

CHAPTER VI: HOMOLOGICAL ALGEBRA I

Throughout this chapter: R is a commutative ring with identity, M, N, \dots are R -modules, maps are R -linear. $\mathcal{M}(R)$ denotes the category of R -modules and R -linear maps.

§1: THE HOM FUNCTORS

(6.1) Definition: The set of R -linear maps from M to N is denoted by

$$\text{Hom}_R(M, N) = \{ f: M \rightarrow N \mid f \text{ } R\text{-linear} \}.$$

(6.2) Remark: $\text{Hom}_R(M, N)$ is an R -module under the operations: For $f, g \in \text{Hom}_R(M, N)$, $a \in R$, $m \in M$ set $(f+g)(m) = f(m) + g(m)$ and $(af)(m) = a f(m)$. If R is not commutative, $\text{Hom}_R(M, N)$ is an abelian group, but, in general, not an R -module.

(6.3) Definition: Let $\alpha: N \rightarrow N'$ and $\beta: M \rightarrow M'$ be R -linear maps of R -modules.

(a) α induces an R -linear map $\text{Hom}(M, \alpha) = \alpha_*: \text{Hom}_R(M, N) \rightarrow \text{Hom}_R(M, N')$ defined by $\alpha_*(f) = \alpha f$ for all $f \in \text{Hom}_R(M, N)$.

(b) β induces an R -linear map $\text{Hom}(\beta, N) = \beta^*: \text{Hom}_R(M', N) \rightarrow \text{Hom}_R(M, N)$ defined by $\beta^*(g) = g\beta$ for all $g \in \text{Hom}_R(M', N)$.

(6.4) Remark: (a) Obviously, $\text{Hom}_R(M, \text{id}_N) = (\text{id}_N)_* = \text{id}_{\text{Hom}_R(M, N)}$ and for R -linear maps $N_1 \xrightarrow{\alpha_1} N_2 \xrightarrow{\alpha_2} N_3$, $(\alpha_2 \alpha_1)_* = \alpha_{2*} \alpha_{1*}$. Thus for a fixed R -module M $\text{Hom}_R(M, -)$ is a covariant functor from $\mathcal{M}(R)$ to $\mathcal{M}(R)$.

(b) Similarly, for a fixed R -module N , $\text{Hom}(\text{id}_M, N) = (\text{id}_M)^* = \text{id}_{\text{Hom}_R(M, N)}$ and for R -linear maps $M_1 \xrightarrow{\beta_1} M_2 \xrightarrow{\beta_2} M$, $(\beta_2 \beta_1)^* = \beta_1^* \beta_2^*$. $\text{Hom}_R(-, N)$ is a contravariant functor from $\mathcal{M}(R)$ to $\mathcal{M}(R)$.

(6.5) Definition: Let $F: \mathcal{M}(R) \rightarrow \mathcal{M}(R)$ be a functor (contravariant functor).

(a) F is called an additive functor if for any two R -modules M, M' the induced map $\text{Hom}_R(M, M') \rightarrow \text{Hom}_R(F(M), F(M'))$ ($\text{Hom}_R(M, M') \rightarrow \text{Hom}_R(F(M'), F(M))$, resp.) is a homomorphism of abelian groups.

(b) If F is additive, F is called left exact if whenever $0 \rightarrow M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \rightarrow 0$ is an exact sequence, then $0 \rightarrow F(M') \xrightarrow{F(\alpha)} F(M) \xrightarrow{F(\beta)} F(M'') \rightarrow 0$ is exact.

(c) If F is additive, F is called right exact if whenever $M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \rightarrow 0$ is an exact sequence, then $F(M') \xrightarrow{F(\alpha)} F(M) \xrightarrow{F(\beta)} F(M'') \rightarrow 0$ is exact.

(d) F is exact if F is left and right exact.

(6.6) Proposition: $\text{Hom}_R(M, -)$ and $\text{Hom}_R(-, N)$ are additive functors.

Proof: Homework

(6.7) Theorem: $\text{Hom}_R(M, -)$ and $\text{Hom}_R(-, N)$ are left exact functors.

Proof: (a) $\text{Hom}_R(M, -)$ is left exact. Let $0 \rightarrow N' \xrightarrow{\alpha} N \xrightarrow{\beta} N''$ be an exact sequence.

α_* is injective: Let $f \in \text{Hom}_R(M, N')$ with $\alpha_*(f) = \alpha f = 0$. Since α is injective, $f = 0$.

$\text{im } \alpha_* \subseteq \ker \beta_*$: By assumption $\beta \alpha = 0$. Thus $\beta_* \alpha_* = (\beta \alpha)_* = 0_* = 0$.

$\ker \beta_* \subseteq \text{im } \alpha_*$: Let $f \in \ker \beta_*$. Then $\beta_*(f) = \beta f = 0$ and $f(M) \subseteq \ker \beta = \text{im } \alpha \cong N'$. There is an R -linear map $f': M \rightarrow N'$ with $\alpha f' = f$ and $f = \alpha_*(f') \in \text{im } (\alpha_*)$.

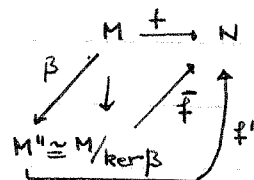
(b) $\text{Hom}_R(-, N)$ is left exact. Let $M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \rightarrow 0$ be an exact sequence.

β^* is injective: Let $f \in \text{Hom}_R(M'', N)$ with $\beta^*(f) = f \beta = 0$. Since β is surjective, $f = 0$.

$\text{im } \beta^* \subseteq \ker \alpha^*$: Since $\beta \alpha = 0$, $(\beta \alpha)^* = \alpha^* \beta^* = 0^* = 0$.

$\ker \alpha^* \subseteq \text{im } \beta^*$: Let $f \in \ker \alpha^*$, i.e. $f: M \rightarrow N$ with $\alpha^*(f) = f \alpha = 0$.

Then $f(\text{im } \alpha) = 0$ and since $\text{im } \alpha = \ker \beta$, $f(\ker \beta) = 0$. Thus f factors and there is an R -linear map $f'': M'' \rightarrow N$ with $f'' \beta = f$.



(6.8) Remark: In general, neither $\text{Hom}_R(M, -)$ nor $\text{Hom}_R(-, N)$ are right exact. Consider the exact sequence of \mathbb{Z} -modules $0 \rightarrow \mathbb{Z} \xrightarrow{\alpha} \mathbb{Z} \xrightarrow{\beta} \mathbb{Z}/(2) \rightarrow 0$ where $\alpha(n) = 2n$ for all $n \in \mathbb{Z}$ and β is the natural map.

(a) Let $M = \mathbb{Z}/(2)$. The sequence $0 \rightarrow \text{Hom}_{\mathbb{Z}}(\mathbb{Z}/(2), \mathbb{Z}) \xrightarrow{\alpha^*} \text{Hom}_{\mathbb{Z}}(\mathbb{Z}/(2), \mathbb{Z}) \xrightarrow{\beta_*} \text{Hom}_{\mathbb{Z}}(\mathbb{Z}/(2), \mathbb{Z}/(2)) \rightarrow 0$ is not exact since $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/(2), \mathbb{Z}) = 0$ but $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/(2), \mathbb{Z}/(2)) \neq 0$.

(b) Let $N = \mathbb{Z}$. The sequence $0 \rightarrow \text{Hom}_{\mathbb{Z}}(\mathbb{Z}/(2), \mathbb{Z}) \xrightarrow{\beta^*} \text{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z}) \xrightarrow{\alpha^*} \text{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z}) \rightarrow 0$ is not exact. For all $f: \mathbb{Z} \rightarrow \mathbb{Z}$, $\alpha^*(f) = f\alpha$ and $f(\alpha(n)) = f(2n) = 2f(n)$. Thus $\text{im}(\alpha^*(f)) \subseteq (2)$.

(6.9) Definition: An R -module P is called projective if for every surjective R -linear map $\beta: M \rightarrow N$ and every R -linear map $\alpha: P \rightarrow N$ there is an R -linear map $\gamma: P \rightarrow M$ so that $\alpha = \beta\gamma$.

$$\begin{array}{ccc} & & P \\ & \nearrow \gamma & \downarrow \alpha \\ M & \xrightarrow{\beta} & N \rightarrow 0 \end{array}$$

(6.10) Theorem: An R -module P is projective if and only if the functor $\text{Hom}_R(P, -)$ is exact, that is, for every exact sequence $0 \rightarrow N' \xrightarrow{\alpha} N \xrightarrow{\beta} N'' \rightarrow 0$ of R -modules the sequence $0 \rightarrow \text{Hom}_R(P, N') \xrightarrow{\alpha_*} \text{Hom}_R(P, N) \xrightarrow{\beta_*} \text{Hom}_R(P, N'') \rightarrow 0$ is exact.

Proof: " \Rightarrow ": Since $\text{Hom}_R(P, -)$ is left exact, the sequence $0 \rightarrow \text{Hom}_R(P, N') \xrightarrow{\alpha_*} \text{Hom}_R(P, N) \xrightarrow{\beta_*} \text{Hom}_R(P, N'')$ is exact. Let $f \in \text{Hom}_R(P, N'')$. Since P is projective, there is a $g \in \text{Hom}_R(P, N)$ with $\beta_*g = f$ and β_* is surjective.

" \Leftarrow ": Suppose that there are given R -linear maps

$$\begin{array}{ccc} & & P \\ & & \downarrow f \\ M & \xrightarrow{\beta} & N \rightarrow 0 \end{array}$$

with β surjective. Consider the short exact sequence $0 \rightarrow \ker \beta \xrightarrow{\alpha} M \xrightarrow{\beta} N \rightarrow 0$. By assumption $\text{Hom}_R(P, M) \xrightarrow{\beta_*} \text{Hom}_R(P, N)$ is surjective. P is projective.

(6.11) Proposition: Every free R -module is projective.

Proof: Let F be a free R -module with basis $\{e_i\}_{i \in I}$. Consider

$$\begin{array}{ccc} & & F \\ & & \downarrow f \\ M & \xrightarrow{\beta} & N \rightarrow 0 \end{array}$$

where f, β are R -linear and β surjective. For every $i \in I$ choose an element $m_i \in M$ with $\beta(m_i) = f(e_i)$. Since F is free there is an R -linear map $g: F \rightarrow M$ with $g(e_i) = m_i$ for all $i \in I$. Then $\beta g = f$.

(6.12) Proposition: Let $0 \rightarrow M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \rightarrow 0$ be an exact sequence of R -modules and R -linear maps. The following are equivalent:

- (a) There is an R -linear map $\gamma: M \rightarrow M'$ with $\gamma\alpha = \text{id}_{M'}$.
- (b) There is an R -linear map $\delta: M'' \rightarrow M$ with $\beta\delta = \text{id}_{M''}$.
- (c) $M = \text{im}(\alpha) \oplus N$ for a submodule $N \subseteq M$.

The submodule N of (c) is isomorphic to M'' .

Proof: (a) \Rightarrow (c): Let $\gamma: M \rightarrow M'$ with $\gamma\alpha = \text{id}_{M'}$. Set $N = \ker(\gamma)$. For $m \in M$, $m - \alpha\gamma(m) \in \ker(\gamma)$ and $M = \text{im}(\alpha) + N$. If $n \in \text{im}(\alpha) \cap N$ then $n = \alpha(t)$ for some $t \in M'$ and $\gamma(n) = 0 = \gamma\alpha(t) = t$. Thus $M = \text{im}(\alpha) \oplus N$.

(c) \Rightarrow (a): Define $\gamma: M \rightarrow M'$ by $\gamma = \tilde{\alpha}p$ where $p: \text{im}(\alpha) \oplus N \rightarrow \text{im}(\alpha)$ is the projection and $\tilde{\alpha}: \text{im}(\alpha) \rightarrow M'$ is defined by $\tilde{\alpha}(\alpha(m)) = m$ (since $M' \cong \text{im}(\alpha)$). Then $\gamma\alpha = \text{id}_{M'}$.

(b) \Rightarrow (c): Let $\delta: M'' \rightarrow M$ be such that $\beta\delta = \text{id}_{M''}$. Set $N = \text{im}(\delta) \cong M''$. For $m \in M$, $m - \delta\beta(m) \in \ker(\beta) = \text{im}(\alpha)$ and $M = \text{im}(\alpha) + N$. If $n \in \text{im}(\alpha) \cap N$ then $n = \delta(t)$ for some $t \in M''$ and $\beta(n) = 0 = \beta\delta(t) = t$. Hence $M = \text{im}(\alpha) \oplus N$.

(c) \Rightarrow (b): Define $\delta: M'' \rightarrow M$ as follows: since $\text{im}(\alpha) = \ker(\beta)$ and $M'' \cong M/\ker(\beta)$ the R -linear map $\beta|_N: N \rightarrow M''$ is an isomorphism. Let $\delta = i(\beta|_N)^{-1}$ where $i: N \rightarrow M$ is the embedding. Then $\beta\delta = \text{id}_{M''}$.

(6.13) Definition: An exact sequence $0 \rightarrow M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \rightarrow 0$ is called split (or split exact) if there is an R -linear map $\delta: M'' \rightarrow M$ with $\beta\delta = \text{id}_{M''}$ (or equivalently, if there is an R -linear map $\gamma: M \rightarrow M'$ with $\gamma\alpha = \text{id}_{M'}$).

(6.14) Proposition: Let $0 \rightarrow M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \rightarrow 0$ be a split exact sequence of

R -modules and R -linear maps, $F: \mathcal{M}(R) \rightarrow \mathcal{M}(R)$ a left (right) exact functor (contra-variant functor). Then $0 \rightarrow F(M') \xrightarrow{F(\alpha)} F(M) \xrightarrow{F(\beta)} F(M'') \rightarrow 0$ (or if F is contravariant $0 \rightarrow F(M'') \xrightarrow{F(\beta)} F(M) \xrightarrow{F(\alpha)} F(M') \rightarrow 0$) is split exact.

Proof: Let $\gamma: M \rightarrow M'$ with $\gamma\alpha = \text{id}_{M'}$, and $\delta: M'' \rightarrow M$ with $\beta\delta = \text{id}_{M''}$. Then $F(\gamma\alpha) = F(\gamma)F(\alpha) = F(\text{id}_{M'}) = \text{id}_{F(M')}$ and $F(\beta\delta) = F(\beta)F(\delta) = F(\text{id}_{M''}) = \text{id}_{F(M'')}$ (or in the contra-variant case $F(\gamma\alpha) = F(\alpha)F(\gamma) = \text{id}_{F(M')}$ and $F(\beta\delta) = F(\delta)F(\beta) = \text{id}_{F(M'')}$.)

(6.15) Proposition: Every direct summand of a projective module is projective.

Proof: Let P be a projective module, $N, Q \subseteq P$ submodules, and $P = N \oplus Q$. A diagram

of R -linear maps

$$M \xrightarrow{\beta} M' \rightarrow 0$$

can be extended to

$$\begin{array}{ccc} & & P \\ & \nearrow g & \downarrow \pi \\ M & \xrightarrow{\beta} & M' \rightarrow 0 \\ & & \downarrow i \\ & & Q \end{array}$$

where $\pi: P \rightarrow Q$ is the projection and $i: Q \rightarrow P$ is

the embedding. Note that $\pi i = \text{id}_Q$. Since P is projective, there is an R -linear map $g: P \rightarrow M$ with $\beta g = \pi$. Then $\beta(gi) = \pi(i) = \text{id}_Q$ and Q is projective.

