Partially Ordered Sets and their Möbius Functions IV: Factoring the Characteristic Polynomial

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> > June 2, 2014

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Motivating Examples

Quotient Posets

The Standard Equivalence Relation

The Main Theorem

Partitions Induced by Chains

Application: Increasing Forests

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Outline

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This work is joint with Joshua Hallam. All posets will be ranked. Many ranked posets have characteristic polynomials whose roots are nonnegative integers. Why? Answers have been given by Saito and Terao, Stanley, Zaslavsky, Blass and S, as well as others.

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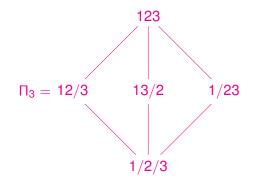
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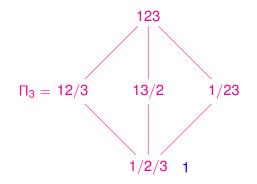
$$\chi(B_n) = \chi(C_1^n) = \chi(C_1)^n = (t-1)^n$$
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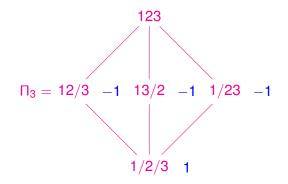
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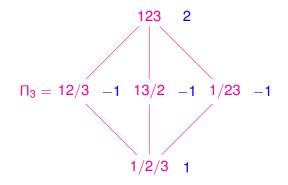


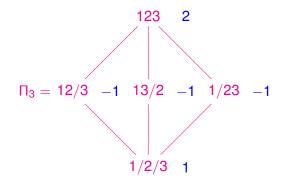






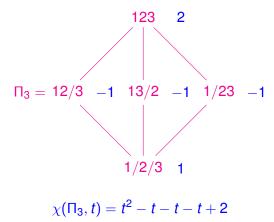






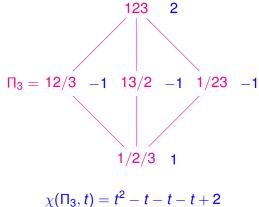
 $\chi(\Pi_3, t) = t^2 - t - t - t + 2$

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 $= t^2 - 3t + 2$

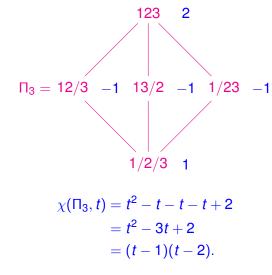
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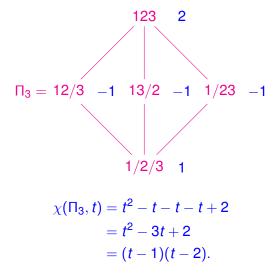
$$= t^{2} - 3t + 2$$

= $(t - 1)(t - 2)$.

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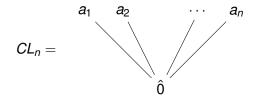
Theorem $\chi(\Pi_n, t) = (t - 1)(t - 2) \cdots (t - n + 1).$



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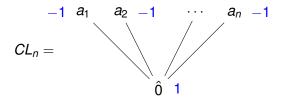
Theorem $\chi(\Pi_n, t) = (t - 1)(t - 2) \cdots (t - n + 1).$ But Π_n is not a product of smaller posets. The *claw*, *CL_n*, consists of a $\hat{0}$ together with *n* atoms.

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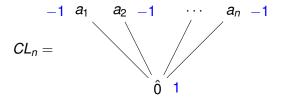
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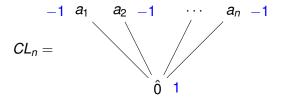


Thus

 $\chi(CL_n)=t-n.$

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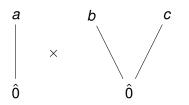
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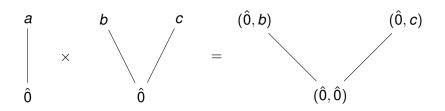
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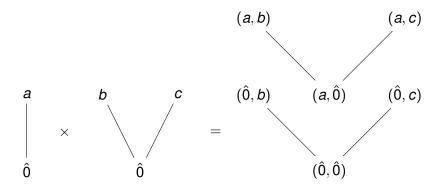
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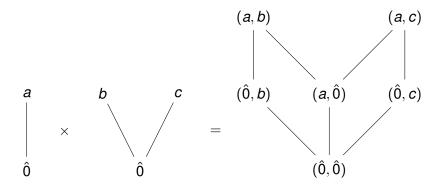
So the characteristic polynomial of CL_n can give us any positive integer root as *n* varies.

