

# **Problem Sets**

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1. Show that  $v \in B(\mathcal{H})$  is a partial isometry if and only if  $v^*v$  is a projection.

[Hint: expand  $\|(v - vv^*v)\xi\|^2$  for  $\xi \in \mathcal{H}$ .]

2. For  $x \in B(\mathcal{H})$  with  $x = x^*$ , show that

$$\sup_{\|\xi\|=1} |\langle x\xi, \xi \rangle| = \|x\|.$$

[Hint: show  $\operatorname{Re} \langle x\xi, \eta \rangle = \frac{1}{2} \langle x(\xi + \eta), \xi + \eta \rangle + \frac{1}{2} \langle x(\xi - \eta), \xi - \eta \rangle$  for all  $\xi, \eta \in \mathcal{H}$ .]

3. For a Hilbert space  $\mathcal{H}$ , prove the inclusions

$$FR(\mathcal{H}) \subset L^1(B(\mathcal{H})) \subset HS(\mathcal{H}) \subset K(\mathcal{H}).$$

[Hint: approximate by finite-rank operators in the appropriate norm.]

4. Show that  $v \in B(\mathcal{H})$  is a partial isometry if and only if there exists a closed subspace  $\mathcal{K} \subset \mathcal{H}$  such that  $v|_{\mathcal{K}}$  is an isometry and  $v|_{\mathcal{K}^\perp} \equiv 0$ .

5. Let  $x \in B(\mathcal{H})$ . We say  $x$  is *bounded below* if there exists  $\epsilon > 0$  such that  $\|x\xi\| \geq \epsilon\|\xi\|$  for all  $\xi \in \mathcal{H}$ . Determine the implications between the following properties for  $x \in B(\mathcal{H})$ :

- (i)  $x$  is injective (i.e.  $\ker(x) = \{0\}$ )
- (ii)  $x$  is left-invertible (i.e.  $\exists y \in B(\mathcal{H})$  with  $yx = 1$ )
- (iii)  $x$  is bounded below.

6. Let  $\mathcal{H}$  be a Hilbert space and  $1 \leq n < \infty$ . We denote  $\mathcal{H}^n = \bigoplus_{j=1}^n \mathcal{H}$ . For  $x_{i,j} \in B(\mathcal{H})$  for  $1 \leq i, j \leq n$ , define  $[x_{i,j}] : \mathcal{H}^n \rightarrow \mathcal{H}^n$  by

$$[x_{i,j}] \begin{pmatrix} \xi_1 \\ \vdots \\ \xi_n \end{pmatrix} = \begin{pmatrix} \sum_{j=1}^n x_{1,j} \xi_j \\ \vdots \\ \sum_{j=1}^n x_{n,j} \xi_j \end{pmatrix}.$$

Check that this gives an operator in  $B(\mathcal{H}^n)$  (in fact  $\|[x_{i,j}]\| \leq (\sum \|x_{i,j}\|^2)^{\frac{1}{2}}$ ). We denote by  $M_n(B(\mathcal{H}))$  the operators in  $B(\mathcal{H}^n)$  that can be written as  $[x_{i,j}]$  for some  $x_{i,j} \in B(\mathcal{H})$ . Show  $M_n(B(\mathcal{H})) = B(\mathcal{H}^n)$ .

[Hint: How would you do this for  $\mathcal{H} = \mathbb{C}^m$ ?]

7. Here's an intuition building exercise to think about for Wednesday:

- (a) Show that all maximal ideals in  $C([0, 1])$  are of the form  $\{f \in C([0, 1]) : f(t) = 0\}$  for some  $t \in [0, 1]$ .
- (b) For each  $t \in [0, 1]$ , define the map  $ev_t : C([0, 1]) \rightarrow \mathbb{C}$  by  $ev_t(f) = f(t)$ . Show that  $\widehat{C([0, 1])} = \{ev_t : t \in [0, 1]\}$ .
- (c) Recall that for  $A = C_0([0, 1])$ , its unitization is  $\tilde{A} := C([0, 1])$ . That means we can identify  $C_0([0, 1])$  with a maximal ideal inside  $C([0, 1])$ . To which character  $\phi \in \hat{\tilde{A}}$  does this ideal correspond? Show that this character agrees with the functional  $\phi_0 : \tilde{A} \rightarrow \mathbb{C}$  given by  $\phi_0(f + \lambda 1) = \lambda$  for all  $f \in A$ .

