

Math 868 — Final Exam

In this exam, all manifolds, maps, vector fields, etc. are smooth.

Part 1. Complete **5 of the following 7** sentences to make a precise definition (5 points each).

1. A map $f : X \rightarrow Y$ between manifolds is a *submersion* if

$DF_p : T_p X \rightarrow T_{f(p)} Y$ is surjective for all $p \in X$.

2. A map $\pi : V \rightarrow M$ between manifolds is a (*locally trivial*) *vector bundle* of rank k if

each $p \in M$ has a neighborhood U such that \exists a diffeomorphism ϕ satisfying

- (i) $\pi_1 \circ \phi = \pi$, where $\pi_1 : U \times \mathbf{R}^k \rightarrow U$ is the projection onto the first factor, and
- (ii) The restriction of ϕ to each fiber $\pi^{-1}(x)$, $x \in U$, is linear.

3. Let X and Y be vector fields on M with flows $X \leftrightarrow \phi_t$ and $Y \leftrightarrow \psi_t$. The *Lie Derivative* of Y in the direction X is the vector field defined by

$$\mathcal{L}_X Y = \left. \frac{d}{dt} (\phi_{-t})_* Y \right|_{t=0} \quad \text{or} \quad \lim_{t \rightarrow 0} \frac{(\phi_{-t})_* Y - Y}{t} \quad \text{or} \quad \lim_{t \rightarrow 0} \frac{Y - (\phi_t)_* Y}{t}.$$

4. A *orientation form* for a manifold M is

an n -form σ on M (where $n = \dim M$) that vanishes nowhere (i.e. $\sigma(x) \neq 0 \quad \forall x \in M$).

5. Two maps $f, g : M \rightarrow N$ are *homotopic* if there is a continuous map

$H : [0, 1] \times M \rightarrow N$ such that $H(0, x) = f(x)$ and $H(1, x) = g(x)$ for all $x \in M$.

6. The *Poincaré Lemma* states that if a domain $\Omega \subset \mathbf{R}^n$ is

contractible, then every closed p -form, $p > 0$, is exact (or, equivalently, then $H^p(\Omega) = 0 \quad \forall p > 0$.)

7. Let (M, g) be an n -dimensional compact Riemannian manifold with volume form $dvol_g$.

The Hodge star operator is the linear map $*$: $\Omega_M^p \rightarrow \Omega_M^{n-p}$ defined by $\omega \mapsto *\omega$, where $*\omega$ is the unique element of Ω_M^{n-p} such that

$$\eta \wedge *\omega = \langle \eta, \omega \rangle dvol_g \quad \forall \eta \in \Omega_M^p.$$

Part 2. Do all 4 of the following Short Problems (8 points each).

1. Give a precise definition of a *smooth manifold*.

A smooth manifold M of dimension n is a metrizable (or second countable, Hausdorff) space with a maximal collection of maps (“charts”) $\{\phi_\alpha : U_\alpha \rightarrow V_\alpha \mid \alpha \in A\}$ indexed by a set A that satisfy:

- (a) $U_\alpha \subset M$ and $V_\alpha \subset \mathbf{R}^n$ are open,
- (b) $\{U_\alpha\}$ is a cover of M .
- (c) Each ϕ_α is a homeomorphism.
- (d) If $U_\alpha \cap U_\beta \neq \emptyset$, then $\phi_\beta \circ \phi_\alpha^{-1} : \phi_\alpha(U_\alpha \cap U_\beta) \rightarrow \phi_\beta(U_\alpha \cap U_\beta)$ is a diffeomorphism.

Caution: In (c), one can't say ϕ_α is a diffeomorphism because at that point, U_α is only a topological space.

