

# Quasisymmetric Macdonald Polynomials

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MSU Combinatorics and Graph Theory Seminar  
February 24, 2021

# Outline

**GOAL:** Introduce a quasisymmetric analogue of Macdonald Polynomials

Symmetric Functions, Quasisymmetric Functions, and Polynomials

Macdonald Polynomials and Nonsymmetric Macdonald Polynomials

Asymmetric Simple Exclusion Process and Multiline Queues

A Compact Formula for Macdonald Polynomials

Quasisymmetric Macdonald Polynomials

# Sym, QSym and Polynomials

**Polynomials** Sums of monomials (no restrictions)

- ★ Bases indexed by weak compositions

$$x^{102} = x_1 x_3^2$$

**QSym** Shifting nonzero exponents fixes polynomial

- ★ Bases indexed by strong compositions

$$M_{12} = x_1 x_2^2 + x_1 x_3^2 + x_2 x_3^2$$

**Sym** Permuting indices fixes polynomial ( $s_i(f) = f$ )

- ★ Bases indexed by partitions

$$m_{21} = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + x_1 x_2^2 + x_1 x_3^2 + x_2 x_3^2$$

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$$= x_1^2 x_2 \textcolor{red}{x}_3^0 + x_1^2 \textcolor{red}{x}_2^0 x_3^1 + \textcolor{red}{x}_1^0 x_2^2 x_3^1 + x_1^1 x_2^2 \textcolor{red}{x}_3^0 + x_1^1 \textcolor{red}{x}_2^0 x_3^2 + \textcolor{red}{x}_1^0 x_2^1 x_3^2$$

# Bases for Symmetric Functions

- ▶ Monomial:  $m_\lambda(x) = \sum_{\text{dec}(\gamma)=\lambda} x^\gamma$

$$m_{21}(x_1, x_2, x_3) = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + x_1 x_2^2 + x_1 x_3^2 + x_2 x_3^2$$

- ▶ Elementary:  $e_r(x) = m_{(1^r)}(x), \quad e_\lambda = e_{\lambda_1} e_{\lambda_2} \cdots e_{\lambda_k}$

$$e_{21}(x_1, x_2, x_3) = (x_1 x_2 + x_1 x_3 + x_2 x_3)(x_1 + x_2 + x_3)$$

- ▶ Homogeneous:  $h_r(x) = \sum_{|\lambda|=r} m_\lambda, \quad h_\lambda = h_{\lambda_1} h_{\lambda_2} \cdots h_{\lambda_k}$

$$h_{21}(x_1, x_2, x_3) = (m_2 + m_{11})(m_1)$$

- ▶ Power Sum:  $p_r = m_r, \quad p_\lambda = p_{\lambda_1} p_{\lambda_2} \cdots p_{\lambda_k}$

$$p_{21}(x_1, x_2, x_3) = (x_1^2 + x_2^2 + x_3^2)(x_1 + x_2 + x_3)$$

# Schur functions

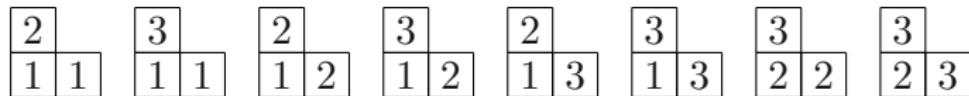
## Definition

$$s_\lambda(x_1, \dots, x_n) = \sum_{T \in SSYT(\lambda)} x^T,$$

where  $SSYT(\lambda)$  is the set of all SSYT of shape  $\lambda$ , meaning row entries weakly increase left to right and column entries strictly increase bottom to top (French notation).

## Example

$$s_{2,1}(x_1, x_2, x_3) =$$



$$x_1^2 x_2 + x_1^2 x_3 + x_1 x_2^2 + 2x_1 x_2 x_3 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2$$

# Schur functions...

- ▶ form a basis for all symmetric functions.
- ▶ are closely related to other symmetric function bases.

$$e_r = s_{(1^r)}, \quad h_r = s_r$$

- ▶ correspond to characters of irr reps of  $GL_n$ .
- ▶ describe the cohomology of the Grassmannian.
- ▶ have many nice combinatorial properties.
- ▶ generalize to Macdonald polynomials ( $P_\lambda(X; q, t)$ ).

