

CHAPTER VII: HOMOLOGICAL ALGEBRA II

§1: COMPLEXES

(7.1) Definition: A complex of A -modules (C, ∂) is a sequence of A -modules and A -linear maps:

$$C: \dots \rightarrow C_{i+1} \xrightarrow{\partial_{i+1}} C_i \xrightarrow{\partial_i} C_{i-1} \rightarrow \dots$$

so that $\partial_i \partial_{i+1} = 0$ for all $i \in \mathbb{Z}$. ∂ is called the differential of the complex. The homology of the complex (C, ∂) is the sequence of A -modules $H_i(C) = \ker \partial_i / \operatorname{im} \partial_{i+1}$. The cycles $Z_i(C)$ and boundaries $B_i(C)$ are the sequences of A -modules $Z_i(C) = \ker \partial_i$ and $B_i(C) = \operatorname{im} \partial_{i+1}$.

(7.2) Remark: (a) (C, ∂) is exact if and only if $H_i(C) = 0$ for all $i \in \mathbb{Z}$.

(b) In order to avoid negative indices one often writes $(C^\bullet, \partial^\bullet)$ for:

$$C^\bullet: \dots \rightarrow C^{i-1} = C_{-i+1} \xrightarrow{\partial^{i-1}} C^i = C_{-i} \xrightarrow{\partial^i} C^{i+1} = C_{-i-1} \rightarrow \dots$$

and $H^i(C^\bullet)$ for the sequence $H^i(C^\bullet) = H_{-i}(C)$. $H^i(C^\bullet)$ is called the cohomology of $(C^\bullet, \partial^\bullet)$.

(7.3) Definition: (a) A morphism of complexes $u: C \rightarrow C'$ is a sequence of A -linear maps

$u_i: C_i \rightarrow C'_i$ so that $u_i \partial_{i+1} = \partial'_{i+1} u_{i+1}$ for all $i \in \mathbb{Z}$, that is, for all $i \in \mathbb{Z}$ the

diagram:

$$\begin{array}{ccc} C_{i+1} & \xrightarrow{\partial_{i+1}} & C_i \\ u_{i+1} \downarrow & & \downarrow u_i \\ C'_{i+1} & \xrightarrow{\partial'_{i+1}} & C'_i \end{array} \quad \text{commutes.}$$

(b) A sequence of morphisms of complexes $0 \rightarrow C' \xrightarrow{u} C \xrightarrow{v} C'' \rightarrow 0$ is exact if $0 \rightarrow C'_i \xrightarrow{u_i} C_i \xrightarrow{v_i} C''_i \rightarrow 0$ is exact for all $i \in \mathbb{Z}$.

(c) The direct sum $C \oplus C'$ of two complexes (C, ∂) and (C', ∂') is the complex with $(C \oplus C')_i = C_i \oplus C'_i$ and $\partial_i^{C \oplus C'} = \partial_i \oplus \partial'_i$.

(7.4) Remark: Let $u: C \rightarrow C'$ be a morphism of complexes, then for all $i \in \mathbb{Z}$

$u_i(Z_i(C)) \subseteq Z_i(C')$ and $u_i(B_i(C)) \subseteq B_i(C')$. Thus u induces a sequence of A -linear maps $H_i(u): H_i(C) \rightarrow H_i(C')$ given by $H_i(u)(z + B_i) = u_i(z) + B'_i$, where

$z \in Z_i(C), B_i = B_i(C)$ and $B'_i = B_i(C')$.

(7.5) Theorem: (Snake Lemma) Let

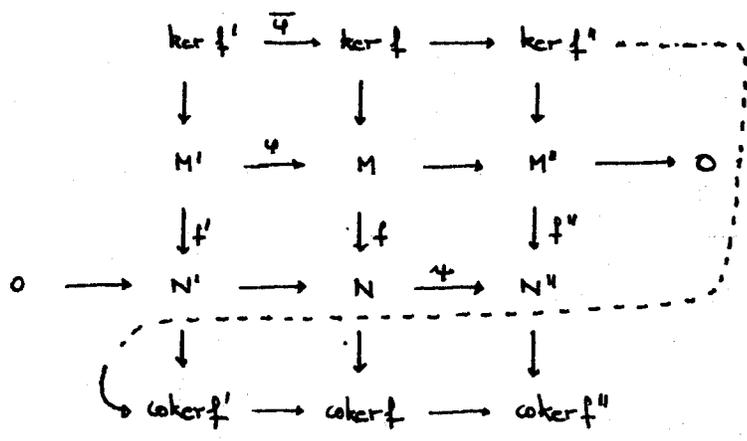
$$\begin{array}{ccccccc}
 M' & \xrightarrow{\varphi} & M & \longrightarrow & M'' & \longrightarrow & 0 \\
 \downarrow f' & \supset & \downarrow f & \supset & \downarrow f'' & & \\
 0 & \longrightarrow & N' & \longrightarrow & N & \longrightarrow & N''
 \end{array}$$

be a commutative diagram with exact rows. Then there is a long exact sequence of induced maps:

$$\ker f' \xrightarrow{\bar{\varphi}} \ker f \longrightarrow \ker f'' \longrightarrow \operatorname{coker} f' \longrightarrow \operatorname{coker} f \xrightarrow{\bar{\varphi}} \operatorname{coker} f''$$

Moreover, if φ is injective then so is $\bar{\varphi}$, if φ is surjective so is $\bar{\varphi}$.

Proof: Diagram chasing:



(7.6) Proposition: Let $0 \rightarrow C' \xrightarrow{u} C \xrightarrow{v} C'' \rightarrow 0$ be an exact sequence of complexes.

(a) For every i there is an A -linear map (called connecting homomorphism)

$$\begin{aligned}
 \Delta_i: H_i(C'') &\longrightarrow H_{i-1}(C') \quad \text{given by} \\
 z'' + B_i'' &\longmapsto u_{i-1}^{-1} \partial_i v_{i-1}^{-1}(z'') + B_{i-1}'
 \end{aligned}$$

(b) There is an exact sequence of A -modules (called long exact sequence of homology):

$$\dots \rightarrow H_i(C') \xrightarrow{H_i(u)} H_i(C) \xrightarrow{H_i(v)} H_i(C'') \xrightarrow{\Delta_i} H_{i-1}(C') \xrightarrow{H_{i-1}(u)} H_{i-1}(C) \rightarrow \dots$$

(c) (Naturality of Δ .) Let $0 \rightarrow C' \xrightarrow{u} C \xrightarrow{v} C'' \rightarrow 0$

$$\begin{array}{ccc}
 f' \downarrow & \supset & f \downarrow & \supset & f'' \downarrow
 \end{array}$$

$$0 \rightarrow D' \rightarrow D \rightarrow D'' \rightarrow 0$$

be a commutative diagram of morphisms of complexes with exact rows. Then the diagram:

$$\begin{array}{ccccccccc}
 \dots & \longrightarrow & H_i(C_i') & \longrightarrow & H_i(C_i) & \longrightarrow & H_i(C_i'') & \xrightarrow{\Delta_i} & H_{i-1}(C_i') & \longrightarrow & H_{i-1}(C_i) & \longrightarrow & \dots \\
 & & \downarrow H_i(f_i') & \curvearrowright & \downarrow H_i(f_i) & \curvearrowright & \downarrow H_i(f_i'') & \curvearrowright & \downarrow H_{i-1}(f_i') & \curvearrowright & \downarrow H_{i-1}(f_i) & & \\
 \dots & \longrightarrow & H_i(D_i') & \longrightarrow & H_i(D_i) & \longrightarrow & H_i(D_i'') & \xrightarrow{\Delta_i} & H_{i-1}(D_i') & \longrightarrow & H_{i-1}(D_i) & \longrightarrow & \dots
 \end{array}$$

commutes and has exact rows.

Proof: By the Snake Lemma (7.5) the commutative diagram

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & C_{i+1}' & \longrightarrow & C_{i+1} & \longrightarrow & C_{i+1}'' & \longrightarrow & 0 \\
 & & \downarrow \partial_{i+1}' & \curvearrowright & \downarrow \partial_{i+1} & \curvearrowright & \downarrow \partial_{i+1}'' & & \\
 0 & \longrightarrow & C_i' & \longrightarrow & C_i & \longrightarrow & C_i'' & \longrightarrow & 0
 \end{array}$$

with exact rows induces an exact sequence:

$$C_i'/B_i' \xrightarrow{\bar{u}_i} C_i/B_i \xrightarrow{\bar{v}_i} C_i''/B_i'' \longrightarrow 0$$

where B_i', B_i, B_i'' denote boundaries. Likewise, again by the Snake Lemma, there is an induced exact sequence of cycles: $0 \longrightarrow Z_{i-1}' \xrightarrow{\bar{u}_{i-1}} Z_{i-1} \xrightarrow{\bar{v}_{i-1}} Z_{i-1}'' \longrightarrow 0$.

The differentials $\partial_i', \partial_i, \partial_i''$ induce a commutative diagram with exact rows:

$$\begin{array}{ccccccccc}
 C_i'/B_i' & \xrightarrow{\bar{u}_i} & C_i/B_i & \xrightarrow{\bar{v}_i} & C_i''/B_i'' & \longrightarrow & 0 \\
 \downarrow \bar{\partial}_i' & \curvearrowright & \downarrow \bar{\partial}_i & \curvearrowright & \downarrow \bar{\partial}_i'' & & \\
 0 & \longrightarrow & Z_{i-1}' & \xrightarrow{\bar{u}_{i-1}} & Z_{i-1} & \xrightarrow{\bar{v}_{i-1}} & Z_{i-1}''
 \end{array}$$

Note that $\ker \bar{\partial}_i = H_i(C_i)$ and $\text{coker } \bar{\partial}_i = H_{i-1}(C_i)$ (likewise for $\bar{\partial}_i'$ and $\bar{\partial}_i''$). Then (a) and (b) are an immediate consequence of the Snake Lemma, and (c) is easy to see.

