# $\Lambda$ -modules

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#### October 1, 2021

## 1 Motivation

#### 1.1 Background

Iwasawa considers such field extension tower:Let  $K_n = Q(\zeta_{p^{n+1}})$ 

 $Q \subset K_0 \subset K_1 \subset \cdots \subset K_n \subset \cdots \subset K_\infty = \bigcup K_n$ 

We know that  $Gal(K_n/Q) = (\mathbb{Z}/p^{n+1}\mathbb{Z})^{\times}$  and

$$Gal(K_{\infty}/Q) = \mathbb{Z}_p^{\times} \cong (\mathbb{Z}/p\mathbb{Z})^{\times} \oplus \mathbb{Z}_p$$

$$Gal(K_n/K_0) = \Gamma/\Gamma^{p^n} \triangleq \Gamma_n$$

To understand such field extension, it is natural to consider the group  $\mathbb{Z}_p[\Gamma_n]$  and how it acts on other stuff.

#### 1.2 Construction

In fact, we do not only consider  $\mathbb{Z}_p[\Gamma_n]$ , we consider its inverse limit. Let  $\gamma \in \Gamma$  be the topological generator. If  $m \ge n \ge 0$  there is a natural map  $\phi_{m,n} : \mathbb{Z}_p[\Gamma_m] \to \mathbb{Z}_p[\Gamma_m]$  $\mathbb{Z}_p[\Gamma_n]$  induced by the map  $\Gamma_m \to \Gamma_n$ 

$$\mathbb{Z}_p[\Gamma_n] \cong \mathbb{Z}_p[T]/((1+T)^{p^n} - 1)$$

We take the inverse limit

$$\mathbb{Z}_p[[\Gamma]] \triangleq \varprojlim \mathbb{Z}_p[\Gamma_n] \cong \varprojlim \mathbb{Z}_p[T]/((1+T)^{p^n} - 1)$$

Clearly,  $\mathbb{Z}_p[\Gamma] \subset \mathbb{Z}_p[[\Gamma]]$ , but they are different. In fact,  $\mathbb{Z}_p[[\Gamma]]$  is compactification of  $\mathbb{Z}_p[\Gamma]$ **Theorem 1**  $\mathbb{Z}_p[[\Gamma]] \cong \mathbb{Z}_p[[T]]$ , the isomorphism being induced by  $\gamma \to 1 + T$ 

### **2** Structure of $\Lambda$ -modules

Let  $\Lambda = \mathbb{Z}_p[[T]]$ , we first discuss some property of  $\Lambda$ .

**Definition 2**  $P(T) \in \mathbb{Z}_p[T]$  is called distinguished if  $P(T) = T^n + a_{n-1}T^{n-1} + \cdots + a_0$  with  $a_i \in p\mathbb{Z}_p$ 

**Theorem 3** (*p*-adic Weierstrass preparation theorem)Let

$$f(T) = \sum_{i=0}^{\infty} a_i T^i \in \Lambda$$

and assume for some n we have  $a_i \in p\mathbb{Z}_p, 0 \leq i \leq n-1$ , but  $a_i \notin p\mathbb{Z}_p$ . Then f can be uniquely written in the form f(T) = P(T)U(T), where  $U(T) \in \Lambda$  is a unit and P(T) is a distinguished polynomial of degree n.

*More generally, Let* f(T) *in* $\Lambda$ *, then we may write* 

$$f(T) = p^{\mu} P(T) U(T)$$

where *P* and *U* as above and  $\mu$  is a nonnegative integer.

**Theorem 4** (Division algorithm) If  $F(T) \in \Lambda$  and P(T) is distinguished then uniquely

$$f(T) = q(T)P(T) + r(T)$$

with  $r(T) \in \mathbb{Z}[T]$ , deg r(T) < deg P(T).

Now, we can conclude that  $\Lambda$  is a UFD. In fact,  $\Lambda$  is a noetherian regular local ring with Krull dimension 2. The height 0 prime is 0, the height 1 prime is (p) and P(T) where P(T) is irreducible and distinguished, the height 2 prime is (p, T) which is the unique maximal ideal.

