

Quantum Groups:
What are they and what are they good for?

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GOALS Expository Lecture

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The Plan

- ▶ Introduce the notion of a **compact quantum group** from an operator algebraic perspective.
- ▶ Highlight some examples and illustrate some aspects of their general theory.

Quantizing groups

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- ▶ Compact groups are compact spaces with some extra structure (continuous group law etc...)
- ▶ How do we get a non-commutative formulation of compact groups?

Compact Quantum Groups

Definition (Woronowicz)

A **compact quantum group (CQG)** \mathbb{G} is a pair (A, Δ) where A is a unital C^* -algebra and $\Delta : A \rightarrow A \otimes_{\min} A$ is a unital $*$ -homomorphism satisfying

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Proposition

If $\mathbb{G} = (A, \Delta)$ is a CQG with **abelian** A . Then $\exists!$ compact group G so that

1. $A = C(G)$
2. $(\Delta f)(s, t) = f(st) \quad (f \in C(G), s, t \in G)$.

Sketch

Given $\mathbb{G} = (A, \Delta)$, $(\text{id} \otimes \Delta)\Delta = (\Delta \otimes \text{id})\Delta + \text{cocancellation}$.

- ▶ **By Gelfand:** If A is abelian, then $A = C(G)$ for some unique compact Hausdorff space G , moreover the morphism $\Delta : C(G) \rightarrow C(G) \otimes_{\min} C(G) \cong C(G \times G)$ comes from a unique continuous map

$$m : G \times G \rightarrow G; \quad (s, t) \mapsto st, \quad \Delta f(s, t) = (f \circ m)(s, t) = f(st).$$

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- ▶ **By coassociativity:**

$$[(\text{id} \otimes \Delta)\Delta]f = [(\Delta \otimes \text{id})\Delta]f \quad (f \in C(G))$$

$$\iff f(r(st)) = f((rs)t) \quad (f \in C(G), r, s, t \in G)$$

$$\iff m : G \times G \rightarrow G \text{ is } \mathbf{associative}$$

$$\iff (G, m) \text{ is a } \mathbf{compact semigroup}.$$

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- ▶ **By cocancellation:** G has left/right cancellation property:

$$\{st = rt \ \forall t \implies s = r\} \quad \& \quad \{ts = tr \ \forall t \implies s = r\}$$

Basic Examples of Compact Quantum Groups

Standard Notation: Given **any** CQG $\mathbb{G} = (A, \Delta)$, we typically write $A = C(\mathbb{G})$. Morally, we think of $C(\mathbb{G})$ as the “C*-algebra of continuous functions on \mathbb{G} ”.

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Example (Pontryagin duals of discrete groups)

Let Γ be a **discrete group**. Put $A = C^*(\Gamma)$, and define

$$\Delta(\gamma) = \gamma \otimes \gamma \quad (\gamma \in \Gamma \subset \mathbb{C}[\Gamma] \subset A).$$

Fact: Δ linearly and continuously extends to a **comultiplication** $\Delta : A \rightarrow A \otimes_{\min} A$ with the cocancellation property.

\implies Get a CQG $\hat{\Gamma} = (C^*(\Gamma), \Delta)$, the **Pontryagin Dual of Γ** .

Note: When Γ is abelian, $\hat{\Gamma}$ is *exactly* the Pontryagin dual of Γ .

q -deformed $SU(2)$

Here's a first example that doesn't come from groups: q -deformed $SU(2)$ quantum group.

- ▶ Fix $q \in [-1, 1] \setminus \{0\}$.
- ▶ Define a universal C^* -algebra $C(SU_q(2))$ with generators $\alpha, \gamma \in C(SU_q(2))$ and relations making the matrix

$$u = \begin{bmatrix} \alpha & -q\gamma^* \\ \gamma & \alpha^* \end{bmatrix} \text{ **unitary** in } M_2(C(SU_q(2))) : u^*u = uu^* = 1.$$

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- ▶ (**Woronowicz**) Get a CQG $SU_q(2) = (C(SU_q(2)), \Delta)$, “ q -deformed $SU(2)$ ”.

q -deformed $SU(2)$, continued...

$$C(SU_q(2)) = C^*(\alpha, \gamma \mid u = [u_{ij}] = \begin{bmatrix} \alpha & -q\gamma^* \\ \gamma & \alpha^* \end{bmatrix} \text{ unitary})$$

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- ▶ When $q = 1$, $C(SU_1(2)) = C(SU(2))$. In fact, the generators $\{u_{ij}\}_{i,j=1}^2 = \{\alpha, \gamma\}$ can be identified with the standard coordinate functions on $SU(2)$, and Δ comes from the “usual” group law:

$$\Delta(u_{ij})(s, t) = \sum_k (u_{ik} \otimes u_{kj})(s, t) = \sum_k u_{ik}(s)u_{kj}(t) = u_{ij}(st).$$

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- ▶ The above q -deformation procedure $SU(2) \mapsto SU_q(2)$ is a special case of the very general **Drinfeld-Jimbo q -deformations** $G \mapsto G_q$ ($q \in (0, 1]$, G cpt. simply conn. s.-simple Lie gp). 