(7.7) Definition: A morphism of complexes $u: C \longrightarrow C'$ is called null homotopic if there exists a sequence of A -linear maps $s_i: C_i \longrightarrow C_{i+1}'$:

$$\begin{array}{ccccccccc}
 \dots & \longrightarrow & C_{i+1} & \xrightarrow{\partial_{i+1}} & C_i & \xrightarrow{\partial_i} & C_{i-1} & \longrightarrow & \dots \\
 & & \swarrow s_i & & \downarrow u_i & & \swarrow s_{i-1} & & \\
 \dots & \longrightarrow & C_{i+1}' & \xrightarrow{\partial_{i+1}'} & C_i' & \xrightarrow{\partial_i'} & C_{i-1}' & \longrightarrow & \dots
 \end{array}$$

so that $u_i = \partial_{i+1}' s_i + s_{i-1} \partial_i$. Notation: $u \sim 0$. Two morphisms of complexes u, v are homotopic, $u \sim v$, if $u - v \sim 0$. The sequence of maps s_i is called homotopy.

(7.8) Proposition: Let u, v be morphisms of complexes $C_* \rightarrow C'_*$. If $u \sim v$, then $H_*(u) = H_*(v)$.

Proof: If $u \sim 0$, we need to show $H_*(u) = 0$. Let $z \in Z_i(C_*)$. Then $u_i(z) + B'_i = \partial'_{i+1} s_i(z) + s_{i-1} \partial_i(z) + B'_i = B'_i$.

(7.9) Example: (a) A complex C_* is said to have contracting homotopy if $\text{id}_{C_*} \sim 0$. Notice that such a complex is exact.

$$(b) \quad C_* : \quad 0 \rightarrow \mathbb{Z} \xrightarrow{2} \mathbb{Z} \rightarrow 0$$

$$u_* \downarrow \quad \quad \quad \text{id} \downarrow \quad \quad 0 \downarrow$$

$$C'_* : \quad 0 \rightarrow \mathbb{Z} \rightarrow 0$$

Notice that $H_*(u) = 0$ but $u_* \neq 0$.

(7.10) Definition: (a) A complex $C_*: \dots \rightarrow C_1 \rightarrow C_0 \rightarrow 0$ ($C^*: 0 \rightarrow C^0 \rightarrow C^1 \rightarrow \dots$) is called acyclic if $H_i(C_*) = 0$ ($H^i(C^*) = 0$) for all $i \neq 0$.

(b) A projective resolution of a module M is an acyclic complex P_* with P_i projective modules for every i together with an isomorphism $H_0(P_*) \cong M$ (or equivalently: $\dots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$ is exact and P_i is projective for all i).

(c) An injective resolution of a module M is an acyclic complex I^* with I^i injective modules for every i together with an isomorphism $H^0(I^*) \cong M$ (or equivalently: $0 \rightarrow M \rightarrow I^0 \rightarrow I^1 \rightarrow \dots$ is exact and I^i is injective for all i).

(7.11) Remark: Every module has a projective and an injective resolution.

(7.12) Proposition: (a) Let $C_*: \dots \rightarrow C_1 \rightarrow C_0 \rightarrow 0$ and $C'_*: \dots \rightarrow C'_1 \rightarrow C'_0 \rightarrow 0$ be complexes where C_i are projective modules for all i and C'_* is acyclic. Then for every A -linear map $\varphi: H_0(C_*) \rightarrow H_0(C'_*)$ there is a morphism of complexes $u_*: C_* \rightarrow C'_*$ with $H_0(u) = \varphi$. Moreover, u_* is unique up to homotopy.

(b) Let $C^*: 0 \rightarrow C^0 \rightarrow C^1 \rightarrow \dots$ and $C'^*: 0 \rightarrow C'^0 \rightarrow C'^1 \rightarrow \dots$ be complexes where C^* is acyclic and C'^i are injective modules for all i . Then for every A -linear map

$\varphi: H^0(C') \rightarrow H^0(C'')$ there is a morphism of complexes $u: C' \rightarrow C''$ with $H^0(u) = \varphi$. Moreover, u is unique up to homotopy.

Proof: (a) Existence: we construct u_i inductively. For $i=0$, we have:

$$\begin{array}{ccc}
 C_0 & \xrightarrow{\pi} & H_0(C) \\
 u_0 \downarrow & \swarrow \varphi\pi & \searrow \downarrow \varphi \\
 C'_0 & \xrightarrow{\pi'} & H_0(C')
 \end{array}$$

$\varphi\pi$ can be lifted to an A -linear map $u_0: C_0 \rightarrow C'_0$ since π' is surjective and C_0 is a projective module.

For the induction step assume that u_0, \dots, u_i have been constructed. This yields a commutative diagram:

$$\begin{array}{ccccc}
 C_{i+1} & \xrightarrow{\partial_{i+1}} & C_i & \xrightarrow{\partial_i} & C_{i-1} \\
 & & \downarrow u_i & \searrow & \downarrow u_{i-1} \\
 C'_{i+1} & \xrightarrow{\partial'_{i+1}} & C'_i & \xrightarrow{\partial'_i} & C'_{i-1}
 \end{array}$$

where the bottom row is exact (for $i=0$ set $u_{-1} = \varphi: H_0(C_0) \rightarrow H_0(C'_0)$). Thus $u_i(\text{im } \partial_{i+1}) \subseteq u_i(\ker \partial_i) \subseteq \ker \partial'_i = \text{im } \partial'_{i+1}$. Since C_{i+1} is projective, we may lift the map $u_i \partial_{i+1}$ to an A -linear map $u_{i+1}: C_{i+1} \rightarrow C'_{i+1}$ with $\partial'_{i+1} u_{i+1} = u_i \partial_{i+1}$.

Uniqueness: we show that if u is a morphism of complexes (with the properties of (a)) with $H_0(u) = 0$, then $u \sim 0$. We will construct the homotopy maps s_i inductively.

For $i=0$, since $H_0(u) = 0$ we have $\text{im } u_0 \subseteq \text{im } \partial'_1$:

$$\begin{array}{ccc}
 & & C_0 \\
 & & \downarrow u_0 \\
 C'_1 & \xrightarrow{\partial'_1} & C'_0
 \end{array}$$

Since C_0 is projective, u_0 can be lifted to $s_0: C_0 \rightarrow C'_1$ with $u_0 = \partial'_1 s_0$. For the induction step assume that s_0, \dots, s_i have been constructed.

$$\begin{array}{ccccccc}
 C_{i+2} & \xrightarrow{\partial_{i+2}} & C_{i+1} & \xrightarrow{\partial_{i+1}} & C_i & \xrightarrow{\partial_i} & C_{i-1} \\
 & & \downarrow u_{i+1} & \swarrow s_i & \downarrow u_i & \swarrow s_{i-1} & \downarrow u_{i-1} \\
 C'_{i+2} & \xrightarrow{\partial'_{i+2}} & C'_{i+1} & \xrightarrow{\partial'_{i+1}} & C'_i & \xrightarrow{\partial'_i} & C'_{i-1}
 \end{array}$$

Then $\partial'_{i+1}(u_{i+1} - s_i \partial_{i+1}) = \partial'_{i+1} u_{i+1} - (\partial'_{i+1} s_i) \partial_{i+1} = \partial'_{i+1} u_{i+1} - (u_i - s_{i-1} \partial_i) \partial_{i+1} = \partial'_{i+1} u_i - u_i \partial_{i+1} = 0$.

Thus $\text{im}(u_{i+1} - s_i \partial_{i+1}) \subseteq \ker \partial'_{i+1} = \text{im } \partial'_{i+2}$, where the last equality follows since $i+1 > 0$ and C' is acyclic. Since C_{i+1} is projective there exists an A -linear map $s_{i+1}: C_{i+1} \rightarrow C'_{i+2}$ so that $u_{i+1} - s_i \partial_{i+1} = \partial'_{i+2} s_{i+1}$.

(b) follows by similar arguments.

(7.13) Corollary: Let C and C' be projective (injective) resolutions of a module M . Then there exist morphisms of complexes $u: C \rightarrow C'$ and $v: C' \rightarrow C$ with $uv \sim \text{id}$ and $vu \sim \text{id}$.

(7.14) Definition: Let M be an A -module.

(a) If M has a finite projective resolution $0 \rightarrow P_n \rightarrow \dots \rightarrow P_0 \rightarrow 0$, then M is said to have finite projective dimension. In this case the smallest possible n is called the projective dimension of M . Notation: $\text{projdim}_A M = \text{projdim } M$.

(b) If M has a finite injective resolution $0 \rightarrow I_0 \rightarrow \dots \rightarrow I_n \rightarrow 0$, then M is said to have finite injective dimension. In this case the smallest possible n is called the injective dimension of M . Notation: $\text{injdim}_A M = \text{injdim } M$.

(7.15) Definition: (a) A free resolution of a module M is a projective resolution F of M with F_i free for all i .

(b) Let (A, \mathfrak{m}) be a Noetherian local ring and M a finitely generated A -module. A minimal free resolution of M is a free resolution (F, ∂) of M with F_i a finite A -module for all i and $\text{im } \partial_{i+1} \subseteq \mathfrak{m} F_i$ for every i .

