

$b^+ = 1$ simply connected 4-mfds

(Joint work w. Ron Stern)

Std exs: rational and rational ruled surfaces

$$\mathbb{P}^2 \# k \bar{\mathbb{P}}^2, \quad k \geq 0, \quad \text{and} \quad S^2 \times S^2$$

Goal Construct mfds homeo not diffeo to these

History: Donaldson (~1985), Dolgachev surfaces ($k=9$)

Kotschick (~1988) Barlow surface ($k=8$)

Jongil Park (~2004) ($k=7$)

& with Yongnam Lee - as ex surface (2007)

Combined work of Park, Stipsicz-Szabo, F-Stern

$k=5, 6$ + wily many ex's.

J. Park & students - ex surfaces for $k=5, 6$

- Very small 4-mfds -

Akhmedov-D. Park / Baldridge-Kirk $k=3$ (2007)

↓
($k=4$) wily many F-Stern

$k=2$ Akhmedov-Park - work in progress

Where we stand - $k=0, 1$?

$k \geq 10$ & minimal ?

Knot Surgery

Remove torus of self-int = 0 / Replace with $S^1 \times (S^3 \setminus \text{nbhd}(\text{knot}))$

- If X & $X \setminus \text{torus}$ s.c. then get X_K homeo X

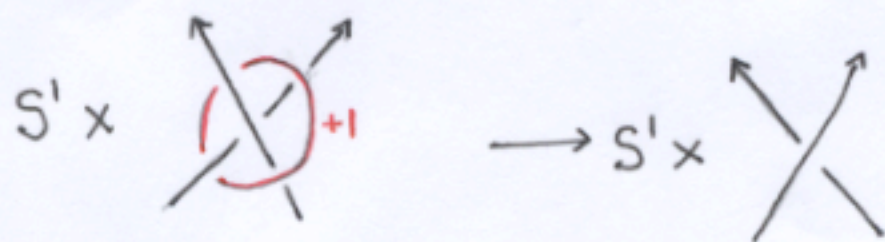
$$\& \text{SW}_{X_K} = \text{SW}_X \cdot \Delta_K(t^2) \quad (\text{F. Stern})$$

- So K nontriv & torus essential $\Rightarrow$
only many mfd's homeo not diffeo X .

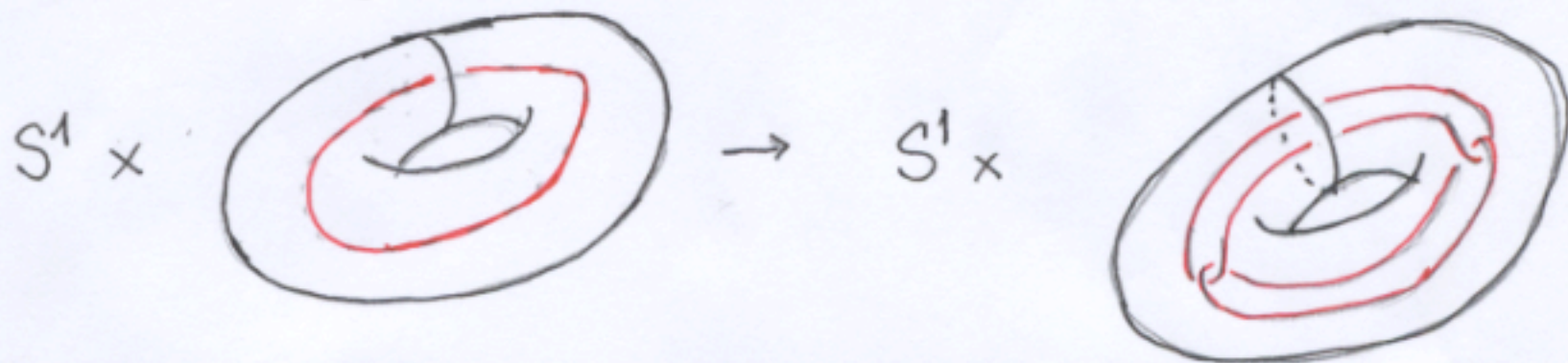
What about mfd's with no such tori?