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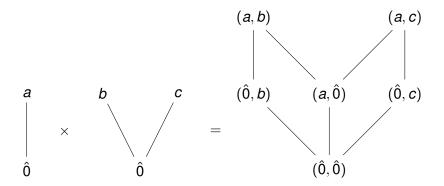








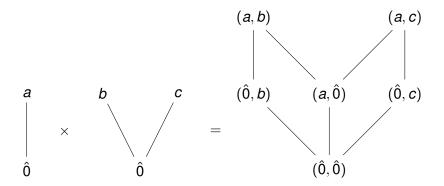
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We have

 χ (*CL*₁ × *CL*₂)

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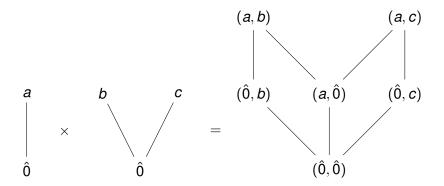


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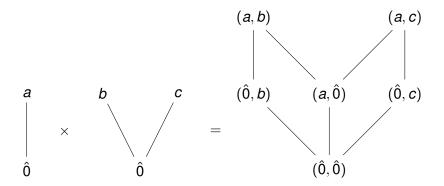
We have

$$\chi(CL_1 \times CL_2) = \chi(CL_1)\chi(CL_2)$$



We have

$$\chi(CL_1 \times CL_2) = \chi(CL_1)\chi(CL_2) = (t-1)(t-2)$$



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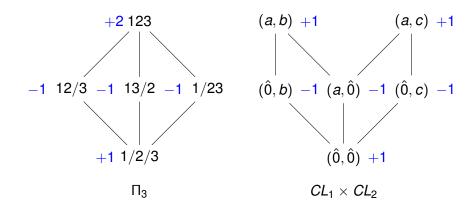
 $\chi(CL_1 \times CL_2) = \chi(CL_1)\chi(CL_2) = (t-1)(t-2) = \chi(\Pi_3).$

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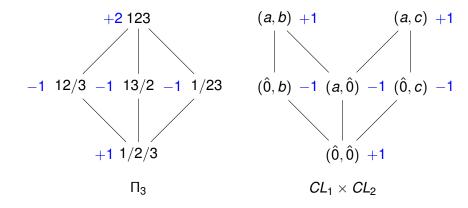
Clearly Π_3 and $CL_1 \times CL_2$ are not isomorphic.

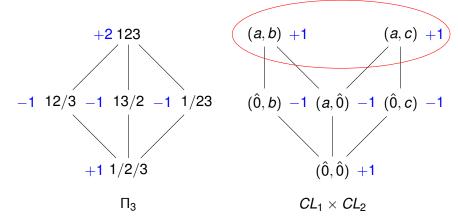
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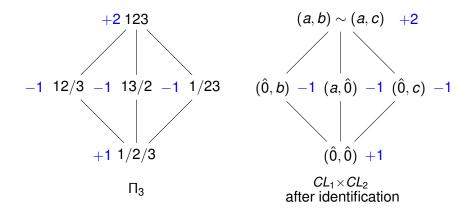


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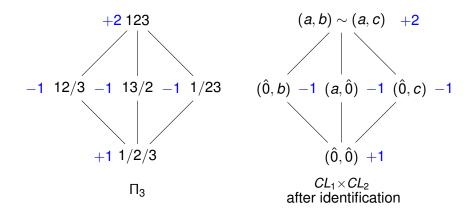




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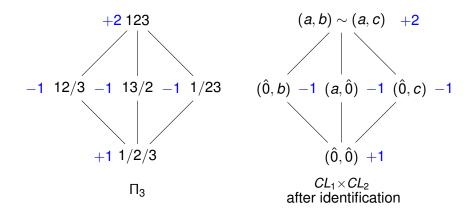


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Note that the Möbius values of (a, b) and (a, c) added to give the Möbius value of $(a, b) \sim (a, c)$. So $\chi(CL_1 \times CL_2)$ did not change after the identification since characteristic polynomials only record the sums of the Möbius values at each rank.