Generalizing $SU_q(2)$: Compact matrix quantum groups

The construction of $SU_q(2)$ as a “non-commutative version of $C(SU(2))$ ” can be formalized in the language of **compact matrix quantum groups**.

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- ▶ (For free): The **conjugate** matrix $\bar{u} = [\bar{u}_{ij}] = [u_{ij}^*] \in M_n(C(G))$ is **invertible**. (It's the conjugate of the representation u !)

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Upshot: Gives a practical way to construct CQGs using mainly “algebraic” generators and relations data.

Liberations of Matrix Lie Groups

Using the CMQG formalism, we can define “**free versions**” of the classical matrix Lie groups like $G = U_n, O_n, S_n, \dots$

Basic strategy:

1. Take the coordinates $u_{ij} \in C(G)$.
2. They satisfy some algebraic relations R_G coming from G , which includes **commutation**.
3. “Liberate” G by throwing away the commutation relation. Define

$$C(G^+) = C^*(u_{ij}, 1 \leq i, j \leq n \mid R_G \setminus \{\text{commutation}\})$$

4. In nice situations, get a new CMQG $G^+ = (C(G^+), u)$, called the **free version of G** .

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In short, we want to “~~Liberate Michigan!~~ matrix Lie groups!”

The free unitary quantum group U_n^+

Let $n \geq 2$ and let $C(U_n^+)$ be the universal C^* -algebra with generators $\{u_{ij}\}_{1 \leq i, j \leq n}$ with the relations

$u = [u_{ij}] \in M_n(C(U_n^+))$ & $\bar{u} = [u_{ij}^*] \in M_n(C(U_n^+))$ are **unitary**.

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▶ $C(U_n^+)$ is the free version of $C(U_n)$: $C(U_n)$ is the **abelianization** of $C(U_n^+)$.

▶ The formula

$$\Delta u_{ij} = \sum_k u_{ik} \otimes u_{kj}$$

defines a comultiplication $\Delta : C(U_n^+) \rightarrow C(U_n^+) \otimes_{\min} C(U_n^+)$.

Sketch:

$$u \text{ \& } \bar{u} \text{ unitary} \implies \left[\sum_k u_{ik} \otimes u_{kj} \right] \text{ \& } \left[\sum_k u_{ik}^* \otimes u_{kj}^* \right] \text{ unitary}$$

$\implies \Delta$ well-defined by universality!.

$U_n^+ = (C(U_n^+), u)$ is a CMQG, the **free unitary quantum group**.

Aside: U_n^+ vs. Brown's Algebras

There is a related (older) liberation of the unitary groups U_n , due to L. Brown: Define

$$\mathcal{B}_n = C^*\left(u_{ij}, 1 \leq i, j \leq n \mid u = [u_{ij}] \in M_n(\mathcal{B}_n) \text{ is unitary}\right).$$

- ▶ So $C(U_n^+)$ is a **quotient** of \mathcal{B}_n by the relation “ \bar{u} is unitary”.
- ▶ \mathcal{B}_n has a **comultiplication** $\Delta : \mathcal{B}_n \rightarrow \mathcal{B}_n \otimes_{\min} \mathcal{B}_n$ given by

$$\Delta u_{ij} = \sum_k u_{ik} \otimes u_{kj}.$$

- ▶ Is $(\mathcal{B}_n, u, \Delta)$ a compact matrix quantum group?

Answer: No! For \mathcal{B}_n , \bar{u} is **not invertible**. (**Exercise:** Try to find explicit unitaries $u \in M_n(B(H))$ such that \bar{u} is not invertible).

Free orthogonal and permutation quantum groups

We can play the same game as for U_n to get liberations of the orthogonal groups and permutation groups:

Define

$$C(O_n^+) = C^* \left(v_{ij}, 1 \leq i, j \leq n \mid v = [v_{ij}] \text{ is unitary } \& v_{ij}^* = v_{ij} \right)$$
$$C(S_n^+) = C^* \left(p_{ij}, 1 \leq i, j \leq n \mid p = [p_{ij}] \text{ is unitary } \& p_{ij}^2 = p_{ij} = p_{ij}^* \right).$$

These algebras admit comultiplications

$\Delta : C(G^+) \rightarrow C(G^+) \otimes_{\min} C(G^+)$ given by

$$\Delta(x_{ij}) = \sum_k x_{ik} \otimes x_{kj} \quad (x \in \{v, p\}).$$

Get two new CMQGs: The **free orthogonal quantum groups** O_n^+ and the **free permutation quantum groups** S_n^+ .