Null homologous tori

- Used to prove knot surgery theorem:



Bing doubling produces pairs of null homologous tori:



- Important theorem of Morgan-Mrowka-Szabo

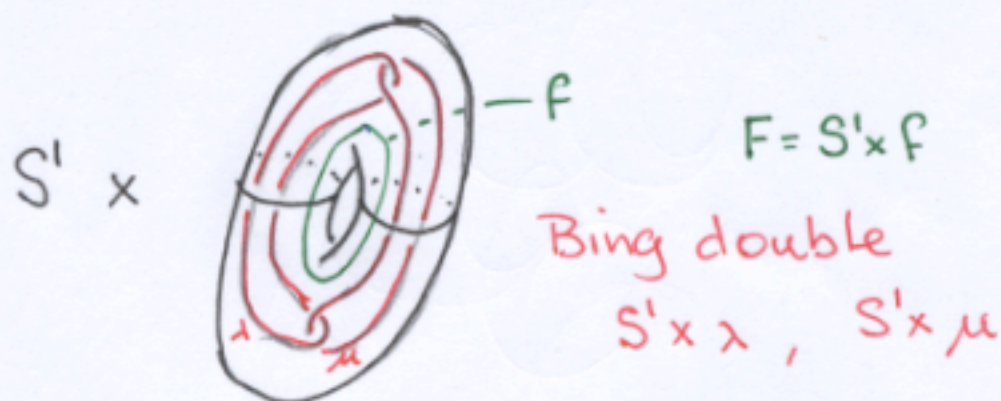
$$\text{"SW}_{X_{P/Q}} = p \text{SW}_X + q \text{SW}_{X_0} \text{"}$$

for $S^1 \times (P/Q)$ - Dehn surgery on torus of square 0 in X .

Simple Example

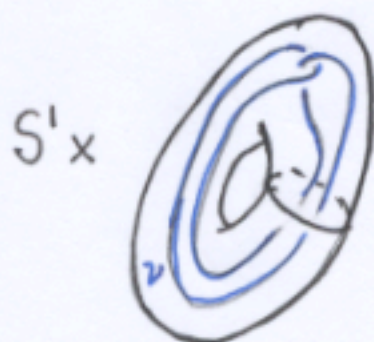
$E(1) = \mathbb{P}^2 \# 9\bar{\mathbb{P}}^2$ elliptic surface, $F \cong T^2$: fiber

$Nbd(F)$:



What is result of -1 surgery on $S' \times \lambda$ and $\frac{1}{n}$ -surgery on $S' \times \mu$?

-1 on $S' \times \lambda \rightsquigarrow$



$S' \times \nu$ = Whitehead double of F

$SW_{E(1)} = 0$ so M-M-Sz-formula $\Rightarrow SW_{\text{Result}} = n SW_{E(1)} \underbrace{\text{0-surgery on } S' \times \nu}_{\neq 0}$

This nullhomologous torus "useful" provided

Can calculate $SW_{E(1)} = t^{-1} - t$

$\Rightarrow$ get ∞ many mfd's homeo $E(1)$.

- Can't blow down to get smaller mfd's

Since section = exc. curve $\cap$'s $S' \times \nu$ in 2 pts.

Double node surgery (F-Stern) gets around this for $k = 5, 6, 7, 8$.

Finding useful nullhomologous tori by Reverse Engineering

X_1 : sympl. 4-mfd w/ $b_1 = 1$

T_1 : primitive Lagr. torus "carrying b_1 "

decr. H_2 by
hyp. pair $\downarrow \pm 1$ surgery (wrt Lagrangian framing)

X : sympl 4-mfd with $b_1 = 0$

$\cup$
 T : nullhomologous torus

0-surgery on $T \rightsquigarrow X_1$ & $SW_{X_1} \neq 0$.

• Can iterate this construction:

Start with X_n with $b_1 = n$ carried by n disjoint
prim. Lagr. tori ...

Note $e(X_n) = e(X)$, $\text{sign}(X_n) = \text{sign}(X)$

Thm (F-Stern) Surgeries on $T \subset X$ lead to ∞ many
distinct m(fds w/ same H_* as X .

