

# AMPA receptor trafficking across multiple dendritic spines: heterosynaptic consequences of lateral membrane diffusion

Paul C. Bressloff<sup>1</sup>, Berton A. Earnshaw<sup>1</sup> and Michael J. Ward<sup>2</sup>

<sup>1</sup>Department of Mathematics, University of Utah

Salt Lake City, Utah 84112

<sup>2</sup>Department of Mathematics, University of British Columbia

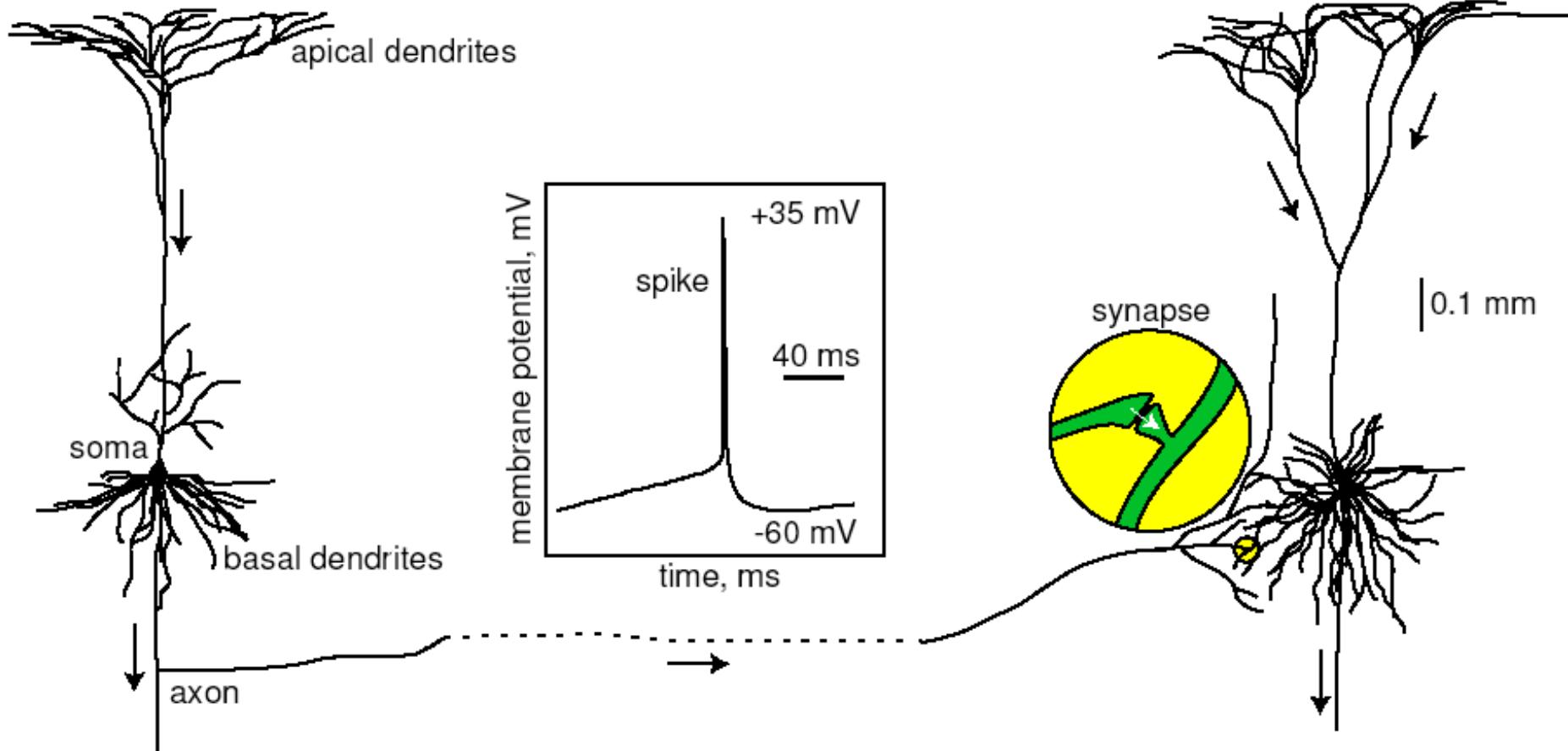
Vancouver, B. C., V6T1Z2

# The brain: unparalleled parallel computer

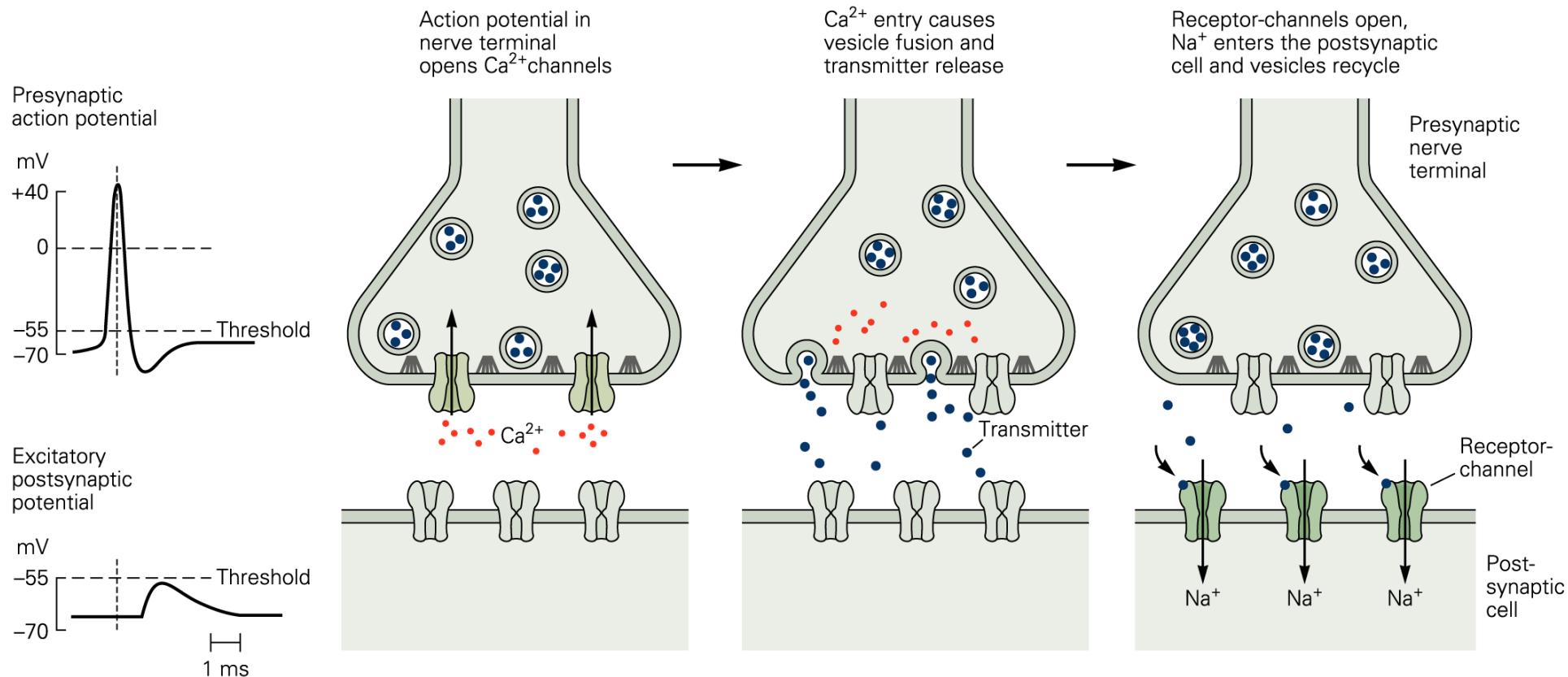


- $10^{11}$  neurons
- $10^{14}$  synapses
- network is plastic
- regulates behavior
- can **learn** and **remember!**

