# SEIFERT COBORDISMS AND THE CHEN-YANG VOLUME CONJECTURE

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ABSTRACT. We study the large r asymptotic behavior of the Turaev-Viro invariants  $TV_r(M; e^{\frac{2\pi i}{r}})$  of 3-manifolds with toroidal boundary, under the operation of gluing a Seifert-fibered 3-manifold along a component of  $\partial M$ . We show that the Turaev-Viro invariants volume conjecture is closed under this operation. As an application we prove the volume conjecture for all Seifert fibered 3-manifolds with boundary and for large classes of graph 3-manifolds.

 $K{\rm eywords:}$  simplicial volume, plumbed manifold, Seifert fibered manifold, Turaev-Viro invariants, volume conjecture.

### 1. INTRODUCTION

Given a compact 3-manifold M the Turaev-Viro invariants  $TV_r(M; q^2)$  are a family of real-valued invariants depending on an odd integer  $r \geq 3$  and a primitive 2*r*-th root of unity q. In this paper, we are concerned with the case of  $q = e^{\frac{\pi i}{r}}$ . The invariants were originally constructed via state sums on triangulations of 3-manifolds [24] and were later related to skein-theoretic quantum constructions of Reshetikhin-Turaev invariants [2, 3, 12, 21]. In this paper we will follow this viewpoint. We will view  $TV_r(M;q)$  through its relation to the skein theoretic SO<sub>3</sub>-TQFT as constructed by Blanchet, Habegger, Masbaum and Vogel [4, 5].

All the 3-manifolds considered in this paper will be orientable and either closed or with boundary consisting of tori (i.e. toroidal boundary) We prove the following:

**Theorem 1.1.** Let S be a Seifert fibered 3-manifold with at least two boundary components and let M be any 3-manifold with toroidal boundary. Then, for any 3-manifold M' obtained by gluing S along a component of  $\partial S$  to a component of  $\partial M$ , there exist constants A and K > 0, and a finite set of integers I, such that

$$\frac{r^{-K}}{A}TV_r(M) \leqslant TV_r(M') \leqslant Ar^K TV_r(M),$$

for all odd r not divisible by any  $p \in I$ .

Some of the most prominent open problems in quantum topology are the volume conjectures, asserting that geometric invariants of 3-manifolds (e.g. hyperbolic volume) are determined by quantum invariants. Theorem 1.1 has applications to the Turaev-Viro invariants volume conjecture. The conjecture, that is a natural 3-manifold

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generalization of the well known Kashaev, Murakami and Murakami [7] conjecture, asserts that the large r asymptotics of the Turaev-Viro invariants determine the simplicial volume of 3-manifolds. Specifically, Chen and Yang [8] conjectured that for hyperbolic manifolds of finite volume, the growth rate of the Turaev-Viro invariants is exponential and it determines the hyperbolic volume of the manifold.

By the geometrization theorem, any 3-manifold M with empty or toroidal boundary admits a canonical decomposition, along essential spheres and tori, into pieces that are either hyperbolic or Seifert fibered spaces. We will refer to this as the geometric decomposition of M. The simplicial volume Vol(M) of M is defined as the sum of the volumes of the hyperbolic pieces in this decomposition and it is equal to its Gromov norm times  $v_3 \approx 1.01494$  [23], which is the hyperbolic volume of a regular ideal hyperbolic tetrahedron. The simplicial volume is additive under disjoint unions and connected sums of 3-manifolds as well us under gluing along essential tori. The following generalization of the Chen-Yang Conjecture was stated in [11].

**Conjecture 1.2.** For every compact orientable 3-manifold M, with empty or toroidal boundary, we have

$$LTV(M) := \limsup_{r \to \infty, r \text{ odd}} \frac{2\pi}{r} \log |TV_r(M)| = \operatorname{Vol}(M),$$

where r runs over all odd integers.

The upper inequality of Theorem 1.1 follows from [11] and in fact it holds for all odd  $r \geq 3$ . The theorem implies that if Vol(M) = 0, then we have LTV(M) = LTV(M'). As a corollary we have the following:

**Corollary 1.3.** Suppose that S is an oriented Seifert fibered 3-manifold that either has a non-empty boundary, or it is closed and admits an orientation reversing involution. Then we have

$$LTV(S) = \limsup_{r \to \infty, r \text{ odd}} \frac{2\pi}{r} \log |TV_r(S)| = \operatorname{Vol}(S) = 0.$$

Theorem 1.1 generalizes to large families of 3-manifolds obtained by gluing together Seifert fibered 3-manifolds (Corollary 4.5). As a result in Corollary 5.3 we also verify Conjecture 1.2 for these manifolds.

We note that if M satisfies Conjecture 1.2 with "limsup" in the definition of LTV(M) is actually a limit, then Theorem 1.1 implies that M' also satisfies the conjecture. For hyperbolic M, the Chen-Yang conjecture is stated for LTV(M) being the limit and in this form it has been verified for large families 3-manifolds with cusps. We note however that the restriction to "lim sup" for general 3-manifolds is necessary. Indeed for Seifert fibered spaces the invariants  $TV_r(M;q)$  can vanish for infinitely many integers r. See, for example, [19].

The hyperbolic links in  $S^3$  for which the volume conjecture has been verified include the figure-eight knot, the Borromean rings [12], the twist knots [9], the Whitehead chains [25], and large families of octahedral links in  $S^3$  including the octahedral augmented links [16, 26]. The conjecture has also been verified for fundamental shadow links [2] which form a class of hyperbolic links in connected sums of  $S^1 \times S^2$  that gives all orientable 3-manifolds that are either closed or with toroidal boundary by Dehn filling, and for additional families of hyperbolic links in connected sums of  $S^1 \times S^2$ [1]. For infinite families of non-hyperbolic links where Conjecture 1.2 holds when LTV(M) the limit, see [17]. Theorem 1.1 can be applied to any of these families of links to produce new families of satellite links satisfying Conjecture 1.2.