# Analogues of Schur functions in QSym and the Polynomial Ring

Fundamental  
Slides

Quasikeys

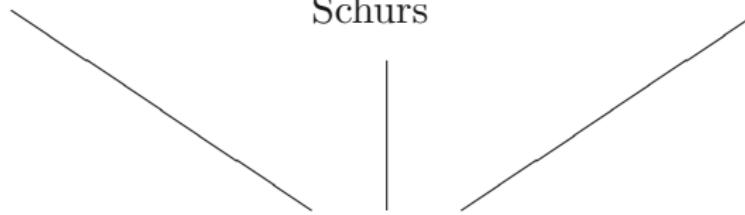
D.I. Slides

Fundamentals

Quasisymmetric  
Schurs

Dual Immaculates

Schur Functions



# Macdonald Polynomials (I.G. Macdonald, 1988)

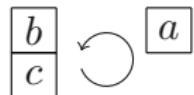
Triangularity:  $P_\lambda(X; q, t) = m_\lambda + \sum_{\mu < \lambda} c_{\lambda,\mu}(q, t)m_\mu$

Orthogonality:  $\langle P_\lambda, P_\mu \rangle_{q,t} = 0 \iff \lambda \neq \mu$

- ▶ Unique eigenfunction of a certain divided difference operator
- ▶ Contain other symmetric function bases as special cases
- ▶ Generalize Jack symmetric functions, Hall-Littlewood polynomials

# HHL Formula for Modified Macdonald Polynomials

Inversion Triples



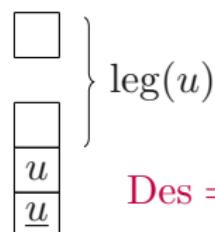
$$a < b \leq c$$

$$c < a < b$$

$$b \leq c < a$$

$$\text{inv}(\sigma) = \# \text{ inversion triples}$$

Descents



$$\text{Des} = \{u | \sigma(u) > \sigma(\underline{u})\}$$

$$\text{maj}(\sigma) = \sum_{u \in \text{Des}(\sigma)} (\text{leg}(u) + 1)$$

Example

$$\sigma = \begin{array}{|c|c|c|} \hline 5 & 4 & 6 \\ \hline 2 & 1 & 6 \\ \hline 1 & 4 & 5 & 4 \\ \hline \infty & \infty & \infty & \infty \\ \hline \end{array}$$

$$\text{inv}(\sigma) = 3$$

$$\text{maj}(\sigma) = 6$$

$$x^\sigma = x_1^2 x_2 x_4^3 x_5^2 x_6^2$$

# HHL Formula for Modified Macdonald Polynomials

Theorem (Haglund-Haiman-Loehr, 2004)

$$\tilde{H}_\lambda(X; q, t) = \sum_{\sigma: \text{dg}(\lambda) \rightarrow \mathbb{Z}^+} x^\sigma q^{\text{inv}(\sigma)} t^{\text{maj}(\sigma)}$$

Example:  $\tilde{H}_{21}(X; q, t)$

$$m_3 : \begin{matrix} 1 \\ 1 & 1 \end{matrix} \qquad \qquad 1$$

$$m_{21} : \begin{matrix} 2 \\ 1 & 1 \end{matrix}, \quad \begin{matrix} 1 \\ 1 & 2 \end{matrix}, \quad \begin{matrix} 1 \\ 2 & 1 \end{matrix} \qquad t \qquad 1 \qquad q$$

$$m_{111} : \begin{matrix} 3 \\ 1 & 2 \end{matrix}, \quad \begin{matrix} 2 \\ 1 & 3 \end{matrix}, \quad \begin{matrix} 3 \\ 2 & 1 \end{matrix}, \quad \begin{matrix} 1 \\ 2 & 3 \end{matrix}, \quad \begin{matrix} 2 \\ 3 & 1 \end{matrix}, \quad \begin{matrix} 1 \\ 3 & 2 \end{matrix} \qquad t \qquad t \qquad qt \qquad 1 \qquad q \qquad q$$

$$H_{21}(X; q, t) = m_3 + (1 + t + q)m_{21} + (1 + 2t + 2q + qt)m_{111}$$

# Nonsymmetric Macdonald Polynomials ( $E_\gamma(X; q, t)$ )

Macdonald polynomials break down into non-symmetric components (Cherednik, Macdonald, Marshall, Opdam,...):

$$P_\mu(X; q, t) = \prod_{s \in \mu} (1 - q^{leg(s)+1} t^{arm(s)}) \sum_{\text{dec}(\gamma) = \mu} \frac{E_\gamma(X; q, t)}{\prod_{s \in \gamma} (1 - q^{leg(s)+1} t^{arm(s)})}$$

which can then be specialized to  $q = t = 0$  to obtain a decomposition of the Schur functions:

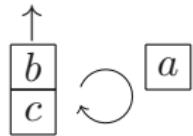
$$P_\mu(X_n; 0, 0) = s_\mu(X_n) = \sum_{\text{dec}(\gamma) = \mu} E_\gamma(X_n; 0, 0),$$

$\text{dec}(\gamma)$  = rearrangement of  $\gamma$  into weakly decreasing order.

$$s_{21} = E_{210} + E_{201} + E_{021} + E_{120} + E_{102} + E_{012} \quad (\text{specialized to } q = t = 0)$$

# Coinversions and arms

## Type A Coinv Triples

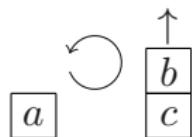


$$b < a \leq c$$

$$c < b \leq a$$

$$a \leq c < b$$

## Type B Coinv Triples



$$b < a \leq c$$

$$a < c \leq b$$

$$c < b \leq a$$

$$\text{coinv}(\sigma) = \#\text{coinversion triples}$$

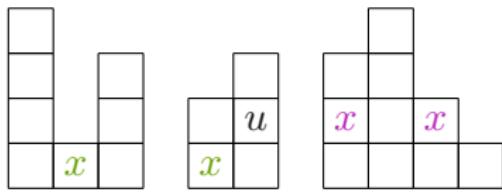
$$\text{arm}(u) =$$

cells to R in same row

(weakly shorter column),

cells to L in row below

(strictly shorter column)



# Permuted Basement Macdonald Polynomials

Definition (Ferreira (2011), Alexandersson (2016))

Let  $\gamma$  be a composition with  $n$  parts and  $\sigma \in S_n$ .

$$E_\gamma^\sigma(X; q, t) = \sum_{F \in \text{NAF}} x^F q^{\text{maj}(F)} t^{\text{coinv}(F)} \prod_{\substack{u \in F \\ F(\underline{u}) \neq F(u)}} \frac{1-t}{1-q^{1+\text{leg}(u)}t^{1+\text{arm}(u)}}$$

NAF : non-attacking filling ( $u \neq v$ )

$u$	$\cdots$	$v$
-----	----------	-----

$v$	
$u$	$\cdots$

Example:  $E_{110}^{312}$

1	2	
3	1	2

$$\frac{qt(1-t)^2 x_1 x_2}{(1-qt)(1-qt^2)}$$

2	1	
3	1	2

$$\frac{(1-t)x_1 x_2}{(1-qt^2)}$$

3	1	
3	1	2

$$x_1 x_3$$

3	2	
3	1	2

$$\frac{q(1-t)x_2 x_3}{(1-qt)}$$

# Quasisymmetric Macdonald Polynomials $G_\gamma(X; q, t)$

Desirable properties for quasisymmetric Macdonald polynomials:

- ▶  $G_\gamma(X; q, t)$  is quasisymmetric.
- ▶  $G_\gamma(X; 0, 0)$  is the quasisymmetric Schur function.
- ▶  $P_\lambda(X; q, t)$  is a positive sum of quasisymmetric Macdonald polynomials.
- ▶  $G_\gamma(X; q, t)$  has a combinatorial formula.
- ▶  $G_\gamma(X; q, t)$  satisfies triangularity and orthogonality properties.

## Previous approaches:

Weighted Sums of Nonsymmetric Macdonald Polynomials

$$\sum_{\gamma^+ = \alpha} c(q, t) E_\gamma(X; q, t)$$

CANNOT be quasisymmetric for certain choices of  $\alpha$ .