2. Consider \mathbf{R}^4 with coordinates (w, x, y, z) . Let $f : \mathbf{R}^2 \rightarrow \mathbf{R}^4$ be defined by $f(u, v) = (u^2v, v, u, v^3)$. Define a 2-form on \mathbf{R}^4 by

$$\alpha = w^2 dy \wedge dz + y^3 dy \wedge dw - 2yw dz \wedge dw.$$

- (a) Compute $f^*\alpha$. Noting that $dw = d(u^2v) = 2uvdu + u^2dv$, $dx = dv$, $dy = du$, and $dz = d(v^3) = 3v^2dv$, and that $du \wedge du = 0$ and $dv \wedge dv = 0$, one calculates

$$\begin{aligned} f^*\alpha &= (u^2v)^2 du \wedge 3v^2 dv + u^3 du \wedge (2uvdu + u^2dv) - (2u^3v)(3v^2 dv) \wedge (2uvdu + u^2dv) \\ &= (3u^4v^4 + u^3) du \wedge dv - 12u^4v^4 dv \wedge du \\ &= (15u^4v^4 + u^3) du \wedge dv. \end{aligned}$$

- (b) Find a 1-form β on \mathbf{R}^4 such that $\alpha = d\beta$. There are many possible answers, including

$$\beta = w^2y dz + \frac{y^4}{4} dw \quad \text{and} \quad \beta = w^2y dz - y^3w dy.$$

3. (a) Suppose that $f : M \rightarrow N$ is a diffeomorphism between manifolds. Prove that at each point p , Df_p is an isomorphism of the tangent spaces.

By assumption, there is a smooth inverse map $g : N \rightarrow M$ with $f \circ g = Id$ and $g \circ f = Id$. By the Composite Function Theorem,

$$D(f \circ g) = Df \circ Dg = D(Id) = Id \quad \text{and similarly} \quad D(g \circ f) = Id.$$

Hence $Df_p : T_pM \rightarrow T_{f(p)}N$ is a (linear) isomorphism of vector spaces.

- (b) Prove that \mathbf{R}^k is not diffeomorphic to \mathbf{R}^n if $k \neq n$.

Fix $p \in \mathbf{R}^k$. If $\phi : \mathbf{R}^k \rightarrow \mathbf{R}^n$ is a diffeomorphism, by (a) $D\phi_p : T_p\mathbf{R}^k \rightarrow T_{\phi(p)}\mathbf{R}^n$ is a vector space isomorphism. Hence $\dim T_p\mathbf{R}^k = k$ is equal to $\dim T_{\phi(p)}\mathbf{R}^n = n$.

4. (a) Define the DeRham cohomology group $H^p(M)$.

$$H^p(M) = \frac{\ker d : \Omega_M^p \rightarrow \Omega_M^{p+1}}{\text{im } d : \Omega_M^{p-1} \rightarrow \Omega_M^p} = \frac{\{\text{closed } p\text{-forms}\}}{\{\text{exact } p\text{-forms}\}}$$

- (b) Prove that a map $f : M \rightarrow N$ between manifolds induces a map $f^* : H^p(N) \rightarrow H^p(M)$ for each p .

Let $A^p(M) \subset \Omega_M^p$ denote the vector subspace of closed p -forms. For each $\omega \in A^p(N)$, the pullback $f^*\omega$ is closed (since $d(f^*\omega) = f^*d\omega = 0$), so define a class $[f^*\omega]$ in $H^p(M)$. Define a map

$$L : A^p(N) \rightarrow H^p(M)$$

by $L(\omega) = [f^*\omega]$. This map is linear because

$$L(a\omega + b\omega') = [f^*(a\omega + b\omega')] = [af^*\omega + bf^*\omega'] = a[f^*\omega] + b[f^*\omega'].$$

It is also constant on equivalence classes in $A^p(N)$ because

$$L(\omega + d\eta) = [f^*(\omega + d\eta)] = [f^*\omega] + [f^*d\eta] = [f^*\omega] + [df^*\eta] = [f^*\omega].$$

Hence L induces a linear map $H^p(N) \rightarrow H^p(M)$.

Part 3. Do 4 of the remaining 7 longer problems (11 points each).

5. Use the Preimage Theorem (called the “Regular Level Set Theorem” in Lee) to prove that the graph of any smooth map $f : M \rightarrow \mathbf{R}$ between manifolds is a closed embedded submanifold of $M \times \mathbf{R}$.

Define $F : M \times \mathbf{R} \rightarrow \mathbf{R}$ by $F(x, t) = f(x) - t$. Then $f^{-1}(0)$ is the graph $G_f = \{(x, t) \in M \times \mathbf{R} \mid t = f(x)\}$, F is smooth (it is the sum of two smooth functions), and DF_p is surjective at each $p = (x, t) \in G_f$ because $DF_p(\frac{\partial}{\partial t}) = -\frac{\partial}{\partial t}$. Hence G_f is a closed embedded submanifold by the Regular Value Theorem.