(6.16) Proposition: Let P be an R -module. The following are equivalent:

- P is projective.
- P is (isomorphic to) a direct summand of a free module.
- Every exact sequence $0 \rightarrow N \rightarrow M \rightarrow P \rightarrow 0$ is split exact.

Proof: (c) \Rightarrow (b): Every module is a homomorphic image of a free module. Consider an exact sequence $0 \rightarrow N \rightarrow F \rightarrow P \rightarrow 0$ with F a free R -module. By (6.12) P is isomorphic to a direct summand of F .

(b) \Rightarrow (a): By (6.11) and (6.16).

(a) \Rightarrow (c): If $0 \rightarrow N \rightarrow M \xrightarrow{\beta} P \rightarrow 0$ is exact, consider

$$\begin{array}{ccc} & & P \\ & \nearrow \delta & \downarrow \text{id}_P \\ M & \xrightarrow{\beta} & P \rightarrow 0 \end{array}$$

Since P is projective, there is an R -linear map $\delta: P \rightarrow M$ with $\beta\delta = \text{id}_P$.

(6.17) Examples: (a) Let $R = \mathbb{Z}/(6)$. By the Chinese remainder theorem $R \cong \mathbb{Z}/(2) \oplus \mathbb{Z}/(3)$.

$P = \mathbb{Z}/(2)$ is a projective R -module, but P is not a free R -module.

(b) Let R be a Dedekind domain which is not factorial (for example, $\mathbb{Z}[\sqrt{-5}]$). We will show later that every nonzero ideal of R is projective. An ideal I of a domain R is free if and only if $I \neq (0)$ and I is principal. Moreover, every PID is factorial. Thus every nonprincipal ideal of R is projective but not free.

(6.18) Definition: An R -module E is called injective if for every R -linear map $\alpha: N \rightarrow M$ and every R -linear map $\delta: N \rightarrow E$ there is an R -linear map $\sigma: M \rightarrow E$ which extends δ , that is, $\delta = \sigma\alpha$.

$$\begin{array}{ccc} 0 & \rightarrow & N & \xrightarrow{\alpha} & M \\ & & \delta \downarrow & & \swarrow \sigma \\ & & E & & \end{array}$$

(6.19) Theorem: An R -module E is injective if and only if $\text{Hom}_R(-, E)$ is exact, that is, for every exact sequence of R -modules $0 \rightarrow M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \rightarrow 0$ the sequence $0 \rightarrow \text{Hom}_R(M'', E) \xrightarrow{\beta^*} \text{Hom}_R(M, E) \xrightarrow{\alpha^*} \text{Hom}_R(M', E) \rightarrow 0$ is exact.

Proof: If E is injective then $\text{Hom}_R(M, E) \xrightarrow{\alpha^*} \text{Hom}_R(M', E)$ is surjective by the definition of injective modules. Conversely, suppose that $\text{Hom}_R(-, E)$ is exact and consider a diagram

$$\begin{array}{ccc} 0 & \rightarrow & N & \xrightarrow{\alpha} & M \\ & & \delta \downarrow & & \\ & & E & & \end{array}$$

with α injective. Set $N' = \text{coker}(\alpha)$. Then the sequence $0 \rightarrow N \xrightarrow{\beta} N' \rightarrow 0$ is exact and so is the sequence $0 \rightarrow \text{Hom}_R(N', E) \xrightarrow{\beta^*} \text{Hom}_R(M, E) \xrightarrow{\alpha^*} \text{Hom}_R(N, E) \rightarrow 0$. Thus there is a $\sigma \in \text{Hom}_R(M, E)$ with $\alpha^*(\sigma) = \sigma\alpha = \delta$.

(6.20) Remark: It is easy to show that the direct product of injective modules is injective. The direct sum of injective modules may not be injective (but finite direct sums are). There is a theorem which states that a commutative ring R is Noetherian if and only if every direct sum of injective R -modules is injective.

(6.21) Proposition: Every direct summand D of an injective R -module E is injective.

Proof: Similar to the proof of (6.15).

(6.22) Theorem: For an R -module E the following conditions are equivalent:

- (a) E is injective.
- (b) Every exact sequence $0 \rightarrow E \rightarrow M \rightarrow N \rightarrow 0$ is split exact.

Proof: (a) \Rightarrow (b): Let $0 \rightarrow E \xrightarrow{i} M \rightarrow N \rightarrow 0$ be exact. Since E is injective, there is a map $g: M \rightarrow E$ such that

$$\begin{array}{ccc} 0 & \rightarrow & E \xrightarrow{i} M \\ & & \text{id} \downarrow \swarrow g \\ & & E \end{array}$$

commutes. The sequence splits.

(b) \Rightarrow (a): Consider a diagram $0 \rightarrow K \xrightarrow{\alpha} M$ with α injective. Set $T = E \oplus M/W$

$$\begin{array}{ccc} 0 & \rightarrow & K \xrightarrow{\alpha} M \\ & & \downarrow f \\ & & E \end{array} \quad (*)$$

where $W = \{ (f(m), -\alpha(m)) \mid m \in K \}$. W is a submodule of $E \oplus M$. Consider the R -linear map $\alpha': E \rightarrow T$ defined by $\alpha'(e) = (e, 0) + W$ and $f': M \rightarrow T$ defined by $f'(m) = (0, m) + W$.

Then diagram (*) extends to the diagram

$$\begin{array}{ccc} 0 & \rightarrow & K \xrightarrow{\alpha} M \\ & & \downarrow f \\ & & E \end{array} \xrightarrow{\alpha'} \begin{array}{ccc} & & \\ & & \downarrow f' \\ & & T \end{array} \quad (**)$$

(**) is commutative: For all $m \in K$: $\alpha' f'(m) = (f(m), 0) + W = (f(m), 0) - (f(m), -\alpha(m)) + W = (0, \alpha(m)) + W = f' \alpha(m)$.

α' is injective: Let $e \in E$ with $\alpha'(e) = (e, 0) + W = (0, 0)$. Then there is an element $m \in K$ with $(e, 0) = (f(m), -\alpha(m))$ in $E \oplus M$. Thus $\alpha(m) = 0$ and $m = 0$ since α is injective. Hence $e = 0$.

By assumption (b) there is a map $\beta: T \rightarrow E$ with $\beta \alpha' = \text{id}_E$. Let $g = \beta f'$. Then $g \alpha = \beta f' \alpha = \beta \alpha' f = f$. E is an injective R -module.

(6.23) Remark: The module T together with the maps α' and f' (in the proof of (6.22)) is called the pushout of the diagram

$$\begin{array}{ccc} K & \xrightarrow{\alpha} & M \\ \downarrow f & & \\ E & & \end{array}$$

§2: THE TENSOR FUNCTORS

For a fixed R -module M there is a covariant functor $M \otimes_R -$ from the category of R -modules $\mathcal{M}(R)$ into itself. $M \otimes_R -$ is defined as follows: for every R -module N set $(M \otimes_R -)(N) = M \otimes_R N$ and for every R -linear map $\varphi: N \rightarrow N'$, $(M \otimes_R -)(\varphi) = \text{id}_M \otimes \varphi$. For a fixed R -module N the covariant functor $- \otimes_R N$ is defined accordingly.

(6.24) Theorem: (adjoint isomorphism) Let M, N, P be R -modules. Then there is an isomorphism $\text{Hom}_R(M \otimes_R N, P) \cong \text{Hom}_R(M, \text{Hom}_R(N, P))$.

Proof: Set $\text{Bilin}_R(M \times N, P) = \{\varphi: M \times N \rightarrow P \mid \varphi \text{ } R\text{-bilinear}\}$. $\text{Bilin}_R(M \times N, P)$ is naturally an R -module which is isomorphic to $\text{Hom}_R(M \otimes_R N, P)$. Thus it suffices to show that the R -modules $\text{Bilin}_R(M \times N, P)$ and $\text{Hom}_R(M, \text{Hom}_R(N, P))$ are isomorphic. Define:

$$\begin{array}{ccc} \Phi: \text{Bilin}_R(M \times N, P) & \longrightarrow & \text{Hom}_R(M, \text{Hom}_R(N, P)) \\ \varphi & \longmapsto & \Phi(\varphi): M \longrightarrow \text{Hom}_R(N, P) \\ & & m \longmapsto \Phi(\varphi)(m) = \varphi(m, -): N \longrightarrow P \\ & & n \longmapsto \varphi(m, n). \end{array}$$

Since φ is R -bilinear, $\Phi(\varphi)(m)$ is R -linear. Moreover, $\Phi(\varphi)$ and Φ are R -linear. Conversely,

$$\begin{array}{ccc} \Psi: \text{Hom}_R(M, \text{Hom}_R(N, P)) & \longrightarrow & \text{Bilin}_R(M \times N, P) \\ \gamma & \longmapsto & \Psi(\gamma): M \times N \longrightarrow P \\ & & (m, n) \longmapsto \gamma(m)(n). \end{array}$$

$\Psi(\gamma)$ is R -bilinear and Ψ is R -linear. Φ and Ψ are inverse to each other, that is, $\Psi \circ \Phi = \text{id}_{\text{Bilin}}$ and $\Phi \circ \Psi = \text{id}_{\text{Hom}}$.

(6.25) Remark: The functors $- \otimes_R N$ and $\text{Hom}_R(N, -)$ are adjoint pairs. This means, for

$$\begin{array}{ccc} \text{all } R\text{-linear maps } \alpha: M' \rightarrow N \text{ and } & \text{Hom}_R(M \otimes_R N, P) \cong \text{Hom}_R(M, \text{Hom}_R(N, P)) & \\ \beta: P' \rightarrow P \text{ the diagrams} & (\alpha \otimes \text{id})^* \downarrow & \downarrow \alpha^* \\ & \text{Hom}_R(M' \otimes_R N, P) \cong \text{Hom}_R(M', \text{Hom}_R(N, P)) & \end{array}$$

and $\text{Hom}_R(M \otimes_R N, P') \xrightarrow{\cong} \text{Hom}_R(M, \text{Hom}_R(N, P'))$

$$\beta_* \downarrow \qquad \qquad \qquad \downarrow \beta_{**}$$

$$\text{Hom}_R(M \otimes_R N, P) \xrightarrow{\cong} \text{Hom}_R(M, \text{Hom}_R(N, P))$$

commute where the horizontal isomorphisms are the isomorphisms of (6.24).

Proof: Homework

(6.26) Lemma: Let $M' \xrightarrow{\alpha} M \xrightarrow{\beta} M''$ be a sequence of R -modules. If for all R -modules N the sequence $\text{Hom}_R(M'', N) \xrightarrow{\beta^*} \text{Hom}_R(M, N) \xrightarrow{\alpha^*} \text{Hom}_R(M', N)$ is exact, the sequence $M' \xrightarrow{\alpha} M \xrightarrow{\beta} M''$ is exact.

Proof: Suppose that $\text{Hom}_R(M'', N) \xrightarrow{\beta^*} \text{Hom}_R(M, N) \xrightarrow{\alpha^*} \text{Hom}_R(M', N)$ is exact for all N .

(a) Let $N = M''$. Then $0 = \alpha^* \beta^*(\text{id}_{M''}) = \text{id}_{M''} \beta \alpha = \beta \alpha$ and $\text{im}(\alpha) \subseteq \ker(\beta)$.

(b) In order to show $\ker(\beta) \subseteq \text{im}(\alpha)$ set $N = M/\text{im}(\alpha)$ and let $\nu: M \rightarrow M/\text{im}(\alpha)$ be the natural map. Since $\alpha^*(\nu) = \nu \alpha = 0$, there is an R -linear map $\sigma: M'' \rightarrow M/\text{im}(\alpha)$ with $\beta^*(\sigma) = \sigma \beta = \alpha$:

$$\begin{array}{ccc} M & \xrightarrow{\beta} & M'' \\ \nu \downarrow & & \swarrow \sigma \\ M/\text{im}(\alpha) & & \end{array}$$

Thus $\text{im}(\alpha) = \ker(\nu) = \ker(\sigma \beta) \supseteq \ker(\beta)$.

(6.27) Theorem: The functor $-\otimes_R N$ is right exact, that is, if $M' \rightarrow M \rightarrow M'' \rightarrow 0$ is an exact sequence of R -modules, then the sequence $M' \otimes_R N \rightarrow M \otimes_R N \rightarrow M'' \otimes_R N \rightarrow 0$ is exact.

Proof: For every R -module P the sequence:

$$0 \rightarrow \text{Hom}_R(M'', \text{Hom}_R(N, P)) \rightarrow \text{Hom}_R(M, \text{Hom}_R(N, P)) \rightarrow \text{Hom}_R(M', \text{Hom}_R(N, P))$$

is exact. Thus by (6.24) and (6.25) the sequence $0 \rightarrow \text{Hom}_R(M'' \otimes_R N, P) \rightarrow \text{Hom}_R(M \otimes_R N, P) \rightarrow \text{Hom}_R(M' \otimes_R N, P)$

is exact for every R -module P . By (6.26) $M' \otimes_R N \rightarrow M \otimes_R N \rightarrow M'' \otimes_R N \rightarrow 0$ is exact.

(6.28) Corollary: Let $I \in R$ be an ideal and M an R -module. Then $(R/I) \otimes_R M \cong M/IM$.

Proof: Consider the exact sequence $0 \rightarrow I \xrightarrow{i} R \rightarrow R/I \rightarrow 0$. Tensoring with M yields an exact sequence $I \otimes_R M \xrightarrow{i \otimes M} R \otimes_R M \rightarrow (R/I) \otimes_R M \rightarrow 0$ which can be extended to a diagram with exact rows:

$$\begin{array}{ccccccc} I \otimes_R M & \xrightarrow{i \otimes M} & R \otimes_R M & \longrightarrow & (R/I) \otimes_R M & \longrightarrow & 0 \\ \delta \downarrow & \textcircled{1} & \downarrow \cong & \textcircled{2} & \downarrow \varphi & & \\ 0 \longrightarrow & IM & \longrightarrow & M & \longrightarrow & M/IM & \longrightarrow 0 \end{array}$$

where δ is defined by $\delta(a \otimes m) = am$ and φ is induced by the R -bilinear map $\tau: (R/I) \times M \rightarrow M/IM$ with $\tau(\bar{a}, m) = \overline{am}$. Thus $\varphi(\bar{a} \otimes m) = \overline{am}$ and φ is surjective. δ is also surjective and squares $\textcircled{1}$ and $\textcircled{2}$ commute. By diagram chasing φ is an isomorphism.

(6.29) Remark: If $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ is an exact sequence of R -modules and N an R -module, in general, the sequence $0 \rightarrow M' \otimes_R N \rightarrow M \otimes_R N \rightarrow M'' \otimes_R N \rightarrow 0$ is not exact. For example, consider the sequence $(*)$ $0 \rightarrow \mathbb{Z} \xrightarrow{\sigma} \mathbb{Z} \rightarrow \mathbb{Z}/(2) \rightarrow 0$ where $\sigma(n) = 2n$ for all $n \in \mathbb{Z}$. $(*)$ is exact, but the sequence

$$0 \rightarrow \mathbb{Z} \otimes_{\mathbb{Z}} (\mathbb{Z}/(2)) \xrightarrow{\sigma \otimes \text{id}} \mathbb{Z} \otimes_{\mathbb{Z}} (\mathbb{Z}/(2)) \rightarrow (\mathbb{Z}/(2)) \otimes_{\mathbb{Z}} (\mathbb{Z}/(2)) \rightarrow 0$$

is not exact since $\mathbb{Z} \otimes_{\mathbb{Z}} (\mathbb{Z}/(2)) \cong \mathbb{Z}/(2) \neq 0$ and $\sigma \otimes \text{id}$ is the zero map.

