

## Solutions to Homework 2.

(1) [4pts] Let  $R$  be a ring and  $Q \subseteq R$  an ideal with  $\text{rad}Q = P$  where  $P \subseteq R$  is a prime ideal. Show that  $Q$  is  $P$ -primary if and only if for all  $a, b \in R$  with  $ab \in Q$  and  $a \notin P$  we have that  $b \in Q$ .

*Proof.* Suppose that  $Q$  is  $P$ -primary. By (2.21) for all  $a \in R$  the map  $t_a : R/Q \rightarrow R/Q$  is either injective or nilpotent. If  $a \notin P = \text{rad}Q$ , the map  $t_a$  is not nilpotent, thus  $t_a$  is injective. Hence if  $b \in R$  with  $ab \in Q$ , then  $t_a(b) = 0$  and  $b \in Q$ . For the backward direction by (2.21) we have to show that for all  $a \in R$ , the map  $t_a$  is either injective or nilpotent. If  $t_a$  is not nilpotent then  $a \notin P$  and for all  $b \in R$  with  $ab \in Q$  it follows that  $b \in Q$ . Hence  $t_a$  is injective.

(2) [10pts] Let  $R$  be a Noetherian ring,  $P \subseteq R$  a prime ideal, and  $i_{R,P} : R \rightarrow R_P$  the canonical map into the localization. Define  $P^{(n)} = i_{R,P}^{-1}(P^n R_P)$  and show:

- (a)  $P^{(n)}$  is a  $P$ -primary ideal.
- (b)  $P^{(n)}$  is the  $P$ -primary component of  $P^n$ .
- (c)  $P^{(n)} = P^n$  if and only if  $P^n$  is a primary ideal.

*Proof.*

**Lemma.** Let  $\varphi : R \rightarrow T$  be a homomorphism of rings and let  $Q' \subseteq T$  be a  $P'$ -primary ideal. Then  $Q = \varphi^{-1}(Q')$  is a  $P = \varphi^{-1}(P')$ -primary ideal of  $R$ .

*Proof of Lemma.* Let  $a, b \in R$  with  $ab \in Q$  and  $a \notin Q$ . Then  $\varphi(a)\varphi(b) \in Q'$  and  $\varphi(a) \notin Q'$ . Since  $Q'$  is primary there is an  $m \in \mathbb{N}$  so that  $\varphi(b)^m \in Q'$ . Thus  $b^m \in Q$  and  $Q$  is a primary ideal. Since  $\text{rad}Q = P$  it follows that  $Q$  is  $P$ -primary.

(a) Since  $PR_P$  is the maximal ideal of  $R_P$ , the ideal  $P^n R_P$  is  $PR_P$ -primary. The lemma shows that  $P^{(n)} = i_{R,P}^{-1}(P^n R_P)$  is  $P$ -primary.

(b) Let  $P^n = Q_1 \cap \dots \cap Q_r$  be a shortest primary decomposition of  $P^n$  with  $Q_i$  a  $P_i$ -primary ideal. Since  $\text{rad}(P^n) = P = P_1 \cap \dots \cap P_r$  the prime ideal  $P = P_1$  is minimal in  $\text{Ass}(R/P^n)$ . By Theorem (2.40)  $Q_1 = i_{R,P}^{-1}(P^n R_P) = P^{(n)}$ .

(c) follows from (a) and (b).

(3) [8pts] Let  $R$  be a Noetherian ring,  $I \subseteq R$  an ideal,  $M$  a finite  $R$ -module, and  $N = (0 :_M I) = \{m \in M \mid Im = 0\}$ . Show that  $\text{Ass}_R(M/N) \subseteq \text{Ass}_R(M)$ .

*Proof.* Let  $m \in M$  with  $\text{ann}(m + N) = P \in \text{Ass}(M/N)$ . We claim that  $\text{ann}(Im) = P$ . Let  $t \in R$  with  $tIm = 0$ , thus  $tm \in N$  and  $t \in \text{ann}(m + N) = P$ . Conversely, if  $p \in P$ , then  $pm \in N$  and  $I(pm) = 0$  by definition of  $N$ . Hence  $p \in \text{ann}(Im)$ .

This shows that  $\text{Supp}_R(Im) = V(\text{ann}(Im)) = V(P)$ . Therefore  $P$  is a minimal prime ideal of  $\text{Supp}_R(Im)$  and hence  $P \in \text{Ass}(Im)$ . Since  $Im$  is an  $R$ -submodule of  $M$ , the assertion follows.

