## Pattern-avoiding polytopes and Bruhat orders I

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Introduction to polytopes

Pattern-avoiding Birkhoff polytopes and weak Bruhat order

The dimension of  $B_n(132, 312)$ 

A *polytope* is the convex hull of (smallest convex body containing) a set of points  $v_1, \ldots, v_k \in \mathbb{R}^n$ , written

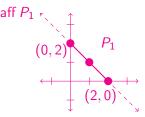
$$P = \operatorname{conv}\{v_1,\ldots,v_k\}.$$

All our polytopes will be *integral*, meaning  $v_1, \ldots, v_k \in \mathbb{Z}^n$ .

(1) Dimension. The affine span of P, aff P, is the smallest affine subspace containing P. The dimension of P is

 $\dim P = \dim \operatorname{aff} P.$ 

**Ex.** If  $v_1 = (2,0)$  and  $v_2 = (0,2)$  then  $P_1 = \operatorname{conv}\{v_1, v_2\}$  is



So dim  $P_1 = 1$ .

(2) Volume. The *(relative) volume* of polytope *P* is

vol P = volume with respect to the lattice  $\mathbb{Z}^n \cap \operatorname{aff} P$ .

A simplex is  $\Sigma = \operatorname{conv}\{v_1, \ldots, v_{k+1}\}$  with dim  $\Sigma = k$ . Call  $\Sigma$ unimodular if vol  $\Sigma$  is minimum with respect to  $\mathbb{Z}^n \cap \operatorname{aff} \Sigma$ . A unimodular simplex has volume vol  $\Sigma = 1/(\dim \Sigma)$ ! The normalized volume of polytope P is

 $Vol P = (\dim P)! vol P.$ 

**Ex.** Let  $P_1$  be as before and  $P_2 = \text{conv}\{(0,0), (1,0), (0,1)\}$ .



So vol  $P_1 = 2$ , and vol  $P_2 = 1/2$ . Both  $P_i$  are simplices with  $P_2$  unimodular and  $P_1$  not. Also Vol  $P_1 = 2$  and Vol  $P_2 = 1$ .

(3)  $h^*$ -polynomials. The *mth dilate* of polytope *P* is

$$mP = \{mv \mid v \in P\}.$$

The Ehrhart polynomial of P is

$$\mathcal{L}_P(m) = |mP \cap \mathbb{Z}^n|.$$

Theorem (Ehrhart-Stanley)

If P is integral then  $\mathcal{L}_P(m)$  is a polynomial in m and for some d

$$\sum_{m \ge 0} \mathcal{L}_{P}(m) t^{m} = \frac{\sum_{j=0}^{d} h_{j}^{*} t^{j}}{(1-t)^{\dim P+1}}$$

where  $\sum_{j} h_{j}^{*} t^{j} \in \mathbb{Z}_{\geq 0}[t]$  is called the  $h^{*}$ -polynomial of P,  $h^{*}(P; t)$ . **Ex.** Let  $P = \text{conv}\{(0,0), (1,0), (0,1), (1,1)\}.$ 





So  $\mathcal{L}_{P}(m) = (m+1)^{2}$ .

Let  $\mathfrak{S}_n$  be the *n*th symmetric group. If  $\sigma = \sigma_1 \dots \sigma_n \in \mathfrak{S}_n$  and  $\pi = \pi_1 \dots \pi_k \in \mathfrak{S}_k$  then  $\sigma$  contains the pattern  $\pi$  if there is a subsequence of  $\sigma$  order isomorphic to  $\pi$ . Otherwise  $\sigma$  avoids  $\pi$ . **Ex.**  $\sigma = 2415376$  contains  $\pi = 312$  because of the subsequence 413 but avoids  $\pi = 321$  since it has no subsequence  $s_i > s_j > s_k$ . For any set of permutations  $\Pi$ , let

$$\operatorname{Av}_n(\Pi) = \{ \sigma \in \mathfrak{S}_n \mid \sigma \text{ avoids every } \pi \in \Pi \}.$$

If  $M_{\sigma}$  is the permutation matrix of  $\sigma$  then the *Birkhoff polytope* is

$$B_n = \operatorname{conv} \{ M_\sigma \mid \sigma \in \mathfrak{S}_n \} \subseteq \mathbb{R}^{n \times n}$$

(1) dim  $B_n = (n-1)^2$ , (2) vol  $B_n$  has only been calculated for  $n \le 10$ , (3)  $h^*(B_n; t)$  is symmetric and unimodal. Define the  $\Pi$ -avoiding Birkhoff polytope by

$$B_n(\Pi) = \operatorname{conv}\{M_\sigma \mid \sigma \in \operatorname{Av}_n(\Pi)\} \subseteq B_n.$$

Here we study  $B_n(132, 312)$ ; other  $\Pi$  are in our paper.

Let  $Q_n(132, 312)$  be Av<sub>n</sub>(132, 312) partially orderd by weak Bruhat order, that is, we have a cover  $\pi \leq \sigma$  if for some *i*,

$$\sigma = \pi(i, i+1)$$
 where  $\pi_i < \pi_{i+1}$ .

## 

Let M(n) be the poset of shifted Young diagrams contained in  $(n, \ldots, 2, 1)$  ordered by inclusion.

Proposition

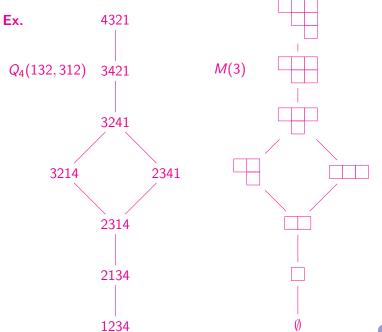
For all n we have

 $Q_n(132, 312) \cong M(n-1).$ 

**Proof sketch.** The map  $\phi : Q_n(132, 312) \rightarrow M(n-1)$  given by

$$\phi(\sigma) = \operatorname{Des} \sigma$$

is an isomorphism where  $Des \sigma$  is the descent set of  $\sigma$ .



Let  $\Delta(Q_n(132, 312))$  be the order complex of all chains  $\Gamma$  in  $Q_n(132, 312)$ . Since  $Q_n(132, 312) \cong M(n-1)$  which is a distributive lattice,  $\Delta(Q_n(132, 312))$  is shellable. Consider the map  $f : \Delta(Q_n(132, 312)) \to B_n(132, 312)$  defined by

$$f(\sigma_1 < \cdots < \sigma_k) = \operatorname{conv}\{M_{\sigma_1}, \ldots, M_{\sigma_k}\}.$$

Proposition

 $\mathcal{T}_n(132, 312) = \{ f(\Gamma) \mid \Gamma \in \Delta(Q_n(132, 312)) \}$ 

## is a set of unimodular simplices in $B_n(132, 312)$ .

**Proof sketch.** Induct on maximal chains using the shelling order.□

From the previous result, for  $\Gamma$  a maximal chain in  $Q_n(132, 312)$ ,

dim 
$$B_n(132, 312) \ge \dim \Gamma = |(n-1, \dots, 2, 1)| = \binom{n}{2}.$$

Theorem

dim 
$$B_n(132, 312) = \binom{n}{2}$$
.

THANKS FOR LISTENING!

AND PLEASE STAY FOR THE NEXT TALK!