Suppose *P* is a ranked poset and we wish to prove

$$\chi(P) = (t - r_1) \dots (t - r_n)$$

where r_1, \ldots, r_n are positive integers.



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2. Identify elements of Q to form a poset Q/\sim

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where r_1, \ldots, r_n are positive integers.

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Let *P* be a poset and let \sim be an equivalence relation on *P*. We say the quotient P/\sim is a *homogeneous quotient* if (1) $\hat{0}$ is in an equivalence class by itself, and

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If some inequality is an equality, then we have a common element of *X* and *Y* which implies X = Y. If all are strict, then we would have an infinite chain in *P*. But this contradicts the fact that *P* is finite, so this case can not happen.

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How do we determine a suitable equivalence relation?

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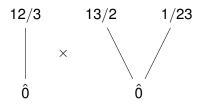
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Let us revisit Π_3 .

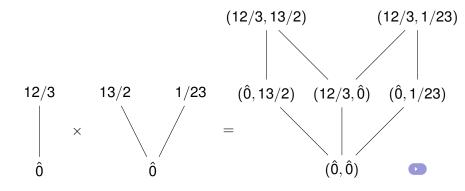
Let us revisit Π_3 . Label the atoms of $CL_1 \times CL_2$ with atoms from Π_3 as follows:

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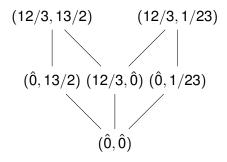


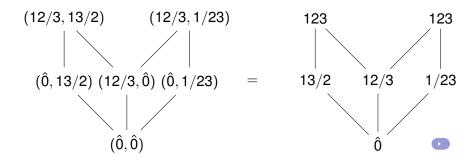
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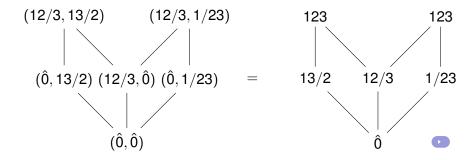
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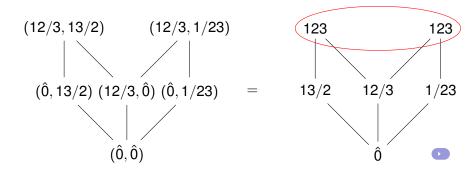
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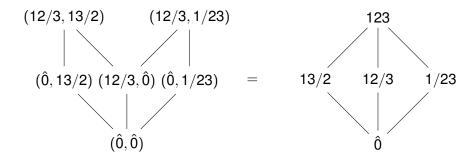
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Finally, identify elements with the same label to obtain the same quotient we did before.



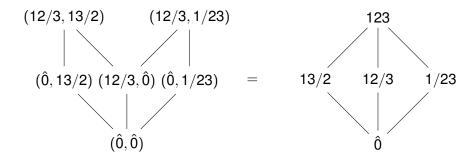
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Finally, identify elements with the same label to obtain the same quotient we did before. Not only is the quotient isomorphic to Π_3 , it even has the same labeling.

An ordered partition of a set A is a sequence of subsets (A_1, \ldots, A_n) with $\uplus_i A_i = A$.

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Ex. $(A_1, A_2) \vdash \mathcal{A}(\Pi_3)$ where $A_1 = \{12/3\}, A_2 = \{13/2, 1/23\}.$

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Outline

Motivating Examples

Quotient Posets

The Standard Equivalence Relation

The Main Theorem

Partitions Induced by Chains

Application: Increasing Forests

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We need a condition on the standard equivalence relation which will make sure that the quotient is homogeneous and ranked.