(6.30) Definition: An R -module N is called flat over R if for all exact sequences of R -modules $0 \rightarrow M' \rightarrow M$ the sequence $0 \rightarrow M' \otimes_R N \rightarrow M \otimes_R N$ is exact.

(6.31) Proposition: Let $\{N_i\}_{i \in I}$ be a set of R -modules. The following are equivalent:

- $\bigoplus_{i \in I} N_i$ is flat over R .
- For all $i \in I$, N_i is flat over R .

Proof: If $\{M'_i\}_{i \in I}$ and $\{M_i\}_{i \in I}$ are sets of R -modules and $\{f_i: M'_i \rightarrow M_i\}_{i \in I}$ R -linear maps, then there is a unique R -linear map $\bigoplus f_i: \bigoplus M'_i \rightarrow \bigoplus M_i$ with $(\bigoplus f_i)((u_j)) = (f_i(m_j))$. Moreover, $\bigoplus f_i$ is injective if and only if f_i is injective for all $i \in I$. For an R -linear

map $\tau: M' \rightarrow M$ by (0.46) there is a commutative diagram:

$$\begin{array}{ccc} (\bigoplus_{i \in I} N_i) \otimes_R M' & \xrightarrow{\text{id} \otimes \tau} & (\bigoplus_{i \in I} N_i) \otimes_R M \\ \cong \downarrow & & \downarrow \cong \\ \bigoplus_{i \in I} (N_i \otimes_R M') & \xrightarrow{\bigoplus (\text{id} \otimes \tau)} & \bigoplus_{i \in I} (N_i \otimes_R M) \end{array}$$

where the vertical arrows are isomorphisms. The top row is injective if and only if the bottom row is.

(6.32) Corollary: Every projective module is flat. In particular, every free module is flat.

Proof: Since R is a flat R -module, by (6.31) every free R -module is flat. Projective modules are direct summands of free modules.

(6.33) Theorem: Let R be a ring, $S \subseteq R$ a multiplicative set, and M an R -module. Then

$$(S^{-1}R) \otimes_R M \cong S^{-1}M.$$

The isomorphism is natural in the following sense: if $\tau: M' \rightarrow M$ is an R -linear map

then the diagram:

$$\begin{array}{ccc} (S^{-1}R) \otimes_R M' & \xrightarrow{S^{-1}R \otimes \tau} & (S^{-1}R) \otimes_R M \\ \cong \downarrow & & \downarrow \cong \\ S^{-1}M' & \xrightarrow{S^{-1}\tau} & S^{-1}M \end{array} \text{ commutes.}$$

Proof: The R -bilinear map $\alpha: S^{-1}R \times M \rightarrow S^{-1}M$ with $\alpha(a/s, m) = am/s$ induces an R -linear map $\varphi: (S^{-1}R) \otimes_R M \rightarrow S^{-1}M$ with $\varphi((a/s) \otimes m) = am/s$. Conversely, define $\psi: S^{-1}M \rightarrow (S^{-1}R) \otimes_R M$ by $\psi(m/s) = (1/s) \otimes m$. ψ is well defined. If $m/s = m'/s'$ in $S^{-1}M$ then there is an $t \in S$ with $tsm' = ts'm$. Thus $(1/s) \otimes m = (1/tss') \otimes tsm' = (1/tss') \otimes tsm' = (1/s') \otimes m'$. ψ is R -linear and $\varphi \circ \psi = \text{id}_{S^{-1}M}$. For an element $(a/s) \otimes m \in (S^{-1}R) \otimes_R M$, $\psi \varphi((a/s) \otimes m) = \psi(am/s) = (a/s) \otimes m$. Since $\psi \varphi$ is the identity on the generators of $(S^{-1}R) \otimes_R M$, also $\varphi \psi = \text{id}_{S^{-1}M}$. It is easy to check that the isomorphism is natural.

(6.34) Remark: The isomorphism $(S^{-1}R) \otimes_R M \cong S^{-1}M$ of (6.33) is also $S^{-1}R$ -linear.

(6.35) Corollary: Let R be a ring and $S \subseteq R$ a multiplicative set. Then $S^{-1}R$ is a flat R -module.

Proof: Let $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ be an exact sequence of R -modules. By (1.51) the sequence $0 \rightarrow S^{-1}M' \rightarrow S^{-1}M \rightarrow S^{-1}M'' \rightarrow 0$ is exact. This sequence is by (6.33) naturally isomorphic to $0 \rightarrow (S^{-1}R) \otimes_R M' \rightarrow (S^{-1}R) \otimes_R M \rightarrow (S^{-1}R) \otimes_R M'' \rightarrow 0$ which has to be exact too. Thus $S^{-1}R$ is R -flat.

(6.36) Proposition: If N and L are flat R -modules then $N \otimes_R L$ is a flat R -module.

Proof: Let $\tau: M' \rightarrow M$ be an injective R -linear map. Then $\tau \otimes N: M' \otimes_R N \rightarrow M \otimes_R N$ is injective since N is R -flat. Thus $(\tau \otimes N) \otimes L: (M' \otimes_R N) \otimes_R L \rightarrow (M \otimes_R N) \otimes_R L$ is injective since L is R -flat. By (0.48) $\tau \otimes (N \otimes L): M' \otimes_R (N \otimes_R L) \rightarrow M \otimes_R (N \otimes_R L)$ is injective.

(6.37) Proposition: Let R be a ring and $S \subseteq R$ a multiplicative set. For R -modules M and N there are natural isomorphisms:

$$S^{-1}(M \otimes_R N) \cong (S^{-1}M) \otimes_R N \cong M \otimes_R (S^{-1}N) \cong (S^{-1}M) \otimes_R (S^{-1}N) \cong (S^{-1}M) \otimes_{S^{-1}R} (S^{-1}N).$$

Proof: $S^{-1}(M \otimes_R N) \cong S^{-1}R \otimes_R (M \otimes_R N) \cong (S^{-1}R \otimes_R M) \otimes_R N \cong (S^{-1}M) \otimes_R N$
 $\cong (M \otimes_R N) \otimes_R S^{-1}R \cong M \otimes_R (N \otimes_R S^{-1}R) \cong M \otimes_R (S^{-1}N)$
 $\cong S^{-1}R \otimes_R (S^{-1}R \otimes_R (M \otimes_R N)) \cong S^{-1}R \otimes_R (M \otimes_R S^{-1}N) \cong (S^{-1}M) \otimes_R (S^{-1}N)$
 $\cong (S^{-1}M \otimes_{S^{-1}R} S^{-1}R) \otimes_R N \cong (S^{-1}M) \otimes_{S^{-1}R} (S^{-1}N).$

(6.38) Theorem: Let N be an R -module. The following conditions are equivalent:

(a) A sequence of R -modules $M' \xrightarrow{f} M \xrightarrow{g} M''$ is exact if and only if the sequence $N \otimes_R M' \xrightarrow{N \otimes f} N \otimes_R M \xrightarrow{N \otimes g} N \otimes_R M''$ is exact.

(b) N is flat over R and for all $\mathfrak{m} \in \text{mSpec}(R)$, $N/\mathfrak{m}N \neq 0$.

(c) N is flat over R and whenever $N \otimes_R M = 0$ for an R -module M then $M = 0$.

(d) N is flat over R and whenever $N \otimes h = 0$ for an R -linear map $h: M' \rightarrow M$ then $h = 0$.

Proof: (a) \Rightarrow (b): Obviously, N is flat over R . For $m \in \text{mSpec}(R)$ consider the exact sequence $0 \rightarrow m \rightarrow R \rightarrow R/m \rightarrow 0$. Then $0 \rightarrow N \otimes_R m \rightarrow N \otimes_R R \rightarrow N \otimes_R (R/m) \rightarrow 0$ is exact. If $N/mN \cong N \otimes_R (R/m) = 0$ then $0 \rightarrow N \otimes_R m \rightarrow N \otimes_R R \rightarrow 0$ is exact. By (a) $0 \rightarrow m \rightarrow R \rightarrow 0$ is exact, a contradiction.

(b) \Rightarrow (c): Let M be a nonzero R -module and $x \in M - (0)$. Then $\text{ann}(x) \neq R$ and the submodule $Rx \subseteq M$ is isomorphic to $R/\text{ann}(x)$. Let $\text{ann}(x) \subseteq m$ for a maximal ideal $m \subseteq R$ and consider the exact sequence $Rx \rightarrow R/m \rightarrow 0$. Then $N \otimes_R Rx \rightarrow N \otimes_R (R/m) \rightarrow 0$ is exact. By assumption $N \otimes_R (R/m) \cong N/mN \neq 0$ implying that $N \otimes_R Rx \neq 0$. By flatness of N the sequence $0 \rightarrow N \otimes_R Rx \rightarrow N \otimes_R M$ is exact and $N \otimes_R M \neq 0$.

(c) \Rightarrow (d): Let $h: M' \rightarrow M$ be an R -linear map so that $N \otimes h: N \otimes_R M' \rightarrow N \otimes_R M$ is the zero map. With $U = \text{im}(h)$ the map h factors into $M' \xrightarrow{\alpha} U \xrightarrow{\beta} M$ where $\alpha(m) = h(m)$ for all $m \in M'$ and β is the embedding of U into M . α is surjective while β is injective and $h = \beta \alpha$. Then $N \otimes h = (N \otimes \beta)(N \otimes \alpha)$, $N \otimes \alpha$ is surjective, and by the flatness of N the map $N \otimes \beta$ is injective. Since $N \otimes h = 0$, $N \otimes \alpha = 0$ and therefore $N \otimes U = 0$. Thus $U = 0$.

(d) \Rightarrow (a): The flatness of N implies the forward direction. For the backward direction let $M' \xrightarrow{f} M \xrightarrow{g} M''$ be a sequence with $N \otimes_R M' \xrightarrow{N \otimes f} N \otimes_R M \xrightarrow{N \otimes g} N \otimes_R M''$ exact. Set $U = \text{im}(f)$ and $V = \text{ker}(g)$. Then $N \otimes (gf) = (N \otimes g)(N \otimes f) = 0$ and by assumption (d) $gf = 0$. Therefore $U \subseteq V$.

Consider the exact sequence $0 \rightarrow U \rightarrow V \rightarrow V/U \rightarrow 0$ which induces an exact sequence $0 \rightarrow N \otimes_R U \rightarrow N \otimes_R V \rightarrow N \otimes_R (V/U) \rightarrow 0$. By the flatness of N $N \otimes_R U = N \otimes_R \text{im}(f) = \text{im}(N \otimes f)$ and $N \otimes_R V = N \otimes_R \text{ker}(g) = \text{ker}(N \otimes g)$. Thus $N \otimes_R U = N \otimes_R V$ and the map $N \otimes_R V \rightarrow N \otimes_R (V/U)$ is the zero map. Thus $V \rightarrow V/U$ is the zero map and $U = V$.

(6.39) Definition: A R -module N is called faithfully flat over R if N satisfies one of the equivalent conditions of Theorem (6.38).

(6.40) Examples: (a) Every free R -module is faithfully flat over R .

(b) The R -module $\bigoplus_{m \in \text{mspec}(R)} R_m$ is faithfully flat.

(c) \mathbb{Q} is a flat \mathbb{Z} -module, but \mathbb{Q} is not faithfully flat over \mathbb{Z} .

(6.41) Remark: Let $f: R \rightarrow S$ and $g: R \rightarrow T$ be homomorphisms of rings. The R -module $D = S \otimes_R T$ is a commutative ring under the multiplication

$$\left(\sum_i b_i \otimes c_i \right) \left(\sum_j \tilde{b}_j \otimes \tilde{c}_j \right) = \sum_{i,j} b_i \tilde{b}_j \otimes c_i \tilde{c}_j.$$

The natural maps $S \rightarrow S \otimes_R T$ with $b \mapsto b \otimes 1_T$ and $T \rightarrow S \otimes_R T$ with $c \mapsto 1_S \otimes c$ are homomorphisms of rings and $S \otimes_R T$ is an $(S-T)$ -bialgebra.

§3: FLATNESS AND PROJECTIVITY

(6.42) Remark: Let R be a commutative ring with identity, $S \subseteq R$ a multiplicative subset, and M, N R -modules. There is a commutative diagram of R -modules:

$$\begin{array}{ccc} \text{Hom}_R(M, N) & \xrightarrow{\sigma} & \text{Hom}_{S^{-1}R}(S^{-1}M, S^{-1}N) \\ i \downarrow & \nearrow \varphi & \\ S^{-1}\text{Hom}_R(M, N) & & \end{array}$$

where $i = i_{\text{Hom}, S}$, $\sigma: \text{Hom}_R(M, N) \rightarrow \text{Hom}_{S^{-1}R}(S^{-1}M, S^{-1}N)$ defined by $\sigma(f) = S^{-1}f$, and $\varphi: S^{-1}\text{Hom}_R(M, N) \rightarrow \text{Hom}_{S^{-1}R}(S^{-1}M, S^{-1}N)$ defined by $\varphi(f/s) = \frac{1}{s} S^{-1}f$.

(6.43) Example: Let $R = \mathbb{Z}$ and $S = R - (0) = \mathbb{Z} - (0)$.

(a) If $M = \mathbb{Q}$ and $N = \mathbb{Z}$, then $\text{Hom}_{\mathbb{Z}}(\mathbb{Q}, \mathbb{Z}) = 0$, but $\text{Hom}_{\mathbb{Q}}(\mathbb{Q}, \mathbb{Q}) = \mathbb{Q} \neq 0$. This shows that φ may not be surjective.

(b) If $M = N = \mathbb{Q}/\mathbb{Z}$, then $\text{id}_{\mathbb{Q}/\mathbb{Z}} \in \text{Hom}_{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, \mathbb{Q}/\mathbb{Z})$ and for all $n \in \mathbb{Z} - (0)$, $n \text{id}_{\mathbb{Q}/\mathbb{Z}} \neq 0$. Thus $S^{-1}\text{Hom}_{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, \mathbb{Q}/\mathbb{Z}) \neq 0$. Since $S^{-1}(\mathbb{Q}/\mathbb{Z}) = 0$, $\text{Hom}_{\mathbb{Q}}(S^{-1}(\mathbb{Q}/\mathbb{Z}), S^{-1}(\mathbb{Q}/\mathbb{Z})) = 0$ and φ may not be injective.

(6.44) Definition: Let R be a ring and M an R -module. M is called an R -module of finite presentation if there is an exact sequence $R^m \rightarrow R^n \rightarrow M \rightarrow 0$ for some $n, m \in \mathbb{N}$.

(6.45) Remark: (a) If M is an R -module of finite presentation then M is a finite R -module.

(b) If R is a Noetherian ring every finite R -module is of finite presentation.