As an example we state the following:

**Corollary 1.4.** If L is a link obtained as an iterated satellite of the figure-eight with patterns torus links, then

$$LTV(S^3 \setminus L) = Vol(S^3 \setminus L) \approx 2.0298832.$$

Forming a satellite of a knot K with pattern a torus link amounts to gluing a Seifert fibered 3-manifold with at least two boundary components to the boundary torus of the knot complement [6]. Thus, Corollary 1.4 follows from Theorem 1.1 and above discussion.

The proofs of many results in the area rely at least partially on analytic estimates and direct analysis of the asymptotics of the Reshetikhin-Turaev and Turaev -Viro invariants. See, for example, [2, 1, 9, 17, 26, 19] and references therein. In contrast our proofs in this paper rely heavily on TQFT properties and 3-manifold topology. In the process, we discuss an approach that could potentially lead to new progress towards understanding the behavior of the asymptotics of the Turaev-Viro invariants under hyperbolic Dehn filling. For details, the reader is referred to Section six.

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## 2. TQFT AND TURAEV-VIRO INVARIANTS

In this section we recall how to obtain the Turaev-Viro invariants from the Reshetikhin-Turaev SO<sub>3</sub>-TQFT of [20]. We begin by summarizing some basic features of the SO<sub>3</sub>-TQFT following skein-theoretic framework of [4, 5].

2.1. **Preliminaries.** For an odd integer  $r \ge 3$  and a primitive 2*r*-th root of unity q, the SO<sub>3</sub>-TQFT functor, denoted by  $RT_r$ , associates a finite dimensional Hermitian  $\mathbb{C}$ -vector space  $RT_r(\Sigma)$ , to any closed oriented surface  $\Sigma$ , such that:

- (a) For a disjoint union  $\Sigma \coprod \Sigma'$  one has  $RT_r(\Sigma \coprod \Sigma') = RT_r(\Sigma) \otimes RT_r(\Sigma')$ .
- (b) For a closed oriented 3-manifold M, the value  $RT_r(M) \in \mathbb{C}$  is the SO<sub>3</sub>-Reshetikhin-Turaev invariant and if  $\partial M \neq \emptyset$ ,  $RT_r(M)$  is a vector in  $RT_r(\partial M)$ .
- (c) If  $(M, \Sigma, \Sigma')$  is a cobordism from a surface  $\Sigma$  to a surface  $\Sigma'$ , then

$$RT_r(M): RT_r(\Sigma) \to RT_r(\Sigma'),$$

is a linear map such that compositions of cobordisms are sent to compositions of linear maps (up to powers of q).

(d) The 3-manifold invariants  $RT_r$  are multiplicative under disjoint union and for connected sums we have

$$RT_r(M \# M') = \eta_r^{-1} RT_r(M) RT_r(M'),$$
  
where  $\eta_r = \frac{2\sin(\frac{2\pi}{r})}{\sqrt{r}}$ . Furthermore we have  $RT_r(S^2 \times S^1) = 1$ 

**Remark 2.1.** In this paper we will be concerned with the question of whether the maps  $RT_r(M)$  are invertible, and in the case we have inverses, we will study the *r*-growth rate of the operator norm of the inverses. Since these properties are not affected by multiplication by a power of q in the sequel we will assume that compositions of cobordisms are sent to compositions of linear maps  $RT_r$ .

The spaces  $RT_r(\Sigma)$  are certain quotients of Kauffman bracket skein modules (at q) of a handlebody bounded by  $\Sigma$ . In this paper we are interested in the case where  $\Sigma$  is a the 2-torus  $T^2$ . In this case, [5], views  $T^2$  as the boundary of a solid torus  $D^2 \times S^1$ and obtains elements  $e_i \in RT_r(T^2)$  by taking the core  $\{0\} \times S^1$  of the solid torus decorated with the i-1 Jones-Wenzl idempotent. For r = 2m + 1 and q a 2r-th root of unity, this process gives a family  $e_1, \ldots, e_{2m-1}$  of elements in  $RT_r(T^2)$  [5, Lemma 3.2]. We have the following:

**Theorem 2.2.** [5, Theorem 4.10] For  $r = 2m + 1 \ge 3$ , the Hermitian pairing of  $RT_r(T^2)$  is positive definite and the family  $e_1, e_2, \ldots e_m$  is an orthonormal basis. Moreover, for  $0 \le i \le m - 1$ , we have  $e_{m-i} = e_{m+1+i}$ .

The Turaev-Viro invariants of compact oriented 3-manifolds originally were defined as state sums over triangulations of manifolds (see [24]). In this paper however, we will only use the relation between the Turaev-Viro invariants and Reshetikhin-Turaev invariants. This relation was first proved by Roberts [21] in the case of closed 3manifolds, and extended to manifolds with boundary by Benedetti and Petronio [3]. We state it only in the case of manifolds with toroidal boundary, which is what we need.

**Theorem 2.3.** Let M be a compact oriented manifold with toroidal boundary, let  $r \ge 3$  be an odd integer and let q be a primitive 2r-th root of unity. Then,

$$TV_r(M, q^2) = ||RT_r(M, q)||^2$$

where  $\|\cdot\|$  is the natural Hermitian norm on  $RT_r(\partial M)$ .

Since for  $T^2$  a torus, the natural Hermitian form on  $RT_r(T^2)$  is definite positive for any q, the invariants  $TV_r(M)$  are non-negative.