(7.16) Remark: Let (A, \mathfrak{m}) be a Noetherian local ring and M a finitely generated A -module. By (6.68) M has a minimal free resolution.

(7.17) Proposition: Let (A, \mathfrak{m}) be a Noetherian local ring, M a finite A -module and F a minimal free resolution of M . Then

(a) F is unique up to isomorphism

(b) If P is a projective resolution of M , then F is isomorphic to a direct summand of P .

Proof: (a) Follows from (b).

(b) By (7.13) there are morphisms of complexes $v_i: F_i \rightarrow P_i$ and $w_i: P_i \rightarrow F_i$ so that $w_i v_i \sim \text{id}_{F_i}$. Write $u_i = w_i v_i$. We claim that u_i is an isomorphism. Since $u_i \sim \text{id}_{F_i}$, for all i : $u_i = \text{id}_{F_i} + \partial_{i+1} s_i + s_{i-1} \partial_i$. Since F_i is a minimal resolution, $\text{im}(\partial_{i+1} s_i + s_{i-1} \partial_i) \subseteq m F_i$. Thus $F_i = \text{im } u_i + m F_i$ and by Nakayama's lemma $F_i = \text{im } u_i$. Thus $u_i: F_i \rightarrow F_i$ is surjective and hence an isomorphism. This implies that $w_i: P_i \rightarrow F_i$ is surjective for all i and that the complex F_i is a direct summand of the complex P_i .

Let M be an A -module. In the following we denote by $E_A(M)$ or $E(M)$ the injective hull (envelope) of M (6.89).

(7.18) Definition: A minimal injective resolution of a module M is an injective resolution $(E^\bullet, \partial^\bullet)$ if $E^0 = E(M)$ and $E^{i+1} = E(\text{coker } \partial^{i-1})$.

(7.19) Remark: Let M be an A -module. Then M has a minimal injective resolution.

Similar to (7.17) one can show:

(7.20) Proposition: Let M be an A -module and E^\bullet a minimal injective resolution of M .

(a) E^\bullet is unique up to isomorphism.

(b) If I^\bullet is an injective resolution of M then E^\bullet is isomorphic to a direct summand of I^\bullet .

§2: DERIVED FUNCTORS

(7.21) Definition: A functor (contravariant functor) $F: A\text{-mod} \rightarrow B\text{-mod}$ is additive if for any two A -modules M and M' , the induced map $\text{Hom}_A(M, M') \rightarrow \text{Hom}_B(F(M), F(M'))$ ($\text{Hom}_A(M, M') \rightarrow \text{Hom}_B(F(M'), F(M))$, respectively) is a homomorphism of abelian groups.

(7.22) Examples: Let N be an A -module and $I \subseteq A$ an ideal.

(a) $F = - \otimes_A N: A\text{-mod} \rightarrow A\text{-mod}$ given by $F(M) = M \otimes_A N$ and $F(f) = f \otimes_A \text{id}_N$ is an additive functor which is right exact. F is exact if and only if N is flat.

(b) $F = \text{Hom}_A(N, -): A\text{-mod} \rightarrow A\text{-mod}$ given by $F(M) = \text{Hom}_A(N, M)$ and $F(f) = \text{Hom}_A(N, f)$ is an additive functor which is left exact. It is exact if and only if N is projective.

(c) $F = \text{Hom}_A(-, N): A\text{-mod} \rightarrow A\text{-mod}$ given by $F(M) = \text{Hom}_A(M, N)$ and $F(f) = \text{Hom}_A(f, N)$ is an additive contravariant functor which is left exact. It is exact if and only if N is injective.

(d) $F = \Gamma_I: A\text{-mod} \rightarrow A\text{-mod}$ given by $F(M) = \Gamma_I(M)$ and $F(f) = \Gamma_I(f)$ is an additive functor which is left exact.

Let $F: A\text{-mod} \rightarrow B\text{-mod}$ be an additive functor. For a complex $(C_\bullet, \partial_\bullet)$ of A -modules let $F(C_\bullet)$ be the complex of B -modules with $F(C_\bullet)_i = F(C_i)$ and $\partial_i^{F(C_\bullet)} = F(\partial_i)$. Since F is additive, $(F(C_\bullet), \partial_\bullet^{F(C_\bullet)})$ is a complex. For a morphism of complexes $u_\bullet: C_\bullet \rightarrow C'_\bullet$ let $F(u_\bullet): F(C_\bullet) \rightarrow F(C'_\bullet)$ be given by $F(u_\bullet)_i = F(u_i)$. This is a morphism of complexes. Let u_\bullet, v_\bullet be morphisms of complexes so that $u_\bullet \sim v_\bullet$, then $F(u_\bullet) \sim F(v_\bullet)$ since F is additive. In particular, if the complex C_\bullet has a contracting homotopy, then so does $F(C_\bullet)$.

For every A -module M fix a projective resolution P_M . Define $L_i F(M) = H_i(F(P_M))$. Let $\varphi: M \rightarrow M'$ be an A -linear map. By (7.12) there is a morphism of complexes

$u.: P_M \rightarrow P_{M'}$ with $H_0(u.) = \varphi$. Define $L_i F(\varphi): L_i F(M) \rightarrow L_i F(M')$ by $L_i F(\varphi) = H_i(F(u.))$. This is well defined since if $v.:$ is another morphism of complexes with $H_0(v.) = \varphi$, then by (7.13) $u. \sim v.$. Thus $F(u.) \sim F(v.)$ and by (7.8) $H_i(F(u.)) = H_i(F(v.))$. One easily checks that $L_i F: A\text{-mod} \rightarrow B\text{-mod}$ are additive functors.

(7.23) Definition: The functors $L_i F$ are called left derived functors of F .

(7.24) Definition: Two functors $F, G: A\text{-mod} \rightarrow B\text{-mod}$ are naturally equivalent, $F \cong G$, if for every A -module M there is an isomorphism $t_M: F(M) \xrightarrow{\sim} G(M)$ so that for every $f \in \text{Hom}_A(M, M')$ the following diagram commutes:

$$\begin{array}{ccc} F(M) & \xrightarrow[t_M]{\sim} & G(M) \\ F(f) \downarrow & & \downarrow G(f) \\ F(M') & \xrightarrow[t_{M'}]{\sim} & G(M') \end{array} \quad (\text{similarly for contravariant functors})$$

For every A -module M fix some other projective resolution \hat{P}_M and use these to define $\hat{L}_i F$.

(7.25) Proposition: $L_i F \cong \hat{L}_i F$

Proof: For every A -module M by (7.13) there are morphisms of complexes $u.: P_M \rightarrow \hat{P}_M$ and $v.: \hat{P}_M \rightarrow P_M$ with $u., v. \sim \text{id}_{\hat{P}_M}$ and $v.u. \sim \text{id}_{P_M}$. Hence $F(u.) \cdot F(v.) \sim \text{id}_{F(\hat{P}_M)}$ and $F(v.) F(u.) \sim \text{id}_{F(P_M)}$. By (7.8) $H_i(F(u.)) H_i(F(v.)) = \text{id}$ and $H_i(F(v.)) H_i(F(u.)) = \text{id}$ and $t_M = H_i(F(u.)): L_i F(M) \xrightarrow{\sim} \hat{L}_i F(M)$ is an isomorphism of B -modules.

Let $\varphi: M \rightarrow M'$ be an A -linear map. Using (7.12) and (7.8) again one shows that the diagram:

$$\begin{array}{ccc} L_i F(M) & \xrightarrow{t_M} & \hat{L}_i F(M) \\ L_i F(\varphi) \downarrow & & \downarrow \hat{L}_i F(\varphi) \\ L_i F(M') & \xrightarrow{t_{M'}} & \hat{L}_i F(M') \end{array} \quad \text{commutes.}$$

(7.26) Proposition: (a) If P is a projective module then $L_i F(P) = 0$ for all $i > 0$.

- (b) If M has finite projective dimension then $L_i F(M) = 0$ for all $i > \text{projdim } M$.
- (c) If $0 \rightarrow K_n \rightarrow P_{n-1} \rightarrow \dots \rightarrow P_0 \rightarrow M \rightarrow 0$ is an exact sequence with P_j projective (K_n is called an n -th syzygy module) then $L_i F(M) \cong L_{i-n} F(K_n)$ for all $i > n$.
- (d) If F is exact then $L_i F = 0$ for all $i > 0$.
- (e) If F is right exact then $L_0 F \cong F$.

Proof: (c) Let $\dots \rightarrow P_{n+1} \rightarrow P_n \rightarrow 0$ be a projective resolution of K_n . Then $\dots \rightarrow P_{n+1} \rightarrow P_n \rightarrow P_{n-1} \rightarrow \dots \rightarrow P_0 \rightarrow 0$ is a projective resolution of M . The statement follows from the definition of $L_i F$.

(d) Let $P_\bullet: \dots \rightarrow P_1 \rightarrow P_0 \rightarrow 0$ be a projective resolution of a module M . Then $P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$ is exact. Since F is right exact, $F(P_1) \rightarrow F(P_0) \rightarrow F(M) \rightarrow 0$ is exact. Thus $F(M) \cong H_0(F(P_\bullet)) = L_0 F(M)$. It is easy to see that this isomorphism is natural.

(7.27) Lemma: (Horseshoe Lemma) Let $0 \rightarrow M' \xrightarrow{\varphi} M \xrightarrow{\psi} M'' \rightarrow 0$ be an exact sequence of A -modules and let P'_\bullet and P''_\bullet be projective resolutions of M' and M'' . Then there exists an exact sequence of morphisms of complexes $0 \rightarrow P'_\bullet \xrightarrow{u} P_\bullet \xrightarrow{v} P''_\bullet \rightarrow 0$ so that P_\bullet is a projective resolution of M and $H_0(u) = \varphi$ and $H_0(v) = \psi$.

