

Consider the sequence defined by the recurrence:

$$a_0 = 0, \quad a_k = 3a_{k-1} + 1 \quad \text{for } k \geq 1.$$

That is,  $a_0 = 0$ ,  $a_1 = 3(0)+1 = 1$ ,  $a_2 = 3(1)+1 = 4$ ,  $a_3 = 3(4)+1 = 13$ , etc.

PROBLEM: Solve this recurrence by the Method of Generating Functions.

*Step 1:* Substitute the recurrence into the generating function, to write  $f(x)$  as an expression involving  $f(x)$ . Then solve the resulting equation for  $f(x)$ .

$$\begin{aligned} f(x) &= a_0 + \sum_{k \geq 1} a_k x^k = 0 + \sum_{k \geq 1} (3a_{k-1} + 1)x^k \\ &= 3x \sum_{k \geq 1} a_{k-1} x^{k-1} + x \sum_{k \geq 1} x^{k-1} \\ f(x) &= 3xf(x) + \frac{x}{1-x}. \end{aligned}$$

We used the change of index  $f(x) = \sum_{k \geq 0} a_k x^k = \sum_{k \geq 1} a_{k-1} x^{k-1} = a_0 + a_1 x + a_2 x^2 + \dots$ . Solving for  $f(x)$  gives:

$$f(x)(1-3x) = \frac{x}{1-x}, \quad f(x) = \frac{x}{(1-3x)(1-x)}.$$

*Step 2:* Expand  $f(x)$  into partial fractions, and give an explicit formula for  $a_k$ .

$$f(x) = \frac{x}{(1-3x)(1-x)} = \frac{A}{1-3x} + \frac{B}{1-x}$$

$$\text{For all } x: \quad x = A(1-x) + B(1-3x)$$

$$\text{For } x = 1: \quad 1 = A(1-1) + B(1-3) \quad \implies \quad B = -\frac{1}{2}$$

$$\text{For } x = \frac{1}{3}: \quad \frac{1}{3} = A(1 - \frac{1}{3}) + B(1 - 3(\frac{1}{3})) \quad \implies \quad A = \frac{1}{2}$$

$$f(x) = \frac{\frac{1}{2}}{1-3x} + \frac{\frac{1}{2}}{1-x} = \frac{1}{2} \sum_{k \geq 0} (3x)^k - \frac{1}{2} \sum_{k \geq 0} x^k = \sum_{k \geq 0} (\frac{1}{2} 3^k - \frac{1}{2}) x^k.$$

The coefficient of  $x^k$  is thus:

$$a_k = \frac{3^k - 1}{2} \quad \text{for all } k \geq 0.$$

For example  $a_0 = \frac{1}{2}(3^0 - 1) = 0$  and  $a_3 = \frac{1}{2}(3^3 - 1) = \frac{1}{2}(26) = 13$ .