# Λ-modules

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## 1 Motivation

#### 1.1 Background

Iwasawa considers such field extension tower:Let  $K_n = Q(\zeta_{p^{n+1}})$ 

 $Q \subset K_0 \subset K_1 \subset \cdots \subset K_n \subset \cdots \subset K_\infty = \cup K_n$ 

We know that  $Gal(K_n/Q) = (\mathbb{Z}/p^{n+1}\mathbb{Z})^{\times}$  and

$$
Gal(K_{\infty}/Q) = \mathbb{Z}_p^{\times} \cong (\mathbb{Z}/p\mathbb{Z})^{\times} \oplus \mathbb{Z}_p
$$

$$
Gal(K_n/K_0)=\Gamma/\Gamma^{p^n}\triangleq \Gamma_n
$$

To understand such field extension, it is natural to consider the group  $\mathbb{Z}_p[\Gamma_n]$  and how it acts on other stuff.

#### 1.2 Construction

In fact, we do not only consider  $\mathbb{Z}_p[\Gamma_n]$ , we consider its inverse limit.

Let  $\gamma \in \Gamma$  be the topological generator. If  $m \geq n \geq 0$  there is a natural map  $\phi_{m,n} : \mathbb{Z}_p[\Gamma_m] \to$  $\mathbb{Z}_p[\Gamma_n]$  induced by the map  $\Gamma_m \to \Gamma_n$ 

$$
\mathbb{Z}_p[\Gamma_n] \cong \mathbb{Z}_p[T]/((1+T)^{p^n} - 1)
$$

We take the inverse limit

$$
\mathbb{Z}_p[[\Gamma]] \triangleq \varprojlim \mathbb{Z}_p[\Gamma_n] \cong \varprojlim \mathbb{Z}_p[T]/((1+T)^{p^n} - 1)
$$

Clearly,  $\mathbb{Z}_p[\Gamma] \subset \mathbb{Z}_p[[\Gamma]]$ , but they are different. In fact,  $\mathbb{Z}_p[[\Gamma]]$  is compactification of  $\mathbb{Z}_p[\Gamma]$ **Theorem 1**  $\mathbb{Z}_p[[\Gamma]] \cong \mathbb{Z}_p[[T]]$ *, the isomorphism being induced by*  $\gamma \to 1 + T$ 

#### 2 Structure of Λ-modules

Let  $\Lambda = \mathbb{Z}_p[[T]]$ , we first discuss some property of  $\Lambda$ .

**Definition 2**  $P(T) \in \mathbb{Z}_p[T]$  is called distinguished if  $P(T) = T^n + a_{n-1}T^{n-1} + \cdots + a_0$  with  $a_i \in p\mathbb{Z}_p$ 

Theorem 3 *(p-adic Weierstrass preparation theorem)Let*

$$
f(T) = \sum_{i=0}^{\infty} a_i T^i \in \Lambda
$$

*and assume for some n we have*  $a_i \in p\mathbb{Z}_p$ ,  $0 \le i \le n-1$ , but  $a_i \notin p\mathbb{Z}_p$ . Then f can be uniquely *written in the form*  $f(T) = P(T)U(T)$ *, where* $U(T) \in \Lambda$  *is a unit and*  $P(T)$  *is a distinguished polynomial of degree n.*

*More generally, Let* f(T) inΛ*, then we may write*

$$
f(T) = p^{\mu} P(T) U(T)
$$

*where* P *and* U *as above and* µ *is a nonnegative integer.*

**Theorem 4** *(Division algorithm) If*  $F(T) \in \Lambda$  *and*  $P(T)$  *is distinguished then uniquely* 

$$
f(T) = q(T)P(T) + r(T)
$$

*with*  $r(T) \in \mathbb{Z}[T]$ *, deg*  $r(T) <$ *deg*  $P(T)$ *.* 

Now, we can conclude that  $\Lambda$  is a UFD. In fact,  $\Lambda$  is a noetherian regular local ring with Krull dimension 2. The height 0 prime is 0, the height 1 prime is (p) and  $P(T)$  where  $P(T)$  is irreducible and distinguished, the height 2 prime is  $(p, T)$  which is the unique maximal ideal.