Proof: Consider the diagram with exact columns:

$$\begin{array}{ccccccc}
 & & 0 & & & & 0 \\
 & & \downarrow & & & & \downarrow \\
 & & K'_i & & & & K''_i \\
 & & \downarrow & & & & \downarrow \\
 & & P'_0 & & & & P''_0 \\
 & & \downarrow \pi' & & & & \downarrow \pi'' \\
 0 & \longrightarrow & M' & \xrightarrow{\varphi} & M & \xrightarrow{\psi} & M'' \longrightarrow 0
 \end{array}$$

Define $P_0 = P_0' \oplus P_0''$ and let $\pi: P_0 \rightarrow M$ be the A -linear map with $\pi|_{P_0'} = \varphi\pi'$ and $\pi|_{P_0''}$ any lifting of the map π'' (such a lifting exists since P_0'' is projective and φ is surjective). Let $u_0: P_0' \rightarrow P_0$ and $v_0: P_0 \rightarrow P_0''$ be the canonical maps. The diagram:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & P_0' & \xrightarrow{u_0} & P_0 & \xrightarrow{v_0} & P_0'' & \longrightarrow & 0 \\ & & \pi' \downarrow & \wr & \downarrow \pi & \wr & \downarrow \pi'' & & \\ 0 & \longrightarrow & M' & \xrightarrow{\varphi} & M & \xrightarrow{\psi} & M'' & \longrightarrow & 0 \end{array}$$

is commutative with exact rows. By the Snake Lemma (7.5) π is surjective and u_0 and v_0 induce an exact sequence $0 \rightarrow K_1' \xrightarrow{\varphi_1} K_1 = \ker(\pi) \xrightarrow{\psi_1} K_1'' \rightarrow 0$. Continue.

(7.28) Theorem: Let $0 \rightarrow M' \xrightarrow{\varphi} M \xrightarrow{\psi} M'' \rightarrow 0$ be an exact sequence of A -modules. Then there is a long exact sequence

$$\begin{array}{ccccccc} \dots & L_i F(M') & \xrightarrow{L_i F(\varphi)} & L_i F(M) & \xrightarrow{L_i F(\psi)} & L_i F(M'') & \xrightarrow{\Delta_i} & L_{i-1} F(M') & \longrightarrow & \dots \\ & & & & & & & & \dots & \longrightarrow & L_0 F(M') & \longrightarrow & L_0 F(M) & \longrightarrow & L_0 F(M'') & \longrightarrow & 0 \end{array}$$

Proof: By (7.27) there is an exact sequence of morphisms of complexes

$$0 \rightarrow P_i' \xrightarrow{u_i} P_i \xrightarrow{v_i} P_i'' \rightarrow 0$$

where P_i', P_i, P_i'' are projective resolutions of M', M, M'' , and $H_0(u_i) = \varphi, H_0(v_i) = \psi$. For all i the sequence $0 \rightarrow P_i' \xrightarrow{u_i} P_i \xrightarrow{v_i} P_i'' \rightarrow 0$ is split exact since P_i'' is projective.

Hence $0 \rightarrow F(P_i') \xrightarrow{F(u_i)} F(P_i) \xrightarrow{F(v_i)} F(P_i'') \rightarrow 0$ is exact and

$$0 \rightarrow F(P_i') \xrightarrow{F(u_i)} F(P_i) \xrightarrow{F(v_i)} F(P_i'') \rightarrow 0$$

is an exact sequence of morphisms of complexes. By (7.6) there is a long exact sequence of homology:

$$\begin{array}{ccccccc} \dots & \longrightarrow & H_i(F(P_i')) & \xrightarrow{H_i(F(u_i))} & H_i(F(P_i)) & \xrightarrow{H_i(F(v_i))} & H_i(F(P_i'')) & \xrightarrow{\Delta_i} & H_{i-1}(F(P_i')) & \longrightarrow & \dots \\ & & & & & & & & & & \dots & \longrightarrow & H_0(F(P_i')) & \longrightarrow & H_{-1}(F(P_i'')) & = & 0 \end{array}$$

The assertion follows from the definition of the functors $L_i F$.

(7.29) Remark: Let F be right exact. Then every exact sequence $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$

induces a long exact sequence:

$$\dots \rightarrow L_i F(M') \rightarrow L_i F(M) \rightarrow L_i F(M'') \rightarrow F(M') \rightarrow F(M) \rightarrow F(M'') \rightarrow 0.$$

(7.30) Theorem: Let

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M' & \xrightarrow{\varphi} & M & \xrightarrow{\psi} & M'' & \longrightarrow & 0 \\ & & \downarrow \gamma' & \wr & \downarrow \gamma & \wr & \downarrow \gamma'' & & \\ 0 & \longrightarrow & N' & \longrightarrow & N & \longrightarrow & N'' & \longrightarrow & 0 \end{array}$$

be a commutative diagram of A -linear maps with exact rows. Then

$$\begin{array}{ccccccccccc} \dots & \longrightarrow & L_i F(M') & \longrightarrow & L_i F(M) & \longrightarrow & L_i F(M'') & \xrightarrow{\Delta_i} & L_{i-1} F(M') & \longrightarrow & \dots \\ & & \downarrow L_i F(\gamma') & \wr & \downarrow L_i F(\gamma) & \wr & \downarrow L_i F(\gamma'') & \wr & \downarrow L_{i-1} F(\gamma') & & \\ \dots & \longrightarrow & L_i F(N') & \longrightarrow & L_i F(N) & \longrightarrow & L_i F(N'') & \xrightarrow{\tilde{\Delta}_i} & L_{i-1} F(N') & \longrightarrow & \dots \end{array}$$

is a commutative diagram with exact rows.

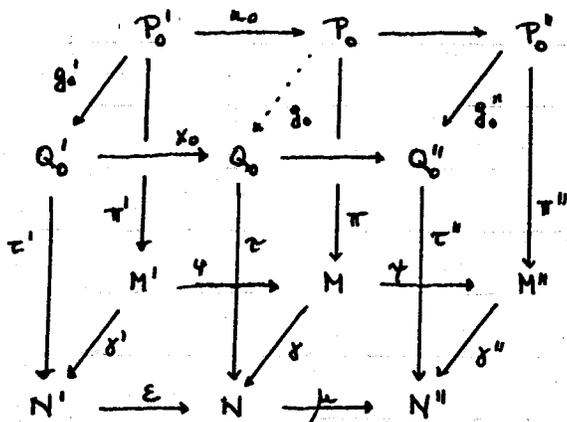
Proof: The result follows from (7.6)(c), the naturality of the long exact sequence of homology, once we have shown the following:

(7.31) Lemma: Let

$$\begin{array}{ccccccccc} 0 & \longrightarrow & P' & \xrightarrow{u} & P & \xrightarrow{v} & P'' & \longrightarrow & 0 \\ & & \downarrow g' & & \downarrow \vdots & & \downarrow g'' & & \\ 0 & \longrightarrow & Q' & \xrightarrow{x} & Q & \xrightarrow{y} & Q'' & \longrightarrow & 0 \end{array}$$

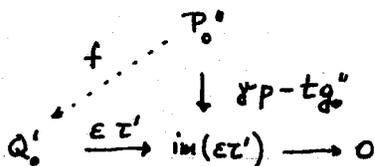
be morphisms of complexes with exact rows so that P', P, P'', Q', Q, Q'' are projective resolutions of M', M, M'', N', N, N'' and the morphisms u, v, g', g'', x, y induce the maps $\varphi, \psi, \gamma', \gamma'', \varepsilon, \mu$. Then there exists a morphism of complexes $g: P \rightarrow Q$, inducing γ so that the above diagram commutes.

Proof: We construct g_i inductively. To define g_0 , we may assume $P_0 = P'_0 \oplus P''_0$, $Q_0 = Q'_0 \oplus Q''_0$ and that u_0, v_0, x_0, y_0 are the natural embeddings and projections.



Write $p = \pi|_{P_0''}$ and $t = \tau|_{Q_0''}$. Define $g_0: P_0 = P_0' \oplus P_0'' \rightarrow Q_0 = Q_0' \oplus Q_0''$ by $g_0 = \begin{pmatrix} g_0' & f \\ 0 & g_0'' \end{pmatrix}$ where $f: P_0'' \rightarrow Q_0'$ is yet to be determined. Note that the two rectangles on the top commute already. We have to determine f so that $\tau g_0 = \gamma \pi$. We have that $\tau g_0 u_0 = \tau x_0 g_0' = \epsilon \tau' g_0'$ and $\gamma \pi u_0 = \gamma \psi \pi' = \epsilon \gamma' \pi' = \epsilon \tau' g_0'$ and therefore $\tau g_0|_{P_0'} = \gamma \pi|_{P_0'}$. Thus $\tau g_0 = \gamma \pi$ if and only if $\tau g_0|_{P_0''} = \gamma \pi|_{P_0''}$, which means $\epsilon \tau' f + t g_0'' = \gamma p$, or equivalently, $\epsilon \tau' f = \gamma p - t g_0''$. (Note that $g_0'' = g_0|_{P_0''}$.) Since P_0'' is projective it follows that such an f exists if

$$\text{im}(\gamma p - t g_0'') \subseteq \text{im}(\epsilon \tau')$$



But $\mu \gamma p = \gamma'' \psi p = \gamma'' \pi'' = \tau'' g_0'' = \mu t g_0''$ and $\mu(\gamma p - t g_0'') = 0$. Hence $\text{im}(\gamma p - t g_0'') \subseteq \ker \mu = \text{im}(\epsilon) = \text{im}(\epsilon \tau')$, where the last equality follows from the surjectivity of τ' . Continue (with the same argument) by replacing M', M, M'', N', N, N'' by $\ker \pi', \ker \pi, \ker \pi'', \ker \tau', \ker \tau, \ker \tau''$ etc.