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Condition (1) is used to prove that the map $(Q/\sim) \rightarrow L$ by $\mathcal{T}_x^a \mapsto x$ is surjective.

Corollary $\chi(\Pi_n; t) = (t-1)(t-2)\dots(t-n+1).$

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Proof. If i < j let $\{i, j\}$ be the atom of \prod_n having this set as its unique non-singleton block.

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$$(\{1,2\},\{2,3\},\ldots,\{n-1,n\})\in \mathcal{T}_{\hat{1}}^{a}.$$

(2) • With any $\mathbf{t} \in Q$, associate a graph $G_{\mathbf{t}}$ with V = [n] and

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Outline

Motivating Examples

Quotient Posets

The Standard Equivalence Relation

The Main Theorem

Partitions Induced by Chains

Application: Increasing Forests

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How do we find an appropriate atom partition?

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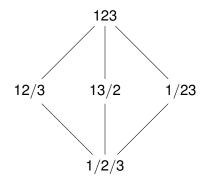
 $A_i = \{a \in \mathcal{A}(L) : a \leq x_i \text{ and } a \not\leq x_{i-1}\}.$

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In Π_3 , the partition with $A_1 = \{12/3\}$ and $A_2 = \{13/2, 1/23\}$ is induced by the chain C : 1/2/3 < 12/3 < 123.

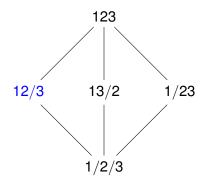
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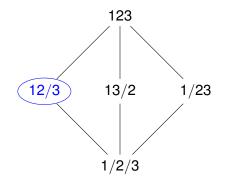
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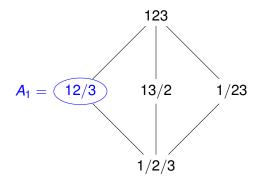
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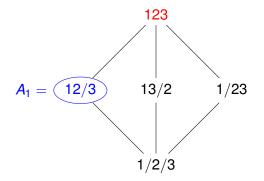
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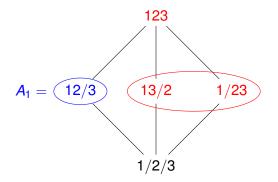
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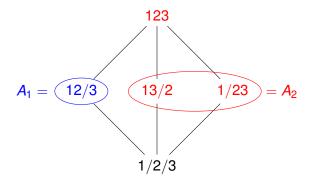
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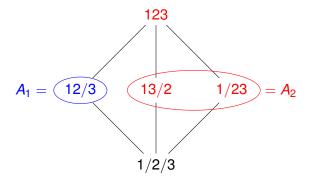
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In Π_n , our partition is induced by $\hat{0} < [2] < [3] < \cdots < \hat{1}$ where [*i*] is the partition having this set as its only non-trivial block

Let L be a lattice and
$$C : \hat{0} = x_0 < x_1 < x_2 < \cdots < x_n = \hat{1}$$
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Let *L* be a lattice and $C : \hat{0} = x_0 < x_1 < x_2 < \cdots < x_n = \hat{1}$. For $x \in L$ let *i* be the index with $x \leq x_i$ and $x \leq x_{i-1}$.

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$$x \wedge x_{i-1} \neq \hat{0}.$$

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- 1. For each $x \neq \hat{0}$ in L, there is i such that $|A_x \cap A_i| = 1$.
- 2. Chain C satisfies the meet condition.
- 3. The characteristic polynomial of L factors as

$$\chi(L,t) = t^{\rho(L)-n} \prod_{i=1}^{n} (t - |A_i|).$$

Any lattice *L* satisfies: for all $x, y, z \in L$ with y < z $y \lor (x \land z) \le (y \lor x) \land z$ (modular inequality). (2)

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Corollary (Stanley, 1972)

Let L be a semimodular, supersolvable lattice and (A_1, \ldots, A_n) be induced by a saturated chain of left-modular elements. Then

$$\chi(L;t) = \prod_{i=1}^{n} (t - |A_i|).$$

Outline

Motivating Examples

Quotient Posets

The Standard Equivalence Relation

The Main Theorem

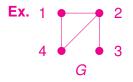
Partitions Induced by Chains

Application: Increasing Forests

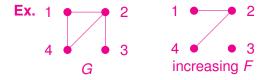
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Let *G* be a graph with V = [n] and *F* be a spanning forest.