**Lemma 5** *Suppose*  $f, g \in \Lambda$  *are relatively prime. Then the ideal (f,g) is of finite index in*  $\Lambda$ 

**Lemma 6** *Suppose*  $f, g \in \Lambda$  *are relatively prime. Then* 

• *the natural map*

$$
\Lambda/(fg) \to \Lambda/(f) \oplus \Lambda/(g)
$$

*is an injection with finite cokernel*

• *there is an injection*

$$
\Lambda/(f) \oplus \Lambda/(g) \to \Lambda/(fg)
$$

*with finite cokernel*

**Definition 7** *Two* Λ *modules M and M' are said to be pseudo-isomorphic, written* 

 $M \sim M'$ 

*if there is an exact sequence of* Λ *modules*

$$
0\to A\to M\to M'\to B\to 0
$$

Warning:  $M \sim M'$  does not imply  $M' \sim M$ . For example,  $(p, T) \sim \Lambda$ 

Remark 8 *For finitely generated* Λ *modules,*

$$
M\sim M'\Leftrightarrow M'\sim M
$$

Theorem 9 *Let* M *be a finitely generated* Λ *module. Then*

$$
M \sim \Lambda^r \oplus \left(\bigoplus_{i=1}^s \Lambda/(p^{n_i})\right) \oplus \left(\bigoplus_{j=1}^t \Lambda/(f_j(T)^{m_j})\right)
$$

where $r, s, t, n_i, m_j \in \mathbb{Z}$ , and  $f_j$  is distingushied and irreducible.

**Proof.** Localization or row and column operation

It is first proved by Iwasawa in terms of the group ring  $\mathbb{Z}_p[[\Gamma]]$ . Serre observed that the group ring is isomorphic to Λ and deduced the structure theorem from some general results in commutative algebra.Paul Cohen showed that one could give a proof via row and column operation.

### 3 Adjoints

Let X be a finitely generated torsion  $\Lambda$  module. We know X will be pseudo-isomorphic to an "elementary' Λ module

$$
E=\oplus_i\Lambda/(f_i^{m_i})
$$

where  $f_i$  can be p or an irreducible distinguished polynomial. we define the characteristic polynomial of X to be

$$
char(X) = \prod f_i^{m_i}
$$

We want to show such E is uniquely determined by X

**Lemma 10** Let  $X \sim \bigoplus \Lambda/(f_i^{m_i})$  as above. Then

$$
X\otimes_\Lambda \Lambda_{\mathfrak{p}}=\oplus_{(f_i)={\mathfrak{p}}}\Lambda_{\mathfrak{p}}/f_i^{m_i}\Lambda_{\mathfrak{p}}
$$

*where* p *is height prime*

**Corollary 11** X is finite if and only if  $X \otimes \Lambda_p = 0$  for all height one prime

**Lemma 12** Let  $\psi: X \to \bigoplus_{\mathfrak{p}} (X \otimes \Lambda_{\mathfrak{p}})$  be the natural map. Then  $Ker\psi$  is finite and is the maximal *finite submodule of* X

Now we know how to characterize the  $ker\psi$ , how about  $Coker\psi$ ? Before we describe  $Coker\psi$ , we define the adjoint of X

#### Definition 13 *Define*

$$
\tilde{\alpha}(X) = Hom_{\mathbb{Z}_p}(Coker \psi, \mathbb{Q}_p/\mathbb{Z}_p)
$$

*the action of*  $\Lambda$  *is given by*  $(\gamma f)(x) = f(\gamma^{-1}x)$  *for*  $\gamma \in \Gamma$  *and*  $x \in Coker \psi$ *. Hence*,  $(g(T)f)(x) =$  $f(g((1+T)^{-1}-1)x)$  for  $g(T) \in \Lambda$ 

Inspired by such action, for any  $\Lambda$  module X, we can define a new action of  $\Lambda$  on X by