(c) If R is a non-Noetherian ring, $I \subseteq R$ an ideal which is not finitely generated, then the R -module R/I is finite but not of finite presentation.

(d) If P is a finite projective R -module then P is of finite presentation.

Proof: (d) There is an $n \in \mathbb{N}$ and a surjective R -linear map $\varphi: R^n \rightarrow P$. Since P is projective, $R^n \cong P \oplus \ker(\varphi)$ and $\ker(\varphi) \cong R^n/P$. Thus there is a surjective map

$\varphi: R^n \rightarrow \ker(\varphi)$ and an exact sequence $R^n \xrightarrow{\varphi} R^n \xrightarrow{\varphi} P \rightarrow 0$.

(6.46) Theorem: Let M be an R -module of finite presentation, $S \subseteq R$ a multiplicative subset, and N an R -module. The map $\varphi: S^{-1}\text{Hom}_R(M, N) \rightarrow \text{Hom}_{S^{-1}R}(S^{-1}M, S^{-1}N)$ defined by $\varphi(f/t) = 1/t S^{-1}f$ is an isomorphism of $S^{-1}R$ -modules.

Proof: If $M = R^n$ is a finite free R -module then $\text{Hom}_R(R^n, N) \cong N^n$ and

$$S^{-1}\text{Hom}_R(R^n, N) \cong S^{-1}(N^n) \cong (S^{-1}N)^n \cong \text{Hom}_{S^{-1}R}((S^{-1}R)^n, S^{-1}N) \cong \text{Hom}_{S^{-1}R}(S^{-1}M, S^{-1}N).$$

For an arbitrary R -module M of finite presentation let $R^m \rightarrow R^n \rightarrow M \rightarrow 0$ be exact.

The following diagram with exact rows is commutative:

$$\begin{array}{ccccccc} 0 & \longrightarrow & S^{-1}\text{Hom}_R(M, N) & \longrightarrow & S^{-1}\text{Hom}_R(R^n, N) & \longrightarrow & S^{-1}\text{Hom}_R(R^m, N) \\ & & \varphi \downarrow & \nearrow & \downarrow \cong & \nearrow & \downarrow \cong \\ 0 & \longrightarrow & \text{Hom}_{S^{-1}R}(S^{-1}M, S^{-1}N) & \longrightarrow & \text{Hom}_{S^{-1}R}(S^{-1}R^n, S^{-1}N) & \longrightarrow & \text{Hom}_{S^{-1}R}(S^{-1}R^m, S^{-1}N) \end{array}$$

φ is an isomorphism.

(6.47) Remark: If M is a finite R -module then φ is injective but not necessarily surjective.

(6.48) Remark: The isomorphism φ of (6.46) is natural in the following sense: If $\alpha: M' \rightarrow M$ and $\beta: N' \rightarrow N$ are R -linear maps, then the diagrams

$$\begin{array}{ccc} S^{-1}\text{Hom}_R(M, N) & \xrightarrow{S^{-1}\alpha^*} & S^{-1}\text{Hom}_R(M', N) \\ \varphi \downarrow \cong & & \cong \downarrow \varphi' \\ \text{Hom}_{S^{-1}R}(S^{-1}M, S^{-1}N) & \xrightarrow{(S^{-1}\alpha)^*} & \text{Hom}_{S^{-1}R}(S^{-1}M', S^{-1}N) \end{array} \quad \begin{array}{ccc} S^{-1}\text{Hom}_R(M, N') & \xrightarrow{S^{-1}\beta_*} & S^{-1}\text{Hom}_R(M, N) \\ \varphi' \downarrow \cong & & \cong \downarrow \varphi \\ \text{Hom}_{S^{-1}R}(S^{-1}M, S^{-1}N') & \xrightarrow{(S^{-1}\beta)_*} & \text{Hom}_{S^{-1}R}(S^{-1}M, S^{-1}N) \end{array}$$

commute.

(6.49) Corollary: Let P be an R -module of finite presentation. P is projective if and only if P_m is a projective R_m -module for all $m \in \text{Spec}(R)$.

Proof: " \implies ": There is an R -module Q so that $P \oplus Q \cong R^n$ for some $n \in \mathbb{N}$. Since the

tensor product commutes with direct sums, for all $m \in \text{mSpec}(R)$, $P_m \otimes Q_m \cong (R_m)^n$.

P_m is a projective R_m -module.

" \Leftarrow ": Let $M \xrightarrow{\varphi} M' \rightarrow 0$ be an exact sequence of R -modules. The sequence $\text{Hom}_R(P, M) \xrightarrow{\varphi_*} \text{Hom}_R(P, M') \rightarrow K \rightarrow 0$ is exact where $K = \text{coker}(\varphi_*)$. We have to show that $K=0$. For all $m \in \text{mSpec}(R)$ P_m is a projective R_m -module and the sequence $\text{Hom}_{R_m}(P_m, M_m) \xrightarrow{\varphi_{m*}} \text{Hom}_{R_m}(P_m, M'_m)$ is exact. By (6.46)

$$\begin{array}{ccccc} \text{Hom}_{R_m}(P_m, M_m) & \longrightarrow & \text{Hom}_{R_m}(P_m, M'_m) & \longrightarrow & 0 \\ \cong \uparrow & & \searrow & & \uparrow \cong \\ \text{Hom}_R(P, M)_m & \longrightarrow & \text{Hom}_R(P, M')_m & & \end{array}$$

Thus $K_m = 0$ for all $m \in \text{mSpec}(R)$ and by the local-global principle $K=0$.

(6.50) Proposition: Let $\varphi: R \rightarrow S$ be a homomorphism of rings and M a flat R -module. Then $M \otimes_R S$ is a flat S -module.

Proof: If $0 \rightarrow N' \rightarrow N$ is an exact sequence of S -modules, then $0 \rightarrow (M \otimes_R S) \otimes_S N' \cong M \otimes_R N' \rightarrow (M \otimes_R S) \otimes_S N \cong M \otimes_R N$ is exact.

(6.51) Corollary: Let M be an R -module. M is flat over R if and only if M_m is flat over R_m for all $m \in \text{mSpec}(R)$.

Proof: " \Rightarrow ": By (6.50) $M_m \cong M \otimes_R R_m$ is flat over R_m .

" \Leftarrow ": Let $0 \rightarrow N' \xrightarrow{\varphi} N$ be an exact sequence of R -modules. With $K = \ker(\varphi \otimes M)$ the sequence $0 \rightarrow K \rightarrow N' \otimes_R M \xrightarrow{\varphi \otimes M} N \otimes_R M$ is exact. Thus for all $m \in \text{mSpec}(R)$

$$\begin{array}{ccccc} 0 \rightarrow K_m & \longrightarrow & (N' \otimes_R M)_m & \xrightarrow{(\varphi \otimes M)_m} & (N \otimes_R M)_m & \text{is exact} \\ & & \cong \downarrow & \searrow & \downarrow \cong \\ & & N'_m \otimes_{R_m} M_m & \xrightarrow{\varphi_m \otimes M_m} & N_m \otimes_{R_m} M_m & \end{array}$$

Since M_m is R_m -flat, the map $\varphi_m \otimes M_m$ is injective. Since the square is commutative, $(\varphi \otimes M)_m$ is injective and $K_m = 0$ for all $m \in \text{mSpec}(R)$.

(6.52) Proposition: Let (R, \mathfrak{m}, k) be a local ring and M a finite R -module. Then there is an $n \in \mathbb{N}$ and a surjective R -linear map $\varphi: R^n \rightarrow M$ with $\ker(\varphi) \subseteq \mathfrak{m}R^n$.

Proof: Let $\dim_k(M/\mathfrak{m}M) = n$ and let $x_1, \dots, x_n \in M$ so that $x_1 + \mathfrak{m}M, \dots, x_n + \mathfrak{m}M$ is a basis of the k -vector space $M/\mathfrak{m}M$. By Nakayama $M = Rx_1 + \dots + Rx_n$. Define $\varphi: R^n \rightarrow M$ by $\varphi(e_i) = x_i$ where e_1, \dots, e_n is the standard basis of R^n . The induced map $\varphi \otimes k: R^n \otimes_R k \rightarrow M \otimes_R k$ is surjective with $\dim_k(R^n \otimes_R k) = \dim_k(M \otimes_R k) = n$. Thus $\varphi \otimes k$ is an isomorphism and $\ker(\varphi) \subseteq \mathfrak{m}R^n$.

(6.53) Corollary: Let (R, \mathfrak{m}) be a local ring. Every finite projective R -module P is free.

Proof: Consider an exact sequence $(*)$ $0 \rightarrow N \rightarrow R^n \xrightarrow{\varphi} P \rightarrow 0$ with $N = \ker(\varphi) \subseteq \mathfrak{m}R^n$. Since P is projective $R^n \cong N \oplus P$ and $(*)$ is split exact. Thus for every R -module M , the sequence $0 \rightarrow N \otimes_R M \rightarrow R^n \otimes_R M \xrightarrow{\varphi \otimes M} P \otimes_R M \rightarrow 0$ is exact. In particular, the sequence $0 \rightarrow N/\mathfrak{m}N \rightarrow R^n/\mathfrak{m}R^n \xrightarrow{\overline{\varphi}} P/\mathfrak{m}P \rightarrow 0$ is exact. Since $N \subseteq \mathfrak{m}R^n$, $\overline{\varphi}$ is an isomorphism and $N/\mathfrak{m}N = 0$. N is a finite R -module and by Nakayama $N = 0$.

(6.54) Remark: Kaplansky showed that every projective module over a local ring is free.

Consider the commutative diagram of R -modules and R -maps with exact rows and columns:

$$\begin{array}{ccccccc}
 & & & & & & 0 \\
 & & & & & & \downarrow \\
 & & & & & & K'' \\
 & & K' & \xrightarrow{\alpha'} & K & \xrightarrow{\beta'} & \\
 & & \downarrow f' & & \downarrow f & & \downarrow f'' \\
 0 & \longrightarrow & M' & \xrightarrow{\alpha} & M & \xrightarrow{\beta} & M'' \\
 & & \downarrow g' & & \downarrow g & & \\
 & & N' & \xrightarrow{\alpha''} & N & & \\
 & & \downarrow & & & & \\
 & & 0 & & & &
 \end{array}$$

(6.55) Lemma: The R -linear map α'' in the above diagram is injective.

Proof: by diagram chasing: Let $x \in N'$ with $\alpha''(x) = 0$. Then there is a $y \in M$ with $g'(y) = x$. Thus $g\alpha(y) = \alpha''g'(y) = \alpha''(x) = 0$ and $\alpha(y) \in \ker(g) = \text{im}(f)$. There is an element $z \in K$ with $f(z) = \alpha(y)$ and $f''\beta'(z) = \beta f(z) = \beta\alpha(y) = 0$. Since f'' is injective $\beta'(z) = 0$ and there is an element $w \in K'$ with $\alpha'(w) = z$. Then $\alpha f'(w) = f\alpha'(w) = f(z) = \alpha(y)$. Since α is injective, $f'(w) = y$ and hence $x = g'(y) = g'f'(w) = 0$.

(6.56) Theorem: Let F be a flat R -module and $0 \rightarrow M' \xrightarrow{\alpha} M \rightarrow F \rightarrow 0$ an exact sequence of R -modules. For all R -modules N the sequence $0 \rightarrow M' \otimes_R N \xrightarrow{\alpha \otimes N} M \otimes_R N \rightarrow F \otimes_R N \rightarrow 0$ is exact.

Proof: We need to show that $\alpha \otimes N: M' \otimes_R N \rightarrow M \otimes_R N$ is injective. Consider an exact sequence $0 \rightarrow K \rightarrow P \rightarrow N \rightarrow 0$ with P a projective R -module. In particular, P is R -flat. This yields a commutative diagram with exact rows and columns:

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \downarrow & & \\
 & M' \otimes K & \longrightarrow & M \otimes K & \longrightarrow & F \otimes K & \longrightarrow 0 \\
 & | & & | & & | & \\
 0 & \longrightarrow & M' \otimes P & \longrightarrow & M \otimes P & \longrightarrow & F \otimes P \longrightarrow 0 \\
 & & | & & | & & | \\
 & M' \otimes N & \xrightarrow{\alpha \otimes N} & M \otimes N & \longrightarrow & F \otimes N & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & 0 & & 0 & & 0 &
 \end{array}$$

By (6.55) $\alpha \otimes N$ is injective.

(6.57) Proposition: Let (R, \mathfrak{m}, k) be a local ring, M an R -module of finite presentation, and N a finite R -module. If $f: N \rightarrow M$ is an R -linear map with $f \otimes k: N/\mathfrak{m}N \rightarrow M/\mathfrak{m}M$ an isomorphism, then $\ker(f)$ is a finite R -module.

Proof: Since $f \otimes k$ is an isomorphism, $M = \text{im}(f) + \mathfrak{m}M$ and by Nakayama $M = \text{im}(f)$, i.e. f is surjective. By assumption there are integers $m, n \in \mathbb{N}$ and an exact sequence

$R^m \xrightarrow{\alpha} R^n \xrightarrow{\beta} M \rightarrow 0$. Consider the diagram:

$$\begin{array}{ccc} & & R^n \\ & \nearrow g & \downarrow \beta \\ N & \xrightarrow{f} & M \rightarrow 0 \end{array}$$

Since R^n is projective, there is an R -linear map $g: R^n \rightarrow N$ with $\beta = fg$. We claim that $\ker(f) = \text{im}(g\alpha)$ (which implies that $\ker(f)$ is finitely generated.) Tensoring with k yields maps:

$$\begin{array}{ccc} R^n \otimes_R k & \xrightarrow{g \otimes k} & N \otimes_R k \xrightarrow{f \otimes k} M \otimes_R k \\ & \searrow \beta \otimes k & \cong \\ & & \end{array}$$

$\beta \otimes k$ is surjective and $f \otimes k$ is an isomorphism. Thus $g \otimes k$ is surjective and g is surjective by Nakayama. Since $0 = \beta\alpha = fg\alpha$, $\text{im}(g\alpha) \subseteq \ker(f)$. Let $x \in N$ with $f(x) = 0$ and let $y \in R^n$ with $g(y) = x$. Then $\beta(y) = fg(y) = f(x) = 0$ and there is a $z \in R^m$ with $\alpha(z) = y$. Then $x = g(y) = (g\alpha)(z) \in \text{im}(g\alpha)$ and $\ker(f) \subseteq \text{im}(g\alpha)$.

(6.58) Corollary: Let (R, \mathfrak{m}, k) be a local ring and M a flat R -module of finite presentation. Then M is free.

Proof: By (6.52) there is an exact sequence $0 \rightarrow N \xrightarrow{g} R^n \xrightarrow{f} M \rightarrow 0$ with $N = \ker(f) \subseteq \mathfrak{m}R^n$. The k -linear map $f \otimes k: R^n \otimes k \rightarrow M \otimes k$ is an isomorphism of k -vector spaces and by (6.56) the sequence $0 \rightarrow N \otimes k \xrightarrow{g \otimes k} R^n \otimes k \xrightarrow{f \otimes k} M \otimes k \rightarrow 0$ is exact. Thus $N \otimes k \cong N/\mathfrak{m}N = 0$. By (6.57) $N = \ker(f)$ is finite and by Nakayama $N = 0$. M is free.

(6.59) Corollary: Let (R, \mathfrak{m}, k) be a Noetherian local ring and M a finite R -module.