(7.32) Remark: Theorem (7.30) and its proof also show that the maps Δ_i constructed in the proof of (7.28) are determined by the exact sequence $0 \rightarrow M' \xrightarrow{\psi} M \xrightarrow{\gamma} M'' \rightarrow 0$ and do not depend on $0 \rightarrow P' \xrightarrow{u} P \xrightarrow{v} P'' \rightarrow 0$.

For every A -module M fix an injective resolution I_M^\bullet . Let $F: A\text{-mod} \rightarrow B\text{-mod}$ be an additive functor. Define $R^i F(M) = H^i(F(I_M^\bullet))$. Let $\psi: M \rightarrow M'$ be an A -linear map. By (7.12) there is a morphism of complexes $u: I_M^\bullet \rightarrow I_{M'}^\bullet$ with $H^0(u) = \psi$. Define

$R^i F(\varphi): R^i F(M) \rightarrow R^i F(M')$ by $R^i F(\varphi) = H^i(F(u))$. By (7.12) and (7.8), $R^i F(\varphi)$ is well defined. $R^i F: A\text{-mod} \rightarrow B\text{-mod}$ are additive functors. One can show as in (7.25) that they are independent of the choices of injective resolutions.

(7.33) Definition: The functors $R^i F$ are called right derived functors of F .

(7.34) Theorem: (a) If E is an injective module then $R^i F(E) = 0$ whenever $i > 0$.

(b) If M has finite injective dimension then $R^i F(M) = 0$ for all $i > \text{injdim } M$.

(c) If $0 \rightarrow M \rightarrow I^0 \rightarrow \dots \rightarrow I^{n-1} \rightarrow L^n \rightarrow 0$ is exact with I^j injective then $R^i F(M) = R^{i-n} F(L^n)$ for all $i > n$.

(d) If F is left exact then $R^0 F \cong F$.

(7.35) Theorem: (a) Let $0 \rightarrow M' \xrightarrow{\varphi} M \xrightarrow{\psi} M'' \rightarrow 0$ be an exact sequence of A -modules.

Then there is a long exact sequence:

$$0 \rightarrow R^0 F(M') \rightarrow R^0 F(M) \rightarrow R^0 F(M'') \rightarrow \dots \\ \dots \rightarrow R^{i-1} F(M'') \xrightarrow{\Delta^i} R^i F(M') \xrightarrow{R^i F(\varphi)} R^i F(M) \xrightarrow{R^i F(\psi)} R^i F(M'') \rightarrow \dots$$

(b) The long exact sequence of (a) is natural.

Let $F: A\text{-mod} \rightarrow B\text{-mod}$ be an additive contravariant functor. For every A -module M fix a projective resolution P_M and an injective resolution I_M . Define $R^i F(M) = H^i(F(P_M))$, $L_i F(M) = H_i(F(I_M))$, and for an A -linear map $\varphi: M \rightarrow M'$ define $R^i F(\varphi): R^i F(M') \rightarrow R^i F(M)$ and $L_i F(\varphi): L_i F(M') \rightarrow L_i F(M)$ in the obvious way. $R^i F$ and $L_i F$ are additive contravariant functors whose definitions do not depend on the choices of projective, injective resolutions.

The functors $R^i F$ are called right derived functors and $L_i F$ left derived functors of F .

(7.36) Theorem: Let F be an additive contravariant functor.

(a) If P is a projective module then $R^i F(P) = 0$ for all $i > 0$.

(b) If M has a finite projective dimension then $R^i F(M) = 0$ for all $i > \text{projdim } M$.

(c) If K_n is an n th syzygy module of M then $R^i F(M) = R^{i-n} F(K_n)$ for all $i > n$.

(d) If F is left exact then $R^0 F \cong F$.

(e) If $0 \rightarrow M' \xrightarrow{\varphi} M \xrightarrow{\gamma} M'' \rightarrow 0$ is an exact sequence then there is a long exact sequence:

$$\begin{array}{ccccccc} 0 & \rightarrow & R^0 F(M'') & \rightarrow & R^0 F(M) & \rightarrow & R^0 F(M') & \rightarrow & \dots \\ & & & & & & & & \\ & & & & & & \dots & \rightarrow & R^{i-1} F(M') & \xrightarrow{\Delta^i} & R^i F(M'') & \xrightarrow{R^i F(\gamma)} & R^i F(M) & \xrightarrow{R^i F(\varphi)} & R^i F(M') & \rightarrow & \dots \end{array}$$

This long exact sequence is natural.

§ 3: TOR AND EXT

(7.37) Definition: Let N be an A -module.

$$(a) \operatorname{Tor}_i^A(-, N) = L_i(- \otimes_A N)$$

$$(b) \operatorname{Tor}_i^A(N, -) = L_i(N \otimes_A -)$$

$$(c) \operatorname{Ext}_A^i(-, N) = R^i \operatorname{Hom}_A(-, N)$$

$$(d) \operatorname{Ext}_A^i(N, -) = R^i \operatorname{Hom}_A(N, -)$$

$\operatorname{Tor}_i^A(-, N)$ and $\operatorname{Tor}_i^A(N, -)$ are additive functors. Since $- \otimes_A N \simeq N \otimes_A -$, $\operatorname{Tor}_i^A(-, N) \simeq \operatorname{Tor}_i^A(N, -)$.

$\operatorname{Tor}_0^A(-, N) \simeq - \otimes_A N$, since $- \otimes_A N$ is rightexact. Moreover, $\operatorname{Tor}_i^A(-, N)(P) = 0$ if P is projective and $i > 0$.

(7.38) Theorem: If $0 \rightarrow M' \xrightarrow{\varphi} M \xrightarrow{\psi} M'' \rightarrow 0$ is an exact sequence, then there is a long exact sequence

$$\begin{array}{ccccccc} \dots & \rightarrow & \operatorname{Tor}_i(M', N) & \xrightarrow{\operatorname{Tor}_i(\varphi, N)} & \operatorname{Tor}_i(M, N) & \xrightarrow{\operatorname{Tor}_i(\psi, N)} & \operatorname{Tor}_i(M'', N) \xrightarrow{\Delta_i} \operatorname{Tor}_{i-1}(M', N) \rightarrow \dots \\ & & & & & & \dots \rightarrow \operatorname{Tor}_1(M'', N) \rightarrow M' \otimes N \rightarrow M \otimes N \rightarrow M'' \otimes N \rightarrow 0 \end{array}$$

Furthermore this sequence is natural.

$\operatorname{Ext}_A^i(N, -)$ is an additive functor, $\operatorname{Ext}_A^0(N, -) \simeq \operatorname{Hom}_A(N, -)$ (since $\operatorname{Hom}_A(N, -)$ is left exact), and $\operatorname{Ext}_A^i(N, -)(I) = 0$ if I is injective and $i > 0$.

$\operatorname{Ext}_A^i(-, N)$ is an additive contravariant functor, $\operatorname{Ext}_A^0(-, N) \simeq \operatorname{Hom}_A(-, N)$ (since $\operatorname{Hom}_A(-, N)$ is left exact), and $\operatorname{Ext}_R^i(-, N)(P) = 0$ if P is projective and $i > 0$.

(7.39) Theorem: If $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ is an exact sequence, then there are long exact sequences:

$$(a) 0 \rightarrow \operatorname{Hom}(N, M') \rightarrow \operatorname{Hom}(N, M) \rightarrow \operatorname{Hom}(N, M'') \rightarrow \operatorname{Ext}^1(N, M') \rightarrow \dots$$

$$(b) 0 \rightarrow \operatorname{Hom}(M'', N) \rightarrow \operatorname{Hom}(M, N) \rightarrow \operatorname{Hom}(M', N) \rightarrow \operatorname{Ext}^1(M'', N) \rightarrow \dots$$

Furthermore these sequences are natural.

$$\begin{aligned}
\text{Let } i > 1. \text{ Then } \quad \text{Ext}^i(-, N)(M) &\cong \text{Ext}^i(-, N)(K_{i-1}) && \text{by (7.36)(c)} \\
&\cong \text{Ext}^i(K_{i-1}, N) \\
&\cong \text{Ext}^i(K_{i-2}, L^1) \cong \dots \cong \text{Ext}^i(M, L^{i-1}) && \text{by } (\ast) \\
&\cong \text{Ext}^i(M, -)(L^{i-1}) \\
&\cong \text{Ext}^i(M, -)(N) && \text{by (7.34)(c)}.
\end{aligned}$$

(7.41) Proposition: Let M be an A -module and $n > 0$ a positive integer. The following are equivalent:

- (a) $\text{projdim } M \leq n$
- (b) Every n -th syzygy module of M is projective.
- (c) $\text{Ext}_A^i(M, N) = 0$ for all $i > n$ and every A -module N .
- (d) $\text{Ext}_A^{n+i}(M, N) = 0$ for every A -module N .

Proof: (b) \Rightarrow (a): clear

(a) \Rightarrow (c): (7.36)(b)

(c) \Rightarrow (d): clear

(d) \Rightarrow (b): Let K_n be an n -th syzygy of M . By (7.36)(c), $\text{Ext}_A^i(K_n, N) \cong \text{Ext}_A^{n+i}(M, N) = 0$. Since $\text{Ext}_A^i(K_n, N) = 0$ for every A -module N , the long exact sequence (7.39)(a) shows that the functor $\text{Hom}_A(K_n, -)$ is exact. Thus K_n is projective.