 $\gamma * x = \gamma^{-1}x$ 

Let  $\tilde{X}$  be X with the new action. Then the adjoint of X is

$$
\alpha(X) = \tilde{\;} (X)
$$

Now we will compute the adjoint of X. First, we need to describe  $Coker\psi$ 

Define an admissible sequence to be a sequence  $\sigma_0, \sigma_1, \cdots$  of elements of  $\Lambda$  such that  $\sigma_n$  and Char(X) are relatively prime, and  $\sigma_{n+1}/\sigma_n \in (p, T)$  for all  $n \geq 0$ . Note that

$$
\frac{1}{\sigma_0} \Lambda \subset \frac{1}{\sigma_1} \Lambda \subset \frac{1}{\sigma_2} \Lambda \subset \cdots
$$

and

$$
\varinjlim \frac{1}{\sigma_n} \Lambda = \cup \frac{1}{\sigma_n} \Lambda
$$

Proposition 14 *The map*

$$
\phi: X \otimes_{\Lambda} (\cup_{\sigma_n} \Lambda) \to \oplus_{\mathfrak{p}} (X \otimes_{\Lambda} \Lambda_{\mathfrak{p}})
$$

$$
x \otimes \frac{1}{\sigma_n} \to (\cdots, x \otimes \frac{1}{\sigma_n}, \cdots)
$$

*is an isomorphism of* Λ *modules( the direct sum is over any set of* p *containing all (height one) prime divisors of char(X) and such that*  $\sigma_n \in \Lambda_{\mathfrak{p}}^{\times}$  *for all n and*  $\mathfrak{p}$ *)* 

Applying  $X \otimes_{\Lambda}$  to the exact sequence

$$
0 \to \Lambda \to \cup_{\sigma_n}^{\mathcal{A}} \Lambda \to (\cup_{\sigma_n}^{\mathcal{A}} \Lambda)/\Lambda \to 0
$$

yields

$$
X \to \bigoplus_{\mathfrak{p}} (X \otimes_{\Lambda} \Lambda_{\mathfrak{p}}) \to X \otimes (\cup \frac{1}{\sigma_n} \Lambda)/\Lambda \to 0
$$

Therefore

$$
Coker \psi \cong X \otimes_{\Lambda} (\cup_{\sigma_n}^{\Lambda} \Lambda)/\Lambda
$$

In fact, we can choose special  $\sigma_n$  to make this more explicitly. For example, let  $\sigma_n = (T - \pi)^n$ with  $\pi \in p\mathbb{Z}_p$ then

$$
\cup \frac{1}{\sigma_n} \Lambda = \Lambda[\frac{1}{T-\pi}]
$$

which is the ring of Laurent series.

**Theorem 15** *Assume*  $f \in \Lambda$ ,  $\pi \in p\mathbb{Z}_p$  *and*  $f(\pi) \neq 0$ *. Then* 

$$
\Lambda/(f) \cong Hom_{\mathbb{Z}_p}(\Lambda/(f) \otimes \widetilde{\Lambda[\frac{1}{T-\pi}]}/\Lambda, \mathbb{Q}_p/\mathbb{Z}_p) \cong \alpha(\Lambda/(f))
$$

**Proof.** For  $g = \sum_{i=-N}^{\infty} a_i (T - \pi)^i$  with  $a_i \in \mathbb{Q}_p$ , define  $Res_{T=\pi} g = a_{-1}$ . Define a pairing

$$
\Lambda/(f) \times [\Lambda/(f) \otimes \Lambda[\frac{1}{T - \pi}]/\Lambda] \to \mathbb{Q}_p/\mathbb{Z}_p
$$

$$
(a, b \otimes c) = Res_{T = \pi}(\frac{abc}{f})(mod \mathbb{Z}_p)
$$

 $\blacksquare$ 

**Lemma 16** Suppose A and B are  $\mathbb{Z}_p$  modules with  $A \cong \mathbb{Z}_p^n$ . Assume there is a nondegenerate *pairing*

$$
A \times B \to \mathbb{Q}_p/\mathbb{Z}_p
$$

*then*  $A \cong Hom_{\mathbb{Z}_p}(B, \mathbb{Q}_p/\mathbb{Z}_p)$ 

**Corollary 17** *If E is an elementary torsion*  $\Lambda$  *module, then*  $E \cong \alpha(E)$ *.* 

**Proposition 18** Let X and Y be finitely generated torsion  $\Lambda$  modules with  $X \sim Y$ , then  $\alpha(Y) \sim Y$  $\alpha(X)$ 

Corollary 19  $X \sim \alpha(X)$ *, so*  $\alpha(X)$  *us also finitely generated torsion*  $\Lambda$  *module.*