**Remark 2.4.** Given a finite dimensional Hermitian  $\mathbb{C}$ -vector space V, with a positive Hermitian pairing  $\langle ., . \rangle : V \times V \longrightarrow \mathbb{C}$ , as above, we use ||.|| to denote the norm induced by the Hermitian pairing (i.e.  $||x||^2 := \langle x, x \rangle$ ). Given a linear map  $A : V \longrightarrow V$ , we will use |||A||| to denote the norm of the operator, that is

$$|||A||| := \max_{||x||=1} ||A(x)||.$$

where  $x \in RT_r(T')$ . We also define

$$n(A) := \min_{||x||=1} ||A(x)||.$$

If we assume that A is invertible, then for any  $x \in V$ , one has

$$|x|| = ||A^{-1}(Ax)|| \le |||A^{-1}||| \cdot ||Ax||,$$

with equality for some choice of x. Inverting the inequality, one gets:

$$n(A) = |||A^{-1}|||^{-1}.$$

Finally, if A is Hermitian, that is,  $\langle Ax, y \rangle = \langle x, Ay \rangle$  for any  $x, y \in V$ , then A has an orthonormal basis of diagonalization, and

$$|||A||| = \max_{\lambda \in \operatorname{Spec}(A)}(|\lambda|) \text{ and } n(A) = \min_{\lambda \in \operatorname{Spec}(A)}(|\lambda|).$$

2.2. Genus one mutation. Consider  $T^2 \simeq \mathbb{R}^2/\mathbb{Z}^2$  as the quotient of  $\mathbb{R}^2$  where  $\pi_1(T^2) \simeq \mathbb{Z}^2$  acts by covering translations. The elliptic involution  $\iota$  on  $T^2$  is defined by

$$\begin{array}{rcl} \mathcal{L} & : & T^2 \simeq \mathbb{R}^2 / \mathbb{Z}^2 & \longrightarrow & T^2 \simeq \mathbb{R}^2 / \mathbb{Z}^2 \\ & & (x,y) & \longrightarrow & (-x,-y). \end{array}$$

and its isotopy class defines an element in the mapping class group of the torus  $\Gamma(T^2)$ .

Given an element  $\varphi$  in  $\Gamma(T^2)$  consider

$$M_{\varphi} := [0,1] \times T^2 \underset{(x,1) \sim \varphi(x)}{\cup} T^2,$$

the mapping cylinder of  $\varphi$ . Now  $RT_r(M_{\varphi})$  is a vector in  $RT_r(T)^2 \otimes \overline{RT_r(T^2)}$ . The latter space can be identified with  $\operatorname{End}(RT_r(T^2))$  as  $RT_r(T^2) \simeq RT_r(T^2)^*$  by the natural Hermitian form. The assignment  $\rho_r(\varphi) = RT_r(M_{\varphi})$ , defines a projective representation

(1) 
$$\rho_r: \Gamma(T^2) \longrightarrow \operatorname{End}(RT_r(T^2)).$$

**Definition 2.5.** A compact oriented 3-manifold M' is said to be obtained from another compact oriented 3-manifold M by genus one mutation, if M' is obtained from M by cutting along an embedded torus in M and regluing using the elliptic involution of  $T^2$ .

We will make use of the following fact, which was proved by [22] for the 3-manifold invariants, and which we state for cobordism invariants:

**Lemma 2.6.** If C and C' are two cobordisms, and C' is obtained from C by genus one mutation, then  $RT_r(C) = RT_r(C')$  for any odd integer  $r \ge 3$ .

Proof. Let  $T \simeq T^2$  be a torus embedded in C such that C' is obtained by cutting C along T and regluing using the elliptic involution. Equivalently, considering a regular neighborhood N of T in C, one can say that C' is obtained from C by replacing a trivial cylinder  $N \simeq T \times [0, 1]$  by the mapping cylinder  $M_t$  of the elliptic involution  $\iota$  on T. Since the elliptic involution is in the kernel of the representation  $\rho_r$  (see for example [13]), the mapping cylinder of the elliptic involution and the trivial cylinder have the same image by  $RT_r$ . Moreover,  $C \setminus N$  and  $C' \setminus M_t$  are equivalent cobordisms.

Since  $RT_r$  is a TQFT, the maps  $RT_r(C)$  and  $RT_r(C')$ , are obtained from  $RT_r(C \setminus N)$ and  $RT_r(T^2 \times [0,1])$  (resp.  $RT_r(C \setminus N)$  and  $RT_r(M_\iota)$ ) by tensor contraction, and therefore are the same map.

### 3. TQFT MAPS OF SEIFERT FIBERED SPACES

Let  $S = S(B; \frac{q_1}{p_1} \dots \frac{q_n}{p_n})$  denote the orientable Seifert fibered 3-manifold with fiber space 2-orbifold B and fiber invariants  $(q_1, p_1) \dots (q_n, p_n)$  in the notation of [15]. Recall that, for  $i = 1, \dots, n, q_i$  is co-prime to  $p_i$  and that the integers  $p_1, \dots, p_n$  are called the multiplicities of the exceptional fibers. In particular, if  $p_i = 1$ , for all  $1, \dots, n$ , then S is an  $S^1$ -bundle over the surface B. If the surface B has boundary then S also has boundary which is union of tori. If  $\partial S$  has two components, say T and T', then as discussed in Section 2, the Reshetikhin-Turaev SO<sub>3</sub>-TQFT gives a linear map

$$RT_r(S): RT_r(T) \to RT_r(T'),$$

for any odd integer  $r \ge 3$  and a primitive 2*r*-th root of unity *q*. As earlier we use  $||\cdot||$  to denote is the norm induced by the Hermitian form on  $RT_r(\partial S)$  and we use  $|||\cdot|||$  to denote the operator norm of linear maps between TQFT spaces. That is, in the case that  $RT_r(S)$  is invertible, we will have

$$|||RT_r(S)^{-1}||| := \max_{||x||=1} ||RT_r(S)^{-1}(x)||,$$

where  $x \in RT_r(T')$ .