(4) [10pts] Let  $R$  be a ring and  $M$  an  $R$ -module.

- (a) For every prime ideal  $P \subseteq R$  show that  $P \in \text{Supp}_R(M)$  if and only if there is a submodule  $N \subseteq M$  with  $P \in \text{Ass}_R(M/N)$ .
- (b) Suppose that  $R$  is Noetherian and that  $M$  is finite. Show that for every prime ideal  $P \in \text{Supp}_R(M)$  there is a normal series  $0 = M_0 \subset M_1 \subset M_2 \subset \dots \subset M_n = M$  so that for all  $0 \leq i \leq n-1$ ,  $M_i/M_{i-1} \cong R/P_i$  for some  $P_i \in \text{Spec}(R)$  and with  $P_j = P$  for some  $0 \leq j \leq n-1$ .

*Proof.* (a) For the backward direction let  $P \in \text{Ass}_R(M/N)$  where  $N \subseteq M$  is a submodule. Then  $P \in \text{Supp}_R(M/N)$  and  $(M/N)_P \neq 0$ , hence  $M_P \neq 0$ .

For the forward direction let  $P \in \text{Supp}_R(M)$ . Then  $M_P \neq 0$  and there is an  $m \in M$  with  $m/1 \neq 0$  in  $M_P$ . Set  $N = Pm$ . We claim that  $P = \text{ann}(m + N)$  in  $M/N$ . Obviously,  $P \subseteq \text{ann}(m + N)$ . If  $b \in \text{ann}(m + N)$ , then there is a  $p \in P$  with  $bm = pm$  and therefore  $b - p \in \text{ann}(m) \subseteq P$ . This shows that  $P = \text{ann}(m + N) \in \text{Ass}_R(M/N)$ .

(b) Piece together a normal series of  $N$  and a normal series of  $M/N$  where  $P \in \text{Supp}(M/N)$ .

(5) [10pts] Let  $R$  be a Noetherian ring,  $M$  a finite  $R$ -module and  $I = \text{ann}(M)$ .

(a) If  $I$  is a prime ideal of  $R$ , then  $I \in \text{Ass}(M)$ .

(b)  $\text{Ass}(R/I) \subseteq \text{Ass}(M)$ .

(c) If  $P, Q \subseteq R$  are distinct prime ideals with  $P \subset Q$  and  $M = R/P \oplus R/Q$ , then  $\text{Ass}_R(R/I) \neq \text{Ass}_R(M)$ .

*Proof.* (a) If  $I = \text{ann}(M)$  is prime then  $I$  is the minimal prime ideal in  $\text{Supp}_R(M) = V(\text{ann}(M))$ . Thus by (2.15)  $I \in \text{Ass}_R(M)$ .

(b) Let  $P \in \text{Ass}_R(R/I)$  and  $a \in R$  with  $\text{ann}(a + I) = P$ . We claim that  $P = \text{ann}(aM)$ . Obviously,  $P \subseteq \text{ann}(aM)$ . Let  $t \in R$  with  $t(aM) = 0$ . Then  $ta \in I$  and  $t \in \text{ann}(a + I) = P$ . Hence  $\text{ann}(aM) = P$ . By (a)  $P \in \text{Ass}_R(aM) \subseteq \text{Ass}_R(M)$ .

(c) If  $M = R/P \oplus R/Q$ , then  $\text{ann}(M) = P \cap Q = P$ , since  $P \subset Q$ . Thus  $P \in \text{Ass}_R(M)$  by (a). Since  $\text{ann}((0, 1)) = Q$ ,  $Q \in \text{Ass}_R(M) \neq \text{Ass}_R(R/P) = \{P\}$ .

(6) [8pts] Let  $R$  be a Noetherian ring,  $P \subseteq R$  a prime ideal, and  $M$  a finite  $R$ -module.

(a) If  $N \subseteq M$  is a  $P$ -primary submodule of  $M$ , then there is an  $n \in \mathbb{N}$  with  $P^n M \subseteq N$ . The smallest  $n \in \mathbb{N}$  with  $P^n M \subseteq N$  is called the *exponent* of  $N$  in  $M$  and is denoted by  $e(M/N)$ .

(b) Let  $\{N_\lambda\}_{\lambda \in \Lambda}$  be a set of  $P$ -primary submodules of  $M$ . Then  $N = \bigcap_{\lambda \in \Lambda} N_\lambda$  is  $P$ -primary if and only if the set  $\{e(M/N_\lambda)\}_{\lambda \in \Lambda}$  is bounded above. In this case  $e(M/N) \geq e(M/N_\lambda)$  for all  $\lambda \in \Lambda$ .