The following are equivalent:

- M is free.
- M is projective.
- M is flat.

(6.60) Theorem: Let R be a ring and P an R -module. The following are equivalent:

- P is finite and projective.
- P is of finite presentation and flat.

(c) P is of finite presentation and for all $m \in \text{mSpec}(R)$ the R_m -module P_m is free.

Proof: (a) \Rightarrow (b): By (6.32) and (6.45).

(b) \Rightarrow (c): By base change $P_m = P \otimes_R R_m$ is a flat R_m -module. Since P_m is an R_m -module of finite presentation, by (6.58) P_m is a free R_m -module.

(c) \Rightarrow (a): By (6.49).

§ 4: MORE ON INJECTIVE MODULES

(6.61) Theorem: For an R -module E the following conditions are equivalent:

- (a) E is injective
 (b) Every R -linear map $f: I \rightarrow E$, where $I \subseteq R$ is an ideal, extends to an R -linear map $g: R \rightarrow E$.

Proof: We have to show that (b) \Rightarrow (a). Suppose there is given a diagram

$$\begin{array}{ccc} 0 & \rightarrow & N \xrightarrow{\alpha} M \\ & & f \downarrow \\ & & E \end{array}$$

where α is injective and we may assume that $N \subseteq M$ is a submodule. Consider the set

$\mathcal{M} = \{(N', g') \mid N \subseteq N' \subseteq M \text{ a submodule, } g': N' \rightarrow E \text{ an } R\text{-linear map with } g'|_N = f\}$.

Since $(N, f) \in \mathcal{M}$, $\mathcal{M} \neq \emptyset$. \mathcal{M} is partially ordered by:

$$(N', g') \leq (N'', g'') \iff N' \subseteq N'' \text{ and } g''|_{N'} = g'.$$

In order to show that \mathcal{M} is inductively ordered let $\mathcal{K} = \{(N_i, g_i)\}_{i \in I}$ be a chain in \mathcal{M} .

Then $\tilde{N} = \bigcup_{i \in I} N_i$ is a submodule of M and the map $\tilde{g}: \tilde{N} \rightarrow E$ with $\tilde{g}(n) = g_i(n)$

if $n \in N_i$ is well defined. Thus $(\tilde{N}, \tilde{g}) \in \mathcal{M}$ is an upper bound of \mathcal{K} . By Zorn's Lemma

there is a maximal element $(N_0, g_0) \in \mathcal{M}$. If $N_0 = M$ we are done. If $N_0 \neq M$ let

$m \in M - N_0$ and consider $I = \{a \in R \mid am \in N_0\}$. Obviously, I is an ideal of R . Define

$h: I \rightarrow E$ by $h(a) = g_0(am)$ and note that h is R -linear. By assumption (b) h

extends to an R -linear map $h': R \rightarrow E$. Set $N_1 = N_0 + Rm$ and define $g_1: N_1 \rightarrow E$ by

$g_1(n_0 + am) = g_0(n_0) + a h'(1)$ for all $n_0 \in N_0$ and $a \in R$. We claim that g_1 is well defined.

Suppose $n_0 + am = n'_0 + a'm$ for some $n_0, n'_0 \in N_0$ and $a, a' \in R$. Then $n_0 - n'_0 = (a' - a)m \in N_0$

and $a' - a \in I$. Thus $g_0(n_0 - n'_0) = g_0((a' - a)m) = h(a' - a) = (a' - a)h'(1)$ and therefore

$g_0(n_0) - g_0(n'_0) = a'h'(1) - ah'(1)$ and $g_0(n_0) + ah'(1) = g_0(n'_0) + a'h'(1)$. g_1 is a well defined

R -linear map which extends g_0 . Thus $(N_1, g_1) \in \mathcal{M}$ with $(N_0, g_0) \not\leq (N_1, g_1)$, a contradiction.

This implies that $N_0 = M$.

(6.62) Example: By (6.61) \mathbb{Q} is an injective \mathbb{Z} -module.

Next we will show that every R -module M is isomorphic to a submodule of an injective R -module E . We first use (6.61) to characterize injective modules over PID's.

(6.63) Definition: Let M be an R -module and $m \in M$, $a \in R$. m is divisible by a if $m = am'$ for some $m' \in M$. The R -module M is called divisible if every $m \in M$ is divisible by every nonzero divisor $a \in R$ of R .

(6.64) Example: \mathbb{Q} is a divisible \mathbb{Z} -module.

(6.65) Proposition: Every injective R -module E is divisible.

Proof: Let $m \in E$ and $a \in R$ a nonzero divisor of R . Define $f: (a) \rightarrow E$ by $f(ax) = xm$. Since a is a NZD of A , f is a well defined R -linear map with $f(a) = m$. By (6.61) f extends to an R -linear map $g: R \rightarrow E$. If $g(1) = n$ then $g(a) = an = f(a) = m$.

(6.66) Proposition: Let R be a PID and M an R -module. The following are equivalent:

(a) M is injective.

(b) M is divisible.

Proof: (a) \Rightarrow (b): By (6.65).

(b) \Rightarrow (a): Let $I \subseteq R$ be an ideal and $f: I \rightarrow M$ an R -linear map. By (6.61) we have to show that f extends to an R -linear map $g: R \rightarrow M$. If $I = (0)$ there is nothing to show. If $I = (a) \neq (0)$ let $f(a) = m$. Since M is divisible and $a \in R$ is a NZD of R there is an $n \in M$ with $m = an$. The R -linear map $g: R \rightarrow M$ with $g(1) = n$ extends f .

(6.67) Lemma: (a) Every quotient of a divisible module is divisible.

(b) Every direct summand of a divisible module is divisible.

(c) Every direct product of divisible modules is divisible.

(d) Every direct sum of divisible modules is divisible.

Proof: Homework

(6.68) Proposition: Every injective \mathbb{Z} -module M can be embedded into an injective \mathbb{Z} -module.

Proof: Write $M = F/S$ where $F = \bigoplus_{i \in I} \mathbb{Z}$ is a free \mathbb{Z} -module. Then $F = \bigoplus_{i \in I} \mathbb{Z} \subseteq \bigoplus_{i \in I} \mathbb{Q} = E$ and E is a divisible \mathbb{Z} -module. S is a \mathbb{Z} -submodule of E with $M = F/S \subseteq E/S$. E/S is divisible and thus injective by (6.66).

(6.69) Lemma: Let D be a divisible \mathbb{Z} -module and R a ring. Then $\text{Hom}_{\mathbb{Z}}(R, D)$ is an injective R -module.

Proof: $\text{Hom}_{\mathbb{Z}}(R, D)$ is an R -module under the scalar multiplication $(af)(x) = f(ax)$ for all $a, x \in R$ and $f \in \text{Hom}_{\mathbb{Z}}(R, D)$. We have to show: For every ideal $I \subseteq R$ and every R -linear map $\varphi: I \rightarrow \text{Hom}_{\mathbb{Z}}(R, D)$ φ extends to an R -linear map $g: R \rightarrow \text{Hom}_{\mathbb{Z}}(R, D)$. First note that φ induces a map $g: I \rightarrow D$ defined by $g(a) = [\varphi(a)](1_R)$. g is a \mathbb{Z} -linear map. Hence g extends to a \mathbb{Z} -linear map $\bar{g}: R \rightarrow D$. Define $g: R \rightarrow \text{Hom}_{\mathbb{Z}}(R, D)$ by $g(a): R \rightarrow D$ is the map given by $[g(a)](x) = \bar{g}(ax)$ for all $a, x \in R$. For every $a \in R$ the map $g(a)$ is a homomorphism of the additive groups and g is well defined. It is easy to see that g is \mathbb{Z} -linear.

Claim 1: g is R -linear.

Pf of Cl.1: Let $a, b, x \in R$. Then $g(ab)(x) = \bar{g}((ab)x) = \bar{g}(b(ax)) = g(b)(ax)$ and by the definition of the R -module structure on $\text{Hom}_{\mathbb{Z}}(R, D)$ $g(b)(ax) = [a g(b)](x)$. Thus $g(ab) = a g(b)$.

Claim 2: g extends φ .

Pf of Cl.2: Let $a \in I$ and $x \in R$. Then $g(a)(x) = \bar{g}(ax) = g(ax) = [\varphi(ax)](1_R)$ since $ax \in I$.

By the definition of the R -module structure on $\text{Hom}_{\mathbb{Z}}(R, D)$ $[\varphi(ax)](1_R) =$

$[x \varphi(a)](1_R) = \varphi(a)(x)$. Thus $g(a) = \varphi(a)$ for all $a \in I$.

(6.70) Theorem: Let R be a ring and M an R -module. M can be embedded into an injective R -module.

Proof: Consider M as a \mathbb{Z} -module. By (6.68) there is a divisible \mathbb{Z} -module D and an injective \mathbb{Z} -linear map $\varepsilon: M \rightarrow D$. Since $\text{Hom}_{\mathbb{Z}}(R, -)$ is left exact, there is an injective \mathbb{Z} -linear map $\varepsilon_*: \text{Hom}_{\mathbb{Z}}(R, M) \rightarrow \text{Hom}_{\mathbb{Z}}(R, D)$ with $\varepsilon_*(f) = \varepsilon f$. By definition of the R -module structure on $\text{Hom}_{\mathbb{Z}}(R, -)$ for all $a, x \in R$: $\varepsilon_*(af)(x) = (\varepsilon(af))(x) = \varepsilon f(ax) = (\varepsilon f)(ax) = \varepsilon_*(f)(ax) = a \varepsilon_*(f)(x)$. Thus $\varepsilon_*(af) = a \varepsilon_*(f)$ and ε_* is R -linear. Every R -linear map $g: R \rightarrow M$ is also \mathbb{Z} -linear. Hence $\text{Hom}_R(R, M) \subseteq \text{Hom}_{\mathbb{Z}}(R, M)$. By (6.69) $\text{Hom}_{\mathbb{Z}}(R, D)$ is an injective R -module. Since $M \cong \text{Hom}_R(R, M)$ we obtain the desired embedding: $M \cong \text{Hom}_R(R, M) \hookrightarrow \text{Hom}_{\mathbb{Z}}(R, M) \xrightarrow{\varepsilon_*} \text{Hom}_{\mathbb{Z}}(R, D)$.

In the following we want to show that for every R -module M there is a smallest injective R -module which contains M .

(6.71) Definition: An essential extension of an R -module M is an R -module E which contains M such that for every nonzero submodule $N \subseteq E$ the intersection $N \cap M \neq 0$. If, in addition, $M \neq E$ then E is called a proper essential extension of M .

(6.72) Example: \mathbb{Q} is a proper essential extension of \mathbb{Z} .

(6.73) Theorem: An R -module M is injective if and only if M has no proper essential extensions.

Proof: " \Rightarrow ": Suppose that M is injective and let $M \subseteq E$ be an essential extension of M . By (6.22) there is an R -module $N \subseteq E$ with $E = M \oplus N$. Thus $E = M$.

" \Leftarrow ": Suppose that M has no proper essential extensions. Let E be an injective R -module with $M \subseteq E$. Consider the set $\mathcal{N} = \{N \subseteq E \mid N \text{ a submodule and } N \cap M = 0\}$.

Since $(0) \in \mathcal{H}_E$, $\mathcal{H}_E \neq \emptyset$. \mathcal{H}_E is partially ordered by inclusion and every chain in \mathcal{H}_E has an upper bound in \mathcal{H}_E . Thus Zorn's Lemma applies and there is a maximal submodule $N_0 \subseteq E$ with $M \cap N_0 = 0$. The composition of R -linear maps $\varphi = \pi \circ i: M \xrightarrow{i} E \xrightarrow{\pi} E/N_0$ is injective and we may consider M as an R -submodule of E/N_0 . We claim that $M \subseteq E/N_0$ is an essential extension. Every nonzero submodule $\bar{N} \subseteq E/N_0$ is image of a submodule $N \subseteq E$ with $N_0 \subsetneq N$. By the maximality of N_0 , $N \cap M \neq 0$ and hence $M \cap \bar{N} \neq 0$. Since M has no proper essential extensions, φ is surjective and $M \cong E/N_0$. This implies that $E = M + N_0$ and, since $M \cap N_0 = 0$, $E = M \oplus N_0$. By (6.21) every direct summand of an injective module is injective.

(6.74) Theorem: Let E be an R -module, $M \subseteq E$ a submodule. The following conditions are equivalent:

- E is a maximal essential extension of M , that is, no proper extension of E is an essential extension of M .
- E is injective and E is an essential extension of M .
- E is injective and there is no proper injective submodule E' of E with $M \subseteq E' \subsetneq E$.

Moreover, for every R -module M an R -module E exists so that conditions (a), (b), (c) are satisfied.

Proof: (a) \Rightarrow (b): By (6.73) it suffices to show that E has no proper essential extensions.

Suppose that $E \subseteq F$ is an essential extension of E and let $N \subseteq F$ be a nonzero submodule. $N_0 = N \cap E$ is a nonzero submodule of E . Thus $N_0 \cap M \neq 0$ and therefore $N \cap M \neq 0$. F is an essential extension of M . By the maximality of E , $E = F$.

(b) \Rightarrow (c): Suppose that $E' \subseteq E$ is an injective submodule with $M \subseteq E'$. By (6.22) there is a submodule $E'' \subseteq E$ with $E = E' \oplus E''$. Then $E'' \cap M = 0$ and therefore $E'' = 0$ and $E = E'$.

(c) \Rightarrow (a): Consider $\mathcal{H}_E = \{N \subseteq E \mid N \text{ a submodule, } M \subseteq N, \text{ and } N \text{ an essential extension of } M\}$.

Since $M \in \mathcal{H}_E$, $\mathcal{H}_E \neq \emptyset$, and \mathcal{H}_E is partially ordered by inclusion. Let $\mathcal{H} = \{N_i\}_{i \in I} \subseteq \mathcal{H}_E$ be a chain in \mathcal{H}_E and set $N_0 = \bigcup_{i \in I} N_i$. N_0 is a submodule of E with $M \subseteq N_0$.

Let $U \subseteq N_0$ be a nonzero submodule. Then there is an $i \in I$ with $U \cap N_i \neq 0$. Since N_i is an essential extension of M , $(U \cap N_i) \cap M \neq 0$ and N_0 is an essential extension of M . By Zorn's Lemma \mathcal{H} has a maximal element E' . We claim that E' is a maximal essential extension of M . Let N be an R -module with $M \subseteq E' \subseteq N$ so that N is an essential extension of M . Consider the diagram

$$\begin{array}{ccc} 0 & \longrightarrow & E' \xrightarrow{j} N \\ & & \downarrow i \quad \swarrow \varphi \\ & & E \end{array}$$

where i and j are the inclusions. Since E is injective, there is an R -linear map $\varphi: N \rightarrow E$ with $\varphi|_{E'} = i$. Since N is an essential extension of M , N is an essential extension of E' . Thus if $\ker(\varphi) \neq 0$, then $\ker(\varphi) \cap E' \neq 0$ and i fails to be injective. Thus $\ker(\varphi) = 0$ and $N \cong \varphi(N) \subseteq E$ is an essential extension of M . By the maximality of E' , $\varphi(N) = E'$ and E' is a maximal essential extension of M . By (a) \Rightarrow (b) E' is an injective R -module and by (c) $E = E'$.