**Lemma 5** Suppose  $f, g \in \Lambda$  are relatively prime. Then the ideal (f,g) is of finite index in  $\Lambda$ 

**Lemma 6** Suppose  $f, g \in \Lambda$  are relatively prime. Then

• the natural map

$$\Lambda/(fg) \to \Lambda/(f) \oplus \Lambda/(g)$$

is an injection with finite cokernel

• there is an injection

$$\Lambda/(f)\oplus\Lambda/(g)\to\Lambda/(fg)$$

with finite cokernel

**Definition 7** Two  $\Lambda$  modules M and M' are said to be pseudo-isomorphic, written

 $M \sim M'$ 

*if there is an exact sequence of*  $\Lambda$  *modules* 

$$0 \to A \to M \to M' \to B \to 0$$

Warning:  $M \sim M'$  does not imply  $M' \sim M$ . For example,  $(p, T) \sim \Lambda$ 

**Remark 8** For finitely generated  $\Lambda$  modules,

$$M \sim M' \Leftrightarrow M' \sim M$$

**Theorem 9** Let M be a finitely generated  $\Lambda$  module. Then

$$M \sim \Lambda^r \oplus \left(\bigoplus_{i=1}^s \Lambda/(p^{n_i})\right) \oplus \left(\bigoplus_{j=1}^t \Lambda/(f_j(T)^{m_j})\right)$$

where  $r, s, t, n_i, m_j \in \mathbb{Z}$ , and  $f_j$  is distingushed and irreducible.

**Proof.** Localization or row and column operation

It is first proved by Iwasawa in terms of the group ring  $\mathbb{Z}_p[[\Gamma]]$ . Serre observed that the group ring is isomorphic to  $\Lambda$  and deduced the structure theorem from some general results in commutative algebra. Paul Cohen showed that one could give a proof via row and column operation.

## 3 Adjoints

Let X be a finitely generated torsion  $\Lambda$  module. We know X will be pseudo-isomorphic to an "elementary'  $\Lambda$  module

$$E = \bigoplus_i \Lambda / (f_i^{m_i})$$

where  $f_i$  can be p or an irreducible distinguished polynomial. we define the characteristic polynomial of X to be

$$char(X) = \prod f_i^{m_i}$$

We want to show such E is uniquely determined by X

**Lemma 10** Let  $X \sim \oplus \Lambda/(f_i^{m_i})$  as above. Then

$$X \otimes_{\Lambda} \Lambda_{\mathfrak{p}} = \bigoplus_{(f_i) = \mathfrak{p}} \Lambda_{\mathfrak{p}} / f_i^{m_i} \Lambda_{\mathfrak{p}}$$

where p is height prime

**Corollary 11** X is finite if and only if  $X \otimes \Lambda_{\mathfrak{p}} = 0$  for all height one prime

**Lemma 12** Let  $\psi : X \to \bigoplus_{\mathfrak{p}} (X \otimes \Lambda_{\mathfrak{p}})$  be the natural map. Then  $Ker\psi$  is finite and is the maximal finite submodule of X

Now we know how to characterize the  $ker\psi$ , how about  $Coker\psi$ ? Before we describe  $Coker\psi$ , we define the adjoint of X

#### **Definition 13** Define

$$\tilde{\alpha}(X) = Hom_{\mathbb{Z}_p}(Coker\psi, \mathbb{Q}_p/\mathbb{Z}_p)$$

the action of  $\Lambda$  is given by  $(\gamma f)(x) = f(\gamma^{-1}x)$  for  $\gamma \in \Gamma$  and  $x \in Coker\psi$ . Hence,  $(g(T)f)(x) = f(g((1+T)^{-1}-1)x)$  for  $g(T) \in \Lambda$ 

Inspired by such action, for any  $\Lambda$  module X, we can define a new action of  $\Lambda$  on X by