The Haar State

Recall: Every compact group G admits a unique translation-invariant Borel probability measure μ , called the **Haar measure**:

$$\int_G f(st)d\mu(t) = \int_G f(ts)d\mu(t) = \int_G f(t)d\mu(t) \quad (f \in C(G), s \in G).$$


Equivalently, if $h : C(G) \rightarrow \mathbb{C}$, $h(f) = \int_G f d\mu$ is the corresponding **state**, then

$$(\text{id} \otimes h)\Delta(f) = (h \otimes \text{id})\Delta(f) = h(f)1 \quad (f \in C(G)).$$

Definition

A **Haar state** on a CQG $\mathbb{G} = (C(\mathbb{G}), \Delta)$ is a state $h : C(\mathbb{G}) \rightarrow \mathbb{C}$ satisfying

$$(\text{id} \otimes h)\Delta(x) = (h \otimes \text{id})\Delta(x) = h(x)1 \quad (x \in C(\mathbb{G})).$$

Example: On a **group dual** $\hat{\Gamma} = (C^*(\Gamma), \Delta)$, the Haar state is given by the canonical group trace $h(\gamma) = \tau_\Gamma(\gamma) = \delta_{\gamma, e}$. (Check!) 

Existence and Uniqueness of Haar State

Theorem (Woronowicz)

Every CQG \mathbb{G} admits a unique Haar state h . (which could be non-tracial or non-faithful).

Sketch:

- ▶ Given linear functionals $\varphi, \psi \in C(\mathbb{G})^*$, define their **convolution product** $\varphi \star \psi \in C(\mathbb{G})^*$ by

$$\varphi \star \psi = (\varphi \otimes \psi)\Delta.$$

Coassociativity of Δ makes $(C(\mathbb{G})^*, \star)$ into a **Banach algebra**, and the state space $S(C(\mathbb{G}))$ is a subsemigroup.

- ▶ $h \in S(C(\mathbb{G}))$ is a Haar state if and only if

$$\varphi \star h = h \star \varphi = h \quad \varphi \in S(C(\mathbb{G})).$$

- ▶ Start with any **faithful state** $\psi \in S(C(\mathbb{G}))$, consider the weak*-limit

$$h := \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n \psi^{\star k} \in S(C(\mathbb{G})) \implies h \text{ is a Haar state!}$$

Application: Quantum Group Operator Algebras

Using the Haar state, we can form analogues of our favorite group operator algebras:

- ▶ Do the **GNS construction**: Let $L^2(\mathbb{G}) = L^2(C(\mathbb{G}), h)$ and $\lambda : C(\mathbb{G}) \rightarrow B(L^2(\mathbb{G}))$ be the associated “left-regular representation”.
- ▶ Get the **reduced C*-algebra of \mathbb{G}** :

$$C_r(\mathbb{G}) := \lambda(C(\mathbb{G})) \subseteq B(L^2(\mathbb{G})).$$

- ▶ Get the **von Neumann algebra of \mathbb{G}** :

$$L^\infty(\mathbb{G}) = \lambda(C(\mathbb{G}))'' \subseteq B(L^2(\mathbb{G})).$$

- ▶ One can also construct a **universal C*-algebra of \mathbb{G}** , $C^u(\mathbb{G})$: If $\mathbb{G} = (C(\mathbb{G}), u = [u_{ij}])$ is a CMQG, put

$$\mathcal{O}(\mathbb{G}) = * - \text{alg}(u_{ij}, 1 \leq i, j \leq n).$$

Fact: Haar state h is always faithful on $\mathcal{O}(\mathbb{G})$. Then define

$$C^u(\mathbb{G}) = C_{\text{univ.}}^*(\mathcal{O}(\mathbb{G})).$$

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The algebras $C^u(\mathbb{G})$, $C_r(\mathbb{G})$ and $L^\infty(\mathbb{G})$ simultaneously generalize

1. The algebras of multiplication operators
 $C(G), L^\infty(G) \subseteq B(L^2(G))$ on compact groups G .
2. The discrete group operator algebras $C^*(\Gamma), C_r^*(\Gamma), L\Gamma$.

Can even generalize the notion of **amenability**:

Definition

A CQG \mathbb{G} is **coamenable** iff the canonical quotient map $C^u(\mathbb{G}) \rightarrow C_r(\mathbb{G})$ is injective.

- ▶ Coamebility $\implies C_r(\mathbb{G})$ **nuclear**, $L^\infty(\mathbb{G})$ **injective**.
- ▶ $SU_q(2)$ is coamenable.
- ▶ Liberations G^+ of matrix Lie groups are generally not coamenable. $\implies C_r(G^+), L^\infty(G^+)$ are interesting!

Examples (Many hands)

$L^\infty(U_2^+) \cong L(\mathbb{F}_2)$. $L^\infty(G^+)$ is a full, strongly solid, weakly amenable, A-T-menable II_1 -factor. $C_r(G^+)$ is simple with unique trace, ... (for $G^+ = U_n^+, O_n^+, S_n^+$).

Other Applications, briefly

Compact quantum groups can be used for many other non-commutative purposes:

1. $L^\infty(\mathbb{G})$ can be regarded as a non-commutative **probability space** with respect to the Haar state (See Ian's talk).
2. CQGs can be made to **act** on various mathematical structures: graphs, metric spaces, OAs, subfactors.
3. CQG's often appear as symmetries in quantum information theory, free probability etc.
4. CQG's have a rich representation theory - give rise to many interesting examples of **rigid C^* -tensor categories** (see Corey's talk.)

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Thanks!