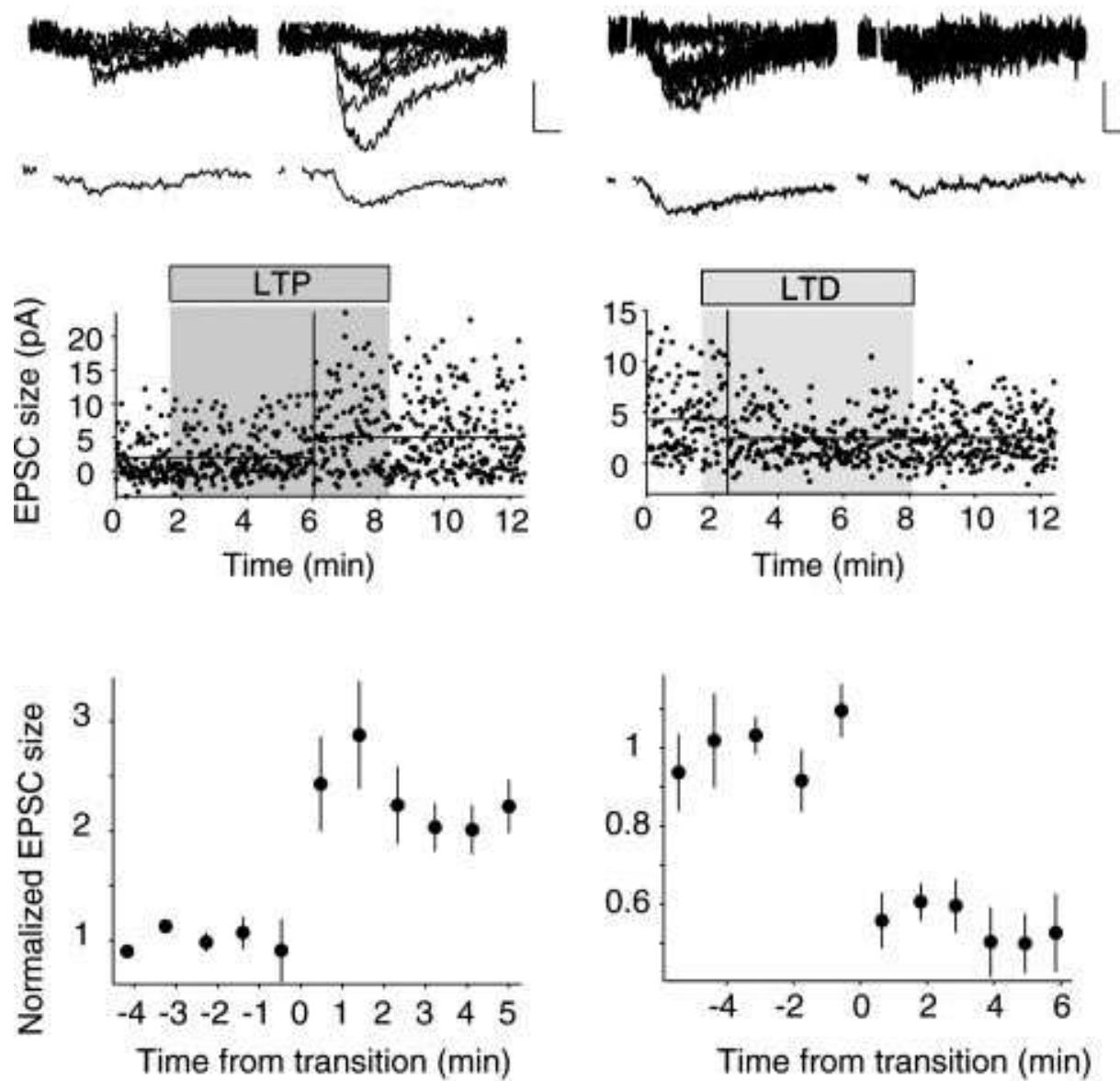
# Neurons communicate via synapses



# Synaptic transmission

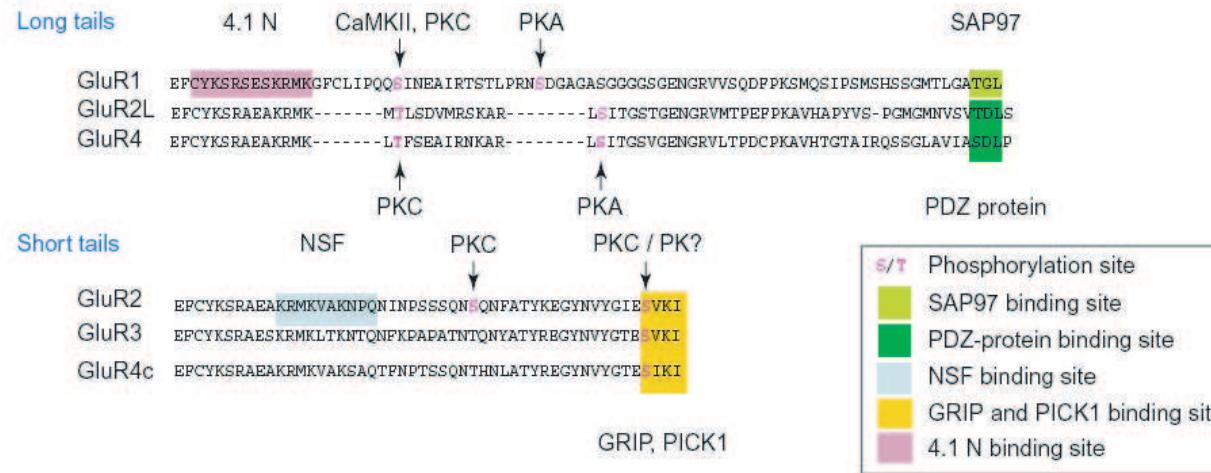
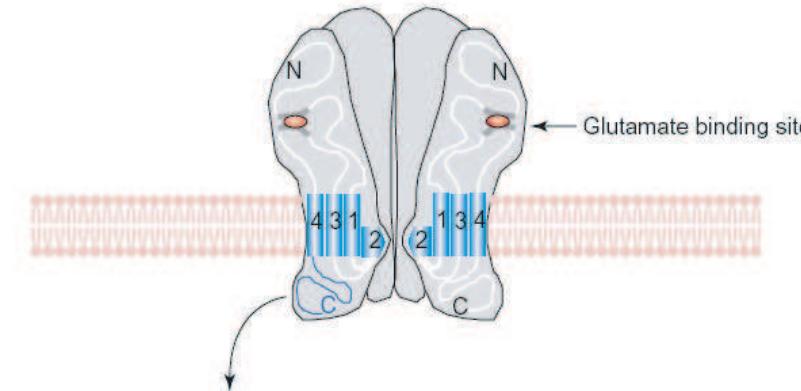


# Synaptic plasticity



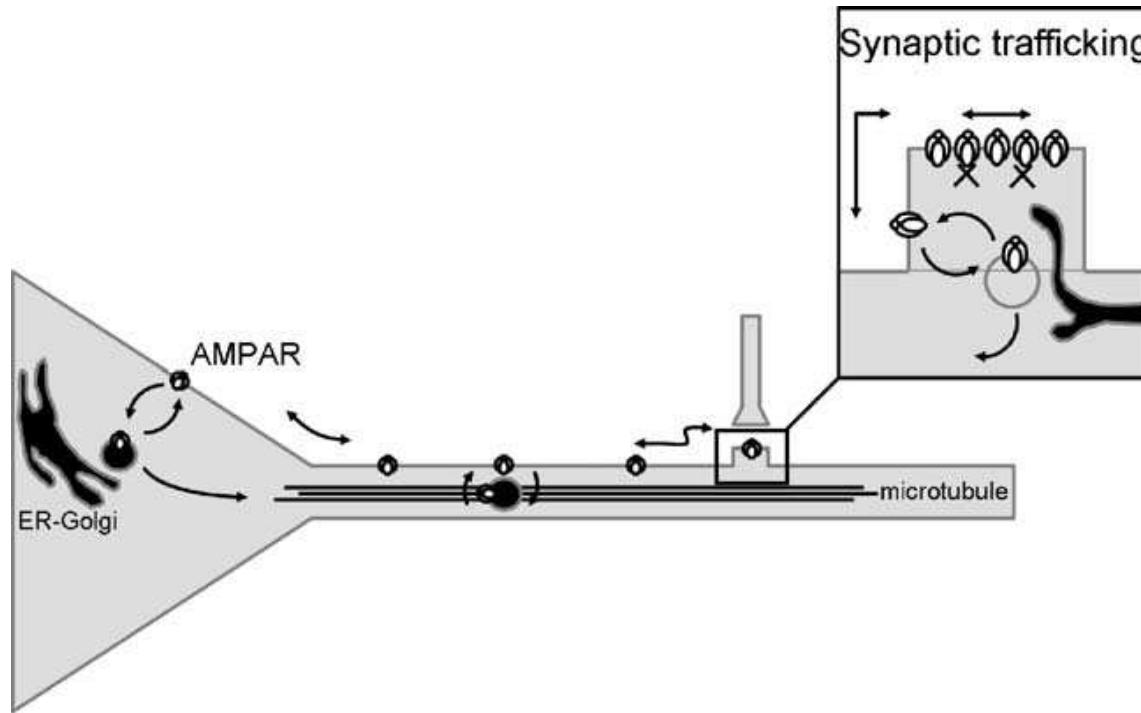
1. AMPA receptor trafficking
2. 2D mathematical model
3. Steady-state analysis
4. 1D continuum model
5. Heterosynaptic consequences of lateral diffusion

# AMPA receptors



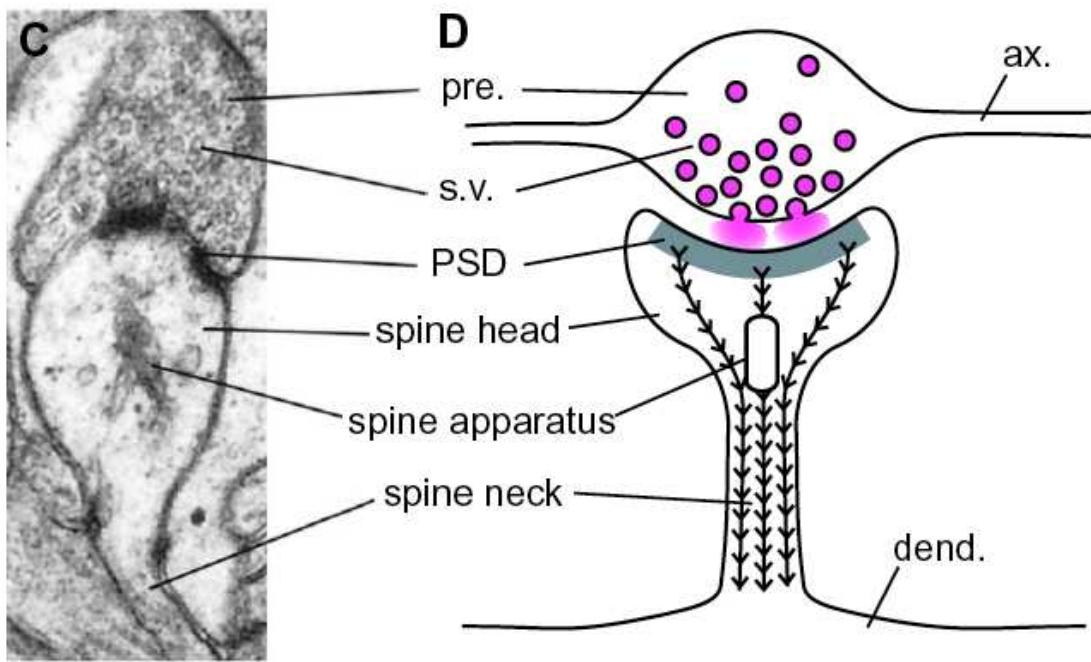
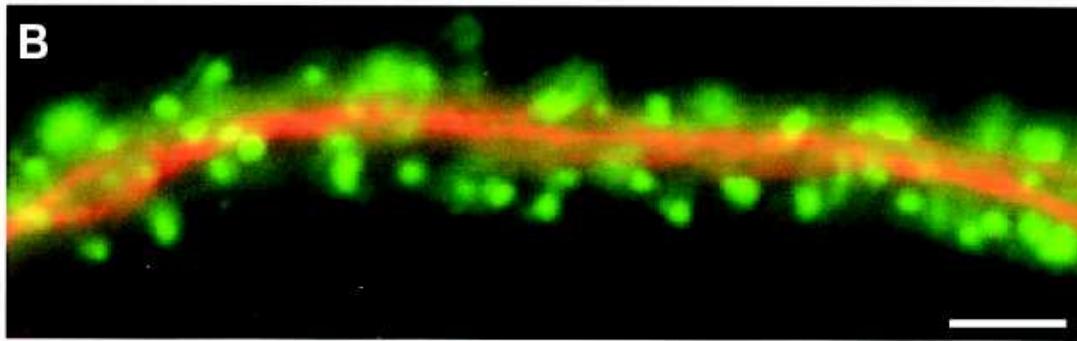
- Responsible for majority of fast synaptic transmission
- Heteromeric tetramer of subunits GluR1-GluR4
- Complexes with synaptic proteins → trafficking

# Long-range receptor trafficking



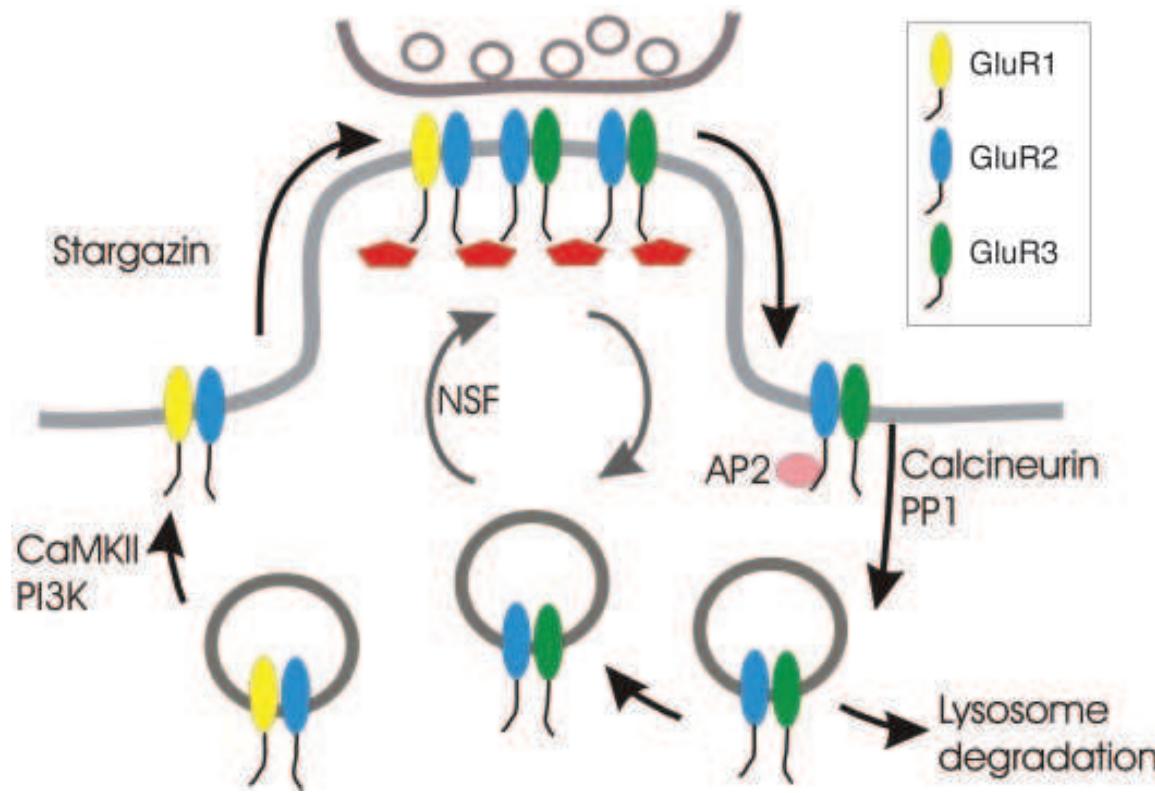
- Receptors trafficked in vesicles along microtubules
- Receptors diffuse from soma to synapse?

