

Reverse-engineering families of 4-manifolds

Ron Fintushel Michigan State University June 18, 2007

Joint work with Ron Stern

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- Euler characteristic: $e(X) = \sum_{i=0}^{4} (-1)^{j} rk(H^{j}(M;\mathbb{Z}))$
- ▶ Intersection form: $H^2(X; \mathbb{Z}) \otimes H^2(X; \mathbb{Z}) \rightarrow \mathbb{Z};$ $\alpha \cdot \beta = (\alpha \cup \beta)[X]$

is an integral, symmetric, unimodular, bilinear form.

Signature of X = sign(X) = Signature of intersection form $= <math>b^+ - b^-$

Type: Even if $\alpha \cdot \alpha$ even for all α ; otherwise Odd

- (Freedman, 1980) The intersection form classifies simply connected topological 4-manifolds: There is one homeomorphism type if the form is even; there are two if odd exactly one of which has X × S¹ smoothable.
- (Donaldson, 1982) Two simply connected *smooth* 4-manifolds are homeomorphic iff they have the same *e*, sign, and type.



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Wild Conjecture

- Every (simply connected) 4-manifold has either zero or infinitely many distinct smooth 4-manifolds which are homeomorphic to it.
- In contrast, for n > 4, every *n*-manifold has only finitely many distinct smooth *n*-manifolds which are homeomorphic to it.
- ▶ Need new invariants: Donaldson, Seiberg-Witten Invariants SW : {characteristic elements of H₂(X; Z)} → Z
- ▶ $SW(\beta) \neq 0$ for only finitely many β : called *basic* classes.
- For each surface $\Sigma \subset X$ with $g(\Sigma) > 0$ and $\Sigma \cdot \Sigma \ge 0$

$2g(\Sigma) - 2 \ge \Sigma \cdot \Sigma + |\Sigma \cdot \beta|$

for every basic class β . (adjunction inequality[Kronheimer-Mrowka]) Basic classes are the smooth analogue of



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- Surgery/ Log transforms
- Knot surgery
- Fiber sums (including fiber to section)
- Rational blowdown



We need techniques to attack wild conjectures!

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Surgery/ Log transform

T: square 0 torus $\subset X$, Tubular nbd $N_T \cong T^2 \times D^2$.

Surgery on *T*: $X \smallsetminus N_T \cup_{\varphi} T^2 \times D^2$, $\varphi : \partial(T^2 \times D^2) \to \partial(X \smallsetminus N_T)$ $\varphi(pt \times \partial D^2) =$ surgery curve Result determined by $\varphi_*[pt \times \partial D^2] \in H_1(\partial(X \smallsetminus N_T))$ Choose basis $\{\alpha, \beta, [\partial D^2]\}$ for $H_1(\partial N_T)$ where $\{\alpha, \beta\}$ are pushoffs of a basis for $H_1(T)$. $\varphi_*[pt \times \partial D^2] = p\alpha + q\beta + r[\partial D^2]$ Write $X \smallsetminus N_T \cup_{\varphi} T^2 \times D^2 = X_T(p, q, r)$ Note: $X_T(0, 0, 1) = X$

Need formula for the Seiberg-Witten invariant of $X_T(p, q, r)$ Due to Morgan, Mrowka, and Szabo.



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$$\sum_{i} SW_{X_{T}(p,q,r)}(k+2i[T_{(p,q,r)}]) = p \sum_{i} SW_{X_{T}(1,0,0)}(k'+2i[T_{(1,0,0)}]) + q \sum_{i} SW_{X_{T}(0,1,0)}(k''+2i[T_{(0,1,0)}]) + r \sum_{i} SW_{X}(k'''+2i[T])$$

k characteristic element of $H_2(X_{T(p,q,r)})$

$$\begin{array}{rcccc} H_2(X_T(p,q,r)) & \to & H_2(X_T(p,q,r), N_{T_{(p,q,r)}}) & k & \to & \bar{k} \\ & \downarrow \cong & & \downarrow \\ & & H_2(X \smallsetminus N_T, \partial) & & \hat{k} = \hat{k}' \\ & \uparrow \cong & & \uparrow \\ & & H_2(X_T(1,0,0)) & \to & H_2(X_T(1,0,0), N_{T_{(1,0,0)}}) & & k' & \to & \bar{k}' \end{array}$$

• All basic classes of $X_T(p, q, r)$ arise in this way.