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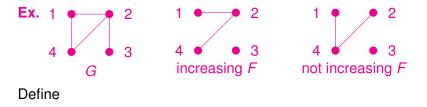






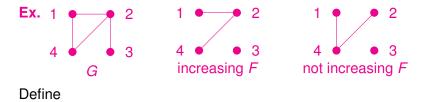


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 $f_k(G) = #$ of increasing spanning forests of G with k edges.

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and

$$IF(G; t) = \sum_{k=0}^{n-1} (-1)^k f_k(G) t^{n-k}.$$

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Always write $ij = \{i, j\} \in E(G)$ with i < j.

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 $E_j = \{\{i, j+1\} : \{i, j+1\} \in E(G)\}.$



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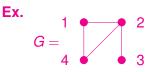
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has partition

 E_1

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has partition

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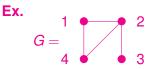


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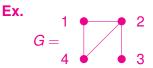
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Theorem (Hallam-S) Let *G* have V = [n] inducing partition $(E_1, ..., E_{n-1})$. Then

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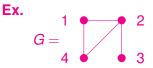
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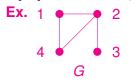
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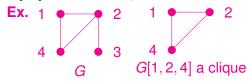
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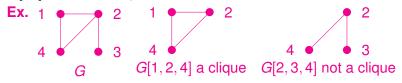
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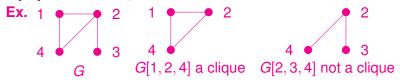




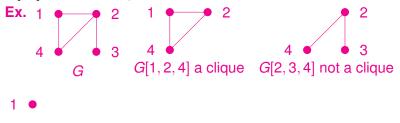


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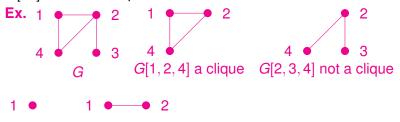
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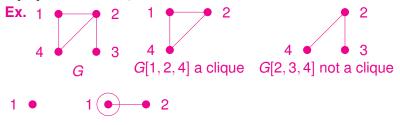
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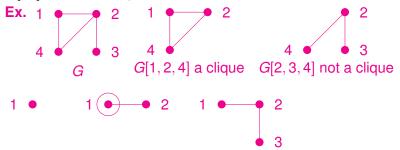
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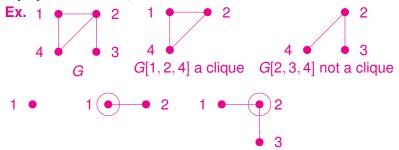
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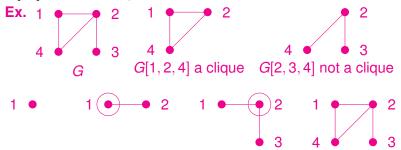
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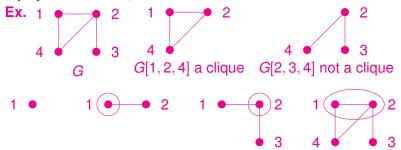


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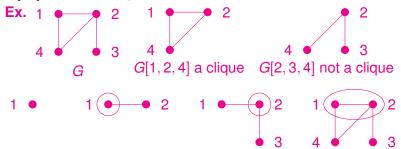
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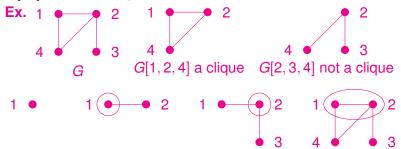
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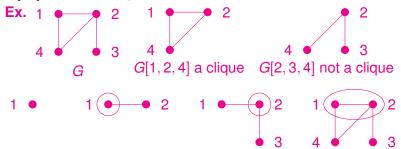
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Theorem (Hallam-S)

Let G be a graph with V = [n]. Then p(G; t) = IF(G; t) if and only if $1, \ldots, n$ is a peo of G.