Groupoid C*-algebras

Robin Deeley



July 16 2020



Input $\rightarrow C^*$ -algebra $\rightarrow K$ -theory

Input	C^* -algebra	K-theory
A compact Hausdorff space, X	C(X)	$K_*(C(X))$
A group, G	C*(G)	$K_*(C^*(G))$
An action of G on X	$C(X) \rtimes G$	$K_*(C(X) \rtimes G)$

Remarks:

- Going from a C*-algebra to its K-theory is not a technical issue but it almost certainly a computational issue (see Mark Tomforde's talk).
- Going from an input to a C*-algebra is a technical issue (e.g., given an input how do we construct a C*-algebra, in the second line should we take the reduced or full group C*-algebra?).

Big Picture: Our approach will be based on the fact that many classes of inputs naturally lead to groupoids and will discuss a method for constructing C^* -algebras from (certain) groupoids.

More concrete plan: We will play the C^* -game when the input is an equivalence relation.

Let \sim denote an equivalence relation on a nonempty set X, so that

• for each
$$x \in X$$
, $x \sim x$;

3 if
$$x \sim y$$
, then $y \sim x$;

if
$$x \sim y$$
 and $y \sim z$, then $x \sim z$.

The equivalence class of x is denote by [x] and is the set

$$\{y \in X \mid x \sim y\}.$$

We view an equivalence relation as a subset of $X \times X$ via

$$R = \{(x, y) \in X \times X \mid x \sim y\}$$

$$X/\sim=\{[x]\mid x\in X\}$$

Consider the following equivalence relations:

Let $X_1 = \{x_1, x_2\}$ and $R_1 = \{(x_1, x_1), (x_1, x_2), (x_2, x_1), (x_2, x_2)\}.$

Let $X_2 = \{x_1, x_2, x_3, x_4\}$ and R_2 be the equivalence relation with all elements equivalent to each other.

Let $X_3 = \{x_1, x_2, x_3, x_4\}$ and R_3 be the equivalence relation generated by $x_1 \sim x_2$ and $x_3 \sim x_4$.

Let $X_4 = \{x_1, x_2\}$ and $R_4 = \{(x_1, x_1), (x_2, x_2)\}.$

First attempt at making a C^* -algebra:

For each R_i we have that X_i / \sim_i is a compact, Hausdorff space so we can consider $C(X_i / \sim_i)$.

Why is this not a great choice?

It forgets a lot of information about the input. For example,

$$C(X_1/\sim_1) = C(X_2/\sim_2) \cong \mathbb{C}$$

Likewise $C(X_3/\sim_3)$ and $C(X_4/\sim_4)$ are the same.

Given a finite set X and an equivalence relation $R \subset X \times X$, we let

$$C^*(R) = \{f : R \to \mathbb{C}\}$$

with

$$(\lambda \cdot f)(x, y) = \lambda f(x, y)$$

(f + g)(x, y) = f(x, y) + g(x, y)

Define $(f^*)(x, y) = \overline{f(y, x)}$.

Exercise: Prove that the star operation is well-defined.

Exercise: Would it be well-defined if R was an arbitrary subset of $X \times X$ rather than an equivalence relation?

The convolution product on $C^*(R)$ is defined via

$$(f * g)(x, y) = \sum_{z \sim x} f(x, z)g(z, y)$$

Exercise: Prove that * is well-defined. Would it be well-defined if R was an arbitrary subset of $X \times X$ rather than an equivalence relation?

Exercise: Prove $C^*(R)$ is a *-algebra.

Somewhat involved exercise: Define a norm on $C^*(R)$ (still with X finite) so that it becomes a C^* -algebra. (Hint: the examples on the next slide should be helpful).

Back to R_1 , which was defined by taking $X_1 = \{x_1, x_2\}$ and

$$R_1 = \{(x_1, x_1), (x_1, x_2), (x_2, x_1), (x_2, x_2)\}$$

and

$$C^*(R_1) = \{f : R_1 \to \mathbb{C}\}$$

As a vector space $C^*(R_1)$ is four dimensional; it has basis:

$$f_{ij}(x_l, x_m) = \left\{ egin{array}{cc} 1 & l=i ext{ and } m=j \ 0 & ext{otherwise} \end{array}
ight.$$

where $1 \le i \le 2$ and $1 \le j \le 2$.