(7.42) Proposition: Let M be an A -module and $n > 0$ a positive integer. The following are equivalent:

- (a) $\text{injdim } M \leq n$
- (b) If $0 \rightarrow M \rightarrow I^0 \rightarrow \dots \rightarrow I^{n-1} \rightarrow L^n \rightarrow 0$ is an exact sequence with I^j injective then L^n is injective.
- (c) $\text{Ext}_A^i(N, M) = 0$ for all $i > n$ and every A -module N .
- (d) $\text{Ext}_A^{n+i}(N, M) = 0$ for every A -module N .
- (e) $\text{Ext}_A^{n+i}(A/I, M) = 0$ for every A -ideal I .

Proof: (e) \Rightarrow (b): By (7.34) $\text{Ext}_A^i(A/I, L^n) \cong \text{Ext}_A^{n+i}(A/I, M) = 0$ for every ideal I .

Thus by (7.39)(b), the sequence $\text{Hom}_A(A, L^n) \rightarrow \text{Hom}_A(I, L^n) \rightarrow \text{Ext}_A^1(A/I, L^n) = 0$ is exact for every ideal $I \subseteq A$. By (6.27) L^n is injective.

(7.43) Corollary: Let A be a ring, then

$$\sup \{ \text{projdim } M \mid M \text{ an } A\text{-module} \} = \sup \{ \text{projdim } A/I \mid I \text{ an } A\text{-ideal} \} =$$

$$\sup \{ \text{injdim } M \mid M \text{ an } A\text{-module} \} = \sup \{ n \mid \text{Ext}_A^n(M, N) \neq 0 \text{ for some } A\text{-modules } M, N \}.$$

This (not necessarily finite) number is called the global dimension of A , denoted $\text{gldim } A$.

(7.44) Examples: (a) If A is a field then $\text{gldim } A = 0$.

(b) If A is a Dedekind domain then $\text{gldim } A = 1$ (since every ideal is projective).

(c) $\text{gldim } (\mathbb{Z}/(4)) = \infty$ (homework)

(7.45) Definition: (a) a flat resolution of a module M is an acyclic complex F with flat modules F_i for all i together with an isomorphism $H_0(F) \cong M$.

(b) The flat dimension of M , $\text{fldim}_A M = \text{fldim } M$, is the minimal length of a flat resolution of M .

(7.46) Proposition: (a) If F is a flat A -module, then $\text{Tor}_i^A(F, N) = 0$ for all $i > 0$ and all A -modules N .

(b) If F_\bullet is a flat resolution of M , then $\text{Tor}_i^A(M, N) \cong H_i(F_\bullet \otimes_A N)$ for all i .

Proof: (a) If F is flat then the functor $F \otimes_A -$ is exact. Thus $\text{Tor}_i^A(F, -) = L_i(F \otimes_A -) = 0$ whenever $i > 0$ by (7.26)(d).

(b) By induction on i : If $i = 0$ then the claim holds since $- \otimes_A N$ is right exact.

Write $0 \rightarrow K_1 \rightarrow F_0 \rightarrow M \rightarrow 0$ and $E: \dots \rightarrow F_2 \rightarrow F_1 \rightarrow 0$, which is a flat resolution of K_1 . Let $i = 1$. By the long exact sequence (7.38), one has an exact sequence $\text{Tor}_1^A(F_0, N) = 0 \rightarrow \text{Tor}_1^A(M, N) \rightarrow K_1 \otimes_A N \rightarrow F_0 \otimes_A N$. Hence

$$\text{Tor}_1^A(M, N) \cong \ker(K_1 \otimes N \rightarrow F_0 \otimes N) = \ker(F_1 \otimes N / \text{im}(F_2 \otimes N) \rightarrow F_0 \otimes N) = H_1(F_\bullet \otimes_A N).$$

If $i > 1$, then by (7.38) $\text{Tor}_i^{\hat{A}}(M, N) \cong \text{Tor}_{i-1}^{\hat{A}}(K_i, N) \cong H_{i-1}(E \otimes_A N) = H_i(F \otimes_A N)$.

(7.47) Proposition: The following are equivalent for an integer $n \geq 0$:

- (a) $\text{fdim } M \leq n$
- (b) If $0 \rightarrow K_n \rightarrow F_{n-1} \rightarrow \dots \rightarrow F_0 \rightarrow M \rightarrow 0$ is an exact sequence with F_i flat, then K_n is flat.
- (c) $\text{Tor}_i^{\hat{A}}(M, N) = 0$ for all $i > n$ and every A -module N .
- (d) $\text{Tor}_{n+1}^{\hat{A}}(M, N) = 0$ for every A -module N .
- (e) $\text{Tor}_{n+1}^{\hat{A}}(M, A/I) = 0$ for every A -ideal I .

Proof: (a) \rightarrow (c): follows from (7.46).

(e) \rightarrow (b): By (7.46): $\text{Tor}_i^{\hat{A}}(K_n, A/I) \cong \text{Tor}_{n+1}^{\hat{A}}(M, A/I)$ for every A -ideal I . Then $0 \rightarrow I \rightarrow A \rightarrow A/I \rightarrow 0$ yields an exact sequence $0 \rightarrow I \otimes_A K_n \rightarrow A \otimes_A K_n \cong K_n$. Thus for every ideal I : $I \otimes_A K_n \xrightarrow{\cong} IK_n$ via the natural map. K_n is flat by a Homework problem.

(7.48) Theorem: Let A be a ring, $S \subseteq A$ a multiplicative subset, and M, N A -modules. Then:

- (a) $\text{Tor}_i^{S^{-1}A}(S^{-1}M, S^{-1}N) \cong S^{-1}\text{Tor}_i^{\hat{A}}(M, N)$
- (b) If A is Noetherian and M is finitely generated: $S^{-1}\text{Ext}_A^i(M, N) \cong \text{Ext}_{S^{-1}A}^i(S^{-1}M, S^{-1}N)$.

Proof: (b) By induction on i : If $i = 0$ then by (6.62) $S^{-1}\text{Hom}_A(M, N) \cong \text{Hom}_{S^{-1}A}(S^{-1}M, S^{-1}N)$. For $i > 0$, consider the exact sequence $0 \rightarrow K \rightarrow F_{i-1} \xrightarrow{d} F_i \rightarrow \dots \rightarrow F_0 \rightarrow M \rightarrow 0$ where the F_i are finitely generated free A -modules and $K = \ker d$. With $L = \text{im } d$ we have exact sequences $0 \rightarrow K \rightarrow F_{i-1} \rightarrow L \rightarrow 0$ and $0 \rightarrow L \rightarrow F_{i-2} \rightarrow \dots \rightarrow F_0 \rightarrow M \rightarrow 0$. Since A is Noetherian, the modules K and L are finitely generated. We have a long exact sequence: $0 \rightarrow \text{Hom}_A(L, N) \rightarrow \text{Hom}_A(F_{i-1}, N) \rightarrow \text{Hom}_A(K, N) \rightarrow \text{Ext}_A^1(L, N) \rightarrow 0$ and therefore $\text{Ext}_A^1(L, N) = \text{coker}(\text{Hom}_A(F_{i-1}, N) \rightarrow \text{Hom}_A(K, N))$. Since localization is exact: $S^{-1}(\text{Ext}_A^1(L, N)) = S^{-1}\text{coker}(\text{Hom}_A(F_{i-1}, N) \rightarrow \text{Hom}_A(K, N))$

$$\cong \text{coker} (S^{-1} \text{Hom}_A (F_{i-1}, N) \rightarrow S^{-1} \text{Hom}_A (K, N))$$

$$\cong \text{coker} (\text{Hom}_{S^{-1}A} (S^{-1}F_{i-1}, S^{-1}N) \rightarrow \text{Hom}_{S^{-1}A} (S^{-1}K, S^{-1}N)) \quad \text{by (6.62)}$$

Using the exact sequence $0 \rightarrow S^{-1}K \rightarrow S^{-1}F_{i-1} \rightarrow S^{-1}L \rightarrow 0$ we see that the last module is isomorphic to $\text{Ext}_{S^{-1}A}^i (S^{-1}L, S^{-1}N)$. Thus $S^{-1}\text{Ext}_A^i (L, N) \cong \text{Ext}_{S^{-1}A}^i (S^{-1}L, S^{-1}N)$.

By (7.26), $\text{Ext}_{S^{-1}A}^i (S^{-1}M, S^{-1}N) \cong \text{Ext}_{S^{-1}A}^i (S^{-1}L, S^{-1}N) \cong S^{-1}\text{Ext}_A^i (L, N) \cong S^{-1}\text{Ext}_A^i (M, N)$.

(a) follows by a similar argument.

(7.49) Corollary: Let A be a Noetherian ring.

$$(a) \text{fdim}_A M = \sup \{ \text{fdim}_{A_m} M_m \mid m \in m\text{-Spec } A \}$$

$$(b) \text{projdim}_A M = \sup \{ \text{projdim}_{A_m} M_m \mid m \in m\text{-Spec } A \} \text{ if } M \text{ is finitely generated.}$$

$$(c) \text{injdim}_A M = \sup \{ \text{injdim}_{A_m} M_m \mid m \in m\text{-Spec } A \}$$

$$(d) \text{gldim } A = \sup \{ \text{gldim } A_m \mid m \in m\text{-Spec } A \}$$

Proof. Use (7.48), (7.47), (7.41), (7.42), (7.43).