*Proof.* (a) If  $N \subseteq M$  is  $P$ -primary then  $\text{Ass}(M/N) = \{P\}$ . Moreover,  $\text{rad}(\text{ann}(M/N)) = P$  and there is an  $n \in \mathbb{N}$  with  $P^n \subseteq \text{ann}(M/N)$ , since  $R$  is a Noetherian ring.

(b) If  $N$  is  $P$ -primary then by (a) there is an  $n \in \mathbb{N}$  with  $P^n M \subseteq N \subseteq N_\lambda$ . Thus  $e(M/N_\lambda) \leq n$  for all  $\lambda$ .

For the backward direction suppose that  $e(M/N_\lambda) \leq t$  for some  $t \in \mathbb{N}$  and all  $\lambda$ . Then  $P^t M \subseteq N$  and  $\text{Supp}(M/N) \subseteq V(P)$ . In particular, for all  $Q \in \text{Ass}_R(M/N)$ :  $P \subseteq Q$ . Let  $Q \in \text{Ass}_R(M/N)$  and  $m \in M$  with  $\text{ann}(m + N) = Q$ . Since  $m + N \neq 0$  in  $M/N$  there is a  $\lambda$  with  $m \notin N_\lambda$ . Since  $Q \subseteq \text{ann}(m + N_\lambda)$  and  $N_\lambda$   $P$ -primary it follows that  $\text{ann}(m + N_\lambda) = Q = P$ . The last statement is trivial.

(7) [12pts] Let  $K$  be a field and  $R = K[x, y, z]/(z^2 - xy)$  where  $x, y, z$  are variables over  $K$ . Show that  $P = (x, z)R$  is a prime ideal of  $R$ ,  $P^2$  is not primary, and  $P^2 = Q_1 \cap Q_2$  is a primary decomposition of  $P^2$  where  $Q_1 = Rx$  and  $Q_2 = m^2$  with  $m = (x, y, z)R$ .

*Proof.* Obviously,

$$R/P = R/(x, z) \cong K[x, y, z]/(z^2 - xy, x, z) = K[x, y, z]/(x, z) \cong K[y]$$

and  $P$  is a prime ideal of  $R$ .

In order to show that  $P^2 = Q_1 \cap Q_2$  in  $R$  with  $Q_1 = Rx$  and  $Q_2 = (x, y, z)^2R$ , set  $A = K[x, y, z]$  and consider preimages in  $A$ :

$$\begin{aligned}\tilde{P}^2 &= (x^2, xz, z^2, z^2 - xy) = (x^2, xz, z^2, xy) \\ \tilde{Q}_1 &= (x, z^2 - xy) = (x, z^2) \\ \tilde{Q}_2 &= (x^2, xy, xz, y^2, yz, z^2).\end{aligned}$$

Then

$$(x, z^2) \cap (x^2, xy, xz, y^2, yz, z^2) = (x^2, xy, xz, z^2) = \tilde{P}^2.$$

Thus  $P^2 = Q_1 \cap Q_2$ .

$Q_1 = Rx$  is  $P$ -primary in  $R$  if and only if  $\tilde{Q}_1 = (x, z^2)$  is  $\tilde{P} = (x, z)$ -primary in  $A$ . Obviously,  $\text{rad}(x, z^2) = (x, z)$ . Note that  $(x, z^2)A_{(x, z)}$  is primary with respect to the maximal ideal and that the ideal  $i_{A, (x, z)}^{-1}(x, z^2)$  is  $(x, z)$ -primary by the Lemma of problem 2 (where  $i_{A, (x, z)} : A \rightarrow A_{(x, z)}$  is the natural map). Thus it suffices to show that  $i_{A, (x, z)}^{-1}(x, z^2) = (x, z^2)$ . Let  $f \in i_{A, (x, z)}^{-1}(x, z^2)$ . Since  $x, z^2 \in (x, z^2)$  we may assume that

$$f = \sum a_i y^i + \sum b_j y^j z$$

with  $a_i, b_j \in K$ . Then there is a  $g \in A - (x, z)$  with  $fg \in (x, z^2)A$ . Again we may assume that  $g$  is of the form:

$$g = \sum c_i y^i + \sum d_j y^j z$$

where  $c_i, d_j \in K$ . Thus

$$\begin{aligned}fg &= (\sum a_i y^i + \sum b_j y^j z)(\sum c_i y^i + \sum d_j y^j z) \\ &\equiv (\sum a_i y^i)(\sum c_i y^i) + (\sum b_j y^j z)(\sum c_i y^i) + (\sum a_i y^i)(\sum d_j y^j z) \pmod{(x, z^2)}.\end{aligned}$$