Exercise: Prove that $C^*(R_1) \cong M_2(\mathbb{C})$ as *-algebras via the map defined on the above basis by

$$f_{11} \mapsto \left[\begin{array}{cc} 1 & 0 \\ 0 & 0 \end{array} \right], f_{12} \mapsto \left[\begin{array}{cc} 0 & 1 \\ 0 & 0 \end{array} \right], f_{21} \mapsto \left[\begin{array}{cc} 0 & 0 \\ 1 & 0 \end{array} \right], f_{22} \mapsto \left[\begin{array}{cc} 0 & 0 \\ 0 & 1 \end{array} \right]$$

Exercise: Proved that $C^*(R_2) \cong M_4(\mathbb{C})$, $C^*(R_3) \cong M_2(\mathbb{C}) \oplus M_2(\mathbb{C})$ and $C^*(R_4) \cong \mathbb{C}^2$.

Definition

A C^* -algebra A is finite dimensional if it is finite dimensional as a vector space.

Theorem

If X a finite nonempty set and $R \subseteq X \times X$ is an equivalence relation, then $C^*(R)$ is finite dimensional.

Theorem

If A is a C^{*}-algebra that is finite dimensional, then there exists X finite and an equivalence relation $R \subseteq X \times X$ such that $A \cong C^*(R)$.

Exercise: (Still with X finite) compute the K-theory of $C^*(R)$ in terms of the equivalence relation R.

Generalizing to the case when X is infinite

To generalize to the case when X is infinite, we need more structure on R.

One can work more generally but we will assume R is a locally compact, Hausdorff space.

Let $r : R \to X$ be defined via $(x, y) \mapsto x$ and $s : R \to X$ be defined via $(x, y) \mapsto y$.

Definition

A topological equivalence relation R is an equivalence relation over X with a locally compact Hausdorff topology such that

$$(x,y)\mapsto (y,x)$$
 and $((x,y),(y,z))\mapsto (x,z)$

are continuous. This implies that r and s are both continuous (see the next slide).

The topology on R determines the topology on X (rather than the opposite). How?

Using the identification of sets: $X \cong \{(x, x) \mid x \in X\} \subseteq R$.

Based on this, r is more correctly defined as $r : R \rightarrow R$ via

$$(x,y)\mapsto (x,x)$$

Exercise: Based on the definition of r, define s. Exercise: Prove that r and s are continuous.

Definition

A topological equivalence relation R is étale if r and s are local homeomorphisms.

Theorem

If R is étale, then for each $x \in X$

$$\{(x, y) \mid y \sim x\}$$
 and $\{(y, x) \mid y \sim x\}$

are discrete subsets of R.

- If X is nonempty and finite, then any equivalence relation R on X is étale.
- **2** Suppose $\pi: X \to Y$ is a local homeomorphism and

$$R_{\pi} := \{ (x_1, x_2) \in X \times X \mid \pi(x_1) = \pi(x_2) \}.$$

Then $R_{\pi} \subseteq X \times X$ is étale.

• As specific case of the previous example, take $\pi : \mathbb{R} \to S^1$ the standard covering map.

Exercise: What is missing from the above examples to make the statements "is étale" precise?

Definition

A dynamical system is a pair (X, φ) where X is a compact Hausdorff space and $\varphi : X \to X$ is a homeomorphism.

We say that (X, φ) is free if the following property holds: $\varphi^n(x) = x$ for some $x \in X$ if and only if n = 0.

Definition

Suppose (X, φ) is free. Define

 $R_{orbit} = \{(x_1, x_2) \in X \times X \mid \varphi^n(x_1) = x_2 \text{ for some } n \in \mathbb{Z}\}$

Exercise: Prove R_{orbit} is an equivalence relation.