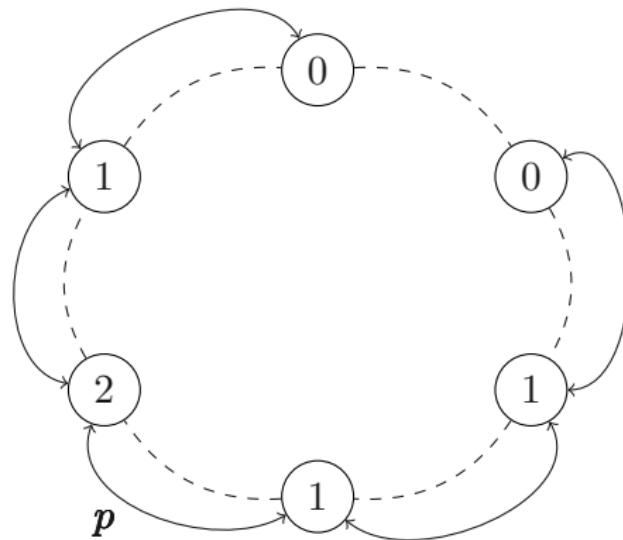
Quasisymmetric Power Sums (Ballantine, Daugherty, Hicks, M, Niese)

$$\Psi_\alpha = z_\alpha \sum_{\beta \succeq \alpha} \frac{1}{\pi(\alpha, \beta)} M_\beta$$

- ▶ product formula (shuffles)
- ▶ expansion into fundamentals
- ▶ positivity results (Alexandersson and Sulzgruber)

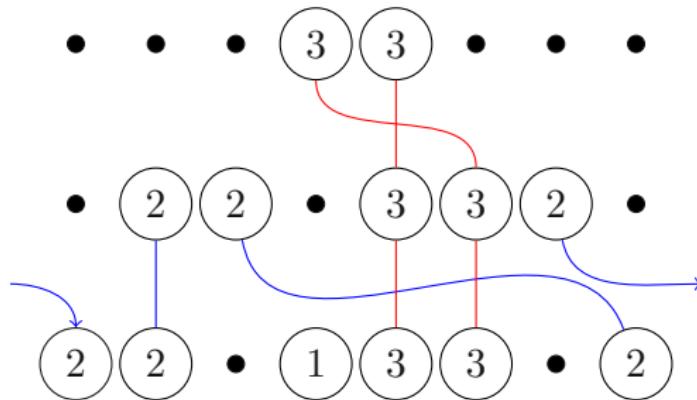
# Asymmetric Simple Exclusion Process (ASEP)

- ▶ 1960s (Macdonald-Gibbs-Pipkin, Spitzer)
- ▶ weighted particles hopping on a 1-dimensional lattice
- ▶ multispecies ASEP (different “weights”)
- ▶ probability of place-swapping 1 or  $t$



# Multiline Queues

- ▶ stationary distribution of multispecies ASEP on a ring (James Martin 2018)
- ▶ Weighted sums of MLQs (Corteel, Mandelshtam, Williams)



type:  $(2, 2, 0, 1, 3, 3, 0, 2)$

$$wt = x_1 x_2^2 x_3 x_4^2 x_5^3 x_6^2 x_7 x_8 \cdot \frac{(1-t)^3 t^2 q}{(1-qt^4)(1-qt^3)(1-qt^2)}$$

# Macdonald Polynomials from Multiline Queues

$$F_\gamma(X; q, t) = \sum_Q wt(Q)$$

Theorem (Corteel, Mandelshtam, Williams)

$$P_\lambda(X; q, t) = \sum_{dec(\gamma)=\lambda} F_\gamma(X; q, t)$$

Example:  $P_{21} = F_{210} + F_{201} + F_{120} + F_{102} + F_{021} + F_{012}$

Theorem (Corteel, Mandelshtam, Williams)

$$F_\gamma(X; q, t) = E_{\text{inc}(\gamma)}^\sigma,$$

where  $\sigma$  is the longest permutation s.t.

$$\gamma_{\sigma(1)} \leq \gamma_{\sigma(2)} \leq \cdots \leq \gamma_{\sigma(n)}.$$

# Sorted tableaux

$$\begin{array}{ccc} A & \lhd & B \\ \begin{array}{|c|}\hline a_j \\ \hline \vdots \\ \hline a_r \\ \hline a_{r-1} \\ \hline \vdots \\ \hline a_1 \\ \hline \end{array} & \neq & \begin{array}{|c|}\hline b_j \\ \hline \vdots \\ \hline b_r \\ \hline b_{r-1} \\ \hline \vdots \\ \hline b_1 \\ \hline \end{array} \end{array}$$

grey cells:  
non-inversion triple  
(clockwise)

A filling  $\sigma$  of the diagram of a partition  $\lambda$  is a sorted tableau if the columns of a fixed height appear in weakly increasing order with respect to  $\lhd$ .