In order to show the existence of an R -module E with properties (a), (b), (c), let \tilde{E} be an injective R -module with $M \subseteq \tilde{E}$. The proof (c) \Rightarrow (a) shows that \tilde{E} contains a maximal essential extension E of M .

(6.75) Definition: Let M be an R -module. An R -module E that satisfies one of the equivalent conditions of (6.74) is called the injective hull of M .

The following proposition shows that the injective hull is unique up to isomorphism.

(6.76) Proposition: Let M be an R -module and E an injective hull of M .

(a) If D is an injective R -module with $M \subseteq D$, $i: M \rightarrow D$ the inclusion, then there is an injective R -linear map $\varphi: E \rightarrow D$ with $\varphi|_M = i$.

(b) If E' is another injective hull of M then there is an isomorphism $\varphi: E \rightarrow E'$ with $\varphi|_M = \text{id}_M$.

Proof: (a) Consider the diagram

$$\begin{array}{ccccc}
 0 & \longrightarrow & M & \longrightarrow & E \\
 & & \downarrow i & \searrow \varphi & \\
 & & D & &
 \end{array}$$

By the injectivity of D there is an R -linear map $\varphi: E \rightarrow D$ with $\varphi|_M = i$. If $\ker(\varphi) \neq 0$ then $\ker(\varphi) \cap M \neq 0$ since E is an essential extension of M . Thus $\ker(\varphi) = 0$ and φ is injective.

(b) If E' is another injective hull of M , by (a) there is an injective R -linear map $\varphi: E \rightarrow E'$ with $\varphi|_M = \text{id}_M$. Then $\text{im}(\varphi)$ is an injective submodule of E' with $M \subseteq \text{im}(\varphi)$. By (6.74) $E' = \text{im}(\varphi)$ and φ is an isomorphism.

§5: THE TORSION FUNCTOR Γ_I

(6.77) Definition: Let R be a ring, $I \subseteq R$ an ideal, and M an R -module. The set $\Gamma_I(M) = \bigcup_{n \in \mathbb{N}} (0 :_M I^n)$ is called the I -torsion of M .

(6.78) Remark: $\Gamma_I(M)$ is the set of all elements of M which are annihilated by some power of I . Obviously, $(0 :_M I) \subseteq (0 :_M I^2) \subseteq \dots \subseteq (0 :_M I^n) \subseteq \dots$ is an increasing chain of submodules of M which is stationary if M is Noetherian. In any case, $\Gamma_I(M)$ is a submodule of M .

(6.79) Definition: A covariant functor $F: \mathcal{M}(R) \rightarrow \mathcal{M}(R)$ from the category of R -modules into itself is called R -linear if the map $\text{Hom}_R(M, N) \xrightarrow{\Phi_{M,N}} \text{Hom}_R(FM, FN)$ defined by $\Phi_{M,N}(f) = Ff$ is R -linear for all R -modules M and N . That is, for all $f, g: M \rightarrow N$ and all $a \in R$, $F(f+g) = Ff + Fg$ and $F(af) = aFf$.

(6.80) Proposition: $\Gamma_I(-)$ is a covariant R -linear functor from $\mathcal{M}(R)$ into itself.

Proof: If $f: M \rightarrow N$ is an R -linear map of R -modules then $f(\Gamma_I(M)) \subseteq \Gamma_I(N)$. Let $\Gamma_I(f)$ be the restriction of $f: \Gamma_I(M) \rightarrow \Gamma_I(N)$. If $g: N \rightarrow L$ is another R -linear map then obviously, $\Gamma_I(gf) = \Gamma_I(g)\Gamma_I(f)$. Moreover, $\Gamma_I(\text{id}_M) = \text{id}_{\Gamma_I(M)}$. $\Gamma_I(-)$ is a covariant functor from $\mathcal{M}(R)$ into itself. It is easy to verify that $\Gamma_I(-)$ is R -linear.

(6.81) Proposition: Let R be a Noetherian ring and $I, J \subseteq R$ ideals. Then:

- For all R -modules M , $\Gamma_I(\Gamma_J(M)) = \Gamma_{I+J}(M)$.
- $\Gamma_I(-) = \Gamma_J(-)$ if and only if $\text{rad}(I) = \text{rad}(J)$.

Proof: (a) Let $x \in \Gamma_I(\Gamma_J(M))$. Then there are $r, s \in \mathbb{N}$ with $I^r x = 0$ and $J^s x = 0$ and therefore $(I+J)^{r+s} x = 0$ and $x \in \Gamma_{I+J}(M)$. For the other inclusion let $x \in \Gamma_{I+J}(M)$. Then $(I+J)^r x = 0$ for some $r \in \mathbb{N}$. In particular, $I^r x = 0$ and $J^r x = 0$ and $x \in \Gamma_I(\Gamma_J(M))$.

(b) \Rightarrow : $\Gamma_{\mathbb{I}}(R/\text{rad}(\mathbb{J})) = \Gamma_{\mathbb{J}}(R/\text{rad}(\mathbb{J})) = R/\text{rad}(\mathbb{J})$ and $\mathbb{I} \subseteq \text{rad}(\mathbb{J})$. Similarly, $\mathbb{J} \subseteq \text{rad}(\mathbb{I})$.

\Leftarrow : If $\text{rad}(\mathbb{I}) = \text{rad}(\mathbb{J})$ then $\mathbb{I}^n \subseteq \mathbb{J}$ for some $n \in \mathbb{N}$ since R is Noetherian. Thus $\Gamma_{\mathbb{J}}(M) \subseteq \Gamma_{\mathbb{I}}(M)$ for every R -module M . Similarly, $\Gamma_{\mathbb{I}}(M) \subseteq \Gamma_{\mathbb{J}}(M)$.

(6.82) Proposition: The \mathbb{I} -torsion functor $\Gamma_{\mathbb{I}}(-)$ is left exact.

Proof: Let $0 \rightarrow L \xrightarrow{f} M \xrightarrow{g} N \rightarrow 0$ be an exact sequence of R -modules. Obviously, $\Gamma_{\mathbb{I}}(f)$ is injective and $\Gamma_{\mathbb{I}}(g)\Gamma_{\mathbb{I}}(f) = \Gamma_{\mathbb{I}}(gf) = 0$. It remains to show that $\ker(\Gamma_{\mathbb{I}}(g)) \subseteq \text{im}(\Gamma_{\mathbb{I}}(f))$. Let $x \in \ker(\Gamma_{\mathbb{I}}(g))$. Then $g(x) = 0$ and $\mathbb{I}^r x = 0$ for some $r \in \mathbb{N}$. Let $y \in L$ with $f(y) = x$. For all $a \in \mathbb{I}^r$, $f(ay) = ax = 0$ and thus $ay = 0$ since f is injective. Hence $y \in \Gamma_{\mathbb{I}}(L)$ and $x \in \text{im}(\Gamma_{\mathbb{I}}(f))$.

(6.83) Proposition: Let R be a Noetherian ring and M an R -module. Then:

(a) $\Gamma_{\mathbb{I}}(\Gamma_{\mathbb{I}}(M)) = \Gamma_{\mathbb{I}}(M)$

(b) $\Gamma_{\mathbb{I}}(M/\Gamma_{\mathbb{I}}(M)) = 0$

(c) If M is a finite R -module then $\Gamma_{\mathbb{I}}(M) = 0$ if and only if \mathbb{I} contains a NZD of M .

Proof: (a), (b) trivial.

(c) \Rightarrow : If $\Gamma_{\mathbb{I}}(M) = 0$ then $\mathbb{I} \not\subseteq P$ for all $P \in \text{Ass}_R(M)$. Since $\text{Ass}_R(M)$ is finite, $\mathbb{I} \not\subseteq \bigcup_{P \in \text{Ass}_R(M)} P$. Every element $a \in \mathbb{I} - \bigcup_{P \in \text{Ass}_R(M)} P$ is a NZD of M .

\Leftarrow : If $a \in \mathbb{I}$ is a NZD of M then $a^n m \neq 0$ for all $n \in \mathbb{N}$ and all $m \in M - \{0\}$.

(6.84) Remark: Together with the Hom-functors $\text{Hom}_R(M, -)$ and $\text{Hom}_R(-, N)$, and the tensor functor $M \otimes_R -$, the torsion functor $\Gamma_{\mathbb{I}}(-)$ is an important tool in commutative algebra. Its derived functors (Chapter VII) are the local cohomology functors $H_{\mathbb{I}}^i(-)$.

For a deeper understanding of these functors more investigations into $\Gamma_{\mathbb{I}}(-)$ are needed. For example, a basic result states that $\Gamma_{\mathbb{I}}(-)$ is a direct limit of certain Hom-functors. This yields that its derived functors $H_{\mathbb{I}}^i(-)$ are direct limits of derived Hom-functors.

§6: DIRECT LIMITS

(6.85) Definition: (a) A directed set is a partially ordered set Λ such that for all $\lambda, \mu \in \Lambda$ there is an element $\nu \in \Lambda$ with $\lambda \leq \nu$ and $\mu \leq \nu$.

(b) Let R be a ring, Λ a directed set, and $\{M_\lambda \mid \lambda \in \Lambda\}$ a family of R -modules. Suppose that for each pair $\lambda, \mu \in \Lambda$ with $\lambda \leq \mu$ there is given an R -linear map $f_{\mu\lambda}: M_\lambda \rightarrow M_\mu$ so that (i) $f_{\lambda\lambda} = \text{id}_{M_\lambda}$ for all $\lambda \in \Lambda$ and (ii) $f_{\nu\mu} f_{\mu\lambda} = f_{\nu\lambda}$ whenever $\lambda \leq \mu \leq \nu$. Then $\{M_\lambda, f_{\mu\lambda}\}$ is called a direct system of R -modules over the directed set Λ .

Note that one can define direct systems of sets, groups, rings etc. accordingly.

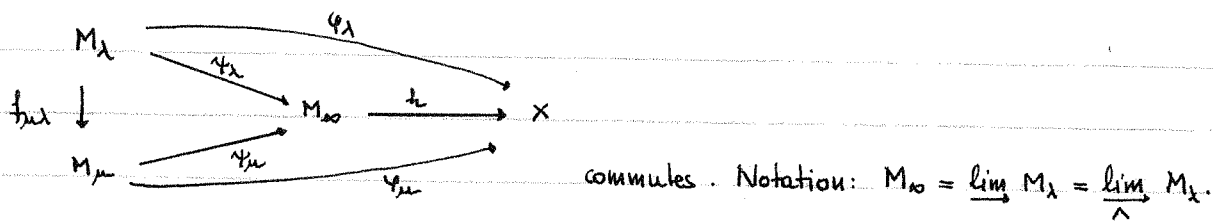
(6.86) Definition: (a) Let $\{M_\lambda, f_{\mu\lambda}\}$ and $\{M'_\lambda, f'_{\mu\lambda}\}$ be direct systems of R -modules over the directed set Λ . A morphism (or an R -linear map) $\varphi: \{M_\lambda, f_{\mu\lambda}\} \rightarrow \{M'_\lambda, f'_{\mu\lambda}\}$ is a family of R -linear maps $\varphi = \{\varphi_\lambda: M_\lambda \rightarrow M'_\lambda \mid \lambda \in \Lambda \text{ and } \varphi_\lambda \text{ } R\text{-linear}\}$ so that for all $\lambda \leq \mu$, $f'_{\mu\lambda} \varphi_\lambda = \varphi_\mu f_{\mu\lambda}$, that is, the diagram

$$\begin{array}{ccc} M_\lambda & \xrightarrow{f_{\mu\lambda}} & M_\mu \\ \varphi_\lambda \downarrow & & \downarrow \varphi_\mu \\ M'_\lambda & \xrightarrow{f'_{\mu\lambda}} & M'_\mu \end{array} \quad \text{commutes.}$$

(b) Let $\{M_\lambda, f_{\mu\lambda}\}$ be a direct system of R -modules over Λ and X an R -module. A morphism (or an R -linear map) $\varphi: \{M_\lambda, f_{\mu\lambda}\} \rightarrow X$ is a family of R -linear maps $\varphi = \{\varphi_\lambda: M_\lambda \rightarrow X \mid \lambda \in \Lambda \text{ and } \varphi_\lambda \text{ } R\text{-linear}\}$ so that for all $\lambda, \mu \in \Lambda$ with $\lambda \leq \mu$, $\varphi_\lambda = \varphi_\mu f_{\mu\lambda}$, that is, the diagram:

$$\begin{array}{ccc} M_\lambda & \xrightarrow{\varphi_\lambda} & X \\ f_{\mu\lambda} \downarrow & \nearrow \varphi_\mu & \\ M_\mu & & \end{array} \quad \text{commutes.}$$

(c) Let $\{M_\lambda, f_{\mu\lambda}\}$ be a direct system of R -modules over Λ . An R -module M_∞ together with an R -linear map $\varphi: \{M_\lambda, f_{\mu\lambda}\} \rightarrow M_\infty$ is called a direct limit of $\{M_\lambda, f_{\mu\lambda}\}$ if for every R -linear map $\varphi: \{M_\lambda, f_{\mu\lambda}\} \rightarrow X$ there is a unique R -linear map $h: M_\infty \rightarrow X$ so that $\varphi_\lambda = h \varphi_\lambda$ for all $\lambda \in \Lambda$, that is, the diagram:



(6.87) Theorem: Let $\{M_\lambda, f_{\mu\lambda}\}$ be a direct system of R -modules over Λ . Then $\varinjlim M_\lambda$ exists.

Proof: Let $C = \bigoplus_{\lambda \in \Lambda} M_\lambda$ be the direct sum of the M_λ and $i_\lambda: M_\lambda \rightarrow \bigoplus_{\mu \in \Lambda} M_\mu$ the natural embedding. Let $D \subseteq C$ be the submodule which is generated by

$$\{i_\lambda(a) - i_\mu(f_{\mu\lambda}(a)) \mid a \in M_\lambda, \lambda \leq \mu\}.$$

Set $M_\infty = C/D$ and define $\psi: \{M_\lambda, f_{\mu\lambda}\} \rightarrow M_\infty$ by $\psi_\lambda(a) = i_\lambda(a) + D$ for all $a \in M_\lambda$.

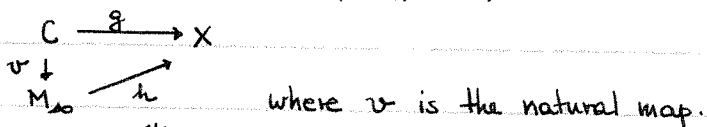
Obviously, $\psi_\lambda = \psi_\mu \circ f_{\mu\lambda}$ for $\lambda \leq \mu$. Let $\varphi: \{M_\lambda, f_{\mu\lambda}\} \rightarrow X$ be an R -linear map.