Étale topology on Rorbit

Using the assumption that (X, φ) is free, we can (as sets) identify R_{orbit} with $X \times \mathbb{Z}$ via

$$(x, n) \in X \times \mathbb{Z} \mapsto (\varphi^n(x), x) \in R_{orbit}.$$

Since $X \times \mathbb{Z}$ has a natural topology this gives R_{orbit} a topology. Facts:

- The original topology on X agrees with the topology on X × {0} ⊆ X × Z.
- **2** X is compact but R_{orbit} is not compact.
- **③** The topology on R_{orbit} is not the subspace topology from $R_{orbit} ⊆ X × X$.
- Using the topology above, R_{orbit} is étale (but with the subspace topology is not).

Example

Let X = [0, 1] and define an equivalence relation

$$R = \{(x,x) \mid x \in X\} \cup \{(0,1), (1,0)\}.$$

where we give $R \subseteq X \times X$ the subspace topology.

Exercise: Prove that for each $x \in X$

$$\{(x, y) \mid (x, y) \in R\}$$
 and $\{(y, x) \mid (y, x) \in R\}$

are finite (and hence discrete) subsets of R.

Exercise: Prove that R is not étale.

Suppose R is a locally compact Hausdorff étale equivalence relation. Let

 $C_c(R) = \{f : R \to \mathbb{C} \mid f \text{ is continuous and has compact support}\}$

We have the following algebraic operations:

$$(\lambda \cdot f)(x, y) = \lambda f(x, y)$$

(f + g)(x, y) = f(x, y) + g(x, y)
(f*)(x, y) = $\overline{f(y, x)}$
(f * g)(x, y) = $\sum_{z \sim x} f(x, z)g(z, y)$

Very involved exercise: Prove that the convolution product is well-defined. Exercise: Prove that the convolution product is **not** well-defined for the non-étale equivalence relation from the previous slide. Plan: Complete $C_c(R)$ to get a C^* -algebra.

The issue is what norm should we complete with respect to? There is no canonical choice.

In general, given a faithful representation $\phi : C_c(R) \to \mathcal{B}(\mathcal{H})$, we can form

$$C^*_{\phi}(R) := \overline{C_c(R)}^{||\cdot||}$$

where $|| \cdot ||$ is the operator norm in $\mathcal{B}(\mathcal{H})$. We will discuss representations in more detail later in the talk. If (X, φ) is free, then $C^*(R_{orbit}) \cong C(X) \rtimes \mathbb{Z}$. Take $X = \{0, 1\}^{\mathbb{N}}$ with the product topology (X is the Cantor set) and φ the odometer homeomorphism (i.e., φ acts via add one to the first coordinate and then carry over). That is,

$$\begin{aligned} \varphi(0, x_1, x_2, \ldots) &= (1, x_1, x_2, \ldots) \\ \varphi(1, 0, x_2, \ldots) &= (0, 1, x_2, \ldots) \\ \varphi(1, 1, 0, x_3, \ldots) &= (0, 0, 1, x_3, \ldots) \end{aligned}$$

and

$$\varphi(1,1,1,\ldots)=(0,0,0,\ldots)$$

Goal: Understand the orbit relation and the C^* -algebra associated to the orbit relation for this dynamical system.

The orbit of $x \in X$ is the set

$$\{y \in X \mid y = \varphi^n(x) \text{ for some } n \in \mathbb{Z}\}$$

By the definition of the orbit relation, $[x]_{orbit}$ is exactly the orbit of x. For example, when x = (0, 1, 0, 1, 0, ...), we have

$$\varphi(x)=(1,1,0,1,0,\ldots)$$

$$\varphi^2(x) = (0, 0, 1, 1, 0, \ldots)$$

 $\varphi^{-1}(x) = (1, 0, 0, 1, 0, \ldots)$

Recall that $X = \{0,1\}^{\mathbb{N}}$ with the product topology.

Definition

We say that $x = (x_0, x_1, x_2, ...)$ and $y = (y_0, y_1, y_2, ...)$ are tail equivalent and write

 $x \sim_{tail} y$

if there exists $N \in \mathbb{N}$ such that $x_i = y_i$ for all $i \ge N$.

Q: Is orbit equivalence relation from the odometer action the same as the tail equivalence relation?