First note that  $\sum c_i y^i \neq 0$  since  $g \notin (x, z)$ . Since no term of  $(\sum a_i y^i)(\sum c_i y^i)$  cancels with any other term of the sum, it follows that  $\sum a_i y^i = 0$  implying that then also  $\sum b_j y^j z = 0$ . Hence  $f \in (x, z^2)$  and  $(x, z^2)$  is  $P$ -primary (in  $A$ ). It is easy to see that  $P^2 = Q_1 \cap Q_2$  is a shortest primary decomposition. By (2.27)  $\text{Ass}_R(R/P^2) = \text{Ass}_R(R/Q_1) \cup \text{Ass}_R(R/Q_2) = \{P, m\}$  and  $P^2$  is not primary.

(8) [10pts] Let  $R$  be a Noetherian ring and  $a \in R$  a NZD of  $R$ . Show that  $\text{Ass}_R(R/(a)) = \text{Ass}_R(R/(a^n))$  for all  $n \in \mathbb{N}$ .

*Proof.* Since  $a \in R$  is a NZD, the  $R$ -linear map:

$$\varphi : R/(a^{n-1}) \rightarrow aR/(a^n)$$

defined by  $\varphi(x + (a^{n-1})) = ax + (a^n)$  is an isomorphism of  $R$ -modules. From the short exact sequence;

$$0 \rightarrow R/(a^{n-1}) \xrightarrow{\varphi} R/(a^n) \rightarrow R/(a) \rightarrow 0$$

we obtain that

$$\text{Ass}_R(R/(a^n)) \subseteq \text{Ass}_R(R/(a^{n-1})) \cup \text{Ass}_R(R/(a)).$$

The proof is by induction on  $n$ . Since

$$\text{Ass}_R(R/(a^n)) \subseteq \text{Ass}_R(R/(a^{n-1})) \cup \text{Ass}_R(R/(a))$$

and

$$\text{Ass}_R(R/(a^{n-1})) = \text{Ass}_R(aR/(a^n)) \subseteq \text{Ass}_R(R/(a^n))$$

the induction hypothesis

$$\text{Ass}_R(R/(a^{n-1})) = \text{Ass}_R(R/(a))$$

yields that

$$\text{Ass}_R(R/(a^n)) = \text{Ass}_R(R/(a)).$$

(9) [12pts] Let  $R$  be a ring and  $x$  a variable over  $R$ . Show:

- (a)  $\text{Ass}_{R[x]}(R[x]) = \{PR[x] \mid P \in \text{Ass}_R(R)\}$ .
- (b) If  $Q \subseteq R$  is  $P$ -primary, then  $QR[x]$  is  $PR[x]$ -primary.

*Proof.* (a) Let  $P \in \text{Ass}_R(R)$  and  $a \in R$  with  $\text{ann}_R(a) = P$ . Then  $\text{ann}_{R[x]}(a) = PR[x]$  and  $PR[x] \in \text{Ass}_{R[x]}(R[x])$ . Conversely, suppose that  $Q \in \text{Ass}_{R[x]}(R[x])$  with  $P = R \cap Q$ . If  $Q \neq PR[x]$  let  $f(x) \in R[x]$  be a polynomial of minimal degree with  $\text{ann}_{R[x]}(f(x)) = Q$ . Write  $f(x) = \sum_{i=0}^n a_i x^i$  where  $a_n \neq 0$  and  $\deg(f(x)) = n$ . Then for all  $0 \leq i \leq n$ ,  $P \subseteq \text{ann}_R(a_i)$  and for some  $1 \leq i \leq n$   $P = \text{ann}_R(a_i)$ . Let  $g(x) \in Q - PR[x]$ . We may assume that  $g(x) = \sum_{i=0}^m b_i x^i$  where  $b_i \in R - P$  or  $b_i = 0$  and  $b_m \neq 0$ . Then  $b_m a_n = 0$  and  $b_m f(x) = 0$  or  $\deg(b_m f(x)) < n$ . If  $b_m f(x) \neq 0$  we claim that  $\text{ann}_{R[x]}(b_m f(x)) = Q$ . One inclusion is trivial. For the other suppose that  $h(x) \in \text{ann}_{R[x]}(b_m f(x))$ . Then  $h(x)b_m f(x) = 0$  and  $h(x)b_m \in \text{ann}_{R[x]}(f(x)) = Q$ . Since  $b_m \notin Q$ ,  $h(x) \in Q$  and therefore  $\text{ann}_{R[x]}(b_m f(x)) = Q$ , contradicting the minimality of the degree of  $f(x)$ . Thus  $b_m f(x) = 0$  and  $b_m \in Q \cap R = P$ , another contradiction.