6. Let S be the 2-manifold with boundary consisting of the points of the “monkey saddle” graph $\{(x, y, z) \mid y^3 - 3x^2y - z = 0\}$ whose (x, y) coordinates lie in the ellipsoidal region

$$E = \left\{ (x, y) \in \mathbf{R}^2 \mid x^2 + \left(\frac{y}{2}\right)^2 \leq 1 \right\}.$$

- (a) Write down a diffeomorphism $f : D \rightarrow S$, where D is the unit disk in \mathbf{R}^2 with coordinates (u, v) .

Set $f(u, v) = (u, 2v, 8v^3 - 6u^2v)$. This is surjective because S is the graph $z = y^3 - 3x^2y$ over E , and is injective with inverse $f^{-1}(x, y, z) = (x, y/2)$. Thus f is a diffeomorphism (f and f^{-1} are polynomials, so are smooth).

- (b) Orient S with the orientation form $\sigma = dx \wedge dy$, and D with the orientation form $du \wedge dv$. Is your map f positively oriented? $f^*\sigma = du \wedge d(2v) = 2du \wedge dv$. Since $2 > 0$, f is positively oriented.

- (c) Compute $\int_S x \, dx \wedge dy + y^2 \, dx \wedge dz = \int_S x \, dx \wedge dy + y^2 \, dx \wedge dz = \int_D u \, (du \wedge 2dv) + (2v)^2 \, (du \wedge d(8v^3 - 6u^2v))$. Noting that $d(8v^3 - 6u^2v) = 24v^2 \, dv - 12uv \, du - 6u^2 \, dv$ and $du \wedge du = 0$, this reduces

to $\int_D 2(u + 48v^4 - 12u^2v^2) du \wedge dv$. Note that $\int_D u = 0$ because u is an odd function. Now switch to polar coordinates by $u = r \cos \theta, v = r \sin \theta$ and $du \wedge dv = r dr d\theta$ (since f is positively oriented):

$$= 2 \cdot 12 \int_0^{2\pi} \int_0^1 r^4 (4 \sin^4 \theta - \sin^2 \theta \cos^2 \theta) r dr d\theta = \frac{24}{6} \int_0^{2\pi} 4 \sin^4 \theta - \sin^2 \theta \cos^2 \theta d\theta$$

Integrating by parts using $(\sin^3 \theta \cos \theta)' = -\sin^4 \theta + 3 \sin^2 \theta \cos^2 \theta$, this becomes

$$4 \int_0^{2\pi} 11 \sin^2 \theta \cos^2 \theta d\theta = \int_0^{2\pi} 11(2 \sin \theta \cos \theta)^2 d\theta = 11 \int_0^{2\pi} \sin^2 2\theta d\theta = 11\pi.$$

7. This problem is about the definition of vector fields *as derivations*.

- (a) Complete the definition: A *vector field* is a linear map $X : C^\infty(M) \rightarrow C^\infty(M)$ such that $X(fg) = Xf \cdot g + f \cdot Xg$ for all $f, g \in C^\infty(M)$.

Now let $\{x^i\}$ be local coordinates on an open set $U \subset M$.

- (b) Use your answer to (a) to show that $[\frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j}] = 0$ for all i, j . $\frac{\partial}{\partial x^i}$ is the vector field defined by $\frac{\partial}{\partial x^i}(f) = \frac{\partial f}{\partial x^i}$. Then $[\frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j}]f = \frac{\partial}{\partial x^i} \frac{\partial}{\partial x^j}(f) - \frac{\partial}{\partial x^j} \frac{\partial}{\partial x^i}(f) = \frac{\partial^2 f}{\partial x^i \partial x^j} - \frac{\partial^2 f}{\partial x^j \partial x^i} = 0$.
- (c) Show that if $X = \sum X^i \frac{\partial}{\partial x^i}$ and $Y = \sum Y^i \frac{\partial}{\partial x^i}$ then $[X, Y] = \sum \left(X^i \frac{\partial Y^j}{\partial x^i} - Y^i \frac{\partial X^j}{\partial x^i} \right) \frac{\partial}{\partial x^j}$.