A: Not quite

$$(1, 1, 1, \ldots) \sim_{orbit} (0, 0, 0, \ldots)$$
 (since $\varphi(1, 1, 1, \ldots) = (0, 0, 0, \ldots)$) but $(1, 1, 1, \ldots) \not\sim_{tail} (0, 0, 0, \ldots)$

The relationship between these two equivalence relations is the following: If $x \in X$ and $x \not\sim_{orbit} (0, 0, 0, ...)$, then

$$[x]_{tail} = [x]_{orbit}$$

The orbit of (0,0,0,...) has been broken into its forward and backward parts:

$$[(1,1,1,\ldots)]_{\textit{tail}} \cup [(0,0,0,\ldots)]_{\textit{tail}} = [(0,0,0,\ldots)]_{\textit{orbit}}$$

• $R_{tail} \subseteq R_{orbit}$ as an open subrelation.

Exercise: Prove that if \hat{R} is an open subrelation of R and R is étale, then \hat{R} (with the subspace topology) is also étale.

Define $\iota : C_c(R_{tail}) \to C_c(R_{orbit})$ by

$$\iota(f)(x_1, x_2) = \begin{cases} f(x_1, x_2) & (x_1, x_2) \in R_{tail} \\ 0 & (x_1, x_2) \notin R_{tail} \end{cases}$$

Fact: ι can be extended to a *-homomorphism $C^*(R_{tail}) \to C^*(R_{orbit})$. Exercise: Prove that $C^*(R_{tail}) \to C^*(R_{orbit})$ is injective (so that we can view $C^*(R_{tail})$ as a subalgebra of $C^*(R_{orbit})$).

Fact: $C^*(R_{tail})$ is a large subalgebra of $C^*(R_{orbit}) \cong C(X) \rtimes \mathbb{Z}$. (see Dawn Archey's talk)

 $C^*(R_{tail})$ is the CAR algebra!

Outline of the ideas of the proof:

Define $\mathbb{C} \to C^*(R_{tail})$ via $\lambda \mapsto \lambda I$ where $I \in C_c(R_{tail})$ is defined by

$$I(x, \hat{x}) = \begin{cases} 1 & x = \hat{x} \\ 0 & \text{otherwise} \end{cases}$$

Exercise: Prove that $\mathbb{C} \to C^*(R_{tail})$ is a well-defined injective *-homomorphism.

Next we want to define $M_2(\mathbb{C}) \to C^*(R_{tail})$.

The map: $M_2(\mathbb{C}) \to C^*(R_{tail})$

For $i, j \in \{0, 1\}$ define

$$E_{ij} = \{(x, \hat{x}) \mid \pi_0(x) = i, \pi_0(\hat{x}) = j \text{ and for } k > 0, \pi_k(x) = \pi_k(\hat{x})\}$$

where $\pi_k: \{0,1\}^{\mathbb{N}} \to \{0,1\}$ is the projection onto the *k*-coordinate. For example

$$egin{aligned} &((0,1,1,\ldots),(1,1,1,\ldots))\in E_{01}\ &((0,1,1,\ldots),(0,1,1,\ldots))
otin E_{01}\ &((0,1,1,\ldots),(1,0,0,\ldots))
otin E_{01}\ &((0,1,0,1,0,\ldots),(1,1,0,1,0,\ldots))\in E_{01}\ &((0,1,0,1,0,\ldots),(1,1,0,1,0,\ldots))\in E_{01} \end{aligned}$$

Note: One of these ordered pairs is not even in R_{tail} .

The map: $M_2(\mathbb{C}) \to C^*(R_{tail})$

$$\begin{split} E_{ij} &= \{(x, \hat{x}) \mid \pi_0(x) = i, \pi_0(\hat{x}) = j \text{ and for } k > 0, \pi_k(x) = \pi_k(\hat{x})\} \text{ where } \\ \pi_k : \{0, 1\}^{\mathbb{N}} \to \{0, 1\} \text{ is the projection onto the } k\text{-coordinate.} \\ \text{Define } M_2(\mathbb{C}) \to C^*(R_{tail}) \text{ via} \end{split}$$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \mapsto a\chi_{00} + b\chi_{01} + c\chi_{10} + d\chi_{11}$$

where $\chi_{ij}: R_{tail} \rightarrow \mathbb{C}$ is defined via

$$\chi_{ij}(x,\hat{x}) = \left\{egin{array}{cc} 1 & (x,\hat{x}) \in E_{ij} \ 0 & ext{otherwise} \end{array}
ight.$$

Exercise: Prove that $M_2(\mathbb{C}) \to C^*(R_{tail})$ is a well-defined injective *-homomorphism.