(If all $\pi_1/\pi_2 = 0$ then homeo to X .)

X_n : model for X

Ex $\text{Sym}^2 \Sigma_3 = \text{Model for } \mathbb{P}^2 \# 3\bar{\mathbb{P}}^2$

$\Sigma_2 \times \Sigma_2 = \dots S^2 \times S^2$

Santeria Surgery

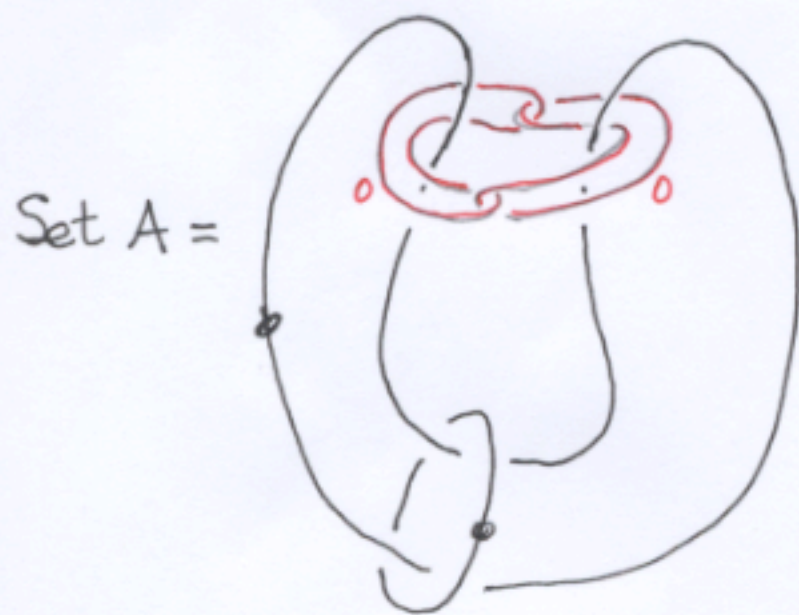
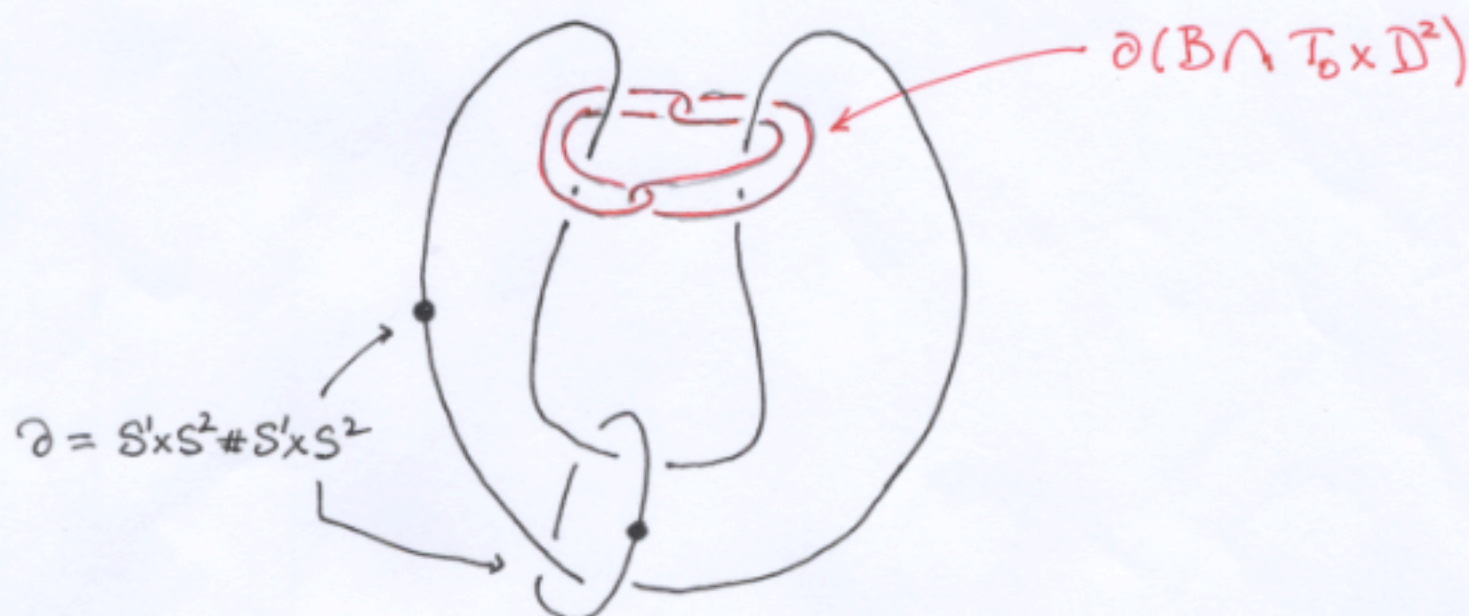
How to find useful nullhomologous tori in given X .

