

**Existence proofs:** To prove a statement of the form  $\exists x \in S, P(x)$ , we give either a constructive or a non-constructive proof. In a constructive proof, one proves the statement by exhibiting a specific  $x \in S$  such that  $P(x)$  is true. In a non-constructive proof, one proves the statement using an indirect proof such as a proof by contradiction. Thus, one might prove that the negation  $\forall x \in S, \sim P(x)$  is false by deriving a contradiction.

**Example of a constructive proof:** Suppose we are to prove

$$\exists n \in \mathbb{N}, n \text{ is equal to the sum of its proper divisors.}$$

*Proof:* Let  $n = 6$ . The proper divisors of 6 are 1, 2, and 3. Since  $1 + 2 + 3 = 6$ , we have proved the statement.

**Exercise 1:** Give another proof of this statement by finding a different example. (Hint: The smallest example larger than 6 happens to be a number between 25 and 30.)

An integer which is equal to the sum of its proper divisors is called a *perfect number*. An open problem is to prove or disprove the following statement: there exists an odd perfect integer.

**Example of a non-constructive proof:** Suppose we are to prove

$$\forall x \in \mathbb{Q} \exists n \in \mathbb{N}, x \leq n.$$

*Proof:* Suppose, by way of contradiction, that there exists an  $x \in \mathbb{Q}$  such that  $x > n$  for every  $n \in \mathbb{N}$ . Since  $1 \in \mathbb{N}$ , we have that  $x > 1$ . Therefore,  $x = a/b$  for some  $a, b \in \mathbb{N}$  such that  $a > b$ . Since  $a \in \mathbb{N}$ ,  $a/b > a$ . This implies that  $1/b > 1$ ; and thus  $1 > b$ , which is a contradiction (since  $b \in \mathbb{N}$ ).

**Exercise 2:** The statement in the previous example can be proved by giving a construction. Give a constructive proof that

$$\forall x \in \mathbb{Q} \exists n \in \mathbb{N}, x \leq n.$$

**Intermediate Value Theorem.** Suppose that  $f(x)$  is a continuous function on an interval  $[a, b]$ . If  $y$  is a real number between  $f(a)$  and  $f(b)$ , then there exists  $c \in (a, b)$  such that  $f(c) = y$ .

**Exercise 3:** Apply the intermediate value theorem to give a non-constructive proof that for every  $y \in [-1, 1]$ , there exists an  $x \in [-\pi/2, \pi/2]$  such that  $\sin x = y$ . You may assume that  $f(x) = \sin x$  is continuous.

**Disproving existence statements:** To disprove a statement of the form  $\exists x \in S, P(x)$ , we prove the negation,  $\forall x \in S, \sim P(x)$ .

**Example of disproving an existence statement:** Suppose we are to disprove that there exists an  $n \in \mathbb{Z}$  such that  $n^2 \equiv 3 \pmod{7}$ .

*Proof:* We prove that for every  $n \in \mathbb{Z}$ ,  $n^2$  is not congruent to 3 modulo 7. Let  $n \in \mathbb{Z}$ . Then  $n = 7k + r$  for some  $k \in \mathbb{Z}$  and some  $r \in \{0, 1, \dots, 6\}$ . Thus,  $n \equiv r$ . We consider the six cases:

$n$	$n^2 \pmod{7}$
0	0
1	1
2	4
3	2
4	2
5	4
6	1

Therefore,  $n^2$  is not congruent to 3 modulo 7.

**Exercise 4** Disprove the following statement: there exists a real solution to the equation  $x^4 - 2x^2 = -2$ .

**Principle of Mathematical Induction:** Let  $n \in \mathbb{N}$  and let  $P(1), P(2), \dots$  be statements. Suppose that

- (1)  $P(1)$  is true, and
- (2)  $\forall n \in \mathbb{N}$ , the implication  $P(n) \implies P(n+1)$  is true.

Then  $P(n)$  is true for all  $n \in \mathbb{N}$ .