# Dendritic spines



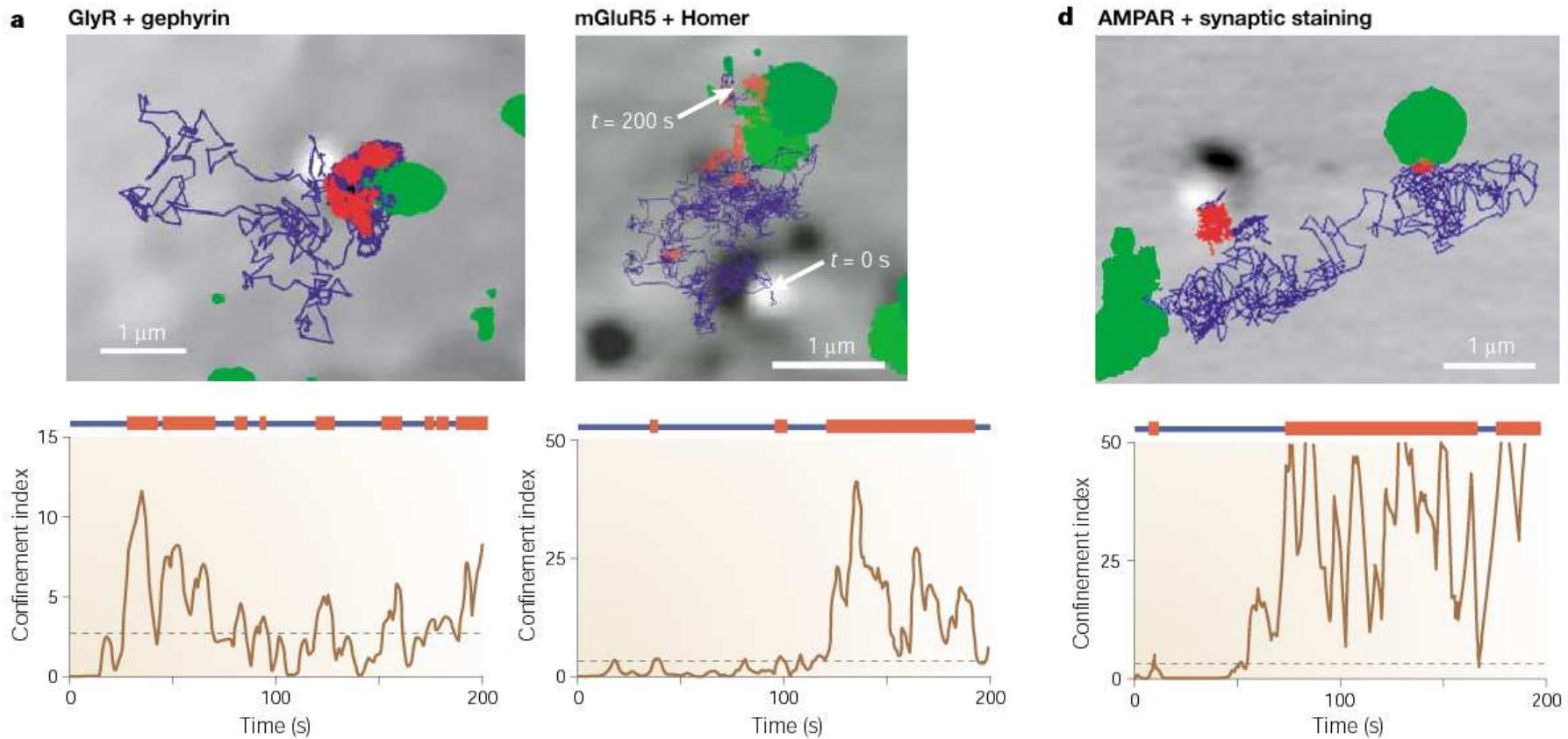
Excitatory synapses located on surface of mushroom-like protrusions of the dendritic membrane called spines

# Local receptor trafficking



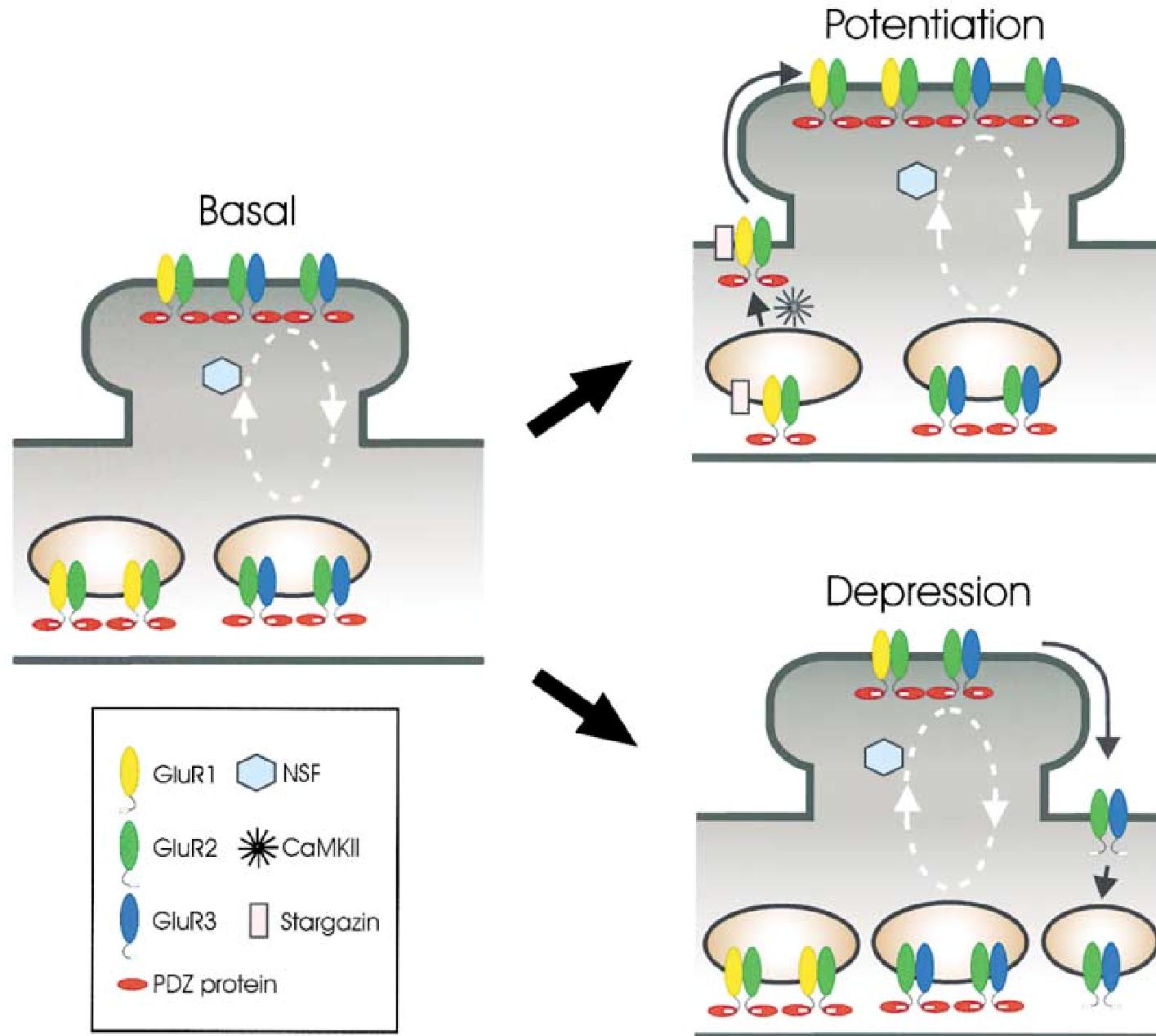
- Receptors constitutively recycled at synapse
- Crosslink to scaffolding proteins in PSD
- AMPA receptors laterally diffuse in synaptic membrane