Important to understand situations when sums collapse to single summand.

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Reducing to one summand

- ▶ When a core torus is nullhomologous.
- When a core torus is essential, but there is a square 0 torus that intersects it algebraically nontrivially.

Dual situations for surgery on T

- a. T primitive, $\alpha \subset T$ essential in $X \smallsetminus T$.
 - $\Rightarrow T_{(1,0,r)} \text{ nullhomologous in } X_T(1,0,r).$

(Its meridian is $\alpha + r\mu_T \sim \alpha \not\sim 0$ in $X \smallsetminus N_T$.)

Let $\alpha' =$ surgery curve on $\partial N_{T_{(1,0,r)}} \subset X_T(1,0,r)$ which gives back X

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- When a core torus is essential, but there is a square 0 torus that intersects it algebraically nontrivially.

Dual situations for surgery on T

- a. T primitive, $\alpha \subset T$ essential in $X \setminus T$.
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(Its meridian is $\alpha + r\mu_T \sim \alpha \not\sim 0$ in $X \setminus N_T$.)

Let $\alpha' =$ surgery curve on $\partial N_{\mathcal{T}_{(1,0,r)}} \subset X_{\mathcal{T}}(1,0,r)$ which gives back X

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K: Knot in S^3 , T: square 0 essential torus in X

Definition

 $X_{\mathcal{K}} = (X \smallsetminus N_{\mathcal{T}}) \cup (S^1 \times (S^3 \smallsetminus N_{\mathcal{K}}))$

Facts about knot surgery

▶ If X and $X \setminus T$ both simply connected; so is X_K .

$$\blacktriangleright SW_{X_K} = SW_X \cdot \Delta_K(t^2)$$

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e.g.
$$X = K3$$
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Suppose X contains a configuration C_p of 2-spheres



 $\partial C_p = L(p^2, 1-p),$ which bounds a rational homology ball B_p . Rationally blowdown X by replacing C_p with B_p . Simple formula for change in SW-invariant. Process decreases b^- by p - 1, leaves b^+ unchanged.



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Rational blowdown in general

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Some exotic $\pi_1 = 0$ manifolds with $b^+ = 1$ Start with elliptic surface E(1).



Perform knot surgery in the double node nbd using twist knot





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The double node trick trades the 'genus one pseudosection' for an immersed sphere:





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Rationally blow down to get ∞ family homeo to $\mathbb{CP}^2 \# 8 \overline{\mathbb{CP}}^2$ by varying K = twist knot



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Get a sphere of self intersection -7 in $E(1)_{\mathcal{K}} \# 2 \overline{\mathbb{CP}^2}$



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Idea behind reverse engineering

Let *T* be a nullhomologous torus in *X*. Morgan, Mrowka, Szabo formula says (more-or-less) that $\mathcal{SW}_{X_T(p,0,1)} = p \, \mathcal{SW}_{X_T(1,0,0)} + \mathcal{SW}_X$ So if $\mathcal{SW}_{X_T(1,0,0)} \neq 0$ then we get an infinite family.

Suppose X is simply connected. For (p, 0, 1) - surgery on T with nullhomologous α :

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- If $T_{(1,0,0)}$ has a 'dual' torus T' of square 0 such that $T' \cdot T_{(1,0,0)} \neq 0$, then the corresponding sum in the M-M-Sz Formula collapses to one term.



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Find a model manifold

Suppose we wish to construct a family of manifolds homeomorphic to the simply connected manifold Q.

Surgery on a torus changes neither the euler number nor signature.