Our main result in this section is the following:

**Theorem 3.1.** For  $S = S(B; \frac{q_1}{p_1} \dots \frac{q_n}{p_n})$  a Seifert fibered 3-manifold, with  $\partial S = T \cup T'$ , the linear map  $RT_r(S) : RT_r(T) \to RT_r(T')$ , is invertible for all odd r coprime to  $p_1, \dots, p_n$ . Furthermore, there are constants C and N > 0 such that

$$|||RT_r(S)^{-1}||| \leq CR^N.$$

The last part of Theorem 3.1 says that the operator norm of the inverse of  $RT_r(M)$  grows at most polynomially. Next we prove couple of lemmas that we need for the proof of the theorem.

**Lemma 3.2.** If  $S_p := S_p(A; \frac{q}{p})$  fibers over an annulus A with an exceptional fiber of multiplicity p > 1, the linear map  $RT_r(S_p)$  is invertible for all r coprime to p and the operator norm  $|||RT_r(S_p)^{-1}|||$  grows at most polynomially in r.

*Proof.* It is known that  $S_p$  is a cable space and for such spaces the operators  $RT_r(S_p)$  and the growth of their norm were explicitly computed by Kumar and Melby [18, Theorem 1.7].

We will use  $\Sigma_{g,n}$  (resp.  $P_{g,n}$ ) to denote an orientable (resp. non-orientable), compact surface of genus g and n boundary components. The second lemma we need is the following:

**Lemma 3.3.** Suppose that S is one of the following Seifert fibered 3-manifolds:

- (a) The trivial  $S^1$  bundle over a torus with two holes  $\Sigma_{1,2}$ .
- (b) The twisted  $S^1$ -bundle over the Klein bottle with two holes  $P_{1,2}$ .
- (c) The twisted  $S^1$ -bundle over the Mobius band with one hole  $P_{0,2}$ .

Then, the linear map  $RT_r(S)$  is invertible for all odd  $r \ge 3$  and the operator norm  $|||RT_r(S)^{-1}|||$  grows at most polynomially in r.

*Proof.* Let  $S := S^1 \times \Sigma_{1,2}$  and  $\partial S = T \cup T'$ . For r = 2m + 1, the operator  $RT_r(S)$  is exactly the operator K computed in [5, Section 5.10]. It is self-adjoint since it is symmetric with respect to the orthonormal basis  $e_1, \dots, e_m$  of  $RT_r(T^2)$ . The eigenvalues of K have been computed in there and show to be

$$\lambda_j := \frac{(-r)}{(q^{2j} - q^{-2j})^2} = \frac{r}{4\sin^2(\frac{2\pi j}{r})}, \text{ where } j = 1, \cdots, m,$$

and  $q = e^{\frac{2\pi i}{r}}$ .

Since  $\lambda_j \neq 0$ , the operator is invertible for all odd  $r \geq 3$  and the eigenvalues of the inverse are  $\lambda_j^{-1} := \frac{(q^{2j}-q^{-2j})^2}{(-r)}$ . Since the operator is self-adjoint, to bound  $|||RT_r(S)^{-1}|||$ , it is enough to bound the eigenvalues. Since

$$|\lambda_j^{-1}| \leqslant \frac{4}{r},$$

the result follows. This finishes the proof of (a).

Let us now prove (b). Let  $S' := S^1 \times P_{1,2}$ , be the twisted  $S^1$ -bundle over the Klein bottle. We claim that S' is obtained from  $S^1 \times \Sigma_{1,2}$  by genus one mutation. To see this, consider an orientation reversing simple closed curve  $\gamma$  on  $P_{1,2}$ . Cutting  $P_{1,2}$  along  $\gamma$ and regluing by a diffeomorphism of  $S^1$  that reverses the orientation, we get back  $\Sigma_{1,2}$ . In the same way, cutting S' along  $S^1 \times \gamma$  and regluing by the elliptic involution, we get S, which finishes the proof of the claim. Now by Lemma 2.6 we get  $RT_r(S') = RT_r(S)$ , and the desired conclusion follows from part (a).

Next we prove (c). Let  $S'' := S^1 \times P_{0,2}$ , be the twisted  $S^1$ -bundle over the Mobius band with one hole. Note that gluing two copies of  $P_{0,2}$  together along a boundary component, one gets  $P_{1,2}$ . We also have that the square of S'' as a cobordism  $T^2 \longrightarrow T^2$ satisfies  $S'' \circ S'' = S'$ . Therefore,

$$RT_r(S'' \circ S'') = RT_r(S') = RT_r(S).$$

Since  $RT_r(S)$  is self-adjoint, it is diagonalizable in a Hermitian basis of  $RT_r(T^2)$ . However, since the eigenvalues  $\lambda_j$  of  $RT_r(S)$  are all distinct,  $RT_r(S'')$  must be diagonalizable in the same basis, and its eigenvalues  $\mu_j$  are square roots of the  $\lambda_j$ 's. Note that the  $\lambda_j$ 's are positive, hence the  $\mu_j$ 's are all real and  $RT_r(S'')$  is self-adjoint. Therefore, we get the inequality

$$|\mu_j|^{-1} \leqslant \frac{2}{\sqrt{r}},$$

which implies  $|||RT_r(S'')^{-1}||| \leq \frac{2}{\sqrt{r}}$ .