# Single-particle tracking

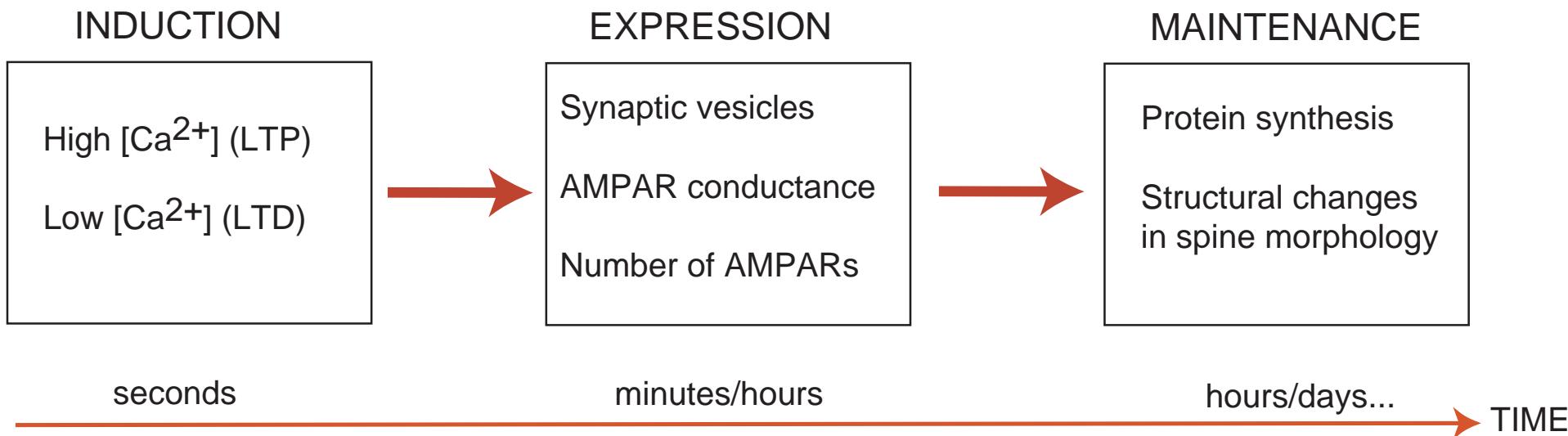


- Receptors diffuse freely away from synapses
- Confined diffusion in synapses

# Expression of LTP/LTD



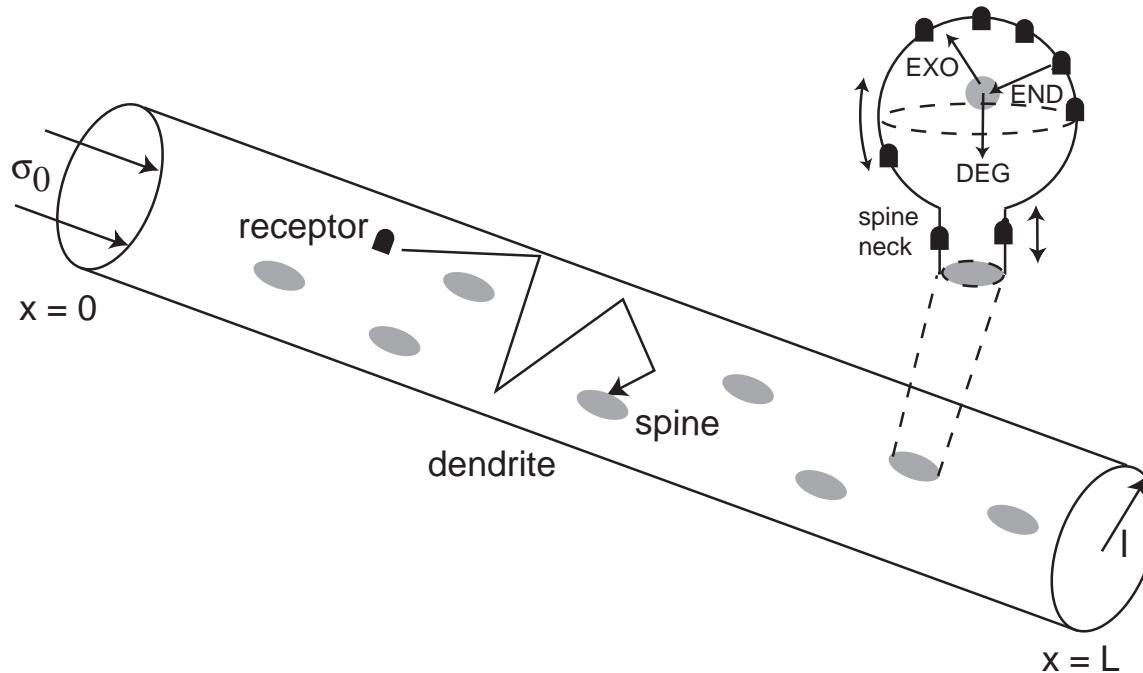
# Separation of time-scales



- $Ca^{2+}$  signal activates kinase/phosphotase pathways
- Phosphorylation/dephosphorylation of AMPA receptor complexes
- Regulation of AMPA receptor trafficking

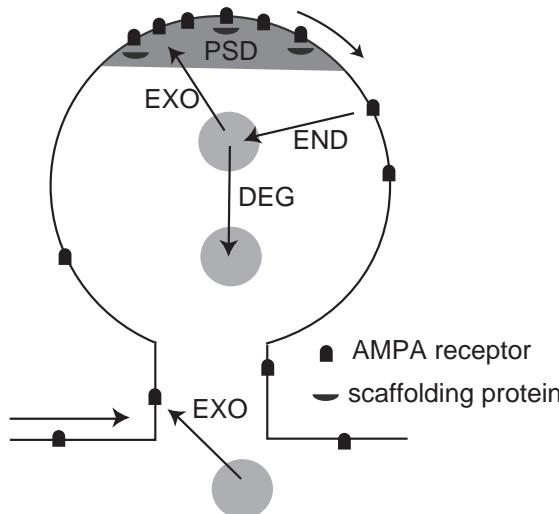
1. AMPA receptor trafficking
2. 2D mathematical model
3. Steady-state analysis
4. 1D continuum model
5. Heterosynaptic consequences of lateral diffusion

# Dendritic cable model



- Treat dendritic cable as cylinder of length  $L$ , radius  $l$
- Intersection of  $j$ th spine with dendrite as disc of radius  $\epsilon\rho$  centered at  $\mathbf{r}_j$ ,  $j = 1, \dots, N$ .
- Separation of length-scales:  $\epsilon\rho \ll l \ll L$ .

# Single-spine model without PSD



$$\frac{dR_j}{dt} = \frac{\omega_j}{A_j}[U_j - R_j] - \frac{k_j}{A_j}R_j + \frac{\sigma_j^{rec}S_j}{A_j}.$$

$$\frac{dS_j}{dt} = -\sigma_j^{rec}S_j - \sigma_j^{deg}S_j + k_jR_j + \delta_j.$$

- $R_j$  = free receptor concentration in  $j$ th spine
- $S_j$  = # intracellular receptors
- $U_j = \frac{1}{2\pi\varepsilon\rho} \int_{\partial\Omega_j} U(\mathbf{r}, t) d\mathbf{r}$  = mean value of  $U$  on  $\partial\Omega_j$
- $A_j, \omega_j$  = surface area, hopping rate of  $j$ th spine
- $k_j, \sigma_j^{rec}$  = rates of endocytosis, exocytosis at  $j$ th spine
- $\delta_j, \sigma_j^{deg}$  = rates of production, degradation at  $j$ th spine

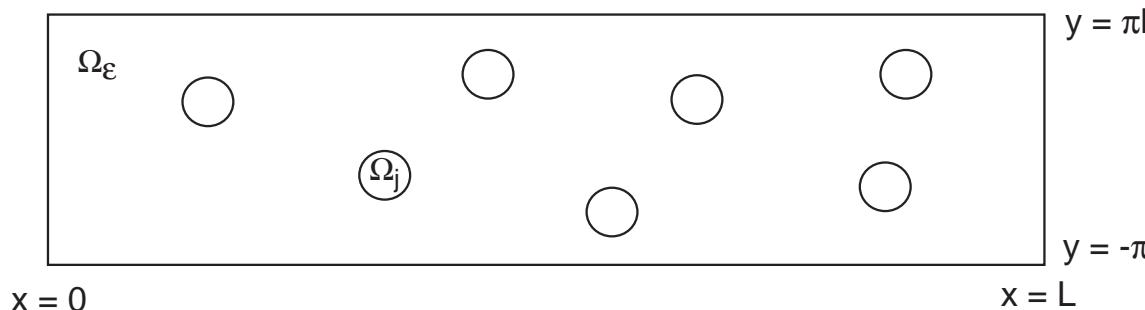
# Diffusion equation

$$\partial_t U = D \nabla^2 U, \quad (\mathbf{r}, t) \in \Omega_\varepsilon \times [0, \infty)$$

- Ignore curvature
- Homogeneous surface diffusivity  $D$
- $U(\mathbf{r}, t)$  = dendritic receptor concentration at  $(\mathbf{r}, t)$
- $\Omega_\varepsilon = \Omega_0 \setminus \bigcup_{j=1}^N \Omega_j$ , where

$$\Omega_0 = \{(x, y) : 0 < x < L, y < |\pi l|\}$$

$$\Omega_j = \{\mathbf{r} : |\mathbf{r} - \mathbf{r}_j| \leq \varepsilon \rho\}$$



# Boundary conditions

- Periodic bcs at  $y = \pm\pi l$ :

$$U(x, \pi l, t) = U(x, -\pi l, t), \quad \partial_y U(x, \pi l, t) = \partial_y U(x, -\pi l, t)$$

- Neumann bcs at  $x = 0, L$ :

$$\partial_x U(0, y, t) = -\frac{\sigma_0}{2\pi l D}, \quad \partial_x U(L, y, t) = 0$$

$\sigma_0$  = # receptors per unit time entering membrane from soma

# Boundary conditions

Generalized Neumann bcs at  $\partial\Omega_j$ :

$$\varepsilon \partial_n U(\mathbf{r}, t) = -\frac{\omega_j}{2\pi\rho D}(U(\mathbf{r}, t) - R_j), \quad \mathbf{r} \in \partial\Omega_j$$

- $\partial_n U$  = outward normal derivative to  $\Omega_\varepsilon$
- $\omega_j$  = effective hopping rate
- $R_j$  = receptor concentration on surface of  $j$ th spine

Assume  $R_j$  spatially uniform since diffusion is fast

1. AMPA receptor trafficking
2. 2D mathematical model
3. Steady-state analysis
4. 1D continuum model
5. Heterosynaptic consequences of lateral diffusion

# Steady-state analysis

$$R_j = \frac{\omega_j U_j + \lambda_j \delta_j}{\omega_j + k_j(1 - \lambda_j)}, \quad S_j = \frac{k_j \lambda_j R_j}{\sigma_j^{rec}}$$

where

$$\lambda_j = \frac{\sigma_j^{rec}}{\sigma_j^{rec} + \sigma_j^{deg}}$$

To determine  $U_j$ , need to solve

$$\nabla^2 U = 0, \quad \mathbf{r} \in \Omega_\varepsilon$$

with bcs.

# Simplification of generalized Neumann bc

Assume  $U(\mathbf{r}) = U_j$  on  $\partial\Omega_j$ , then

$$\varepsilon \partial_n U(\mathbf{r}) = -\frac{\hat{\omega}_j}{2\pi\rho D} (U_j - \hat{R}_j), \quad \mathbf{r} \in \partial\Omega_j$$

where

$$\hat{\omega}_j = \frac{\omega_j k_j (1 - \lambda_j)}{\omega_j + k_j (1 - \lambda_j)}, \quad \hat{R}_j = \frac{\sigma_j^{REC}}{k} \frac{\delta_j}{\sigma_j^{DEG}}$$

Integrating diffusion equation over  $\Omega_\varepsilon$  and imposing bcs leads to solvability condition:

$$\sigma_0 = \sum_{j=1}^N \hat{\omega}_j [U_j - \hat{R}_j]$$

# Method of solution

Solution of steady-state problem (BVP1) in two steps:

1. Solve assuming  $U_j$ 's are known (BVP2)
2. Substitute solution into  $N$  generalize Neumann bcs, yielding  $N$  equations
3. Together with solvability condition, have  $N + 1$  equations in the  $N + 1$  unknowns  $U_j$  and  $\chi$

Solution of BVP1 requires matching solutions in inner region

$$|\mathbf{r} - \mathbf{r}_j| = \mathcal{O}(\varepsilon)$$

and outer region

$$|\mathbf{r} - \mathbf{r}_j| \gg \mathcal{O}(\varepsilon)$$

# Inner solution

Set  $\mathbf{s} = \varepsilon^{-1}(\mathbf{r} - \mathbf{r}_j)$ ,  $V(\mathbf{s}; \varepsilon) = U(\mathbf{r}_j + \varepsilon\mathbf{s}; \varepsilon)$ , then

$$\nabla_{\mathbf{s}}^2 V = 0, \quad |\mathbf{s}| > \rho$$

$$V = U_j, \quad |\mathbf{s}| = \rho$$

which has solution

$$V = U_j + \nu A_j(\nu) \log(|\mathbf{s}|/\rho), \quad \nu = -\frac{1}{\log(\varepsilon\rho)}.$$