By the universal property of the direct sum there is a unique R -linear map

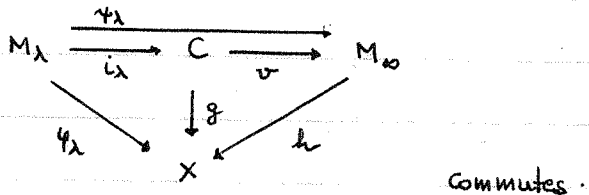
$g: C = \bigoplus M_\lambda \rightarrow X$ so that $g \circ i_\lambda = \varphi_\lambda$ for all $\lambda \in \Lambda$. Then for all $\lambda \in \Lambda$ and all $a \in M_\lambda$:

$$g(i_\lambda(a) - i_\mu(f_{\mu\lambda}(a))) = (g \circ i_\lambda)(a) - (g \circ i_\mu)(f_{\mu\lambda}(a)) = \varphi_\lambda(a) - \varphi_\mu(f_{\mu\lambda}(a)) = 0.$$

Hence g factors through M_∞ :



Moreover, for all $\lambda \in \Lambda$ the diagram:



The uniqueness of h follows since M_∞ is generated by $\bigcup_{\lambda \in \Lambda} \psi_\lambda(M_\lambda)$. Thus

$M_\infty = \varinjlim M_\lambda$ together with ψ is a direct limit of $\{M_\lambda, f_{\mu\lambda}\}$.

(6.88) Proposition: The direct limit $\varinjlim M_\lambda$ of a direct system $\{M_\lambda, f_{\mu\lambda}\}$ is unique up to isomorphism.

Proof: Homework

(6.89) Examples: (a) Let M be an R -module, $M_\lambda \subseteq M$ submodules, $\lambda \leq \mu$ if and only if

$M_\lambda \subseteq M_\mu$, and $f_{\lambda\mu}: M_\lambda \rightarrow M_\mu$ the inclusion. If $\{M_\lambda, f_{\lambda\mu}\}$ is a direct system, then $\varinjlim M_\lambda \cong \bigcup_{\lambda \in \Lambda} M_\lambda$.

(b) Let M be an R -module and $\{M_\lambda, \text{incl.}\}$ the direct system of all finite submodules of M . Then $M \cong \varinjlim M_\lambda$ is a direct limit of finite R -modules.

(c) Let R be a ring and $\{R_\lambda, \text{incl.}\}$ the direct system of all finitely generated \mathbb{Z} -subalgebras of R . Then $R \cong \varinjlim R_\lambda$ is a direct limit of Noetherian rings.

(6.90) Remark: Let $\{M_\lambda, f_{\lambda\mu}\}$ and $\{M'_\lambda, f'_{\lambda\mu}\}$ be direct systems of R -modules over the directed set Λ and let $\varphi: \{M_\lambda, f_{\lambda\mu}\} \rightarrow \{M'_\lambda, f'_{\lambda\mu}\}$ be an R -linear map. Then there is a unique R -linear map $\varinjlim \varphi_\lambda: \varinjlim M_\lambda \rightarrow \varinjlim M'_\lambda$ with $\varphi'_\lambda \varphi_\lambda = (\varinjlim \varphi_\lambda) \varphi_\lambda$ (where $\varphi: \{M_\lambda, f_{\lambda\mu}\} \rightarrow \varinjlim M_\lambda$ and $\varphi': \{M'_\lambda, f'_{\lambda\mu}\} \rightarrow \varinjlim M'_\lambda$ are the natural maps.)

Proof: By the definition of the direct limit (6.88)(c).

(6.91) Proposition: Let $\{M_\lambda, f_{\lambda\mu}\}$ be a direct system of R -modules with direct limit $\varinjlim M_\lambda$ and natural maps $\varphi_\lambda: M_\lambda \rightarrow \varinjlim M_\lambda$.

(a) For all $m \in \varinjlim M_\lambda$ there are a $\lambda \in \Lambda$ and an $m_\lambda \in M_\lambda$ with $\varphi_\lambda(m_\lambda) = m$.

(b) If $m_\lambda \in M_\lambda$ with $\varphi_\lambda(m_\lambda) = 0$ then there is a $\mu \geq \lambda$ with $f_{\lambda\mu}(m_\lambda) = 0$.

Proof: (a) Let $m \in \varinjlim M_\lambda$. Since $\varinjlim M_\lambda$ is generated by $\{\varphi_\lambda(M_\lambda)\}_{\lambda \in \Lambda}$, $m = \sum_{i=1}^r \varphi_{\tau_i}(m_{\tau_i})$.

Let $\lambda \in \Lambda$ with $\tau_i \leq \lambda$ for all $1 \leq i \leq r$. Then $\varphi_{\tau_i}(m_{\tau_i}) = \varphi_\lambda(f_{\lambda\tau_i}(m_{\tau_i}))$ and with $m_\lambda = \sum f_{\lambda\tau_i}(m_{\tau_i})$ we have that $m = \varphi_\lambda(m_\lambda)$.

(b) Let $i_\lambda: M_\lambda \rightarrow C = \bigoplus_{\lambda \in \Lambda} M_\lambda$ be the natural injections and $D \subseteq C$ the submodule generated by $\{i_\lambda(a) - i_\mu(f_{\lambda\mu}(a)) \mid a \in M_\lambda, \lambda \leq \mu\}$. By the construction of $\varinjlim M_\lambda = S/D$ $\varphi_\lambda(m_\lambda) = 0$ implies that

$$(*) \quad i_\lambda(m_\lambda) = \sum_{\tau} (i_{\mu(\tau)} f_{\mu(\tau)\tau}(m_\tau) - i_\tau(m_\tau)).$$

Let $\sigma \in \Lambda$ be larger than any index which occurs in (*). In the following we write μ instead of $\mu(\tau)$ with μ dependent on τ . Then:

$$\begin{aligned} i_\sigma f_{\sigma\lambda}(m_\lambda) &= (i_\sigma f_{\sigma\lambda}(m_\lambda) - i_\lambda(m_\lambda)) + i_\lambda(m_\lambda) \\ &= (i_\sigma f_{\sigma\lambda}(m_\lambda) - i_\lambda(m_\lambda)) + \sum_{\tau} (i_\mu f_{\mu\tau}(m_\tau) - i_\tau(m_\tau)) \end{aligned}$$

and for each τ :

$$\begin{aligned} i_\mu f_{\mu\tau}(m_\tau) - i_\tau(m_\tau) &= (i_\sigma f_{\sigma\tau}(m_\tau) - i_\tau(m_\tau)) + \\ &+ (i_\sigma f_{\sigma\mu}(-f_{\mu\tau}(m_\tau)) - i_\mu(-f_{\mu\tau}(m_\tau))). \end{aligned}$$

Thus we can write

$$i_\sigma f_{\sigma\lambda}(m_\lambda) = \sum_g (i_\sigma f_{\sigma g}(n_g) - i_g(n_g)) \in \bigoplus M_\lambda.$$

If $g \neq \sigma$, then $i_g(n_g) = 0$ and $n_g = 0$. This implies:

$$i_\sigma f_{\sigma\lambda}(m_\lambda) = i_\sigma f_{\sigma\sigma}(n_\sigma) - i_\sigma(n_\sigma) = 0$$

since $f_{\sigma\sigma} = \text{id}$. Therefore $f_{\sigma\lambda}(m_\lambda) = 0$.

(6.92) Theorem: Let $\{M'_\lambda, f'_{\mu\lambda}\}$, $\{M_\lambda, f_{\mu\lambda}\}$, $\{M''_\lambda, f''_{\mu\lambda}\}$ be direct systems of R -modules over the directed set Λ and let $\alpha: \{M'_\lambda, f'_{\mu\lambda}\} \rightarrow \{M_\lambda, f_{\mu\lambda}\}$ and $\beta: \{M_\lambda, f_{\mu\lambda}\} \rightarrow \{M''_\lambda, f''_{\mu\lambda}\}$ be R -linear maps so that for all $\lambda \in \Lambda$ the sequence $M'_\lambda \xrightarrow{\alpha_\lambda} M_\lambda \xrightarrow{\beta_\lambda} M''_\lambda$ is exact. Then

$$\varinjlim M'_\lambda \xrightarrow{\varinjlim \alpha_\lambda} \varinjlim M_\lambda \xrightarrow{\varinjlim \beta_\lambda} \varinjlim M''_\lambda \quad \text{is exact.}$$

Proof: Let $\psi_\lambda: M_\lambda \rightarrow \varinjlim M_\lambda$ and $\psi'_\lambda, \psi''_\lambda$, respectively, denote the natural maps into the direct limits. Set $\alpha_\infty = \varinjlim \alpha_\lambda$ and $\beta_\infty = \varinjlim \beta_\lambda$. For all $\lambda \in \Lambda$ there are commutative diagrams:

$$\begin{array}{ccc} M'_\lambda & \xrightarrow{\alpha_\lambda} & M_\lambda & \quad \text{and} \quad & M_\lambda & \xrightarrow{\beta_\lambda} & M''_\lambda \\ \psi'_\lambda \downarrow & & \downarrow \psi_\lambda & & \psi_\lambda \downarrow & & \downarrow \psi''_\lambda \\ \varinjlim M'_\lambda & \xrightarrow{\alpha_\infty} & \varinjlim M_\lambda & & \varinjlim M_\lambda & \xrightarrow{\beta_\infty} & \varinjlim M''_\lambda \end{array}$$

$$(1) \beta_\infty \alpha_\infty = 0.$$

Pf (1): Let $z' \in \varinjlim M'_\lambda$. Then there are a $\lambda \in \Lambda$ and an $m'_\lambda \in M'_\lambda$ with $\psi'_\lambda(m'_\lambda) = z'$. Thus $\alpha_\infty(z') = \alpha_\infty \psi'_\lambda(m'_\lambda) = \psi_\lambda \alpha_\lambda(m'_\lambda)$ and $\beta_\infty \alpha_\infty(z') = \beta_\infty \psi_\lambda \alpha_\lambda(m'_\lambda) = \psi''_\lambda \beta_\lambda \alpha_\lambda(m'_\lambda) = 0$.

$$(2) \ker(\beta_\infty) \subseteq \text{im}(\alpha_\infty).$$

Pf (2): Let $z \in \varinjlim M_\lambda$ with $\beta_\infty(z) = 0$ and let $m_\lambda \in M_\lambda$ with $\psi_\lambda(m_\lambda) = z$. Then $\beta_\infty \psi_\lambda(m_\lambda) = \psi''_\lambda \beta_\lambda(m_\lambda) = 0$. By (6.91) there is a $\mu \in \Lambda$ with $\lambda \leq \mu$ so that $f''_{\mu\lambda} \beta_\lambda(m_\lambda) = 0$. Since $f''_{\mu\lambda} \beta_\lambda = \beta_\mu f_{\mu\lambda}$, $\beta_\mu(f_{\mu\lambda}(m_\lambda)) = 0$ and therefore $f_{\mu\lambda}(m_\lambda) \in \ker(\beta_\mu) = \text{im}(\alpha_\mu)$. Let

$m'_\mu \in M'_\mu$ with $\alpha_\mu(m'_\mu) = f_{\mu\lambda}(m_\lambda)$. Then $\alpha_\mu \psi'_\mu(m'_\mu) = \psi_\mu \alpha_\mu(m'_\mu) = \psi_\mu f_{\mu\lambda}(m_\lambda) = \psi_\lambda(m_\lambda) = z$.

(6.93) Theorem: Let R be a ring, N an R -module, and $\{M_\lambda, f_{\mu\lambda}\}$ a direct system of R -modules over Λ . Then $\{M_\lambda \otimes_R N, f_{\mu\lambda} \otimes N\}$ is a direct system of R -modules over Λ and

$$\varinjlim (M_\lambda \otimes_R N) \cong (\varinjlim M_\lambda) \otimes_R N$$

i.e. the tensor product commutes with direct limits.

Proof: Obviously, $\{M_\lambda \otimes_R N, f_{\mu\lambda} \otimes N\}$ is a direct system of R -modules. There is an isomorphism $(*) \oplus (M_\lambda \otimes_R N) \cong (\oplus M_\lambda) \otimes_R N$ which maps $i_\lambda(a \otimes b)$ to $i_\lambda(a) \otimes b$.

Under this map $i_\lambda(a \otimes b) - i_\mu(f_{\mu\lambda} \otimes N)(a \otimes b)$ is mapped to $(i_\lambda(a) - i_\mu(f_{\mu\lambda}(a))) \otimes b$. Let D be the submodule of $\oplus M_\lambda$ generated by $\{i_\lambda(a) - i_\mu(f_{\mu\lambda}(a)) \mid a \in M_\lambda, \lambda \leq \mu\}$. The sequence

$$D \otimes_R N \xrightarrow{h} (\oplus M_\lambda) \otimes_R N \longrightarrow (\oplus M_\lambda / D) \otimes_R N \longrightarrow 0$$

is exact and under the isomorphism $(*)$ the submodule \tilde{D} of $\oplus (M_\lambda \otimes_R N)$, which is generated by $\{i_\lambda(a \otimes b) - i_\mu(f_{\mu\lambda} \otimes N)(a \otimes b) \mid a \otimes b \in M_\lambda \otimes_R N, \lambda \leq \mu\}$, is mapped onto h .

This shows the assertion.

§7: INVERSE SYSTEMS

(6.94) Definition: Let R be a ring, Λ a directed set, and $\{M_\lambda, \lambda \in \Lambda\}$ a family of R -modules.

Suppose that for each pair $\lambda, \mu \in \Lambda$ with $\lambda \leq \mu$ there is given an R -linear map $f_{\lambda\mu}: M_\mu \rightarrow M_\lambda$ so that (i) $f_{\lambda\lambda} = \text{id}_{M_\lambda}$ for all $\lambda \in \Lambda$ and (ii) $f_{\lambda\mu} f_{\mu\nu} = f_{\lambda\nu}$ whenever $\lambda \leq \mu \leq \nu$. Then $\{M_\lambda, f_{\lambda\mu}\}$ is called an inverse system of R -modules over Λ . Inverse systems of sets, groups, rings etc. are defined accordingly.

(6.95) Definition: (a) Let $\{M_\lambda, f_{\lambda\mu}\}$ and $\{M'_\lambda, f'_{\lambda\mu}\}$ be inverse systems of R -modules over Λ . A morphism (or an R -linear map) $\varphi: \{M_\lambda, f_{\lambda\mu}\} \rightarrow \{M'_\lambda, f'_{\lambda\mu}\}$ is a family of R -linear maps $\varphi = \{\varphi_\lambda: M_\lambda \rightarrow M'_\lambda \mid \lambda \in \Lambda \text{ and } \varphi_\lambda \text{ } R\text{-linear}\}$ so that for all $\lambda \leq \mu$, $f'_{\lambda\mu} \varphi_\mu = \varphi_\lambda f_{\lambda\mu}$, that is, the diagram:

$$\begin{array}{ccc} M_\mu & \xrightarrow{\varphi_\mu} & M'_\mu \\ f_{\lambda\mu} \downarrow & & \downarrow f'_{\lambda\mu} \\ M_\lambda & \xrightarrow{\varphi_\lambda} & M'_\lambda \end{array} \quad \text{commutes.}$$

(b) Let $\{M_\lambda, f_{\lambda\mu}\}$ be an inverse system of R -modules over Λ and X an R -module. A morphism (or an R -linear map) $\varphi: X \rightarrow \{M_\lambda, f_{\lambda\mu}\}$ is a family of R -linear maps $\varphi = \{\varphi_\lambda: X \rightarrow M_\lambda \mid \lambda \in \Lambda \text{ and } \varphi_\lambda \text{ } R\text{-linear}\}$ so that for all $\lambda, \mu \in \Lambda$ with $\lambda \leq \mu$, $\varphi_\lambda = f_{\lambda\mu} \varphi_\mu$, that is, the diagram

$$\begin{array}{ccc} X & \xrightarrow{\varphi_\lambda} & M_\mu \\ & \searrow \varphi_\lambda & \downarrow f_{\lambda\mu} \\ & & M_\lambda \end{array} \quad \text{commutes.}$$

(c) Let $\{M_\lambda, f_{\lambda\mu}\}$ be an inverse system of R -modules over Λ . An R -module M_∞ together with an R -linear map $\varphi: M_\infty \rightarrow \{M_\lambda, f_{\lambda\mu}\}$ is called an inverse limit of $\{M_\lambda, f_{\lambda\mu}\}$ if for every R -linear map $\psi: X \rightarrow \{M_\lambda, f_{\lambda\mu}\}$ there is a unique R -linear map $h: X \rightarrow M_\infty$ so that $\varphi_\lambda = \psi_\lambda h$ for all $\lambda \in \Lambda$, that is, for all $\lambda, \mu \in \Lambda$ with $\lambda \leq \mu$ the diagram:

$$\begin{array}{ccccc} & & & & M_\mu \\ & & \varphi_\mu & \nearrow & \\ X & \xrightarrow{h} & M_\infty & \xrightarrow{\varphi_\mu} & M_\mu \\ & & & \searrow & \downarrow f_{\lambda\mu} \\ & & & \varphi_\lambda & M_\lambda \end{array} \quad \text{commutes.}$$

Notation: $M_\infty = \varprojlim M_\lambda = \varprojlim_{\Lambda} M_\lambda$.