**Caution:** Both (1) and (2) must hold.

**Exercise 5** Find the error in the following “proof”:

If  $n+1 < n$ , then by adding 1 to both sides,  $n+2 < n+1$ . Therefore, by mathematical induction,  $n+1 < n$  for every  $n \in \mathbb{N}$ .

**Exercise 6** Find the error in the following “proof”:

Let  $n = 1$ . Then  $n < 100$ . Now let  $n \in \mathbb{N}$ . Suppose that  $n - 1 < 100$ . Since  $n$  is an integer, we have that  $n - 1 \leq 99$ . Therefore,  $n \leq 100$ . Hence, by mathematical induction,  $\forall n \in \mathbb{N}, n \leq 100$ .

**Example of Proof by Mathematical Induction:** Let  $a \in \mathbb{R}$  and let  $b_1, b_2, \dots, b_n \in \mathbb{R}$ . We will prove that

$$a(b_1 + b_2 + \dots + b_n) = ab_1 + ab_2 + \dots + ab_n.$$

*Proof:* Let  $n = 1$ . Then  $a(b_1) = ab_1$  is true. Let  $n = 2$ . Then  $a(b_1 + b_2) = ab_1 + ab_2$  by the distributive property:

$$\forall x, y, z \in \mathbb{R}, x(y + z) = xy + xz.$$

Assume that  $a(b_1 + b_2 + \cdots + b_n) = ab_1 + ab_2 + \cdots + ab_n$ . (This is called the **inductive hypothesis**.) Then,

$$a(b_1 + b_2 + \cdots + b_{n+1}) = a(b_1 + b_2 + \cdots + b_n) + ab_{n+1}$$

by the distributive property (using  $b_1 + b_2 + \cdots + b_n$  in the role of  $y$ ). By the inductive hypothesis,

$$a(b_1 + b_2 + \cdots + b_n) + ab_{n+1} = ab_1 + ab_2 + \cdots + ab_n + ab_{n+1}.$$

Therefore, by the principle of mathematical induction,  $\forall n \in \mathbb{N}$ ,  $a(b_1 + b_2 + \cdots + b_n) = ab_1 + ab_2 + \cdots + ab_n$ .

**Comments on the Proof:** We verified  $n = 1$  separately as this was an exceptional case. We verified  $n = 2$  using the distributive property. This method also worked to prove the implication  $P(n) \implies P(n+1)$ . This situation is not uncommon. Sometimes a statement is not true for the first positive integers or these statements might be true but for exceptional reasons. The Principle of Mathematical Induction applies if you have a sequence of statements  $P(n)$  for all  $n$  greater than or equal to some  $k \in \mathbb{Z}$  (possibly a negative integer). Refer to section 6.2 for additional discussion.

**Exercise 7** Let  $x$  and  $y$  be nonnegative real numbers such that  $x \leq y$ . Use mathematical induction to prove that

$$\forall n \in \mathbb{N}, x^n \leq y^n.$$

**Exercise 8** Use induction to prove that for every positive integer  $n$ ,  $n! \leq n^n$ .

### Additional Exercises:

1. Prove that there exists an irrational number in the interval  $(10^{-2014}, 10^{-2013})$ .
2. Generalize the previous exercise: prove that every nonempty open interval contains an irrational number.

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*Please answer each question in the space provided. Use complete sentences and correct mathematical notation to write your answers. You have 20 minutes to complete this quiz.*

1. (5 points) Use induction to prove that for each  $n \in \mathbb{N}$ ,

$$1 + 2 + \dots + n = \frac{n(n+1)}{2}.$$

2. (5 points) Prove or disprove the statement: “The number  $1 + n + n^2$  is odd for every integer  $n$ .”

3. (5 points) Let  $x \in \mathbb{R}$  and assume that  $x \neq 1$ . Use induction to prove that for each nonnegative integer  $n$ ,

$$1 + x + x^2 + \cdots + x^n = \frac{1 - x^{n+1}}{1 - x}.$$

You must use induction to receive full credit.