Thus far-field behavior of inner solution is

$$V \sim U_j + A_j(\nu) + \nu A_j(\nu) \log(|\mathbf{r} - \mathbf{r}_j|)$$

# Outer solution

Decompose outer solution  $U = \mathcal{U} + u$ , where

$$u(\mathbf{r}) = \frac{\sigma}{2L}(x - L)^2, \quad \sigma = \frac{\sigma_0}{2\pi l D}$$

Then  $\mathcal{U}$  satisfies inhomogeneous diffusion equation

$$\nabla^2 \mathcal{U} = -\frac{\sigma}{L}, \quad \mathbf{r} \in \Omega_0$$

with homogeneous bcs and asymptotic condition (as  $\mathbf{r} \rightarrow \mathbf{r}_j$ )

$$\mathcal{U} \sim -u(\mathbf{r}_j) + U_j + A_j(\nu) + \nu A_j(\nu) \log |\mathbf{r} - \mathbf{r}_j|.$$

# Green's function

Use modified Green's function  $G(\mathbf{r}, \mathbf{r}')$  to solve equation

$$\nabla^2 G = \frac{1}{|\Omega_0|} - \delta(\mathbf{r} - \mathbf{r}'), \quad \int_{\Omega_0} G(\mathbf{r}; \mathbf{r}') d\mathbf{r} = 0$$

$$G(x, \pi l; \mathbf{r}') = G(x, -\pi l; \mathbf{r}'), \quad \partial_y G(x, \pi l; \mathbf{r}') = \partial_y G(x, -\pi l; \mathbf{r}')$$

$$\partial_x G(0, y; \mathbf{r}') = 0, \quad \partial_x G(L, y; \mathbf{r}') = 0$$

$G$  has logarithmic singularity as  $\mathbf{r}' \rightarrow \mathbf{r}$

$$G(\mathbf{r}; \mathbf{r}') = -\frac{1}{2\pi} \log |\mathbf{r} - \mathbf{r}'| + \mathcal{G}(\mathbf{r}; \mathbf{r}')$$

where  $\mathcal{G}$  is regular part of  $G$ .

# Outer solution

Replace equation and asymptotics for  $\mathcal{U}$  by single equation

$$\nabla^2 \mathcal{U} = -\frac{\sigma}{L} + \sum_{j=1}^N 2\pi\nu A_j(\nu) \delta(\mathbf{r} - \mathbf{r}_j)$$

hence

$$\mathcal{U}(\mathbf{r}) = -\sum_{j=1}^N 2\pi\nu A_j(\nu) G(\mathbf{r}; \mathbf{r}_j) + \chi$$

where  $\chi$  is a constant determined by solvability condition

$$\frac{\sigma}{L} |\Omega_0| = \sum_{j=1}^N 2\pi\nu A_j(\nu)$$

# Inner behavior of outer solution

$\mathcal{U}$  has the near-field behavior (as  $\mathbf{r} \rightarrow \mathbf{r}_j$ )

$$\begin{aligned}\mathcal{U} \sim -2\pi\nu A_j(\nu) & \left[ -\frac{1}{2\pi} \log |\mathbf{r} - \mathbf{r}_j| + \mathcal{G}(\mathbf{r}_j; \mathbf{r}_j) \right] \\ & - \sum_{i \neq j} 2\pi\nu A_i(\nu) G(\mathbf{r}_j; \mathbf{r}_i) + \chi\end{aligned}$$

Comparison with asymptotic conditions yields the system:

$$(1 + 2\pi\nu \mathcal{G}_{jj}) A_j + \sum_{i \neq j} 2\pi\nu G_{ji} A_i = u_j - U_j + \chi$$

where  $u_j = u(\mathbf{r}_j)$ ,  $G_{ji} = G(\mathbf{r}_j; \mathbf{r}_i)$  and  $\mathcal{G}_{jj} = \mathcal{G}(\mathbf{r}_j; \mathbf{r}_j)$ .

# Calculation of boundary concentrations $U_j$

Substituting inner sol. into generalized Neumann bcs gives

$$2\pi\nu A_j(\nu) = \frac{\widehat{\omega}_j}{D}[U_j - \widehat{R}_j] \equiv V_j$$

Substituting into system of equations yields

$$V_j = 2\pi\nu \sum_{i=1}^N M_{ji}(u_i - \widehat{R}_i + \chi)$$

where  $M = (I + 2\pi\nu B)^{-1}$  and

$$B_{jj} = \frac{D}{\widehat{\omega}_j} + \mathcal{G}_{jj}, \quad B_{ji} = G_{ji}, \quad j \neq i$$

# Calculation of $\chi$

Substituting  $V_j$  into solvability condition yields

$$\chi = \frac{\frac{\sigma_0}{2\pi\nu D} - \sum_{i,j=1}^N M_{ji}(u_i - \hat{R}_i)}{\sum_{i,j=1}^N M_{ji}}$$

Outer solution is

$$U(\mathbf{r}) = u(\mathbf{r}) - \sum_{j=1}^N \frac{\hat{\omega}_j}{D} [U_j - \hat{R}_j] G(\mathbf{r}; \mathbf{r}_j) + \chi$$

# Evaluation of Green's function

A standard (and long) calculation shows

$$G(\mathbf{r}; \mathbf{r}') = -\frac{1}{2\pi} \ln |\mathbf{r} - \mathbf{r}'| + \mathcal{G}(\mathbf{r}; \mathbf{r}')$$

$$\mathcal{G}(\mathbf{r}; \mathbf{r}') = \frac{L}{24\pi l} \left[ h\left(\frac{x - x'}{L}\right) + h\left(\frac{x + x'}{L}\right) \right]$$

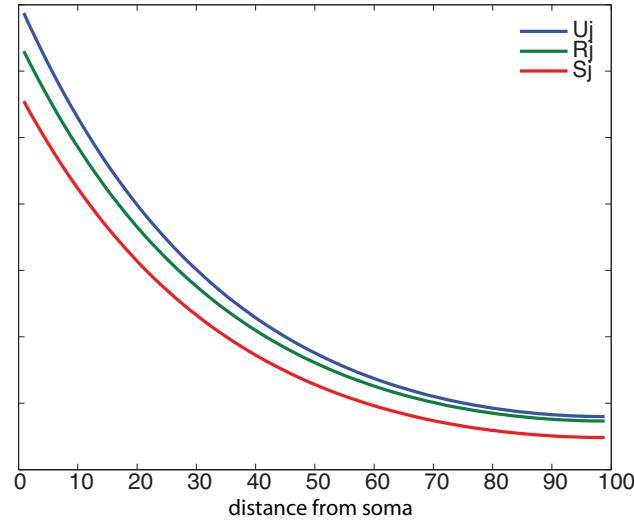
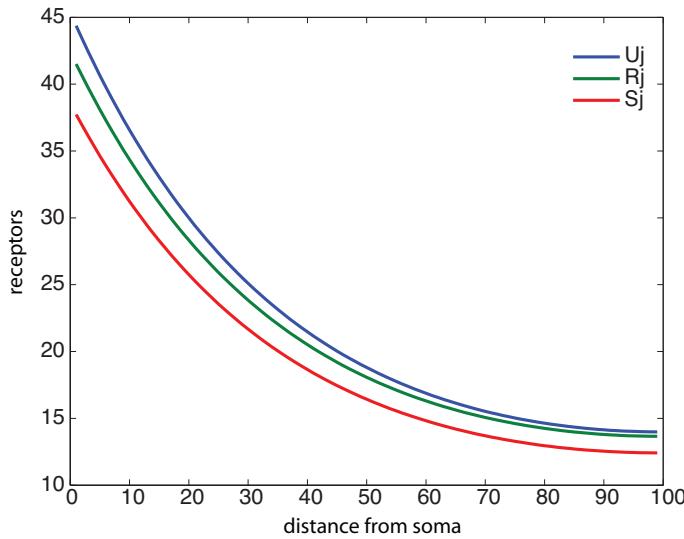
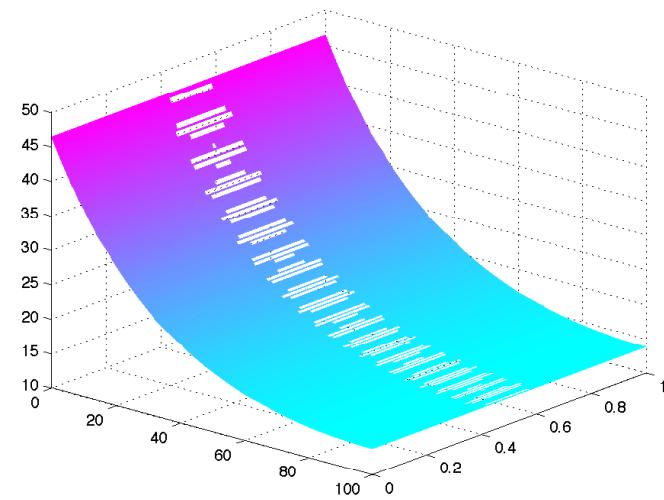
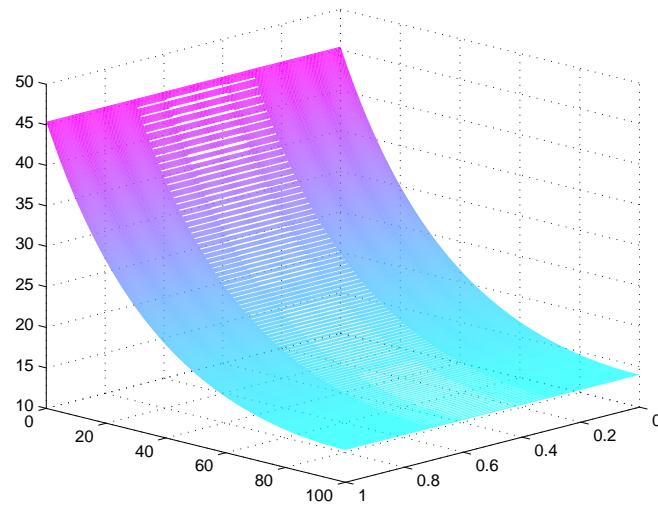
$$-\frac{1}{2\pi} \ln \frac{|1 - e^{r_+/l}| |1 - e^{r_-/l}| |1 - e^{\rho_+/l}| |1 - e^{\rho_-/l}|}{|\mathbf{r} - \mathbf{r}'|} + \mathcal{O}(q)$$