(6.96) Theorem: Let $\{M_\lambda, f_{\lambda\mu}\}$ be an inverse system of R -modules over Λ . The inverse limit $\varprojlim M_\lambda$ exists.

Proof: For all $\lambda \in \Lambda$ let $p_\lambda: \prod_{\lambda \in \Lambda} M_\lambda \rightarrow M_\lambda$ be the λ th projection. Set

$$M_\infty = \{x \in \prod M_\lambda \mid p_\lambda(x) = f_{\lambda\mu}(p_\mu(x)) \text{ whenever } \lambda \leq \mu\} \subseteq \prod M_\lambda.$$

M_∞ is a submodule of $\prod M_\lambda$. For all $\lambda \in \Lambda$ let $\psi_\lambda: M_\infty \rightarrow M_\lambda$ be the restriction of p_λ to M_∞ . For all $\lambda, \mu \in \Lambda$ with $\lambda \leq \mu$ and all $x \in M_\infty$: $\psi_\lambda(x) = p_\lambda(x) = f_{\lambda\mu}(p_\mu(x)) = f_{\lambda\mu}(\psi_\mu(x))$. Thus $\psi_\lambda = f_{\lambda\mu} \psi_\mu$ and $\{\psi_\lambda\}: M_\infty \rightarrow \{M_\lambda, f_{\lambda\mu}\}$ is an R -linear map.

In order to show that M_∞ together with $\{\psi_\lambda\}$ is an inverse limit of $\{M_\lambda, f_{\lambda\mu}\}$ let $\varphi = \{\varphi_\lambda\}: X \rightarrow \{M_\lambda, f_{\lambda\mu}\}$ be an R -linear map, that is, $f_{\lambda\mu} \varphi_\mu = \varphi_\lambda$ whenever $\lambda \leq \mu$.

By the universal property of the direct product there is an R -linear map $g: X \rightarrow \prod M_\lambda$ with $p_\lambda g = \varphi_\lambda$ for all $\lambda \in \Lambda$. If $\lambda \leq \mu$, then $p_\lambda(g(x)) = \varphi_\lambda(x) = f_{\lambda\mu} \varphi_\mu(x) = f_{\lambda\mu}(p_\mu g(x))$ and $g(x) \in M_\infty$. Thus g induces an R -linear map $h: X \rightarrow M_\infty$ with $\psi_\lambda h = \varphi_\lambda$ for all $\lambda \in \Lambda$. The uniqueness of g yields the uniqueness of h .

(6.97) Remark: The inverse limit $\varprojlim M_\lambda$ is uniquely determined up to isomorphism.

(6.98) Example: Let $p \in \mathbb{Z}$ be a prime number and let for all $n, k \in \mathbb{N}, n \geq 1$,

$f_{n, n+k}: \mathbb{Z}/(p^{n+k}) \rightarrow \mathbb{Z}/(p^n)$ be the natural map. The set $\{\mathbb{Z}/(p^n), f_{n, n+k}\}$ is an inverse system.

Its inverse limit $\varprojlim \mathbb{Z}/(p^n) = \mathbb{Z}_p$ is the ring of p -adic numbers (integers).

(6.99) Remark: Let $\varphi = \{\varphi_\lambda\}: \{M_\lambda, f_{\lambda\mu}\} \rightarrow \{M'_\lambda, f'_{\lambda\mu}\}$ be an R -linear map of inverse systems and let $\psi_\lambda: \varprojlim M_\lambda \rightarrow M_\lambda$ and $\psi'_\lambda: \varprojlim M'_\lambda \rightarrow M'_\lambda$ be the structure maps of the inverse limits. For all $\lambda, \mu \in \Lambda$ with $\lambda \leq \mu$ there is a commutative diagram:

$$\begin{array}{ccccc} & & M_\mu & \xrightarrow{f_{\lambda\mu}} & M'_\mu \\ & \nearrow \psi_\mu & & & \\ \varprojlim M_\lambda & & & & \\ & \searrow \psi_\lambda & M_\lambda & \xrightarrow{f_{\lambda\lambda}} & M'_\lambda \\ & & & & \downarrow f'_{\lambda\lambda} \\ & & & & M'_\lambda \end{array}$$

This induces an R -linear map $\varprojlim \varphi_\lambda: \varprojlim M_\lambda \rightarrow \varprojlim M'_\lambda$.

There is a different way to think of $\varprojlim \varphi_\lambda$. $\varprojlim M_\lambda \subseteq \prod M_\lambda$ and $\varprojlim M'_\lambda \subseteq \prod M'_\lambda$ and $\varphi = \{\varphi_\lambda\}$ induces an R -linear map $\prod \varphi_\lambda$ on the direct products:

$$\begin{array}{ccc} \prod M_\lambda & \xrightarrow{\prod \varphi_\lambda} & \prod M'_\lambda \\ \cup & & \cup \\ \varprojlim M_\lambda & \xrightarrow{\varprojlim \varphi_\lambda} & \varprojlim M'_\lambda \end{array}$$

Then $\varprojlim \varphi_\lambda = \prod \varphi_\lambda |_{\varprojlim M_\lambda}$.

(6.100) Theorem: Let $\{M_\lambda, f_{\lambda\mu}\}$, $\{M'_\lambda, f'_{\lambda\mu}\}$, $\{M''_\lambda, f''_{\lambda\mu}\}$ be inverse systems of R -modules over $\Lambda = \mathbb{N}$.

Suppose that $\alpha: \{M'_\lambda, f'_{\lambda\mu}\} \rightarrow \{M_\lambda, f_{\lambda\mu}\}$ and $\beta: \{M_\lambda, f_{\lambda\mu}\} \rightarrow \{M''_\lambda, f''_{\lambda\mu}\}$ are R -linear maps of inverse systems with $0 \rightarrow M'_\lambda \xrightarrow{\alpha_\lambda} M_\lambda \xrightarrow{\beta_\lambda} M''_\lambda$ exact for all $\lambda \in \mathbb{N}$. Then

$$0 \rightarrow \varprojlim M'_\lambda \xrightarrow{\varprojlim \alpha_\lambda} \varprojlim M_\lambda \xrightarrow{\varprojlim \beta_\lambda} \varprojlim M''_\lambda \quad \text{is exact.}$$

Proof: Remark (6.99) implies that $\varprojlim \alpha_\lambda$ is injective and that $\varprojlim \beta_\lambda \varprojlim \alpha_\lambda = 0$. Let $x \in \varprojlim M_\lambda$ with $(\varprojlim \beta_\lambda)(x) = 0$. Then for all $\lambda \in \mathbb{N}$ $p_\lambda(\varprojlim \beta_\lambda)(x) = \beta_\lambda(p_\lambda(x)) = 0$ and there is a $y_\lambda \in M'_\lambda$ with $\alpha_\lambda(y_\lambda) = p_\lambda(x)$. Let $y \in \prod M'_\lambda$ with $p_\lambda(y) = y_\lambda$. We claim that $y \in \varprojlim M'_\lambda$.

For all $\lambda, \mu \in \mathbb{N}$ there is a commutative diagram:

$$\begin{array}{ccc} M'_{\lambda+\mu} & \xrightarrow{\alpha_{\lambda+\mu}} & M_{\lambda+\mu} \\ f'_{\lambda, \lambda+\mu} \downarrow & & \downarrow f_{\lambda, \lambda+\mu} \\ M'_\lambda & \xrightarrow{\alpha_\lambda} & M_\lambda \end{array}$$

Thus $\alpha_\lambda f'_{\lambda, \lambda+\mu}(y_{\lambda+\mu}) = f_{\lambda, \lambda+\mu} \alpha_{\lambda+\mu}(y_{\lambda+\mu}) = f_{\lambda, \lambda+\mu}(p_{\lambda+\mu}(x)) = p_\lambda(x) = \alpha_\lambda(y_\lambda)$. Since α_λ is injective, $y_\lambda = f_{\lambda, \lambda+\mu}(y_{\lambda+\mu})$. Thus $y \in \varprojlim M'_\lambda$ with $(\varprojlim \alpha_\lambda)(y) = x$.

(6.101) Definition: Let $\{M_\lambda, f_{\lambda\mu}\}$ be an inverse system of R -modules over $\Lambda = \mathbb{N}$. $\{M_\lambda, f_{\lambda\mu}\}$ satisfies the Mittag-Leffler condition ML if for every λ the decreasing chain of submodules $\{f_{\lambda\mu}(M_\mu) \mid \lambda \leq \mu\}$ stabilizes, i.e. there is an $n_\lambda \in \mathbb{N}$ with $f_{\lambda n_\lambda}(M_{n_\lambda}) = f_{\lambda\mu}(M_\mu)$ for all $\mu \geq n_\lambda$.

(6.102) Remark: Let $\{M_\lambda, f_{\lambda\mu}\}$ be an inverse system of R -modules over $\Lambda = \mathbb{N}$ with

$f_{\lambda\mu}: M_\mu \rightarrow M_\lambda$ surjective for all $\lambda, \mu \in \mathbb{N}$. Then $\{M_\lambda, f_{\lambda\mu}\}$ satisfies ML.

(6.103) Proposition: Let $\{M_\lambda\}$ be a direct (an inverse) system of R -modules (rings) over \mathbb{N} and let (n_i) be a strictly increasing sequence of integers. Then

$$\varinjlim M_\lambda \cong \varinjlim M_{n_i} \quad \text{and} \quad \varprojlim M_\lambda \cong \varprojlim M_{n_i}.$$

Proof: Homework

(6.104) Theorem: Let $\{M_\lambda, f_{\lambda\mu}\}$, $\{M'_\lambda, f'_{\lambda\mu}\}$, $\{M''_\lambda, f''_{\lambda\mu}\}$ be inverse systems of R -modules over \mathbb{N} with

$f'_{\lambda\mu}: M'_\mu \rightarrow M'_\lambda$ surjective for all $\lambda \leq \mu$. Suppose that $\alpha: \{M'_\lambda, f'_{\lambda\mu}\} \rightarrow \{M_\lambda, f_{\lambda\mu}\}$ and

$\beta: \{M_\lambda, f_{\lambda\mu}\} \rightarrow \{M''_\lambda, f''_{\lambda\mu}\}$ are R -linear maps of inverse systems with

$0 \rightarrow M'_\lambda \xrightarrow{\alpha_\lambda} M_\lambda \xrightarrow{\beta_\lambda} M''_\lambda \rightarrow 0$ exact for all $\lambda \in \mathbb{N}$. Then

$$0 \longrightarrow \varprojlim M'_\lambda \xrightarrow{\varprojlim \alpha_\lambda} \varprojlim M_\lambda \xrightarrow{\varprojlim \beta_\lambda} \varprojlim M''_\lambda \longrightarrow 0$$

is exact.

Proof: We only have to show that $\varprojlim \beta_\lambda$ is surjective. Let $z = (z_\lambda) \in \varprojlim M''_\lambda$. We construct

an $x = (x_\lambda) \in \prod M_\lambda$ inductively so that $x \in \varprojlim M_\lambda$ and $(\varprojlim \beta_\lambda)(x) = z$. Let $x_0 \in M_0$ with

$\beta_0(x_0) = z_0$ and suppose that $x_i \in M_i$ for $0 \leq i \leq n-1$ have been constructed so that

(a) $\beta_i(x_i) = z_i$ for $0 \leq i \leq n-1$

(b) $f_{i, i-1}(x_i) = x_{i-1}$ for $1 \leq i \leq n-1$.

Consider the commutative diagram:

$$\begin{array}{ccccc} M'_n & \xrightarrow{\alpha_n} & M_n & \xrightarrow{\beta_n} & M''_n \\ \downarrow f'_{n-1, n} & & \downarrow f_{n-1, n} & & \downarrow f''_{n-1, n} \\ M'_{n-1} & \xrightarrow{\alpha_{n-1}} & M_{n-1} & \xrightarrow{\beta_{n-1}} & M''_{n-1} \end{array}$$

Let $\tilde{x}_n \in M_n$ with $\beta_n(\tilde{x}_n) = z_n$. Then

$$\beta_{n-1}(f_{n-1, n}(\tilde{x}_n) - x_{n-1}) = \beta_{n-1}f_{n-1, n}(\tilde{x}_n) - \beta_{n-1}(x_{n-1}) = f''_{n-1, n}(z_n) - \beta_{n-1}(x_{n-1}) = z_{n-1} - z_{n-1} = 0.$$

Hence there is a $y_{n-1} \in M'_{n-1}$ with $\alpha_{n-1}(y_{n-1}) = f_{n-1, n}(\tilde{x}_n) - x_{n-1}$. Since $f'_{n-1, n}$ is

surjective there is a $y_n \in M'_n$ so that $f'_{n-1, n}(y_n) = y_{n-1}$. Set $x_n = \tilde{x}_n - \alpha_n(y_n)$. Then

$$(a) \quad \beta_n(x_n) = \beta_n(\tilde{x}_n) - \beta_n \alpha_n(y_n) = \beta_n(\tilde{x}_n) = z_n.$$

$$\begin{aligned}
 (b) \quad f_{n-1,n}(x_n) &= f_{n-1,n}(\tilde{x}_n - \alpha_n(y_n)) = f_{n-1,n}(\tilde{x}_n) - f_{n-1,n} \alpha_n(y_n) \\
 &= f_{n-1,n}(\tilde{x}_n) - \alpha_{n-1} f'_{n-1,n}(y_n) \\
 &= f_{n-1,n}(\tilde{x}_n) - \alpha_{n-1}(y_{n-1}) \\
 &= x_{n-1}.
 \end{aligned}$$

Thus with $x = (x_n) \in \prod M_n$, $x \in \varprojlim M_n$ and $(\varprojlim \beta_n)(x) = z$.

(6.105) Remark: Theorem (6.104) also holds true under the weaker assumption that $\{M_\lambda, f_{\lambda\mu}\}$ satisfies ML.