B = Bing double of core torus in $T^2 \times D^2$.

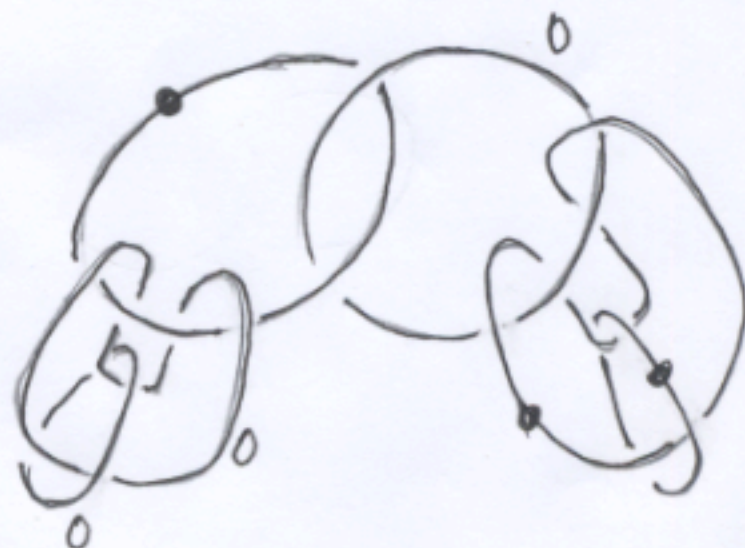
$$T_0 = T^2 \setminus D^2$$

$B \cap (T_0 \times D^2) = \text{pair of punctured tori}$

$$\partial(T_0 \times D^2) = S^1 \times S^2 \# S^1 \times S^2$$

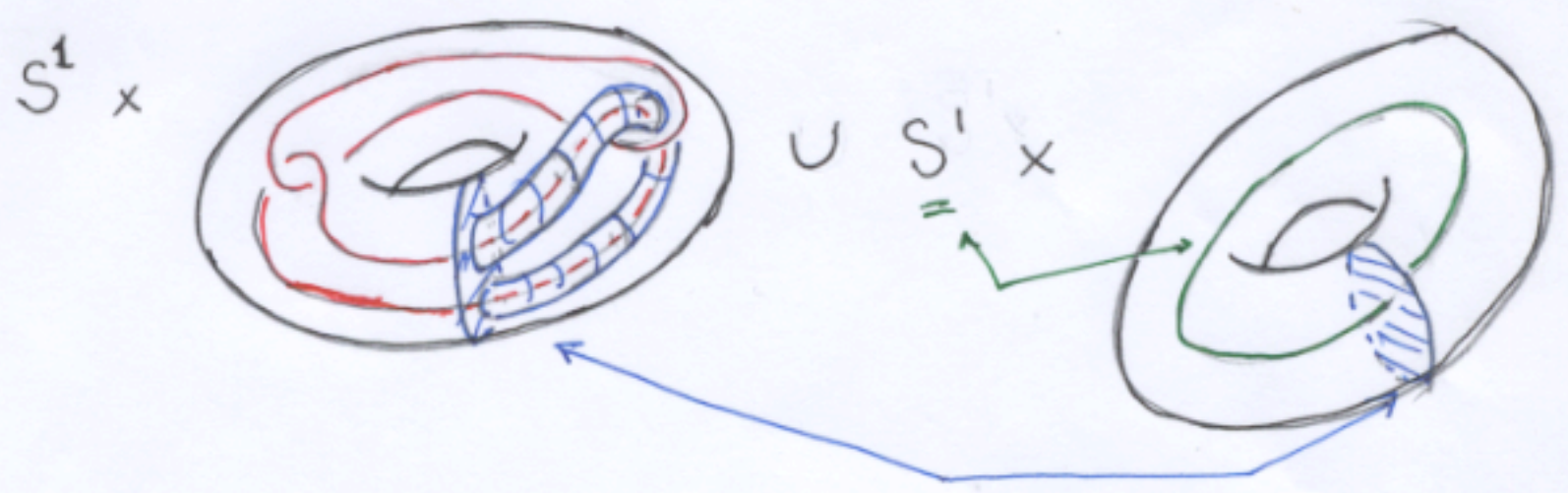


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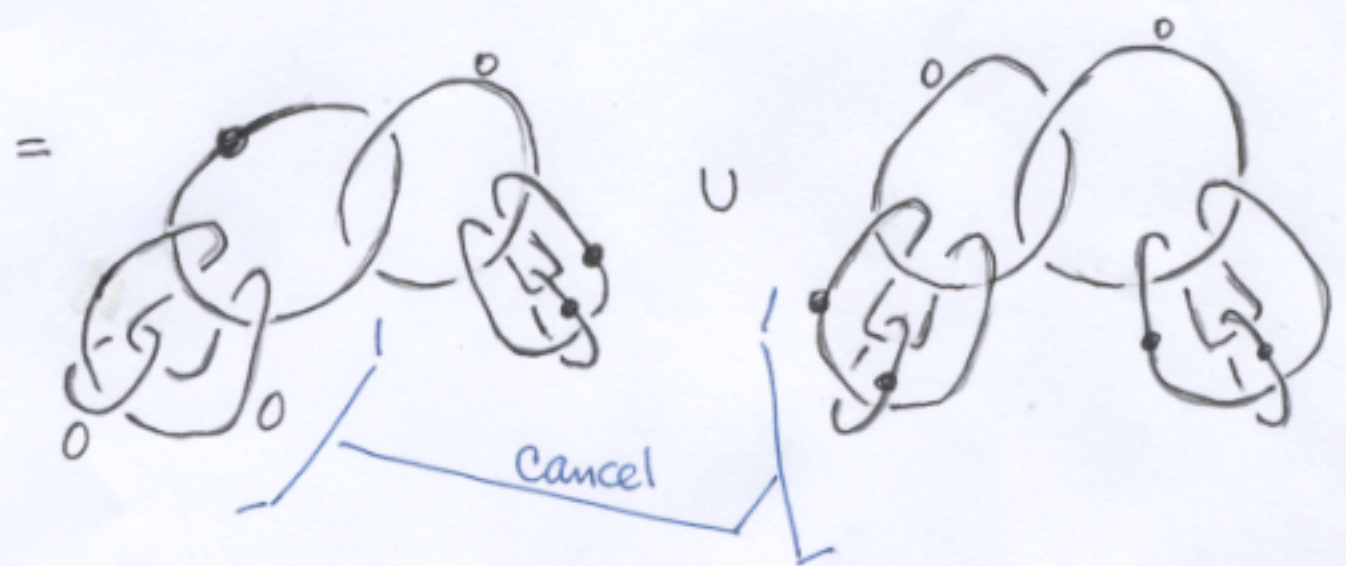
BCA

$A =$ complement of pair of transverse tori
in $T^2 \times S^2$



Idea: $A \cup \text{Nbd}$

$g=1$
0
0
$g=1$



Surgery on $B \subset A \subset T^2 \times S^2 = S^1 \times \partial \left(\begin{array}{c} 0 \\ \text{[diagram]} \\ 0 \end{array} \right) = T^4$

from surgery

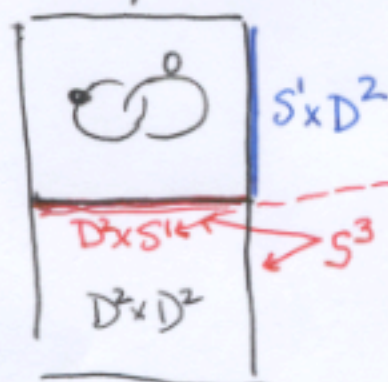
Removing "axes"