$$h(\theta) = 3\theta^2 - 6|\theta| + 2$$

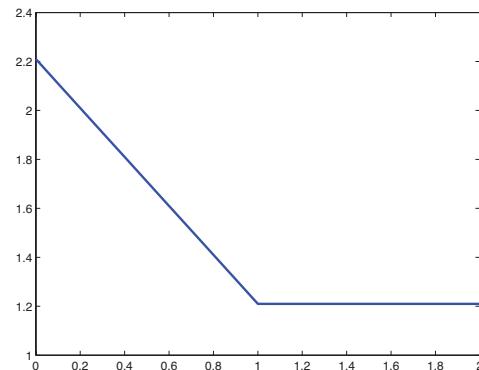
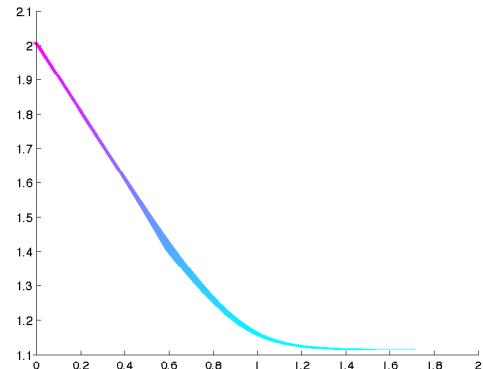
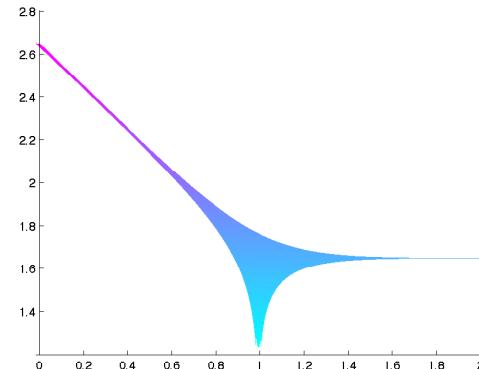
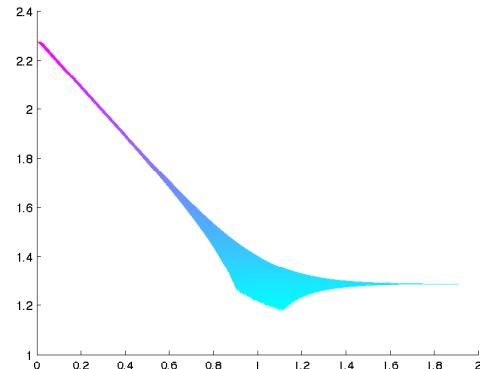
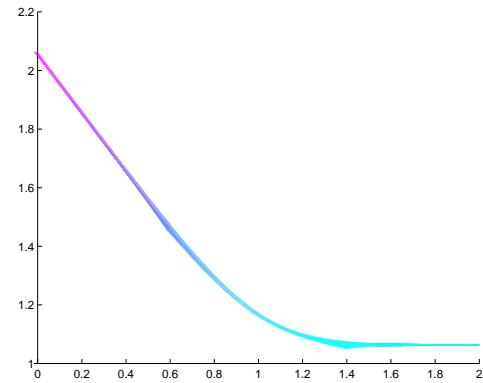
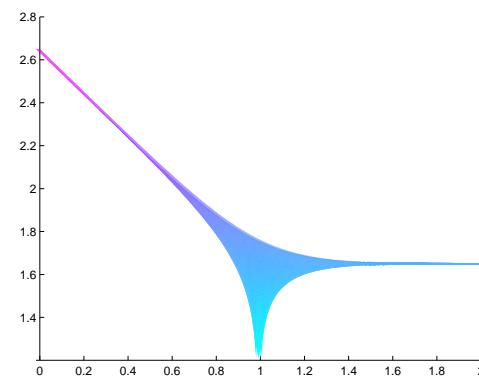
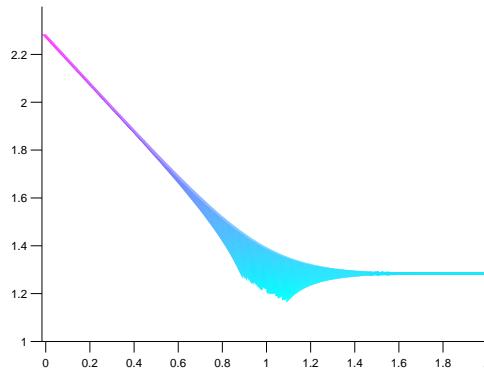
$$r_{\pm} = -|x \pm x'| + i(y - y'), \quad \rho_{\pm} = -2L + |x \pm x'| + i(y - y')$$

$$q = e^{-2L/l}$$

# Numerical results



# Effect of $\epsilon$



# MFPT for single receptor

Can calculate MFPT for receptor to travel axial distance  $X < L$  from soma, given started at  $\mathbf{r}_0 = (0, y)$  and not degraded:

$$T(X|\mathbf{r}_0) = \frac{X^2}{2D} + \sum_{j=1}^{N_X} \frac{\eta_j}{D} G_X(\mathbf{r}_j; \mathbf{r}_0)$$

where

$$\eta_j = A_j + \frac{k_j}{\sigma_j^{rec}}$$

$$G_X(\mathbf{r}_j; \mathbf{r}_0) = \frac{X - x_j}{2\pi l} + \mathcal{O}(q_{x_j}), \quad q_{x_j} = e^{-2x_j/l}$$

# Effective and anomalous diffusion

Large number of identical spines uniformly distributed with spacing  $d$  (i.e.,  $N_X = X/d \gg 1$  and  $x_j = jd$  for all  $j$ ):

$$T \approx \frac{X^2}{2D_{eff}}, \quad D_{eff} = D \left( 1 + \frac{A + k/\sigma^{rec}}{2\pi ld} \right)^{-1}$$

Suppose  $x_j = d(\ln(j) + 1)$  so  $N_X = e^{X/d-1}$ , then

$$T \approx \frac{X^2}{2D_{eff}(X)}, \quad D_{eff}(X) = D \left( 1 + \frac{A + k/\sigma^{rec}}{2\pi ld} \frac{e^{X/d-1}}{\frac{(X/d)^2}{2}} \right)^{-1}$$

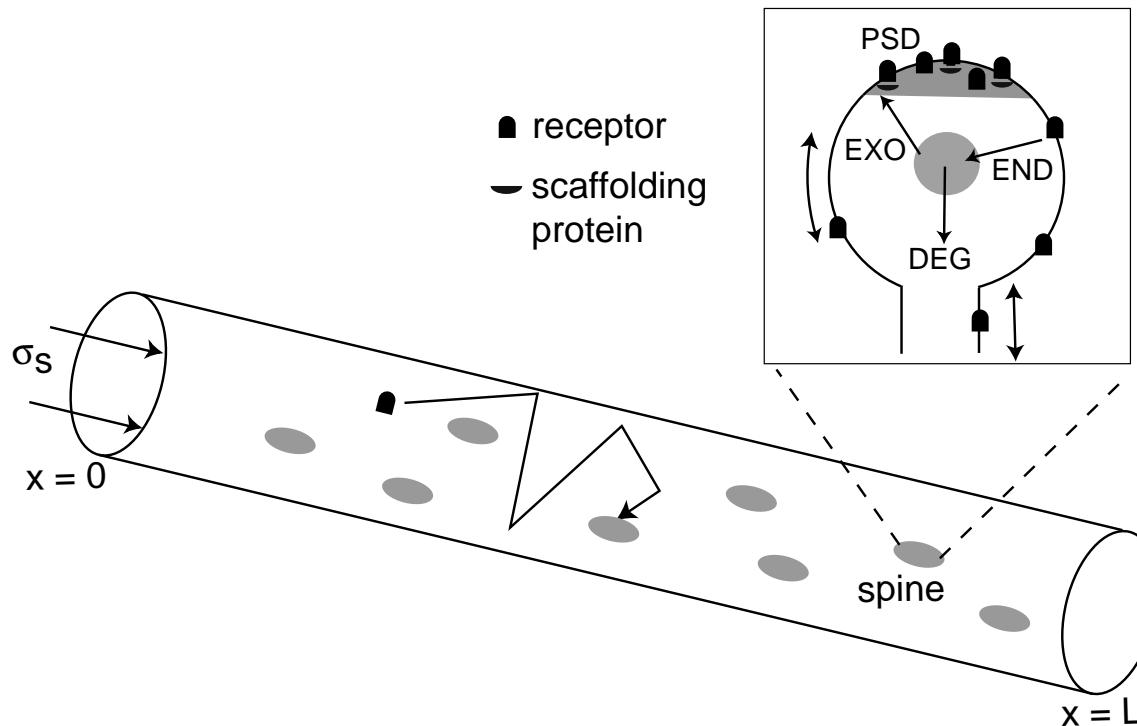
1. AMPA receptor trafficking
2. 2D mathematical model
3. Steady-state analysis
4. 1D continuum model
5. Heterosynaptic consequences of lateral diffusion

# One-dimensional continuum model

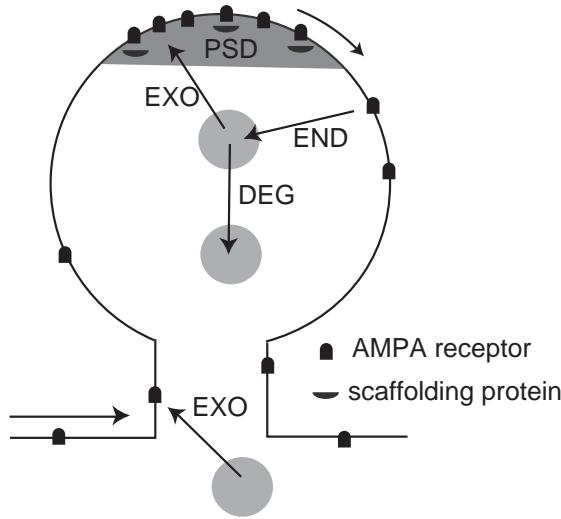
Treat spine distribution as density  $\rho$ :

$$\partial_t U = D \partial_x^2 U - \rho \omega(U - R), \quad (x, t) \in (0, L) \times [0, \infty)$$

$$\partial_x U(0, t) = -\frac{\sigma}{D}, \quad \partial_x U(L, t) = 0$$



# Single-spine model with PSD



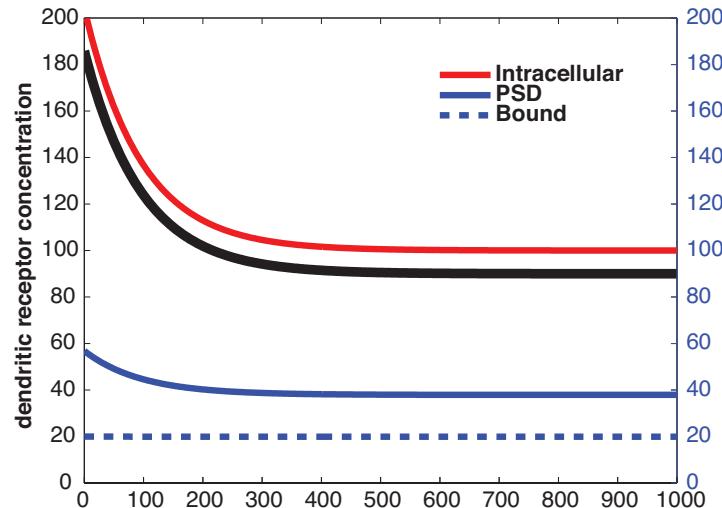
$$\begin{aligned}\frac{dR}{dt} &= \frac{\omega}{A}(U - R) - \frac{k}{A}R - \frac{h}{A}(R - P) \\ \frac{dP}{dt} &= \frac{h}{a}(R - P) - \alpha(Z - Q)P + \beta Q + \frac{\sigma^{rec} S}{a} \\ \frac{dQ}{dt} &= \alpha(Z - Q)P - \beta Q \\ \frac{dS}{dt} &= -\sigma^{rec} S - \sigma^{deg} S + kR + \delta\end{aligned}$$

