

In Supplement 9/9, we defined the choose number, or binomial coefficient,  $\binom{n}{k}$  to be the number of possible  $k$ -element subsets  $S \subset [n]$ , where  $[n] = \{1, 2, \dots, n\}$ . For example,  $\binom{4}{2} = 6$  counts the 2-element subsets  $S \subseteq \{1, 2, 3, 4\}$ , namely:  $S = \{1, 2\}, \{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}$ .

We can put these numbers into an array called Pascal's Triangle (in China, Yang Hui's Triangle; in Iran, Khayyam's Triangle):

$$\begin{array}{cccccc}
 & & \binom{0}{0} & & & 1 \\
 & & \binom{1}{0} & \binom{1}{1} & & 1 & 1 \\
 & & \binom{2}{0} & \binom{2}{1} & \binom{2}{2} & & 1 & 2 & 1 \\
 & & \binom{3}{0} & \binom{3}{1} & \binom{3}{2} & \binom{3}{3} & = & 1 & 3 & 3 & 1 \\
 & & \binom{4}{0} & \binom{4}{1} & \binom{4}{2} & \binom{4}{3} & \binom{4}{4} & & 1 & 4 & 6 & 4 & 1 \\
 & & \vdots & \vdots & \vdots & \vdots & \vdots & & \vdots & \vdots & \vdots & \vdots & \vdots
 \end{array}$$

We can compute the entries by the formula  $\binom{n}{k} = \frac{n(n-1)\cdots(n-k+1)}{k!}$ , but there is an easier way. It is a remarkable fact that each entry in the triangle is the sum of the two entries immediately above it (except for the edges  $\binom{n}{0} = \binom{n}{n} = 1$ ). For example, the next row will be:

$$\binom{5}{0} = 1, \quad \binom{5}{1} = \binom{4}{0} + \binom{4}{1} = 5, \quad \binom{5}{2} = \binom{4}{1} + \binom{4}{2} = 10, \quad \binom{5}{3} = \binom{4}{2} + \binom{4}{3} = 10, \dots$$

In general, the Recurrence Formula which generates the triangle is:

$$(*) \quad \binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}.$$

**Problem 1.** Use the above recurrence to compute the  $\binom{6}{k}$  and  $\binom{7}{k}$  rows of the table.

**Problem 2.** Find the sum of each row:  $\binom{n}{0} + \binom{n}{1} + \cdots + \binom{n}{n}$ , for  $n = 0, 1, \dots, 7$ . Guess a general formula for this sum.

**Problem 3.** Prove your formula from Prob. 2 by asking: what kind of objects are counted by the left side? Then look in Supplement 9/9 and apply one of the propositions.

Next we consider how to prove the Recurrence Formula (\*) through the Bijection Principle: we need to write the left and right sides of the formula as the cardinalities (sizes) of some sets  $\mathcal{A}$  and  $\mathcal{B}$ .

- $\binom{n}{k} = |\mathcal{A}|$ , where  $\mathcal{A}$  is the set of all  $k$ -element subsets of  $[n]$ .
- $\binom{n-1}{k-1} = |\mathcal{B}_1|$ , where  $\mathcal{B}_1$  is the set of all  $(k-1)$ -element subsets of  $[n-1]$ .
- $\binom{n-1}{k} = |\mathcal{B}_2|$ , where  $\mathcal{B}_2$  is the set of all  $k$ -element subsets of  $[n-1]$ .
- $\binom{n-1}{k-1} + \binom{n-1}{k} = |\mathcal{B}_1| + |\mathcal{B}_2| = |\mathcal{B}_1 \cup \mathcal{B}_2|$ , since  $\mathcal{B}_1$  and  $\mathcal{B}_2$  have no common elements.

Now we try to give a bijection  $\phi : \mathcal{A} \rightarrow \mathcal{B}_1 \cup \mathcal{B}_2$ : this will show that  $|\mathcal{A}| = |\mathcal{B}_1| + |\mathcal{B}_2|$ , which is precisely the recurrence formula  $\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$ .

We define the bijection  $\phi$  by specifying the output of an element of  $\mathcal{A}$ , that is of  $k$ -element subsets  $S \subset [n]$ . Let  $\phi(S) = S' = S \setminus \{n\}$ , meaning we remove  $n$  from  $S$  if it is present, and leave  $S' = S$  otherwise. We thus produce  $S' \in \mathcal{B}_1 \cup \mathcal{B}_2$ , a subset of  $[n-1]$  with either  $k-1$  or  $k$  elements.

For example, the bijection  $\phi$  for  $\binom{4}{2} = \binom{3}{1} + \binom{3}{2}$  is given in the table, where  $S' = \phi(S)$ :

$S \in \mathcal{A}$	{1, 2}	{1, 3}	{1, 4}	{2, 3}	{2, 4}	{3, 4}
$S' \in \mathcal{B}_1$			{1}		{2}	{3}
$\in \mathcal{B}_2$	{1, 2}	{1, 3}		{2, 3}		

**Problem 4.** Illustrate the mapping  $\phi$  in the case of  $\binom{5}{3} = \binom{4}{2} + \binom{4}{3}$ . Make a table like the one above.

**Problem 5.** Formally define the inverse mapping  $\psi : \mathcal{B}_1 \cup \mathcal{B}_2 \rightarrow \mathcal{A}$ , which undoes  $\phi$ . That is, given a subset  $S' \subset [n-1]$  with either  $k-1$  or  $k$  elements, define the corresponding  $k$ -element  $S \subset [n]$ . Define  $S = \psi(S')$ , with separate cases for  $S' \in \mathcal{B}_1$  and  $S' \in \mathcal{B}_2$ .

You do not need to prove that your  $\psi$  is inverse to  $\phi$ , but take a fairly large example of  $S$ , and verify that  $\psi(\phi(S)) = S$ ; also take an example of  $S'$  and verify that  $\phi(\psi(S')) = S'$ .