$A \xleftrightarrow{2 \text{ surgeries}} T_0 \times T_0$

Application to Santeria Surgery

Ex. $S^2 \times S^2 =$

$D^2 \times D^2$	$D^2 \times D^2$
$D^2 \times D^2$	$D^2 \times D^2$



Link bds disjoint D^2 's pushed into lower 4-ball.

$\Rightarrow$ Can attach 2-hdles scooped from bottom.
 ($\Rightarrow$ attaches 1-hdles to bottom 4-ball.)

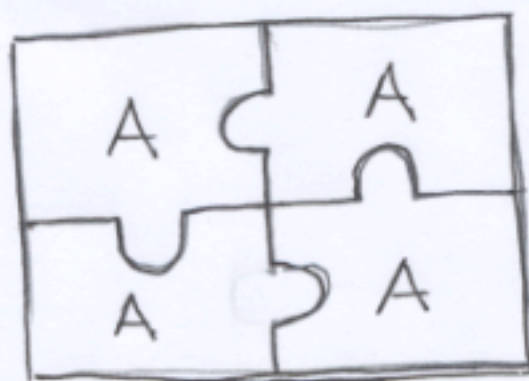


=



= A

$\Rightarrow S^2 \times S^2 =$



$\Downarrow$
 8 Santeria
 surgeries

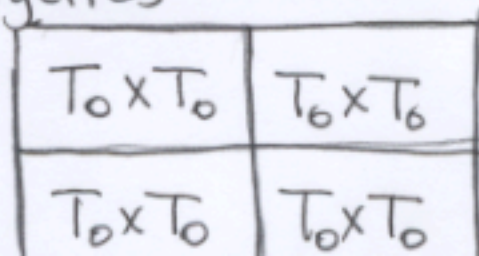
Homology $S^2 \times S^2 / \Delta$
 $\pi_1 = ?$

Rev Eng (8 times)