Write $\frac{\partial}{\partial x^i}$, as ∂_i . Then $\sum_{i,j} [X^i \partial_i, Y^j \partial_j]f$ is $X^i \partial_i Y^j \partial_j f + X^i Y^j \partial_i \partial_j f - Y^j \partial_j X^i \partial_i f - Y^j X^i \partial_j \partial_i f$. Omitting the f , changing indices on the third term and using (a), this becomes

$$(X^i \partial_i Y^j - Y^j \partial_i X^i) \partial_j + X^i Y^j [\partial_i, \partial_j] = (X^i \partial_i Y^j - \partial_j Y^j \partial_i X^i) \partial_j.$$

8. Let ω be an n -form on a compact n -dimensional manifold M with orientation form σ .

- (a) Write down a precise definition of the integral $\int_M \omega$.

For an n -form η compactly supported on an open set $U \subset \mathbf{R}^n$, write $\eta = f dx^1 \wedge \cdots \wedge dx^n$ for some function f , and define $\int_U \omega$ to be the ordinary integral $\int f$. This is well-defined up to sign by the change-of-variables formula from calculus.

In general, choose smooth diffeomorphisms $\phi_\alpha : U_\alpha \rightarrow V_\alpha$ into M , with $U_\alpha \subset \mathbf{R}^n$ and $\{V_\alpha\}$ covering M . Let $\{\rho_\alpha\}$ be a partition of unity subordinate to $\{V_\alpha\}$. Define

$$\int_M \omega \text{ to be } \sum_\alpha \int_{U_\alpha} \pm \phi_\alpha^*(\rho_\alpha \omega)$$

where, for each α , the sign \pm are determined by orientation of ϕ_α .

- (b) Describe how to determine the sign.

For each α , $\phi_\alpha^* \sigma$ is an n -form on a domain in \mathbf{R}^n , so can be written $\phi_\alpha^* \sigma = g dx^1 \wedge \cdots \wedge dx^n$, and g vanishes nowhere on U_α because ϕ_α is a diffeomorphism. Then the sign is $+$ if $g > 0$, and is $-$ if $g < 0$ on U_α .

9. (a) What is the DeRham cohomology of the circle S^1 ? (do not prove).

$$H^p(S^1) = \begin{cases} \mathbf{R} & p = 0 \\ \mathbf{R} & p = 1 \\ 0 & p \neq 0, 1 \end{cases}$$

Use this and the Mayer-Vietoris sequence to find $H^*(S^2)$, as follows:

- (b) Draw a picture showing your choice of U and V . Take U to be the northern $3/4$ of S^2 and V to be the southern $3/4$ (or $U = S^2 \setminus \{\text{south pole}\}$ and $V = S^2 \setminus \{\text{north pole}\}$).
- (d) Write down the Mayer-Vietoris sequence relating $H^*(U)$, $H^*(V)$ and $H^*(U \cap V)$.

$$\dots \rightarrow H^p(S^2) \rightarrow H^p(U) \oplus H^p(V) \rightarrow H^p(U \cap V) \rightarrow H^{p+1}(S^2) \rightarrow \dots$$

- (c) From (a) and the axioms of cohomology, what are $H^*(U)$, $H^*(V)$ and $H^*(U \cap V)$?

Note that U and V are contractible, and that $U \cap V$ retracts to the equator. Hence by the Homotopy axiom and the Point axiom

$$H^p(U) = H^p(V) = H^p(\text{point}) = \begin{cases} \mathbf{R} & p = 0, 1 \\ 0 & \text{otherwise} \end{cases}$$

and $H^*(U \cap V) = H^*(S^1)$ is as in (a).

- (d) Use the resulting long exact sequence to find $H^*(S^2)$.