$\boxed{\text{ST}(\lambda) = \text{all sorted tableaux of } \text{dg}(\lambda)}$

## A sorted tableau of $\text{dg}(5, 5, 3, 3, 3, 3)$

$$F = \begin{array}{|c|c|} \hline 4 & 5 \\ \hline 3 & 1 \\ \hline 5 & 2 & 1 & 3 & 2 & 2 \\ \hline 4 & 4 & 5 & 4 & 1 & 1 \\ \hline 5 & 5 & 2 & 3 & 3 & 3 \\ \hline \end{array}$$

For rectangles, set  $\text{perm}_t(\sigma) = \binom{n}{u_1, \dots, u_j}_t$ , where  $u_i =$  number of copies of  $i^{th}$  distinct column. Then for  $\sigma$  a concatenation of rectangular sorted tableaux  $\sigma_1, \dots, \sigma_\ell$  define

$$\text{perm}_t(\sigma) = \prod_{i=1}^{\ell} \text{perm}_t(\sigma_i).$$

$$\text{perm}_t(F) = \binom{2}{1, 1}_t \binom{4}{1, 1, 2}_t = 1 + 2t + 3t^2 + 3t^3 + 2t^4 + t^5$$

# Macdonald Polynomials from Sorted Tableaux

Theorem (Corteel-Haglund-Mandelshtam-M-Williams)

$$\tilde{H}_\lambda(X; q, t) = \sum_{\sigma \in \text{ST}(\lambda)} x^\sigma t^{\text{inv}(\sigma)} q^{\text{maj}(\sigma)} \text{perm}_t(\sigma)$$

- ▶ More compact than the HHL formula
- ▶ Origin: multiline queues
- ▶ Polyqueue tableau formulation  
(Ayyer-Mandelshtam-Martin)

# $\tilde{H}_{22}(X; q, t)$

$$m_4 : \begin{matrix} \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 1 & 1 \\ \hline \end{array} \end{matrix} \quad m_{31} : \begin{matrix} \begin{array}{|c|c|} \hline 2 & 1 \\ \hline 1 & 1 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 1 & 2 \\ \hline \end{array} \end{matrix} \quad m_{22} : \begin{matrix} \begin{array}{|c|c|} \hline 2 & 2 \\ \hline 1 & 1 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 1 & 2 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 & 1 \\ \hline 1 & 2 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 2 & 2 \\ \hline \end{array} \end{matrix}$$

$$\begin{matrix} 1 \\ q[2]_t \\ q^2 \end{matrix} \quad \begin{matrix} [2]_t \\ q^2 \end{matrix} \quad \begin{matrix} q[2]_t \\ [2]_t \\ q^2 \end{matrix}$$

$$m_{211} : \begin{matrix} \begin{array}{|c|c|} \hline 2 & 3 \\ \hline 1 & 1 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 1 & 2 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 3 & 1 \\ \hline 1 & 2 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 1 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 & 1 \\ \hline 1 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 2 & 3 \\ \hline \end{array} \end{matrix}$$

$$\begin{matrix} q^2[2]_t \\ tq[2]_t \\ q[2]_t \\ t[2]_t \\ q[2]_t \\ [2]_t \end{matrix}$$

$$m_{1111} : \begin{matrix} \begin{array}{|c|c|} \hline 3 & 4 \\ \hline 1 & 2 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 4 & 3 \\ \hline 1 & 2 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 & 4 \\ \hline 1 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 4 & 2 \\ \hline 1 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 & 3 \\ \hline 1 & 4 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 3 & 2 \\ \hline 1 & 4 \\ \hline \end{array} \end{matrix}$$

$$\begin{matrix} q^2[2]_t \\ tq^2[2]_t \\ q^2[2]_t \\ tq[2]_t \\ q[2]_t \\ tq[2]_t \end{matrix}$$

$$\begin{matrix} \begin{array}{|c|c|} \hline 1 & 4 \\ \hline 2 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 4 & 1 \\ \hline 2 & 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & 4 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 3 & 1 \\ \hline 2 & 4 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 & 1 \\ \hline 3 & 4 \\ \hline \end{array} \end{matrix}$$

$$\begin{matrix} tq[2]_t \\ q[2]_t \\ t[2]_t \\ q[2]_t \\ [2]_t \\ t[2]_t \end{matrix}$$