(b) Apply (a) to the ring  $R/Q$ .

(10) [16pts] Let  $R$  be a ring and  $M$  a finite  $R$ -module. Consider the set of submodules of  $M$  defined by  $\Lambda = \{IM \mid I \subseteq R \text{ an ideal}\}$  and suppose that  $\Lambda$  satisfies the a.c.c. and the d.c.c. Show that  $M$  is an Artinian  $R$ -module.

*Proof.* We consider  $M$  as an  $(R/\text{ann}(M))$ -module and assume that  $\text{ann}(M) = (0)$ .

*Claim (a) :*  $R$  is a semilocal ring.

*Proof (a).* Consider the following subset of  $\Lambda$ :

$$\Gamma_1 = \{JM \mid J \text{ is a finite product of maximal ideals of } R\}.$$

By assumption  $\Gamma_1$  has a minimal element  $JM$  where  $J = \mathfrak{m}_1 \dots \mathfrak{m}_n$ . If  $\mathfrak{w} \in \text{m-Spec}(R)$  is a maximal ideal different from the  $\mathfrak{m}_i$  then by the minimality of  $JM$ :  $JM = \mathfrak{w}JM$ . Since  $JR_{\mathfrak{w}} = R_{\mathfrak{w}}$ , we have that  $M_{\mathfrak{w}} = \mathfrak{w}M_{\mathfrak{w}}$ . By Nakayama's Lemma  $M_{\mathfrak{w}} = 0$ . Using again that  $M$  is finitely generated we see that there is an element  $t \in R - \mathfrak{w}$  with  $tM = 0$ , a contradiction to  $\text{ann}(M) = 0$ .

*Claim (b)* : For every ideal  $I \subseteq R$  the  $R$ -module  $IM$  is finite.

*Proof (b)*. Let  $I \subseteq R$  be an ideal. Consider the set

$$\Gamma_2 = \{JM \mid J \subseteq I \text{ and } JM \text{ is a finite } R\text{-module}\}.$$

By assumption  $\Gamma_2$  has a maximal element  $J_0M$ . If  $x \in IM$  then there are finite many elements  $a_1, \dots, a_n \in I$  and  $m_1, \dots, m_n \in M$  with  $x = \sum_{i=1}^n a_i m_i \in (J_0 + (a_1, \dots, a_n))M$  and by the maximality of  $J_0M$  we have that  $x \in J_0M$ . Thus  $J_0M = IM$  and  $IM$  is a finite  $R$ -module.

(c) Suppose that  $\text{m-Spec}(R) = \{\mathfrak{m}_1, \dots, \mathfrak{m}_n\}$ . By the a.c.c. there is an integer  $k \in \mathbb{N}$  so that  $(\mathfrak{m}_1, \dots, \mathfrak{m}_n)^k M = (\mathfrak{m}_1, \dots, \mathfrak{m}_n)^{k+1} M$ . By (b) the  $R$ -module  $(\mathfrak{m}_1, \dots, \mathfrak{m}_n)^k M$  is finitely generated and thus by Nakayama  $(\mathfrak{m}_1, \dots, \mathfrak{m}_n)^k M = 0$ .

(d) Set  $I_{(k_1, \dots, k_n)} = \mathfrak{m}_1^{k_1} \mathfrak{m}_2^{k_2} \dots \mathfrak{m}_n^{k_n}$  and consider the chain of submodules:

$$M \supseteq I_{(1,0,\dots,0)}M \supseteq \dots \supseteq I_{(k,0,\dots,0)}M \supseteq I_{(k,1,0,\dots,0)}M \supseteq \dots \supseteq I_{(k,k,\dots,k)}M.$$

Each factor module

$$N_{(k,\dots,k,t,0,\dots,0)} = \mathfrak{m}_1^k \dots \mathfrak{m}_i^k \mathfrak{m}_{i+1}^t M / \mathfrak{m}_1^k \dots \mathfrak{m}_i^k \mathfrak{m}_{i+1}^{t+1} M$$

is a finite vector space over  $K_{i+1} = R/\mathfrak{m}_{i+1}$ . Thus every  $N_{(k,\dots,k,t,0,\dots,0)}$  is an  $R$ -module of finite length and  $M$  is an  $R$ -module of finite length.