We are now ready to prove Theorem 3.1.

Proof. Let  $S = S(B; \frac{q_1}{p_1} \dots \frac{q_n}{p_n})$  be Seifert fibered 3-manifold, with  $\partial S = T \cup T'$ . The surface B can be cut along a disjoint union of simple closed curves  $\Gamma$  into annuli each of which contains exactly one orbifold point of the fibration, or two-holed tori or one-holed Mobius bands that contain no orbifold point. Moreover, the curves of  $\Gamma$  may be chosen so that each is separating in B. The inverse image of  $\Gamma$  under the Seifert fibration map  $S \longrightarrow B$  is a collection  $\mathcal{T}$  of tori in S each of which is vertical with respect to the fibration. By construction, for each component  $S_i$  of  $S \setminus \mathcal{T}$  there are the following possibilities:

- (a)  $S_i$  fibers over an annulus with one exceptional fiber.
- (b)  $S_i$  fibers over  $\Sigma_{1,2}$  with no exceptional fibers. Hence, it is the trivial  $S^1$  bundle over  $\Sigma_{1,2}$ .
- (c)  $S_i$  fibers over the Mobius band with one hole and no exceptional fibers. Hence, it is the twisted  $S^1$ -bundle over  $P_{0,2}$ .

Moreover, since each curve of  $\Gamma$  is separating, S as a cobordism is a composition of the cobordisms  $S_i$ . Since  $RT_r$  is a TQFT operator, and with the understanding of Remark 2.1, we have

$$RT_r(S) = RT_r(S_m) \circ \cdots \circ RT_r(S_1) \circ RT_r(S_0).$$

By Lemma 3.3 if  $S_i$  is as in (b)-(c) above, then  $RT_r(S_i)$  is invertible for all r and if  $S_i$  is as in case (a) then by Lemma 3.2  $RT_r(S_i)$  is invertible for all r coprime to the multiplicity of the exceptional fiber. It follows that, for all odd r coprime to  $p_1, \dots, p_n$ ,  $RT_r(S)$  is invertible with inverse

$$RT_r(S)^{-1} = RT_r(S_0)^{-1} \circ RT_r(S_1)^{-1} \circ \dots \circ RT_r(S_m)^{-1}.$$

Also by Lemmas 3.3 and 3.2, for  $i = 0, \dots n$ , the operator norm of the inverses  $|||RT_r(S_i)^{-1}|||$  grows at most polynomially in r. Since  $||| \cdot |||$  is sub-multiplicative under composition of linear operators it follows that there are constants C and N > 0 such that

$$|||RT_r(S)^{-1}||| \leqslant CR^N.$$

### 4. Gluing Theorems

4.1. Seifert cobordisms. In this section we prove the following theorem, which in particular implies Theorem 1.1 of the Introduction.

**Theorem 4.1.** Let  $S = (B; \frac{q_1}{p_1} \dots \frac{q_n}{p_n})$  be a Seifert fibered 3-manifold with at least two boundary components and let M be any 3-manifold with toroidal boundary. Then, for any 3-manifold M' obtained by gluing S along a component of  $T' \subset \partial S$  to a component of  $\partial M$ , there exist constants A and K > 0 such that

$$\frac{r^{-K}}{A}TV_r(M) \leqslant TV_r(M') \leqslant Ar^K TV_r(M).$$

Here the upper inequality holds for all odd r, while the lower inequality holds for all r coprime to  $p_1, \dots, p_n$ .

In particular, if the limsup in the definition of LTV(M) is actually a limit, then LTV(M') = LTV(M).

By the classification theorem of manifolds that admit Seifert fibrations manifolds with more than two boundary components admit unique such fibrations. Hence the integers  $p_1, \dots, p_n$  are uniquely determined by the the 3-manifold and vice versa. Setting  $I := \{p_1, \dots, p_n\}$ , we obtain Theorem 1.1.

4.2. Invertible cable spaces. For the proof of Theorem 4.1 we need to recall the notion of an invertible cable space from [11].

**Definition 4.2.** Let S be a 3-manifold with toroidal boundary with a distinguished torus boundary component T and such that  $\partial S$  has at least three boundary components. S is called an invertible cabling space if it has zero simplicial volume (i. e. Vol(S) = 0) and there is a Dehn filling along some components of  $\partial S$  distinct from T that produces a 3-manifold homeomorphic to  $T \times [0, 1]$ .

**Corollary 4.3.** [11, Corollary 8.3] Let M be a 3-manifold with toroidal boundary and S be an invertible cabling space. Let M' be obtained by gluing a component of  $\partial S \setminus T$  to a component of  $\partial M$ . Then, there exist constants A and K > 0 such that

$$TV_r(M) \leq TV_r(M') \leq Ar^K TV_r(M)$$

for all odd  $r \geq 3$ . In particular, we have LTV(M) = LTV(M').

We will need the following:

**Lemma 4.4.** For any  $n \ge 3$ ,  $S := S^1 \times \Sigma_{0,n}$  is an invertible cable space.

*Proof.* Since S is an  $S^1$ -bundle,  $\operatorname{Vol}(S) = 0$ . Designate one component  $T \in \partial S$  as the distinguished component. Now, the trivial Dehn filling along all but one of the tori in  $\partial S \setminus T$ , produces the trivial  $S^1$ - bundle over an annulus, that is  $S^1 \times S^1 \times [0, 1]$ , where  $T = S^1 \times S^1$ .