 $\gamma * x = \gamma^{-1}x$ 

Let  $\tilde{X}$  be X with the new action. Then the adjoint of X is

$$\alpha(X) = \tilde{(X_0)}$$

Now we will compute the adjoint of X. First, we need to describe  $Coker\psi$ 

Define an admissible sequence to be a sequence  $\sigma_0, \sigma_1, \cdots$  of elements of  $\Lambda$  such that  $\sigma_n$  and Char(X) are relatively prime, and  $\sigma_{n+1}/\sigma_n \in (p, T)$  for all  $n \ge 0$ . Note that

$$\frac{1}{\sigma_0}\Lambda \subset \frac{1}{\sigma_1}\Lambda \subset \frac{1}{\sigma_2}\Lambda \subset \cdots$$

and

$$\varinjlim \frac{1}{\sigma_n} \Lambda = \cup \frac{1}{\sigma_n} \Lambda$$

**Proposition 14** The map

$$\phi: X \otimes_{\Lambda} \left( \cup \frac{1}{\sigma_n} \Lambda \right) \to \bigoplus_{\mathfrak{p}} (X \otimes_{\Lambda} \Lambda_{\mathfrak{p}})$$
$$x \otimes \frac{1}{\sigma_n} \to (\cdots, x \otimes \frac{1}{\sigma_n}, \cdots)$$

is an isomorphism of  $\Lambda$  modules( the direct sum is over any set of  $\mathfrak{p}$  containing all (height one) prime divisors of char(X) and such that  $\sigma_n \in \Lambda_{\mathfrak{p}}^{\times}$  for all n and  $\mathfrak{p}$ )

Applying  $X \otimes_{\Lambda}$  to the exact sequence

$$0 \to \Lambda \to \cup \frac{1}{\sigma_n} \Lambda \to (\cup \frac{1}{\sigma_n} \Lambda) / \Lambda \to 0$$

yields

$$X \to \oplus_{\mathfrak{p}}(X \otimes_{\Lambda} \Lambda_{\mathfrak{p}}) \to X \otimes (\cup \frac{1}{\sigma_n} \Lambda) / \Lambda \to 0$$

Therefore

$$Coker\psi \cong X \otimes_{\Lambda} (\cup \frac{1}{\sigma_n} \Lambda) / \Lambda$$

In fact, we can choose special  $\sigma_n$  to make this more explicitly. For example, let  $\sigma_n = (T - \pi)^n$ with  $\pi \in p\mathbb{Z}_p$  then

$$\cup \frac{1}{\sigma_n} \Lambda = \Lambda [\frac{1}{T - \pi}]$$

which is the ring of Laurent series.

**Theorem 15** Assume  $f \in \Lambda, \pi \in p\mathbb{Z}_p$  and  $f(\pi) \neq 0$ . Then

$$\Lambda/(f) \cong Hom_{\mathbb{Z}_p}(\Lambda/(f) \otimes \Lambda[\frac{1}{T-\pi}]/\Lambda, \mathbb{Q}_p/\mathbb{Z}_p) \cong \alpha(\Lambda/(f))$$

**Proof.** For  $g = \sum_{i=-N}^{\infty} a_i (T-\pi)^i$  with  $a_i \in \mathbb{Q}_p$ , define  $Res_{T=\pi}g = a_{-1}$ . Define a pairing

$$\Lambda/(f) \times [\Lambda/(f) \otimes \Lambda[\frac{1}{T-\pi}]/\Lambda] \to \mathbb{Q}_p/\mathbb{Z}_p$$
$$(a, b \otimes c) = \operatorname{Res}_{T=\pi}(\frac{abc}{f})(mod\mathbb{Z}_p)$$

**Lemma 16** Suppose A and B are  $\mathbb{Z}_p$  modules with  $A \cong \mathbb{Z}_p^n$ . Assume there is a nondegenerate pairing

$$A \times B \to \mathbb{Q}_p/\mathbb{Z}_p$$

then  $A \cong Hom_{\mathbb{Z}_p}(B, \mathbb{Q}_p/\mathbb{Z}_p)$ 

**Corollary 17** If E is an elementary torsion  $\Lambda$  module, then  $E \cong \alpha(E)$ .

**Proposition 18** Let X and Y be finitely generated torsion  $\Lambda$  modules with  $X \sim Y$ , then  $\alpha(Y) \sim \alpha(X)$ 

**Corollary 19**  $X \sim \alpha(X)$ , so  $\alpha(X)$  us also finitely generated torsion  $\Lambda$  module.