§4: MINIMAL RESOLUTIONS

A free resolution of a module M is a projective resolution F of M with F_i free for all i . Let (A, \mathfrak{m}) be a Noetherian local ring and M a finitely generated A -module. A minimal free resolution of M is a free resolution (F, ∂) of M with F_i finitely generated and $\text{im } \partial_{i+1} \subseteq \mathfrak{m} F_i$ for all i .

(7.50) Remark and Definition: Let (A, \mathfrak{m}) be a Noetherian local ring and M a finitely generated A -module. The cardinality of every minimal generating set of M is the same, and is denoted by $\mu(M)$, called the minimal number of generators of M . By Nakayama, $\mu(M) = \dim_{A/\mathfrak{m}} (M/\mathfrak{m}M)$.

(7.51) Proposition: Let (A, \mathfrak{m}) be a Noetherian local ring and M a finitely generated A -module.

Then:

- M has a minimal free resolution F .
- F is unique up to isomorphism.
- If P is a projective resolution of M , then F is isomorphic to a direct summand of P .

Proof: (a) Let $b_0 = \mu(M)$ and set $F_0 = A^{b_0}$. Map $F_0 = \bigoplus_{i=1}^{b_0} A e_i$ onto M . The kernel of this map is contained in $\mathfrak{m} F_0$, since otherwise it would contain an element $\sum_{i=1}^{b_0} a_i e_i$ with $a_i \in A - \mathfrak{m} = A^*$ for some i and $\mu(M) < b_0$, a contradiction. Continue like that.

(b) Follows from (c).

(c) By (7.13), there are morphisms of complexes $v: F \rightarrow P$, $w: P \rightarrow F$ so that $w \circ v \sim \text{id}_F$. Write $u = w \circ v$. We have to show that u is an isomorphism. Since $u \sim \text{id}_F$, for every i : $u_i = \text{id}_{F_i} + \partial_{i+1} s_i + s_{i-1} \partial_i$. Since F is a minimal resolution, $\text{im}(\partial_{i+1} s_i + s_{i-1} \partial_i) \subseteq \mathfrak{m} F_i$ and $F_i = \text{im } u_i + \mathfrak{m} F_i$. Thus by Nakayama's Lemma $F_i = \text{im } u_i$ and $u_i: F_i \rightarrow F_i$ is surjective, hence an isomorphism.

(7.52) Definition: Let (A, \mathfrak{m}) be a Noetherian local ring with $k = A/\mathfrak{m}$ and M a finitely generated A -module. $b_i(M) = \dim_k \operatorname{Tor}_i^A(k, M)$ is called the i -th Betti number of M .

(7.53) Theorem: Let (A, \mathfrak{m}) be a Noetherian local ring with $k = A/\mathfrak{m}$ and M a finitely generated A -module. Then $b_i(M) = \dim_k \operatorname{Ext}_A^i(M, k)$ and for the minimal free A -resolution F of M one has that $\operatorname{rank} F_i = b_i(M)$.

Proof: Let (F, ∂) be the minimal free A -resolution of M . Then $\operatorname{im} \partial_i \subseteq \mathfrak{m} F_{i-1}$. Thus $k \otimes_A \partial_i = 0$ and $\operatorname{Hom}_A(\partial_i, k) = 0$. Therefore $H_i(k \otimes_A F) = k \otimes_A F_i$ and $H^i(\operatorname{Hom}_A(F, k)) = \operatorname{Hom}_A(F_i, k)$. Write $F_i = A^{n_i}$. Then $b_i(M) = \dim_k (\operatorname{Tor}_i^A(k, M)) = \dim_k H_i(k \otimes_A F) = \dim_k k \otimes_A F_i = n_i$ and $\dim_k \operatorname{Ext}_A^i(M, k) = \dim_k H^i(\operatorname{Hom}_A(F, k)) = \dim_k \operatorname{Hom}_A(F_i, k) = \dim_k \operatorname{Hom}_k(k \otimes_A F_i, k) = n_i$.

(7.54) Corollary: Let A be a Noetherian ring and M a finitely generated A -module. Then $\operatorname{projdim} M = \operatorname{fldim} M$.

Proof: By (7.49) we may assume that A is local with residue field k . Obviously, $\operatorname{projdim} M \geq \operatorname{fldim} M$. By (7.47), $b_i(M) = \operatorname{Tor}_i^A(k, M) = 0$ for $i > \operatorname{fldim} M$. Thus by (7.53) the minimal free resolution of M has length $\leq \operatorname{fldim} M$.

(7.55) Corollary: Let (A, \mathfrak{m}) be a Noetherian local ring with residue field k . Then $\operatorname{gldim} A = \operatorname{projdim}_A k = \operatorname{fldim}_A k = \operatorname{injdim}_A k$.

Proof: By (7.54) and (7.43) it suffices to prove that for every finitely generated A -module M , $\operatorname{projdim}_A M \leq \operatorname{projdim}_A k$ and $\operatorname{projdim}_A M \leq \operatorname{injdim}_A k$. However, $\operatorname{Tor}_i^A(k, M) = 0$ for $i > \operatorname{projdim}_A k$ and $\operatorname{Ext}_A^i(M, k) = 0$ for $i > \operatorname{injdim}_A k$. Now use (7.53).

Minimal injective resolutions

(7.56) Definition: An A -module M is called indecomposable if $M = M_1 \oplus M_2$ implies $M_1 = 0$ or $M_2 = 0$. Otherwise it is called decomposable.

In the following the injective hull of an A -module M is denoted by $E(M)$ or $E_A(M)$.

(7.57) Remarks: Let A be a ring, M an A -module, and $E \subseteq M$ an injective submodule. Then $M = E \oplus F$ for some submodule $F \subseteq M$.

Proof: Consider the diagram $0 \rightarrow E \xrightarrow{i} M$ where i is the embedding. Since E is injective,

$$\begin{array}{ccc} 0 & \rightarrow & E \xrightarrow{i} M \\ & & \downarrow \text{id} \nearrow f \\ & & E \end{array}$$

there is an A -linear map $f: M \rightarrow E$ with $f \circ i = \text{id}_E$. Then $M = E \oplus \ker f$.

(7.58) Proposition: Let A be a Noetherian ring and $P \subseteq A$ a prime ideal.

- $E_A(A/P)$ is indecomposable.
- Any indecomposable injective A -module is of the form $E_A(A/Q)$ for some $Q \in \text{Spec}(A)$.

Proof: (a) Let $N_1, N_2 \subseteq E(A/P)$ be nonzero submodules. Since $E(A/P)$ is an essential extension of A/P , $N_1 \cap A/P = K_1 \neq 0$ and $N_2 \cap A/P = K_2 \neq 0$. K_1 and K_2 are nonzero ideals of the domain A/P , thus $0 \neq K_1 K_2 \subseteq K_1 \cap K_2 \subseteq N_1 \cap N_2$.

(b) Let N be an indecomposable injective A -module. Since A is Noetherian, $\text{Ass}_A(N) \neq \emptyset$. Let $Q \in \text{Ass}_A(N)$, then $A/Q \subseteq N$. Since N is injective there is an A -linear map $\varphi: E(A/Q) \rightarrow N$ which extends the embedding $A/Q \hookrightarrow N$. $\ker(\varphi) = (0)$ since $E(A/Q)$ is an essential extension of A/Q and $A/Q \cap \ker(\varphi) = (0)$. $E(A/Q)$ is isomorphic to a submodule of N . By (7.57): $N \cong E(A/Q)$.

(7.59) Proposition: Let A be a Noetherian ring and $P \subseteq A$ a prime ideal.

- (a) For every $a \in A - P$ multiplication by a induces an automorphism on $E(A/P)$.
- (b) If $Q \in \text{Spec}(A)$ with $P \neq Q$, then $E(A/P) \not\cong E(A/Q)$.
- (c) For every $\zeta \in E(A/P)$ there is an $n \in \mathbb{N}$ with $P^n \zeta = 0$.

Proof: (a) Let $\varphi: E(A/P) \rightarrow E(A/P)$ with $\varphi(\zeta) = a\zeta$ be the multiplication by a . Since $\ker(\varphi) \cap A/P = (0)$, it follows that $\ker(\varphi) = (0)$ and therefore $E(A/P) \cong \text{im}(\varphi)$. $\text{im}(\varphi)$ is an injective submodule of $E(A/P)$ with $A/P \subseteq \text{im}(\varphi)$. Thus $E(A/P) = \text{im}(\varphi)$.

(b) If $P \neq Q$, every element $a \in P - Q$ is a regular element on $E(A/Q)$ but not on $E(A/P)$.

(c) Since $A/P \subseteq E(A/P)$, $\text{Ass}_A(A/P) = \{P\} \subseteq \text{Ass}_A(E(A/P))$. Let $Q \in \text{Ass}_A(E(A/P))$. Then $N = A/Q \subseteq E(A/P)$ and $N \cap A/P \neq (0)$. Therefore $Q \in \text{Ass}_A(A/P)$ and $P = Q$. This shows that $\text{Ass}_A(E(A/P)) = \{P\}$. If $\zeta \in E(A/P)$, then $A\zeta \cong A/\text{ann}(\zeta)$ is a submodule of $E(A/P)$ and thus $\text{Ass}_A(A/\text{ann}(\zeta)) = \{P\}$. Hence $\text{ann}(\zeta)$ is P -primary.

(7.60) Proposition: Let A be a Noetherian ring and $Q \subseteq P \subseteq A$ prime ideals. Then:

- (a) $E_A(A/Q)$ is an A_P -module.
- (b) $E_A(A/Q) = E_{A_P}(A_P/QA_P)$.