4.3. **Proof of Theorem 4.1.** By construction, M' is obtained by gluing a boundary component of S to a component of  $\partial M$ . Since S is a Seifert fibered manifold, by [11, Theorem 5.2] (and its proof) we have

 $TV_r(M') \leq TV_r(S) \cdot TV_r(M),$ 

for all odd integers  $r \geq 3$ . On the other hand, since S is a Seifert fibered 3-manifold, by [11, Theorem 5.2], there are constants A and N > 0 such that  $TV_r(S') \leq Ar^N$ , for all for all odd integers  $r \geq 3$ . Thus, we have  $LTV(S) \leq 0$ . Hence, the upper inequality in the statement of the theorem follows, and in particular, we have

$$LTV(M') \leq LTV(M).$$

For the proof of the lower inequality we will distinguish two cases:

Case 1. Suppose that S has exactly two boundary components, say T and T'. By Theorem 3.1 the linear map  $RT_r(S)$ :  $RT_r(T) \to RT_r(T')$ , is invertible for all odd r coprime to  $p_1, \dots, p_n$ . Furthermore, there are constants C and K > 0 such that

$$(2) \qquad \qquad |||RT_r(S)^{-1}||| \leqslant Cr^N$$

If M has only one boundary component, then  $RT_r(M)$  is a vector in  $RT_r(T^2)$ , and by the TQFT properties, we have that

$$RT_r(M') = RT_r(S)(RT_r(M)).$$

Now we can write  $RT_r(S)^{-1}(RT_r(M')) = RT_r(M)$ , and hence

$$||RT_r(M)|| \leq |||RT_r(S)^{-1}||| \cdot ||RT_r(M')||,$$

which in turn gives

$$|||RT_r(S)^{-1}|||^{-1} \cdot ||RT_r(M)|| \leq ||RT_r(M')||.$$

The last inequality combined with (2) gives

(3) 
$$\frac{r^{-N}}{C}TV_r(M) \leqslant TV_r(M').$$

Finally, by adjusting the constants K, N, A, C we get the desired result.

If M has more than one boundary components, let  $T_1$  denote the one that is used to glue S. Then, M' may equivalently be seen as obtained from M by gluing the cobordism

$$S' := S \coprod (\partial M \setminus T_1) \times [0, 1]$$

onto  $\partial M$ . The latter is a cobordism  $\partial M \to \partial M$ , and by the TQFT properties,  $RT_r(S')$  is invertible. We claim that  $|||RT_r(S')^{-1}||| = |||RT_r(S)^{-1}|||$ . Indeed,  $RT_r$  is a monoidal functor, so

$$RT_r(S') = RT_r(S) \otimes \mathrm{id}_{RT_r(\partial M \setminus T_1)}.$$

Moreover, the operator norm  $||| \cdot |||$  is multiplicative under tensor product of Hermitian vector spaces and maps. The remaining of the claim follows exactly as before.

Case 2. Suppose that S has  $n \geq 3$  boundary components and n exceptional fibers of orders  $p_1, \ldots, p_n$ . Pick a curve  $\gamma$  in the orbifold B that separates it into a surface  $\Sigma_{0,n}$  (containing no orbifold point) and an orbifold B' with exactly two boundary components. We can furthermore assume that the boundary component of S glued onto M corresponding to a curve in B'. Taking the pre-images under the Seifert fibration, we see that M' is obtained from M by first gluing a Seifert manifold with two boundary components on a torus boundary component of M, obtaining a 3-manifold  $M_0$ , and then gluing  $S^1 \times \Sigma_{0,n}$  on a boundary component of  $M_0$ .

By Case 1, there exists constants A, K > 0 such that

$$\frac{1}{Ar^K}TV_r(M) \leqslant TV_r(M_0) \leqslant Ar^KTV_r(M)$$

for any r coprime to all of the integers  $p_i$ . By Corollary 4.3, there exists constants B, L > 0 such that

$$\frac{1}{Br^L}TV_r(M_0) \leqslant TV_r(M') \leqslant Br^LTV_r(M_0)$$

for any odd  $r \geq 3$ . Therefore, we get the desired inequalities in this case as well.  $\Box$ 

4.4. Plumbed Cobordisms. A graph manifold G is a 3-manifold that can be decomposed into Seifert fibered spaces by cutting along a collection  $\mathcal{T}$  of incompressible tori. To any graph manifold G we associate a graph T(G) with vertices corresponding to components of  $G \setminus \mathcal{T}$  and each edge corresponds to a torus in  $\mathcal{T}$  along which the manifolds corresponding are glued. The leaves are vertices of valence one. In the case that above graph is a tree we will say that M is a *plumbed manifold*. The following generalizes Theorem 4.1 to plumbed 3-manifolds.

**Corollary 4.5.** Let G be a plumbed 3-manifold such that each leaf on T(G) has at least one boundary component coming from a component of  $\partial G$ , and let M be any 3-manifold with non-empty toroidal boundary. Then, for any 3-manifold M' obtained by gluing G along a component  $T' \subset \partial G$  to a component of  $\partial M$ , there exist constants A and K > 0 and a finite set I of non-zero integers such that

$$\frac{r^{-K}}{A}TV_r(M) \leqslant TV_r(M') \leqslant Ar^K TV_r(M)$$

Here the upper inequality holds for all odd integers r > 2 and the lower inequality holds for all r not divisible by any of the numbers in I.

In particular, if the limsup in the definition of LTV(M) is actually a limit, then LTV(M') = LTV(M).

*Proof.* The proof is by induction on the number of edges of T(G). If there are no edges, the conclusion follows from Theorem 4.1 where the set I is the set of multiplicities of the exceptional fibers of  $G_1$ .

