$ -basis of  $L$  over  $K$  then:

(a)  $B$  is algebraically independent over  $K$ .

(b) The extension  $K(B) \subseteq L$  is separable.

Proof: (a) Let  $\beta_1, \dots, \beta_n \in B$  be algebraically dependent over  $K$  and let  $f \in K[x_1, \dots, x_n]$ ,  $f \neq 0$ , with  $f(\beta_1, \dots, \beta_n) = 0$ . We may assume that  $f$  has minimal total degree among all nonzero polynomials  $g \in K[x_1, \dots, x_n]$  with  $g(\beta_1, \dots, \beta_n) = 0$ . We may write

$$f(x_1, \dots, x_n) = \sum_{0 \leq i_1, \dots, i_n < p} h_{(i)}(x_1^p, \dots, x_n^p) x_1^{i_1} \dots x_n^{i_n}$$

Since  $\beta_1, \dots, \beta_n$  are  $p$ -independent over  $K$ , for all  $(i)$   $h_{(i)}(\beta_1^p, \dots, \beta_n^p) = 0$ . Thus

$f = h_{(i)}(x_1^p, \dots, x_n^p) \in K[x_1^p, \dots, x_n^p]$  for some  $(i)$ . There is a polynomial  $g \in K^{p^{-1}}[x_1, \dots, x_n]$

with  $f(x_1, \dots, x_n) = g(x_1, \dots, x_n)^p$ . If the total degree of  $f$  is  $d$ , then  $g$  is the sum

of monomials  $a_{j_1, \dots, j_n} x_1^{j_1} \dots x_n^{j_n}$  of total degree  $< d$ . By the minimality of the total

degree of  $f$ , the elements  $\beta_1^{j_1} \dots \beta_n^{j_n}$  with  $j_1 + \dots + j_n < d$  are linearly independent over  $K$ .

Since  $L$  is separable over  $K$ ,  $L$  and  $K^{p^{-1}}$  are linearly disjoint over  $K$  and thus

the set  $\{\beta_1^{j_1} \dots \beta_n^{j_n} \mid j_1 + \dots + j_n < d\}$  is linearly independent over  $K^{p^{-1}}$  and therefore

$g(\beta_1, \dots, \beta_n) \neq 0$ , a contradiction.

(b) By Theorem (1.47) it suffices to show that the natural morphisms:

$g: \Omega_{K(B)} \otimes_{K(B)} L \rightarrow \Omega_L$  is injective. Consider the commutative diagram with exact rows:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \Omega_K \otimes_K L & \longrightarrow & \Omega_L & \longrightarrow & \Omega_{L/K} \longrightarrow 0 \\
 & & \text{id} \uparrow & & g \uparrow & & \nu \uparrow \\
 & & \Omega_K \otimes_K L & \longrightarrow & \Omega_{K(B)} \otimes_{K(B)} L & \longrightarrow & \Omega_{K(B)/K} \otimes_{K(B)} L \longrightarrow 0
 \end{array}$$

It suffices to show that  $\nu$  is injective. Since  $K(B) = K(B)^p(K, B)$  and  $B$   $p$ -independent over  $K$ ,  $B$  is also a  $p$ -basis of  $K(B)$  over  $K$ . Thus  $\nu$  is an isomorphism and  $g$  is injective.