- 1. Start with a model manifold M which has the same e and sign as Q, but with $b_1 > 0$.
- 2. Want disjoint homologically primitive tori in M, each of which contains a generator of $H_1(M)$, and such that surgeries on these tori reduce $b_1(M)$ to 0.
- 3. Also want each such torus to have a dual as above.
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Suppose Y is a 4-manifold with

► b₁=1

• Contains a homologically primitive square 0 torus T with a loop α on T representing a generator of $H_1(Y; \mathbb{Z})$.

• Contains square 0 torus T' with $T' \cdot T \neq 0$

► $SW_Y \neq 0$

- ▶ $b_1(X) = 0$, $H_2(Y; \mathbb{Z}) \cong H_2(X; \mathbb{Z}) \oplus$ hyperbolic pair
- $\Lambda = \text{core torus of surgery is nullhomologous in } X$.
- ∃ loop λ on Λ so that certain (0-) surgery gives back Y.
 Extend λ to basis of H₁(Λ) and do (p, 0, 1)-surgery to get X_p.
 SW_{Xp} = pSW_Y + SW_X
- Infinite family



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▶ The SW condition

If *M* is symplectic and the tori chosen for surgery are Lagrangian and we do $(p, 0, \pm 1)$ surgery with respect to the Lagrangian framing of of one of these tori then the resultant manifold will again be symplectic and so it has $SW \neq 0$.

Simple connectivity

Easier in some cases than others

Infinite families

- 1. $H_1 = 0$ (simply connected, if lucky) manifold X
- 2. Nullhomologous torus $\Lambda \subset X$
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Model Manifold = $Sym^2(\Sigma_3)$

Has the same *e* and sign as $\mathbb{CP}^2 \# 3\overline{\mathbb{CP}^2}$.

Has $\pi_1 = H_1(\Sigma_3)$ (so $b_1 = 6$)

- Six surgeries give a simply connected symplectic X whose canonical class pairs positively with the symplectic form.
- Not diffeomorphic to CP² # 3CP² since each symplectic form on CP² # 3CP² pairs negatively with its canonical class. Li-Liu
- Get infinite family of distinct manifolds all homeomorphic to Cℙ² # 3 ⊂ℙ² (joint with Ron Stern and Doug Park)



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The model manifold is: $(T^4 \# \overline{\mathbb{CP}^2}) \# \overline{\mathbb{CP}^2} \#_{\Sigma_2} \Sigma_2 \times T^2$

 $T^4 \# \overline{\mathbb{CP}}^2 = Sym^2(\Sigma_2)$

Conjecture

 $Sym^2(\Sigma_{g+1}) \cong Sym^2(\Sigma_g) \# \overline{\mathbb{CP}}{}^2 \#_{\Sigma_g} \Sigma_g \times T^2$

Prove by watching Σ_{g+1} degenerate to $\Sigma_g \vee T^2$?

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How do we find them?

- Library skills read papers on constructions of algebraic surfaces with small p_g and q
- Construct them yourself!

Some examples

- T⁴ = T² × T². Take double branched cover branched over the (2,2) curve (and desingularize). Get manifold with e = 8, sign = -4, and b₁ = 4 and plenty of Lagrangian tori to surger. This model gives manifolds homeomorphic to CP²# 5CP².
- \mathbb{Z}_3 -action on Σ_3 with 2 fixed points. $(\Sigma_3/\mathbb{Z}_3 = T^2)$. Diagonal action on $\Sigma_3 \times \Sigma_3$ descends to $Sym^2(\Sigma_3)$ with 3 fixed points.



How do we find them?

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• $T^4 = T^2 \times T^2$. Take double branched cover branched over the (2, 2) curve (and desingularize). Get manifold with e = 8, sign = -4, and $b_1 = 4$ and plenty of Lagrangian tori to surger.

This model gives manifolds homeomorphic to $\mathbb{CP}^2 \# 5\overline{\mathbb{CP}}^2$.

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Other Model Manifolds

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A Challenge

In $\mathbb{CP}^2 \# n \overline{\mathbb{CP}^2}$ find a nullhomologous torus so that surgeries on it give the known fake examples.

Santeria Surgery