Exercise: How are the maps $\mathbb{C} \to C^*(R_{tail})$ and $M_2(\mathbb{C}) \to C^*(R_{tail})$ related? (Hint: What is $\chi_{00} + \chi_{11}$?)

Involved exercise: For each $n \in \mathbb{N}$, define the relevant injective *-homomorphism $M_{2^n}(\mathbb{C}) \to C^*(R_{tail})$.

Very involved exercise: Prove that $\cup_{n \in \mathbb{N}} M_{2^n}(\mathbb{C})$ is dense in $C^*(R_{tail})$. Exercise: Is $\cup_{n \in \mathbb{N}} M_{2^n}(\mathbb{C}) = C_c(R_{tail})$?

Summary: Using the fact that $C^*(R_{tail})$ is large inside $C^*(R_{orbit})$ reduces many questions about $C^*(R_{orbit})$ to $C^*(R_{tail})$ and we know "everything" about $C^*(R_{tail})$ because it is the CAR algebra.

Definition

A groupoid is a nonempty set ${\mathcal G}$ with the following additional structure:

- a fixed subset of $\mathcal{G} \times \mathcal{G}$ denoted by \mathcal{G}^2 ;
- 2 a map $\mathcal{G}^2 \to \mathcal{G}$ denoted by $(g, h) \mapsto gh$;
- ${f 0}$ an involution ${\cal G}
 ightarrow {\cal G}$ denoted by $g \mapsto g^{-1}$.

such that

- if g, h and k are in G with (g, h), (h, k) both in G², then (gh, k).
 (g, hk) are both in G² and (gh)k = g(hk) (so that we can simply write ghk);
- g for each g ∈ G, both (g,g⁻¹) and (g⁻¹,g) are in G² and moreover if (g, h) ∈ G², then g⁻¹gh = h and if (h,g) ∈ G², then hgg⁻¹ = h.

Let X be a nonempty set and $R \subseteq X \times X$ be an equivalence relation. We take

Exercise: Prove that the above defines a groupoid.

Definition

Let ${\mathcal G}$ be a groupoid. The set of units of ${\mathcal G}$ is

$$\mathcal{G}^0 = \{g^{-1}g \mid g \in G\}$$

Define the range map, $r: \mathcal{G} \to \mathcal{G}^{(0)}$ via $g \mapsto gg^{-1}$ and the source map, $s: \mathcal{G} \to \mathcal{G}^{(0)}$ via $g \mapsto g^{-1}g$.

For the groupoid associated to an equivalence relation, we have

$$\mathcal{G}^{0} = \{(y, x)(x, y) \mid (x, y) \in \mathcal{G}\} = \{(y, y) \mid y \in X\} \cong X$$

Moreover,

$$r(x,y) = (x,y)(y,x) = (x,x)$$

and

$$s(x,y) = (y,x)(x,y) = (y,y)$$

Example

Given a nonempty set X, we can define a groupoid by taking

2
$$\mathcal{G}^2 = \{((x_1, x_2) \in X \times X \mid x_1 = x_2)\},$$

3
$$\mathcal{G}^2
ightarrow \mathcal{G}$$
 defined via $(x, x) \mapsto x$ and

•
$$\mathcal{G} \to \mathcal{G}$$
 defined via $x \mapsto x$.

Exercise: How are the constructions of a groupoid from a set and an equivalence relation related? (Hint: One is a special case of the other). Exercise: What is \mathcal{G}^0 in this case?