# Quasisymmetric Macdonald polynomials

Definition (Corteel-Haglund-M-Mandelshtam-Williams)

$$G_\alpha(X; q, t) = \sum_{\gamma^+ = \alpha} F_\gamma(X; q, t) = \sum_{\gamma^+ = \alpha} E_{\text{inc}(\gamma)}^{\beta(\gamma)}(X; q, t)$$

## Example

$$G_{12}(X; q, t) = F_{120}(X; q, t) + F_{102}(X; q, t) + F_{012}(X; q, t)$$

$$= E_{012}^{312}(X; q, t) + E_{012}^{213}(X; q, t) + E_{012}^{123}(X; q, t)$$

## Proposition

$$P_\lambda(X; q, t) = \sum_{\text{dec}(\alpha) = \lambda} G_\alpha(X; q, t),$$

$$\text{since } \sum_{\text{dec}(\alpha) = \lambda} G_\alpha(X; q, t) = \sum_{\text{dec}(\alpha) = \lambda} \sum_{\gamma^+ = \alpha} F_\gamma(X; q, t).$$

# Properties of Quasisymmetric Macdonald Polynomials

- ▶  $G_\alpha(X; q, t)$  is quasisymmetric for all  $\alpha$ .
- ▶  $G_\alpha(X; 0, 0) = QS_\alpha(X)$
- ▶ Triangularity but not (naive) orthogonality

Theorem (Corteel, Mandelshtam, Roberts)

$$G_\alpha(X; q, t) = \sum_{\substack{T \in \text{NAT}(\alpha) \\ T \text{ packed}}} q^{\text{maj}(T)} t^{\text{coinv}(T)} a(q, t) M_{\text{content}(T)},$$

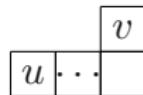
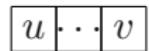
$$G_\alpha(X; q, t) = \sum_{\tau \in ST(\alpha)} b(q, t) \sum_{U \subseteq W(\tau)} (-t)^{|U|} c(q, t) F_{V(\tau) \cup U},$$

where  $a(q, t)$ ,  $b(q, t)$ , and  $c(q, t)$  are nonzero rational functions in  $q$  and  $t$ .

## A few more definitions...

$T \in \text{NAT}(\alpha)$

- ▶ Non-attacking filling of  $\text{inc}(\alpha)$



- ▶ Let  $\sigma$  be the longest permutation s.t.  $\sigma \circ \alpha = \text{inc}(\alpha)$
- ▶ First row has pattern  $\sigma$

## Packed

- ▶ Uses every integer from  $[m]$  (and no others) for some  $m$

## Example

$\begin{matrix} & & 3 \\ & & 7 & 2 \\ & 6 & 1 & 7 \\ 4 & 2 & 1 & 6 \\ 5 & 7 & 2 & 4 \end{matrix} \in \text{NAT}((4, 5, 2, 3))$

6	1	7
4	2	1
5	7	2

# Triangularity

## Corollary (to CMR expansion)

$$G_\alpha(X; q, t) = M_\alpha + \sum_{\beta < \alpha} c_{\alpha, \beta}(q, t) M_\beta,$$

where  $<$  is any extension of the dominance order on the underlying partition.

## Sketch of Proof

- ▶  $G_\alpha(X; q, t) = \sum_{\substack{T \in \text{NAT}(\alpha) \\ T \text{ packed}}} q^{\text{maj}(T)} t^{\text{coinv}(T)} a(q, t) M_{\text{content}(T)}$
- ▶ Let  $\gamma = \lambda(\text{content}(T))$ . Then  $\gamma_1 \leq (\text{largest part of } \alpha)$ .
- ▶ Similarly,  $\gamma_1 + \gamma_2 \leq (\text{sum of largest two parts of } \alpha)$ , etc...
- ▶ The non-attacking condition implies that  $\text{content}(T) = \alpha$  if  $\text{content}(T)$  is a rearrangement of  $\alpha$

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