Otherwise, remove from T(G) an edge e that ends to a leaf S to obtain a tree  $T(G_1)$ , associated to a graph manifold  $G_1$ . By hypothesis S has a boundary component which comes from  $\partial G$ . Suppose that the edge e corresponds to a torus  $T' \in \mathcal{T}$ . Now cutting G along T' we obtain two 3-manifolds: One is the graph manifold  $G_1$  above and the second is the Seifert fibered manifold S, which by hypothesis has at least two boundary components. Let  $M_1$  denote the 3-manifold obtained by gluing  $G_1$  to Malong the component of  $\partial G_1$  that corresponds to the component of  $\partial G$  glued along  $\partial M$ in the construction of M'. Now M' is obtained by gluing S to  $M_1$  along a boundary component. The result follows by applying the induction hypothesis to M and  $G_1$  and Theorem 4.1 to  $M_1$  and S.

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#### 5. VOLUME CONJECTURE APPLICATIONS

In this section, we discuss applications of Theorems 4.1 and 4.5 to Conjecture 1.2. We will use properties about the behavior of the Gromov norm (and hence simplicial volume) under the operation of gluing 3-manifolds along spheres and tori. For details we refer the reader to [23].

**Theorem 5.1.** Let M be a 3-manifold with non-empty boundary such that

(4) 
$$\lim_{r \to \infty, r} \frac{2\pi}{odd} \log |TV_r(M)| = \operatorname{Vol}(M).$$

Suppose that M' is obtained from M by gluing to a component  $T' \subset \partial M$ , either (a) a Seifert fibered space S as in Theorem 4.1; or (b) a plumbed 3-manifold G as in Corollary 4.5. Then LTV(M') = Vol(M').

*Proof.* By Theorem 4.1, we have LTV(M') = LTV(M) in the case of (a) and by Corollary 4.5, we have LTV(M') = LTV(M) in the case of (b). So in both cases we only need to prove that Vol(M') = Vol(M). We will discuss the details for (a). The proof of (b) is completely analogous.

The manifold M' is the gluing of M and the Seifert manifold S along a torus T. Since S has at least two boundary components, in particular it is not a solid torus and the torus T is incompressible in S. There are thus two cases:

Case 1: The torus T is also incompressible in M. Then the torus T is also incompressible in M' and we have Vol(M') = Vol(M) + Vol(S) = Vol(M) since the simplicial volume is additive under gluing along an incompressible torus, and S is a Seifert manifold.

Case 2: The torus T is compressible in M. Then M is the connected sum of a solid torus V and another 3-manifold  $M_0$ . Since the simplicial volume is additive under disjoint union, connected sums we have  $\operatorname{Vol}(M_0) = \operatorname{Vol}(M)$ . Now we can obtain M'as a connected sum  $M' = M_0 \# S'$  where S' is obtained by gluing S to the solid torus V. Since S' is a Seifert fibered manifold,  $\operatorname{Vol}(S'') = 0$ . Again by by additivity of the simplicial volume under connected sum (and disjoint unions), we have

$$\operatorname{Vol}(M') = \operatorname{Vol}(M_0) + \operatorname{Vol}(S') = \operatorname{Vol}(M_0) = \operatorname{Vol}(M),$$

giving the desired result.

**Remark 5.2.** Note that if M in Theorem 5.1 has zero simplicial volume the conclusion of the theorem follows if the limit in Equation (4) is replaced by suplim. Indeed, Theorem 4.1 and Corollary 4.5 imply that if LTV(M) = 0 then LTV(M') = 0. On the other hand, since the Gromov norm is subadditive under gluing 3-manifolds along tori gluing manifolds of simplicial volume zero produces volume zero manifolds.

Next we have two results that prove the volume conjecture for Seifert fibered 3manifolds with non-empty boundary and for large classes of graph manifolds. Our first result is the following:

**Corollary 1.3.** Suppose that S is an oriented Seifert fibered 3-manifold that either has a non-empty boundary, or it is closed and admits an orientation reversing involution. Then we have

$$LTV(S) = \limsup_{r \to \infty, r \text{ odd } r} \frac{2\pi}{r} \log |TV_r(S)| = \operatorname{Vol}(S) = 0.$$

*Proof.* First suppose that  $\partial S \neq \emptyset$ . Removing from S the neighborhood of a regular fiber of S, which is a solid torus  $M := D^2 \times S^1$ , we obtain a Seifert manifold S' that has at least two boundary components and one of them will be glued to  $\partial M$ . On the other hand, by Theorem 2.3, we have

$$TV_r(M) = TV_r(D^2 \times S^1) = RT_r(S^2 \times S^1) = 1,$$

and hence we obtain LTV(M) = Vol(M) = 0. Now the result follows by part (a) of Theorem 5.1.

Next suppose that S is closed and there is an orientation reversing involution  $i : S \longrightarrow S$ . Then S is the double of a Seifert fibered manifold  $S_1$ , where  $S_1$  has non empty boundary. This is if we let  $\bar{S}_1$  denote  $S_1$  with the opposite orientation, then S is obtained by identifying  $\bar{S}_1$  and  $S_1$  along their boundary. On one hand we have  $Vol(S) = 0 = Vol(S_1)$ . On the other hand, by Theorem 2.3,

$$TV_r(S, q^2) = ||RT_r(S_1), q)||^2 = TV_r(S_1, q^2),$$

and hence  $LTV(S) = LTV(S_1) = 0$ .

Now we turn to the second result that considers plumbed 3-manifolds.