S^2 is connected, so $H^0(S^2) = \mathbf{R}$. The Mayer-Vietoris sequence above is, in part,

$$0 \rightarrow H^0(S^2) \rightarrow H^0(U) \oplus H^0(V) \rightarrow H^0(U \cap V) \rightarrow H^1(S^2) \rightarrow H^1(U) \oplus H^1(V) \rightarrow H^1(U \cap V) \rightarrow H^2(S^2) \rightarrow 0.$$

Using (c) this reduces to

$$0 \rightarrow \mathbf{R} \rightarrow \mathbf{R} \oplus \mathbf{R} \rightarrow \mathbf{R} \rightarrow H^1(S^2) \rightarrow 0 \oplus 0 \rightarrow \mathbf{R} \rightarrow H^2(S^2) \rightarrow 0.$$

In particular,

- (i) $0 \rightarrow \mathbf{R} \rightarrow H^2(S^2) \rightarrow 0$ is exact $\implies H^2(S^2) = \mathbf{R}$.
- (ii) For $p > 2$, $0 \rightarrow H^p(S^2) \rightarrow 0 \implies H^p(S^2) = 0$.
- (iii) Counting dimensions in the exact sequence $0 \rightarrow \mathbf{R} \rightarrow \mathbf{R} \oplus \mathbf{R} \rightarrow \mathbf{R} \rightarrow H^1(S^2) \rightarrow 0$ shows that $H^1(S^2) = 0$.

Thus

$$H^p(S^2) = \begin{cases} \mathbf{R} & p = 0 \\ \mathbf{R} & p = 2 \\ 0 & p \neq 0, 2 \end{cases}$$

10. (a) Complete the definition: M be a smooth n -manifold with boundary if... See the definition in Lee.

- (b) Now suppose that M is a smooth n -manifold with boundary. Show that ∂M is a smooth $(n-1)$ -manifold without boundary and that the inclusion $\partial M \rightarrow M$ is a smooth embedding. See the definition in Lee.

11. The exterior derivative d is a linear map $d : \Omega_M^p \rightarrow \Omega_M^{p+1}$ for each $p \geq 0$ such that

(i) $d^2 = \underline{0}$

(ii) For $f \in C^\infty(M)$, the 1-form df is defined by $df(X) = \underline{Xf}$ for all vector fields X .

(iii) $d(\omega \wedge \eta) = \underline{d\omega \wedge \eta + (-1)^p \omega \wedge d\eta}$ $\forall \omega \in \Omega_M^p, \eta \in \Omega_M^q$.

Prove that these properties determine d uniquely, as follows:

(a) Fill in the blanks above.

(b) Show that these properties determine $d\omega$ for a 1-form ω . *Hint: write ω in local coordinates.*

First suppose that ω has support in one coordinate chart $\{x^i\}$. For each i , define a function ω_i by $\omega_i = \omega(\frac{\partial}{\partial x^i})$. Then $\omega = \sum w_i dx^i$ as follows: for any vector field $X = \sum X^j \frac{\partial}{\partial x^j}$,

$$\omega(X) = \sum x^j \omega(\frac{\partial}{\partial x^j}) = \sum X^j \omega_j, \text{ while } \left(\sum w_i dx^i \right) (X) = \sum \sum \omega_i X^j dx^i(\frac{\partial}{\partial x^j}) = \sum \omega_j X^j.$$

Then by (i)–(iii), $d\omega = \sum d\omega_i \wedge dx^i - \omega_i ddx^i = \sum d\omega_i \wedge dx^i$; in particular, $d\omega$ is determined by (i)–(iii).

In general, let $\{U_\alpha\}$ be a coordinate charts that cover M with subordinate partition of unity $\{\rho_\alpha\}$. Then $\sum_\alpha \rho_\alpha = 1$, and hence $\omega = \sum \omega_\alpha$ where $\omega_\alpha = \rho_\alpha \omega$ is a 1-form supported on a coordinate chart. Then $d\omega = \sum d\omega_\alpha$ (by linearity), and each $d\omega_\alpha$ is determined by (i)–(iii) as above.

(c) Use induction to prove that these properties determine $d\omega$ for any p -form ω .

This is true for $p = 0, 1$ by parts (a) and (b). Assume inductively that it is true for $p - 1$. Fix a p -form ω . As in (b), we can use a partition of unity to show that it suffices to assume that ω has support in a chart with coordinates x^i . For each i , let η_i be the $(p - 1)$ form

$$\eta_i = \iota_{\frac{\partial}{\partial x^i}} \omega$$

Then $\omega = \sum_i \eta_i \wedge dx^i$. Hence by (iii) and (i), $d\omega = \sum d\eta_i \wedge dx^i + 0$, so $d\omega$ is well-defined and unique by the induction hypothesis.