Example

Given a group G, we can define a groupoid by taking

- $\bullet \ \mathcal{G} = \mathcal{G},$
- $\textcircled{O} \ \mathcal{G}^2 \to \mathcal{G} \ \text{defined by group multiplication and}$
- ${\small \textcircled{\ o}} \ {\mathcal G} \to {\mathcal G} \ \text{defined by taking the inverse.}$

Exercise: What is \mathcal{G}^0 in this case?

Topological groupoids

Definition

A topological groupoid is a groupoid with a (locally compact, Hausdorff) topology on ${\cal G}$ such that

- \mathcal{G}^2 is given the subspace topology from $\mathcal{G} \times \mathcal{G}$;
- **2** \mathcal{G}^2 is closed;
- $\ \, {\mathfrak G}^2 \to {\mathcal G} \ \, {\rm is \ \, continuous;}$
- $\mathcal{G} \to \mathcal{G}$ is continuous.

Examples? Same as above but with topologies, so topological spaces (rather than sets), topological groups (note: discrete groups are topological groups), and topological equivalence relations.

Exercise: Prove that given a topological groupoid the range and source maps are continuous.

Definition

A topological groupoid is étale if r and s are local homeomorphisms.

Example

The groupoid associated to a topological group, G, is étale if and only if G is discrete.

Example

If X is a locally compact and Hausdorff space, then the associated groupoid is étale.

Suppose that ${\mathcal G}$ is a locally compact, Hausdorff, étale groupoid. Let

 $C_c(\mathcal{G}) = \{a : \mathcal{G} \to \mathbb{C} \mid a \text{ is continuous and has compact support}\}.$

Algebraic operations:

$$(\lambda \cdot a)(g) = \lambda a(g)$$

$$(a + b)(g) = a(g) + b(g)$$

$$(a^*)(g) = \overline{a(g^{-1})}$$

$$(a * b)(g) = \sum_{r(h)=r(g)} a(h)b(h^{-1}g)$$

Theorem

Let u be a unit in a locally compact, Hausdorff étale groupoid \mathcal{G} (i.e., $u \in \mathcal{G}^0$). For each $a \in C_c(G)$ and $\xi \in \ell^2(s^{-1}(u))$ the expression

$$(\pi^u_\lambda(a)\xi)(g) = \sum_{r(h)=r(g)} a(h)\xi(h^{-1}g)$$

defines an element in $\ell^2(s^{-1}(u))$. Moreover, $\pi^u_{\lambda}(a)$ is in $\mathcal{B}(\ell^2(s^{-1}(u)))$ with $||\pi^u_{\lambda}(a)||$ bounded by a constant that is independent of u (it is does depend on a), and $\pi^u_{\lambda} : C_c(\mathcal{G} \to \mathcal{B}(\ell^2(s^{-1}(u))))$ is a *-representation.

Theorem

Suppose that ${\mathcal G}$ is a locally compact, Hausdorff, étale groupoid. Then

$$|a|| := \sup\{||\pi(a)|| \mid \pi \text{ a representation of } C_c(\mathcal{G})\}$$

and

$$||\mathbf{a}||_{\lambda} := \sup\{||\pi^u_{\lambda}(\mathbf{a})|| \mid u \in \mathcal{G}^0\}$$

define (non-complete) C^* -norms on $C_c(\mathcal{G})$.

Definition

The full groupoid C*-algebra of
$${\mathcal G}$$
 is $C^*({\mathcal G}) = \overline{C_c({\mathcal G})}^{||\cdot||}$

Definition

The reduced C*-algebra of \mathcal{G} is $C^*_{\lambda}(\mathcal{G}) = \overline{C_c(\mathcal{G})}^{||\cdot||_{\lambda}}$.

Robin Deeley (CU Boulder)

For more, see

- Ian Putnam's lecture notes on C*-algebra (available on his website, see Chapter 3);
- Aidan Sims "Étale groupoids and their C*-algebras" arXiv:1710.10897;
- Karen Strung "An introduction to C*-algebras and the Classification Programme" (available on her website, see Exercises 9.6.12 and 9.6.13 for more on the odometer action and its C*-algebra);
- J.N. Renault "A groupoid approach to C*-algebras".

Thank you!