Proof: (a) By (7.59) for every $a \in A - P \subseteq A - Q$ multiplication by a is an isomorphism of $E_A(A/Q)$. Thus $E_A(A/Q)$ is an A_P -module.

(b) By (a): $A/Q \subseteq (A/Q)_P \subseteq E_A(A/Q)$ and (the A_P -module) $E_A(A/Q)$ is an essential extension of the A_P -module $(A/Q)_P$. It remains to show that $E_A(A/Q)$ is injective as an A_P -module. Consider the diagram of A_P -modules and A_P -linear maps:

$$\begin{array}{ccc} 0 & \longrightarrow & N \xrightarrow{f} M \\ & & \downarrow g \quad \swarrow h \\ & & E(A/Q) \end{array}$$

Since f and g are A -linear there is an A -linear map $h: M \rightarrow E(A/Q)$ with $hf = g$. h is also A_P -linear and $E(A/Q)$ is an injective A_P -module.

(7.61) Example: Let A be a DVR with maximal ideal $\mathfrak{m} = (p)$, field of quotients $K = Q(A)$, and residue class field $k = A/\mathfrak{m}$. Then $E_A(A) = K$ and $E_A(k) = K/A$.

Proof: Let $I = (p^r)$ be an ideal of A and $f: I \rightarrow K/A$ an A -linear map. We need to extend f to an A -linear map $g: A \rightarrow K/A$. Let $f(p^r) = [\alpha]$ for some $\alpha \in K$. Define $g: A \rightarrow K/A$ by $g(1) = [\alpha/p^r]$. Thus g extends f and K/A is an injective A -module. Moreover, $k = A/pA \cong p^{-1}A/A \subseteq K/A$. If $\beta \in K$ with $[\beta] \neq 0$ in K/A , then $\beta = u/p^n$ for some $u \in A^*$ and $n > 0$. Then $p^{n-1}[\beta] = [p^{n-1}\beta] = [p^{-1}u] \in k$ and K/A is an essential extension of A .

(7.62) Lemma: Let A be a Noetherian ring, $P \in \text{Spec } A$, and M an A -module. Then:

- $\text{Ass}_A(E(M)) = \text{Ass}_A(M)$
- $\text{Hom}_{A_P}(k(P), E(A/P)_P) \cong k(P)$.

Proof: (a) Since $\text{Ass}(M) \subseteq \text{Ass}(E(M))$, it suffices to show that $\text{Ass}(E(M)) \subseteq \text{Ass}(M)$. Let $Q \in \text{Ass}(E(M))$. Then there exists a submodule $N \subseteq E(M)$ with $N \cong A/Q$. Since $E(M)$ is an essential extension of M , $N \cap M \neq 0$. Thus $\emptyset \neq \text{Ass}(N \cap M) \subseteq \text{Ass}(N) = \{Q\}$. Hence $\{Q\} = \text{Ass}(N \cap M) \subseteq \text{Ass}(M)$.

(b) By (7.60) $E(A/P)_P = E(A/P) = E_{A_P}(k(P))$. Thus we may replace A by A_P to assume that A is local with maximal ideal $P = \mathfrak{m}$ and residue field $k = A/\mathfrak{m}$. We have to show that $\text{Hom}_A(k, E(k)) \cong k$. $\text{Hom}_A(k, E(k))$ can be identified with $0 :_{E(k)} \mathfrak{m} \subseteq E(k)$. Obviously, $k \subseteq 0 :_{E(k)} \mathfrak{m}$. Suppose $k \not\subseteq 0 :_{E(k)} \mathfrak{m}$. Then the k -vector space $0 :_{E(k)} \mathfrak{m}$ contains a nontrivial subspace N with $N \cap k = 0$. But this is impossible, since $k \subseteq E(k)$ is an essential extension.

(7.63) Theorem: Let A be a Noetherian ring and E an injective A -module. Then:

- E is a direct sum of indecomposable injective A -modules.
- For $P \in \text{Spec}(A)$, $E(A/P)$ appears in this decomposition if and only if $P \in \text{Ass}(E)$. The multiplicity with which $E(A/P)$ appears is $\dim_{k(P)} \text{Hom}_{A_P}(k(P), E_P)$. In particular,

the direct sum decomposition of E is unique.

Proof: (a) Let $\Gamma = \{S \mid S \text{ a set of indecomposable injective submodules of } E \text{ with } \sum_{I \in S} I = \bigoplus_{I \in S} I\}$ be partially ordered by inclusion. If $P \in \text{Ass}(E)$, then $E(A/P) \subseteq E$ and $\Gamma \neq \emptyset$. By Zorn's Lemma Γ has a maximal element S . Set $E' = \bigoplus_{I \in S} I$. Since A is Noetherian, E' is injective (Homework). Thus $E = E' \oplus E''$ by (7.57). If $E'' = 0$, we are done. If $E'' \neq 0$, there exists $P \in \text{Ass}(E'')$ and $E(A/P) \subseteq E''$ since E'' is injective (6.24). Thus $E' \cap E(A/P) = 0$. By (7.58) $E(A/P)$ is an indecomposable injective submodule of E and $S \not\supseteq S \cup \{E(A/P)\} \in \Gamma$, contradicting the maximality of S .

(b) Let $E = \bigoplus_{I \in S} I$, where $I \neq 0$ are indecomposable injective submodules of E . Then each I is of the form $E(A/P)$ for some $P \in \text{Spec}(A)$ and $\text{Ass}(E(A/P)) = \{P\}$ (7.62). Finally, $\text{Ass}(E) = \bigcup_{I \in S} \text{Ass}(I)$. This shows the first claim.

In order to show the second claim let $P \in \text{Ass}(E)$. Then

$$\text{Hom}_{A_P}(k(P), E_P) \cong \text{Hom}_{A_P}(k(P), \bigoplus_{I \in S} I_P) \cong \bigoplus_{I \in S} \text{Hom}_{A_P}(k(P), I_P)$$

since $k(P)$ is a finitely generated \mathbb{T}_P -module. (Homework). By (7.62) $k(P) \cong \text{Hom}_{A_P}(k(P), E(A/P)_P)$. It remains to show that $\text{Hom}_{A_P}(k(P), E(A/Q)_P) = 0$ for $P \neq Q \in \text{Spec}(A)$. If $Q \not\supseteq P$, then $Q \cap (A-P) \neq \emptyset$ and $E(A/Q)_P = 0$ by (7.59)(c). If $Q \supseteq P$ by (7.59)(a) every element $a \in P-Q$ is a NZD on $E(A/Q)$. Thus no nonzero element of $E(A/Q) = E(A/Q)_P$ is annihilated by P . Thus if $P \neq Q$, $\text{Hom}_{A_P}(k(P), E(A/Q)_P) = 0$.

(7.64) Definition: A minimal injective resolution of a module M is an injective resolution (E', ∂') so that $E^i = E_A(Z^i(E'))$ for all i .

(7.65) Remark: Let M be an A -module. Then

- (a) M has a minimal injective resolution E'
- (b) E' is unique up to isomorphism.
- (c) If I' is an injective resolution of M , then E' is isomorphic to a direct summand of I' .

(7.66) Definition: Let A be a Noetherian ring and M a finitely generated A -module. For $P \in \text{Spec}(A)$: $\mu_i(P, M) = \dim_{k(P)} \text{Ext}_{A_P}^i(k(P), M_P)$ is called the i -th Bass number of M with respect to P .

(7.67) Remark: The Bass numbers $\mu_i(P, M)$ are finite, as can be seen by taking a free A -resolution F_\bullet of $k(P)$ where all F_j are finite.

(7.68) Theorem: Let A be a Noetherian ring and M a finitely generated A -module. If E^\bullet is a minimal injective A -resolution of M then $E^i \cong \bigoplus_P E(A/P)^{\mu_i(P, M)}$, where P runs over $\text{Spec}(A)$.

Proof: By (7.63) we have to show that $\dim_{k(P)} \text{Hom}_{A_P}(k(P), E_P^i) = \mu_i(P, M)$ for every prime ideal $P \in \text{Spec}(A)$. Fix $P \in \text{Spec}(A)$. Since E_P^\bullet is a minimal injective A_P -resolution of M_P (Homework), we may replace A by A_P . Write \mathfrak{m} for the maximal ideal of A and k for A/\mathfrak{m} . It suffices to show $\text{Hom}_A(k, E^i) \cong \text{Ext}_A^i(k, M)$. Since $\text{Ext}_A^i(k, M) = H^i(\text{Hom}_A(k, E^\bullet))$, this will follow once we have shown that the differential on $\text{Hom}_A(k, E^\bullet)$ is trivial. Note that $\text{Hom}_A(k, E^\bullet) \cong C^\bullet$ where C^\bullet is the subcomplex of E^\bullet with $C^i = 0 \oplus_{E^i} \mathfrak{m}$. If $0 \rightarrow M \xrightarrow{\partial^{-1}} E^0 \xrightarrow{\partial^0} E^1 \xrightarrow{\partial^1} E^2 \rightarrow \dots$ then $\partial^i(C^i) = 0$ for all $i \geq 0$ if $C^i \subseteq \text{im } \partial^{i-1}$. Let $x \in C^i$. Since the extension $\text{im } \partial^{i-1} \subseteq E^i$ is essential, there is an $a \in A$ with $0 \neq ax \in \text{im } \partial^{i-1}$. As $mx = 0$ it follows that $a \in A - \mathfrak{m} = A^*$ and $x \in \text{im } \partial^{i-1}$.