- $P, Q$  = free, bound receptor concentration in PSD
- $Z$  = concentration of scaffolding proteins
- $\alpha, \beta$  = binding, unbinding rates
- $a$  = surface area of PSD

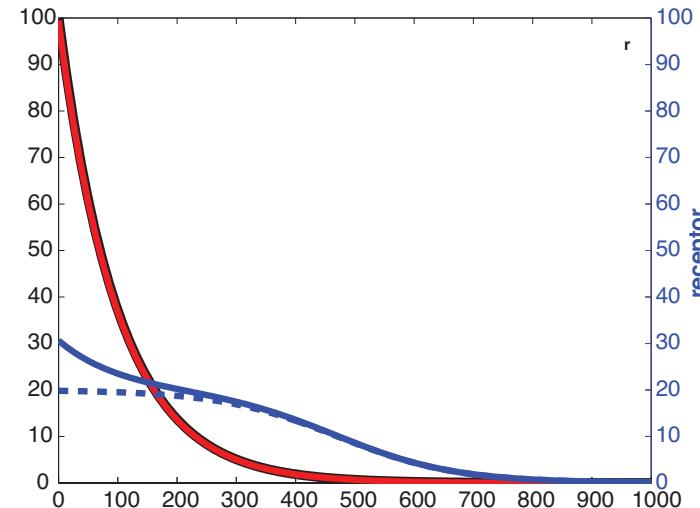
1. AMPA receptor trafficking
2. 2D mathematical model
3. Steady-state analysis
4. 1D continuum model
5. Heterosynaptic consequences of lateral diffusion