$\Rightarrow$

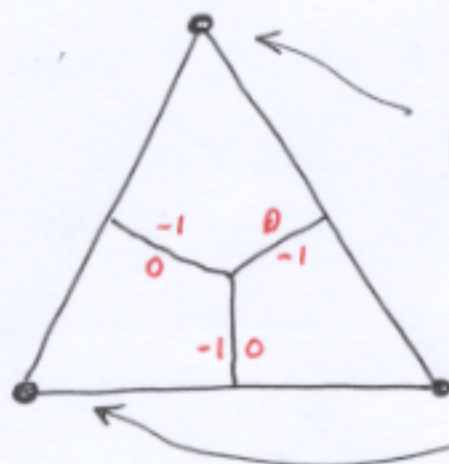
$\Sigma_2 \times \Sigma_2 =$

8 surgeries



$$\mathbb{P}^2 \# 3\bar{\mathbb{P}}^2$$

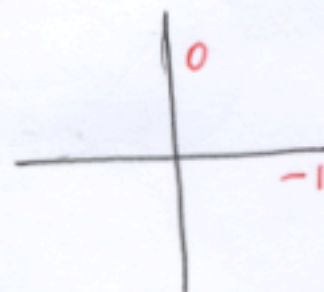
$$\mathbb{P}^2 =$$

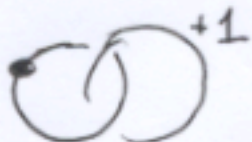


Nbds of 3 std $\mathbb{CP}^0$'s
in homog coord's
each $\cong B^4$

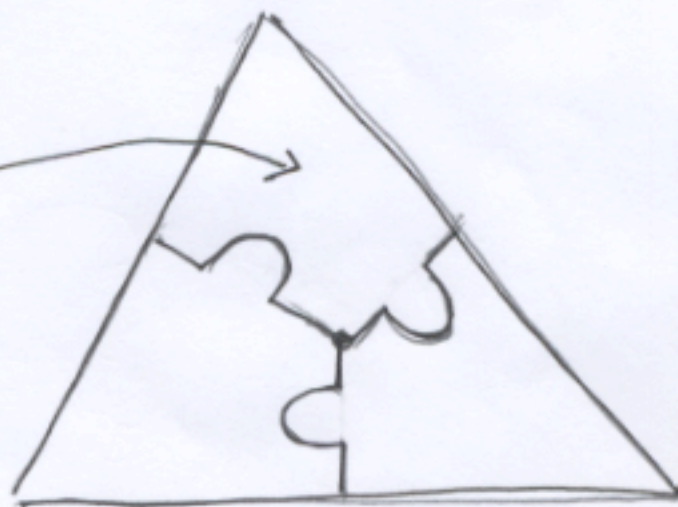
View as Symington 3-fold sum: Each 4-ball is

$$\mathbb{F}_1 \setminus \{\text{Fiber} \cup \text{'ve section}\}$$



Complement = 

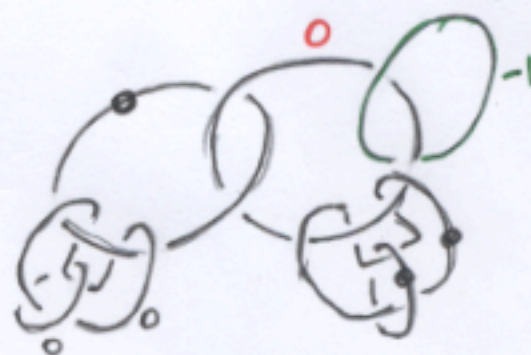
Trade handles as before:



Blow up each piece to find A

Pair of surgeries

$$\text{Compl. of } T_1 \text{ \& } T_2 \text{ in } T^2 \times T^2 \# \bar{\mathbb{P}}^2$$



Also get 3 fold sympl sum

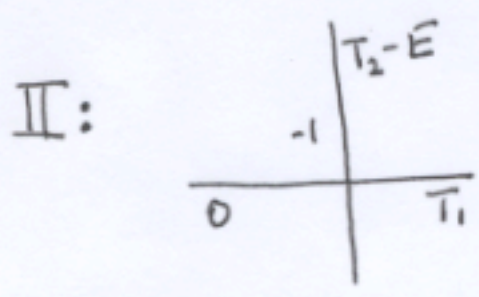
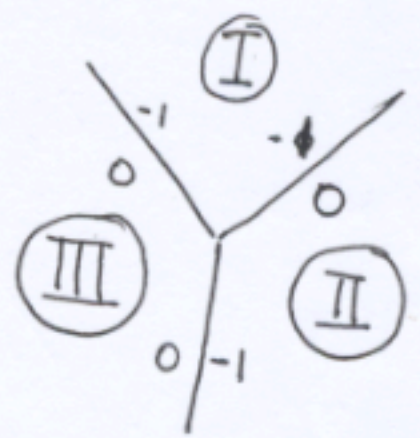
$\Rightarrow$ sympl. (Presumably $\text{Sym}^2(\Sigma_3)$)