**Corollary 5.3.** Let G be plumbed manifold with non-empty boundary and with an associated tree T(G) where all but at most one leaf is a 3-manifold with at least one boundary component coming from  $\partial G$ . Then,

$$LTV(G) = Vol(G) = 0.$$

*Proof.* Remove from G the neighborhood of a regular fiber of a leaf S. Then proceed as in the proof of Corollary 1.3 using part (b) of Theorem 5.1.  $\Box$ 

**Remark 5.4.** Some cases of Corollary 1.3 were also verified by the third author of this paper using using different methods [19]. Note that in this paper for the sequence of integers  $r \to \infty$  used to establish that LTV(S) = 0, r is co-prime to the multiplicities of the exceptional fibers of Seifert fibrations. In contrast to that, in [19] the sequence of integers  $r \to \infty$  is when r is divisible by the multiplicities of all fibers.

### 6. Hyperbolic cobordisms

In the view of our results here it is reasonable to ask what is the behavior of the TQFT operator maps for cobordisms with non-zero simplicial volume. For example, let M be a 3-manifold with two torus boundary components,  $\partial M = T \cup T'$ whose interior admits a hyperbolic structure. As before we get operators  $RT_r(M)$ :

 $RT_r(M) \to RT_r(T')$ . If  $RT_r(M)$  is invertible, one would hope that the operator norm  $|||RT_r(S)^{-1}|||$  grows exponentially as  $r \to \infty$ . However, as we will see below this is not always the case

With M as above, on the torus  $T' \subset \partial M$  take a simple closed curve representing slope  $\mathbf{s}$ , and let  $M(\mathbf{s})$  denote the 3-manifold obtained by Dehn filling M along  $\mathbf{s}$ . If the length of the geodesic representing  $\mathbf{s}$  on T' is large enough, then  $M(\mathbf{s})$  is also hyperbolic [23]. However, for slopes represented by shorter the resulting manifold can be exceptional (i.e. non-hyperbolic) and in particular  $M(\mathbf{s})$  can be a Seifert fibered 3manifold. For example, M is the complement of the Whitehead link in  $S^3$  then a Dehn filling along one of the components of  $\partial M$  produces a solid torus which has volume 0. The next proposition shows that in these cases the operator norm  $|||RT_r(M)^{-1}|||^{-1}$ grows at most polynomially.

**Proposition 6.1.** Let M be a cobordim from T to T' as above, and suppose the the map  $RT_r(M)$  is invertible. Suppose that M admits a Dehn filling with slope s along a component of  $\partial M$  so that M(s) is a 3-manifold of zero simplicial volume. Then, the operator norm  $|||RT_r(M)^{-1}|||^{-1}$  grows at most polynomially.

*Proof.* By assumption  $M(\mathbf{s})$  has boundary a single torus T and  $RT_r(M(\mathbf{s}))$  is a vector in  $RT_r(T)$ . Since  $M(\mathbf{s})$  has zero simplicial volume, by [11, Theorem 11] its norm with respect to the Hermitian pairing on  $RT_r(T)$ , the norm  $||RT_r(M(\mathbf{s}))||$  grows at most polynomially in r.

By Remark 2.4 we have

(5) 
$$|||RT_r(M)^{-1}|||^{-1} = \min_{||x||=1} ||RT_r(M)(x)||,$$

where  $x \in RT_r(T')$ . On the other hand, by the TQFT properties,

(6) 
$$RT_r(M(\mathbf{s})) = RT_r(M)(e_r(\mathbf{s})),$$

where  $e_r(\mathbf{s}) \in RT_r(T')$  is the vector the TQFT-functor  $RT_r$  assigns to the solid torus where the meridian is the curve representing the slope  $\mathbf{s}$ .

We claim that  $e_r(\mathbf{s})$  is a vector of Hermitian norm 1. Indeed,  $e_r(\mathbf{s})$  is the  $RT_r$ -vector of a solid torus  $D^2 \times S^1$  but with the meridian of  $D^2 \times S^1$  identified with the curve of slope  $\mathbf{s}$  on  $T^2$ . Hence, it is the image of the basis vector  $e_1$  introduced in Theorem 2.2 by  $\rho_r(\phi_{\mathbf{s}})$ , where  $\rho_r$  is the quantum representation of the mapping class group class group of  $T^2$  (see Equation (1) in Section 2) and  $\phi_s$  is any mapping class that sends the meridian of  $T^2$  to the curve of slope  $\mathbf{s}$ . Since the image of the quantum representation  $\rho_r$  consists only of unitary maps,  $e_r(\mathbf{s})$  has norm 1.

Now Equations (5) and (6) and the discussion in the beginning of the proof imply

(7) 
$$|||RT_r(M)^{-1}|||^{-1} \leq ||RT_r(M(\mathbf{s}))|| \leq A \cdot r^N,$$

for some constants A, N > 0.

Note that the first part of inequality (7) implies that if  $|||RT_r(M)^{-1}|||^{-1}$  grows exponentially with r, then the invariants  $TV_r(M(\mathbf{s}), q^2) = ||RT_r(M(\mathbf{s}), q)||^2$  grow exponentially. Tools that allow to establish exponential growth of the Turaev-Viro invariants of Dehn fillings are highly desirable as they will lead to progress on the volume conjecture as well as on another important conjecture in quantum topology; the AMU conjecture [10]. We ask the following question:

**Problem 6.2.** Construct examples of hyperbolic cobordisms  $M : T \longrightarrow T'$  such that  $RT_r(M) : RT_r(M) \rightarrow RT_r(T')$  is invertible and  $|||RT_r(S)^{-1}|||^{-1}$  grows exponentially with r.

In the view of Proposition 6.1 one has to look at hyperbolic cobordisms  $M: T \longrightarrow T'$ , such that all the 3-manifolds by filling one of the components of  $\partial M$  have non-zero simplicial volume. One way to obtain such cobordisms is to consider complements of two component highly twisted links in  $S^3$  (see [14] and references therein). In these cases all the Dehn fillings of either of the two boundary components produce hyperbolic 3-manifolds.

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