# Delivery of synaptic receptors

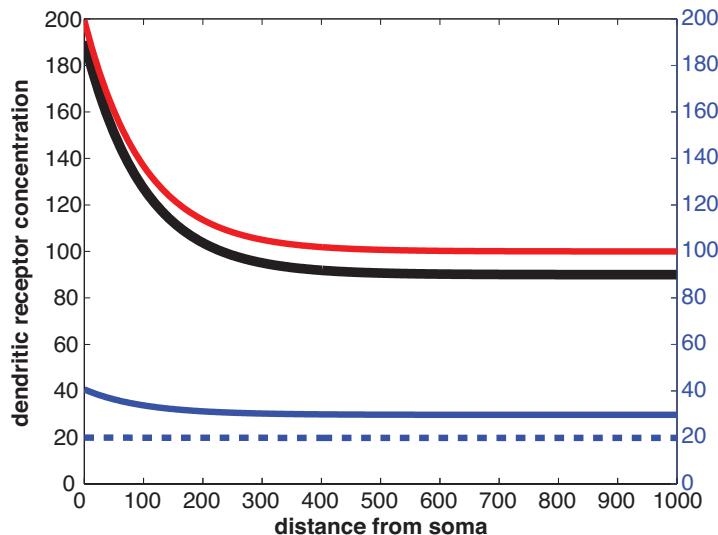
Fast recycling, production



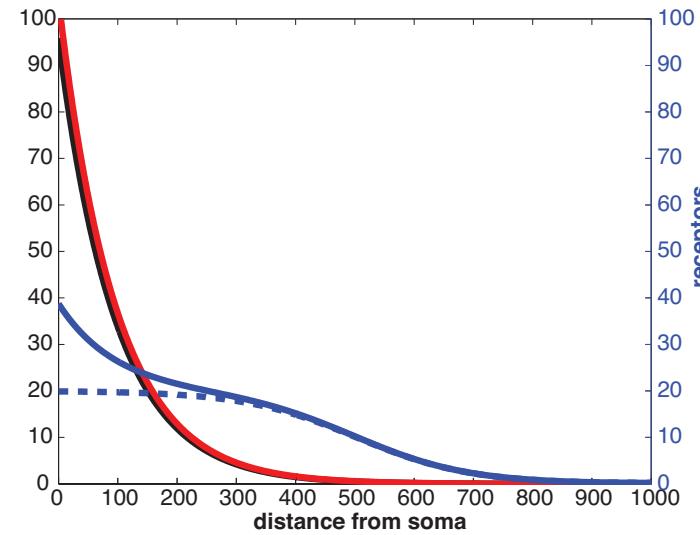
Slow recycling, no production



Slow recycling, production

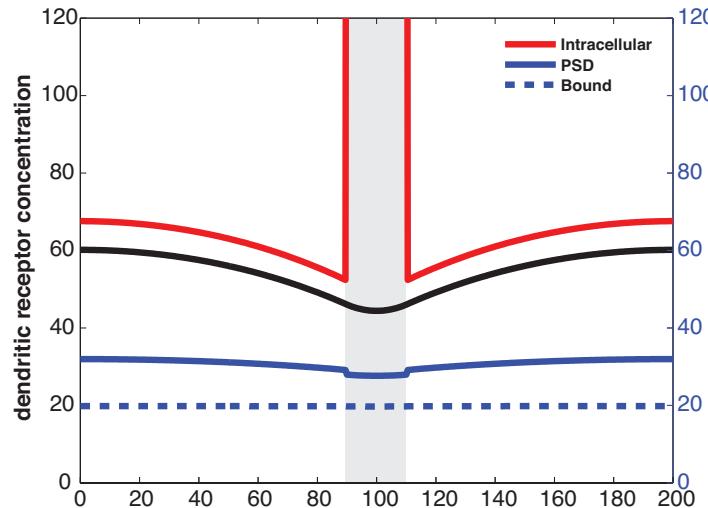


Fast recycling, no production

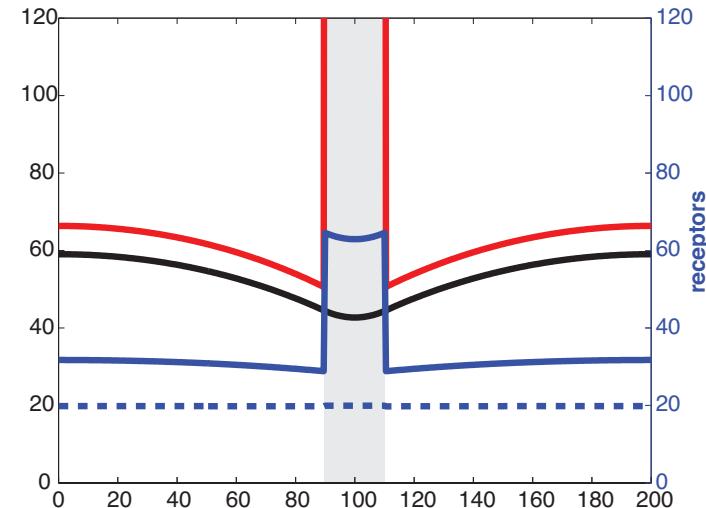


# Heterosynaptic effect of constit. recycling

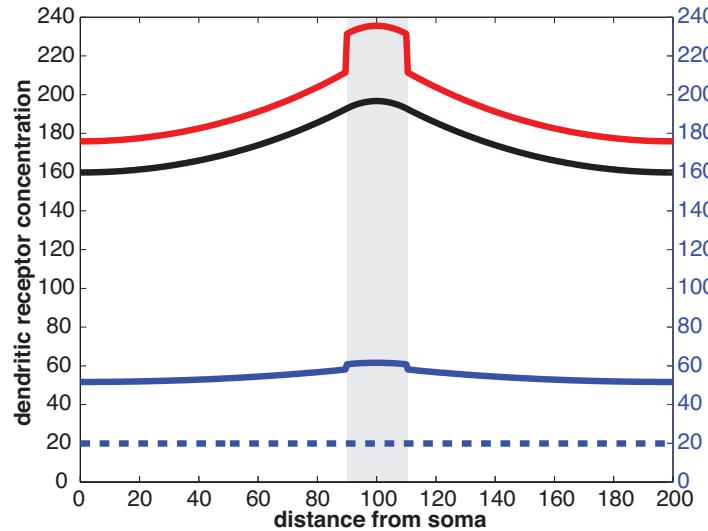
reduced  $\sigma^{rec}$  in gray



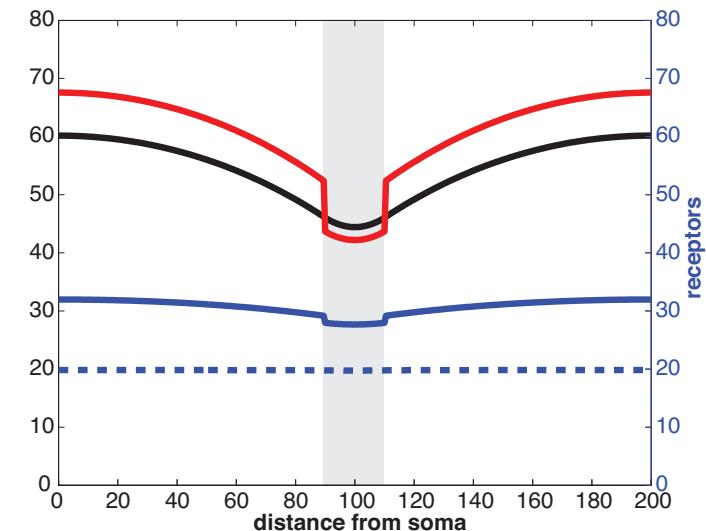
increased  $k$  in gray



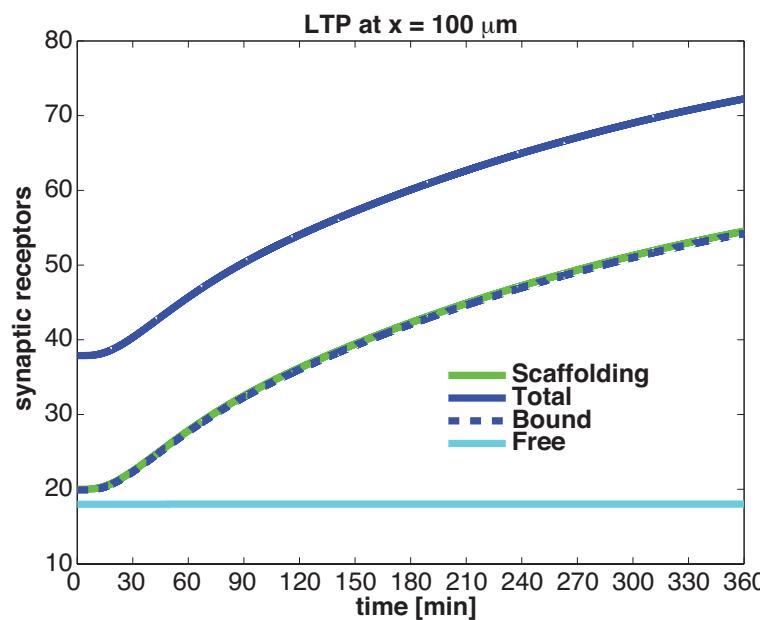
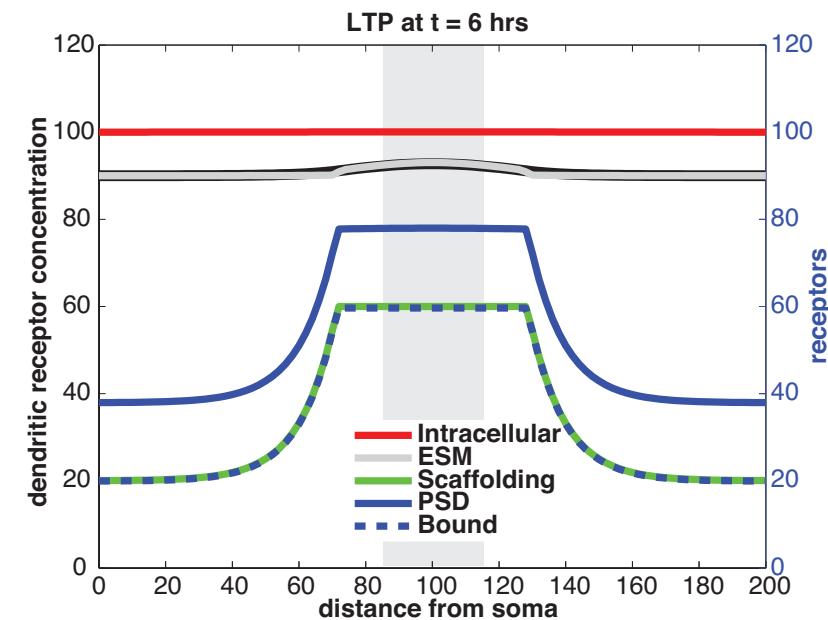
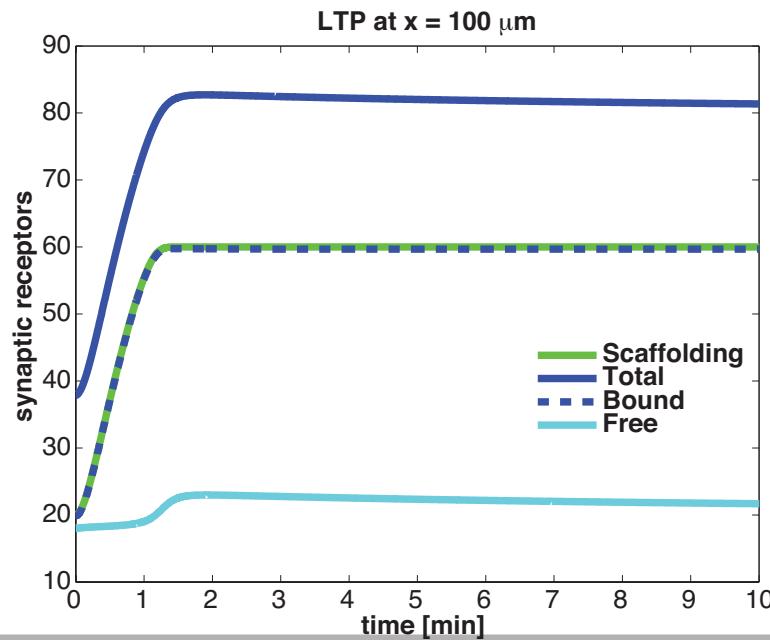
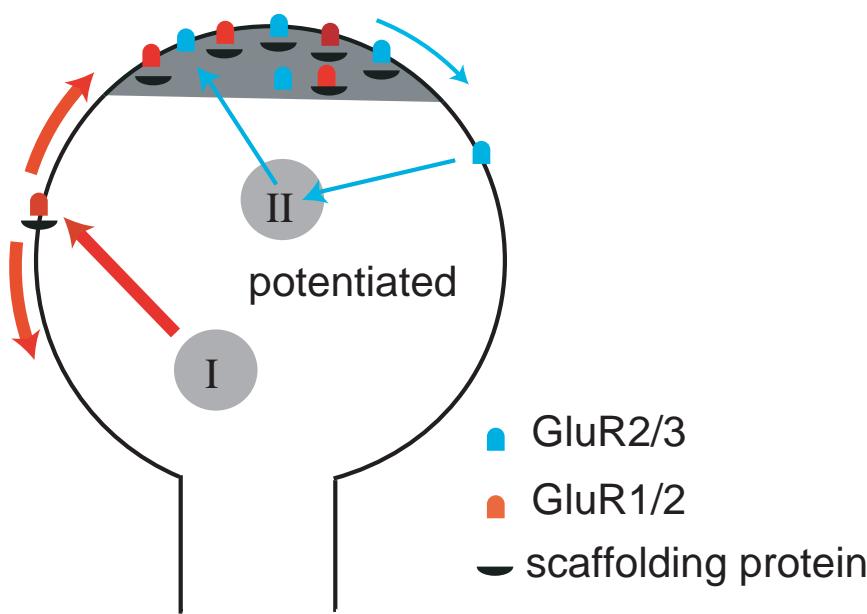
increased  $\delta$  in gray



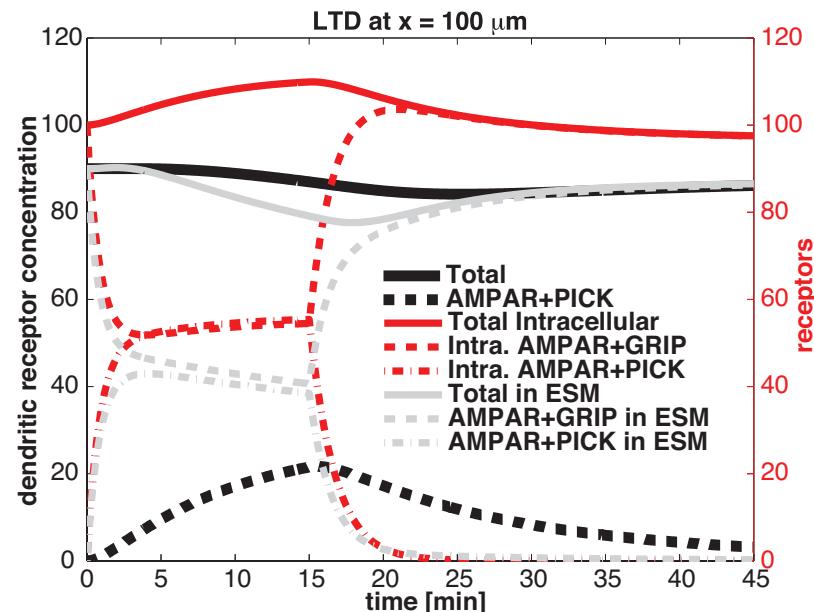
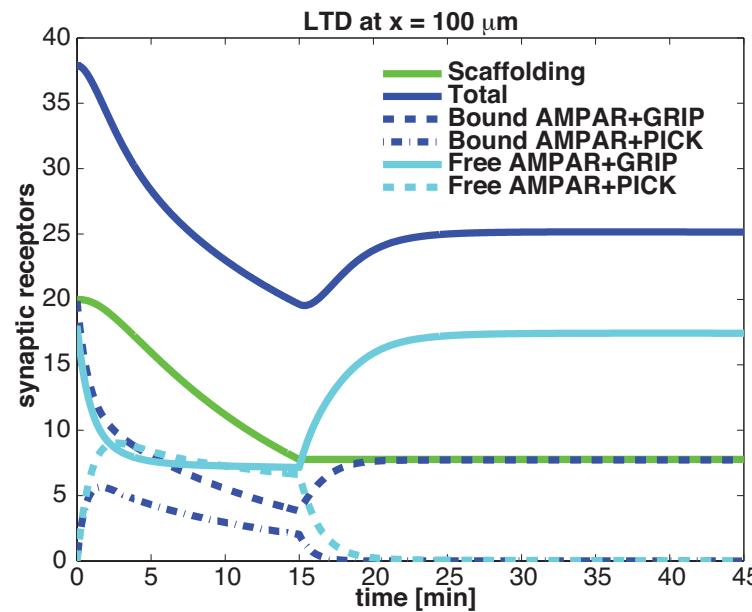
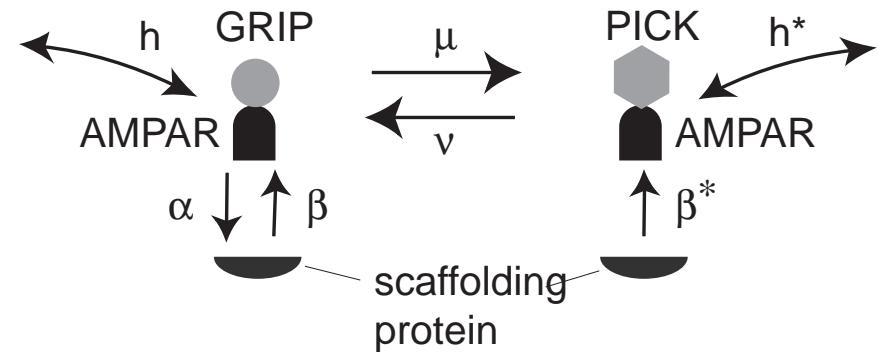
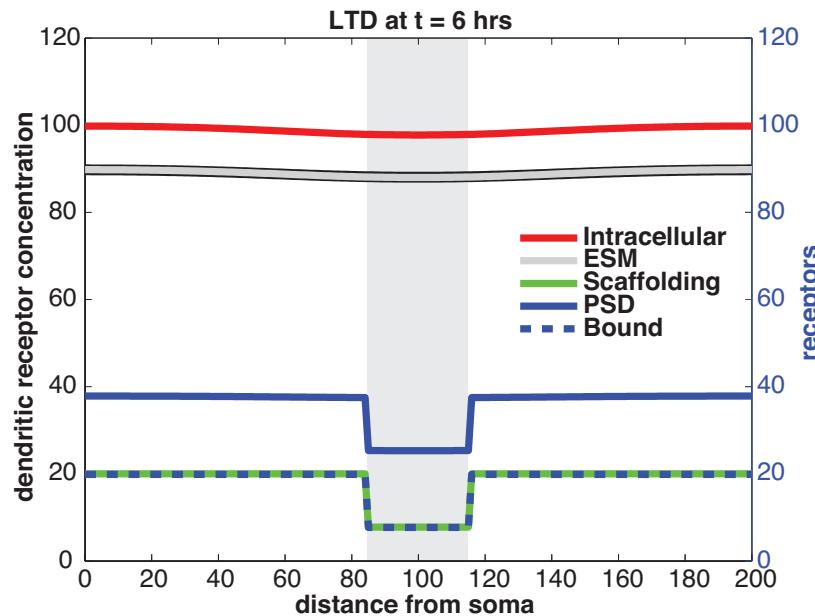
increased  $\sigma^{deg}$  in gray



# Heterosynaptic effect of LTP



# Heterosynaptic effect of LTD



# Conclusions

1. Surface trafficking of AMPA receptors is effectively one-dimensional with spines treated as point sources/sinks (or density if there are enough spines)

# Conclusions

1. Surface trafficking of AMPA receptors is effectively one-dimensional with spines treated as point sources/sinks (or density if there are enough spines)
2. Somatic synthesis + lateral diffusion are not sufficient to supply distal synapses with receptors

# Conclusions

1. Surface trafficking of AMPA receptors is effectively one-dimensional with spines treated as point sources/sinks (or density if there are enough spines)
2. Somatic synthesis + lateral diffusion are not sufficient to supply distal synapses with receptors
3. Local changes to constitutive recycling produce non-local changes in synaptic receptor numbers

# Conclusions

1. Surface trafficking of AMPA receptors is effectively one-dimensional with spines treated as point sources/sinks (or density if there are enough spines)
2. Somatic synthesis + lateral diffusion are not sufficient to supply distal synapses with receptors
3. Local changes to constitutive recycling produce non-local changes in synaptic receptor numbers
4. Lateral diffusion of AMPA receptors not responsible for heterosynaptic LTP/LTD