Get exotic
 $\mathbb{P}^2 \# 3\bar{\mathbb{P}}^2$ via
Santeria
Surgery

$$\mathbb{P}^2 \# 2\bar{\mathbb{P}}^2$$

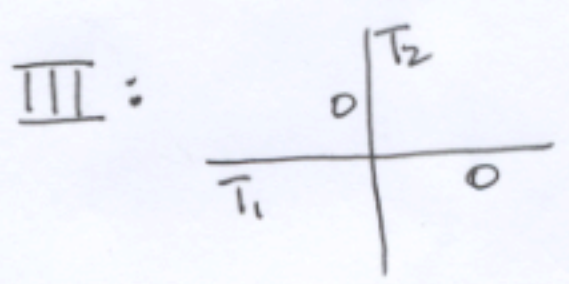
Via 3-fold sum

Simpl. model mfd $M =$



$$\subset T^4 \# \bar{\mathbb{P}}^2$$

as above
 $\xleftrightarrow{2 \text{ surgeries}}$
 $e=2$

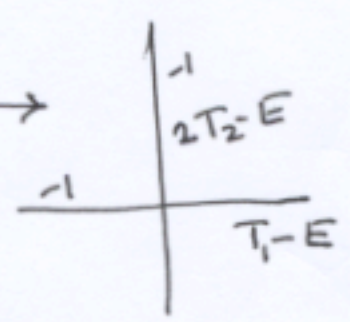
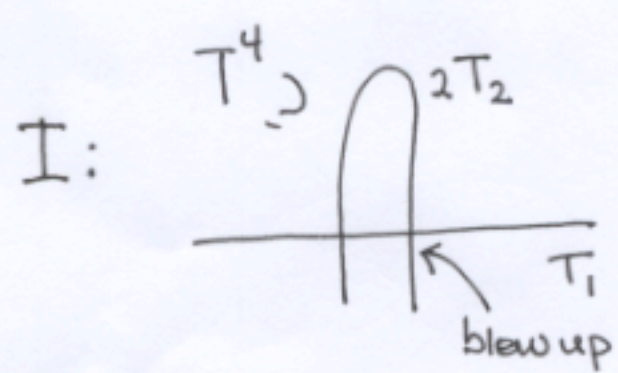


$$\subset T^4$$

$\xleftrightarrow{2 \text{ surgeries}}$

A

$$e=1$$



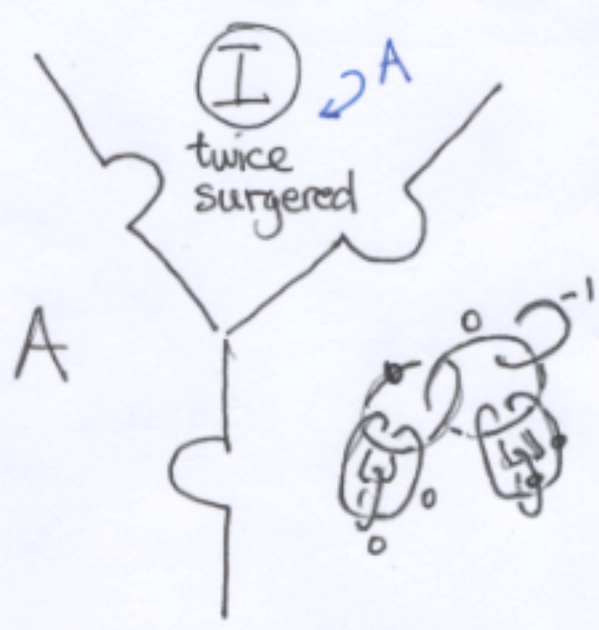
$$\subset T^4 \# \bar{\mathbb{P}}^2$$

Note $T_0 \times T_0$

$$e=2$$

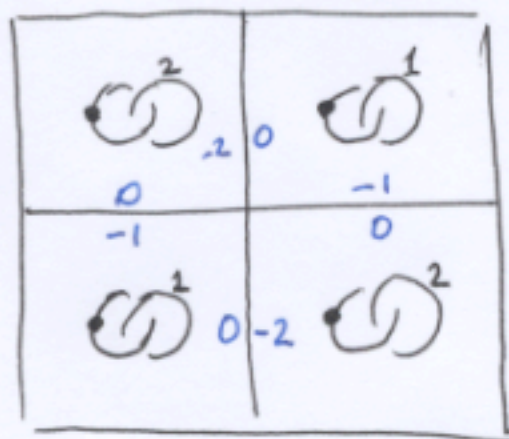
$\xrightarrow{6 \text{ surgeries}}$

Note $e=5, b_1=0$
 $\cong \mathbb{P}^2 \# 2\bar{\mathbb{P}}^2?$



6 nullhomologous surgeries give family of
 homology $\mathbb{P}^2 \# 2\bar{\mathbb{P}}^2$'s. $\pi_1 = ?$

Teaser - b^+ even:



$$\cong 2\mathbb{P}^2$$

To get copies of A after trading holes need to blow up 6 times.

Get 8 nullhomologous tori in $2\mathbb{P}^2 \# 6\bar{\mathbb{P}}^2$

What does "useful" mean? — No inv'ts.