**C\*.1** (Gelfand-Mazur) If  $A$  is a simple, unital, abelian Banach algebra, then  $A = \mathbb{C}$ .

[Hint: For each  $a \in A$ , consider  $aA := \{ab : b \in A\}$ ]

**C\*.2** Here's an exercise to build intuition:

- (a) Show that all maximal ideals in  $C([0, 1])$  are of the form  $\{f \in C([0, 1]) : f(t) = 0\}$  for some  $t \in [0, 1]$ .
- (b) For each  $t \in [0, 1]$ , define the map  $ev_t : C([0, 1]) \rightarrow \mathbb{C}$  by  $ev_t(f) = f(t)$ . Show that  $\widehat{C([0, 1])} = \{ev_t : t \in [0, 1]\}$ .
- (c) Recall that for  $A = C_0((0, 1])$ , its unitization is  $\tilde{A} := C([0, 1])$ . That means we can identify  $C_0((0, 1])$  with a maximal ideal inside  $C([0, 1])$ . To which character  $\phi \in \hat{\tilde{A}}$  does this ideal correspond? Show that this character agrees with the functional  $\phi_0 : \tilde{A} \rightarrow \mathbb{C}$  given by  $\phi(f + \lambda 1) = \lambda$  for all  $f \in A$ .

**W\*.1** Consider the shift operator  $S$  on  $\ell^2(\mathbb{N})$ :

$$S(x_1, x_2, \dots) = (0, x_1, x_2, \dots).$$

Show that  $((S^*)^n)_{n \in \mathbb{N}}$  converges to zero in the SOT, but  $(S^n)_{n \in \mathbb{N}}$  does not.

**W\*.2** Let  $\mathcal{H}$  be a Hilbert space. Given  $\xi, \eta \in \mathcal{H}$ , recall that the rank one operator  $\xi \otimes \bar{\eta} \in B(\mathcal{H})$  is defined by

$$(\xi \otimes \bar{\eta})(\zeta) := \langle \zeta, \eta \rangle \xi.$$

- (a) Show that  $x \in B(\mathcal{H})$  commutes with  $\xi \otimes \bar{\eta}$  if and only if there exists  $\lambda \in \mathbb{C}$  with  $\xi \in \ker(x - \lambda)$  and  $\eta \in \ker(x^* - \bar{\lambda})$ .
- (b) Show that  $FR(\mathcal{H})' = \mathbb{C}$  and that  $B(\mathcal{H})' = \mathbb{C}$ .

**C\*.1** Let  $A$  be a  $C^*$ -algebra. Show the following:

- (a) If  $a, b \in A$  are self-adjoint elements such that  $a \leq b$  and  $c \in A$ , then  $c^*ac \leq c^*bc$ .  
[**Hint:** Take a square root and use the fact that elements of the form  $x^*x$  are positive.]
- (b) Assuming  $A$  is a unital  $C^*$ -algebra and  $a \in A$  positive, show that  $a \leq \|a\|1$ . Moreover,  $\|a\| \leq 1$  iff  $a \leq 1$ . In this case we also have  $1 - a \leq 1$  and  $\|1 - a\| \leq 1$ .

**C\*.2** Suppose  $A$  is a  $C^*$ -algebra with closed two-sided ideal  $J \triangleleft A$  and  $C^*$ -subalgebra  $I \subset A$  such that  $I \triangleleft J$ . Show that  $I \triangleleft A$ .

**W\*.1** Prove Lemma 2.1.2: Let  $\mathcal{H}$  be a Hilbert space and suppose  $q: \mathcal{H} \times \mathcal{H} \rightarrow \mathbb{C}$  is linear in the first coordinate, conjugate linear in the second coordinate, and there exists  $C > 0$  such that  $|q(\xi, \eta)| \leq C\|\xi\|\|\eta\|$  for all  $\xi, \eta \in \mathcal{H}$ . Then there exists a unique  $x \in B(\mathcal{H})$  satisfying

$$\langle x\xi, \eta \rangle = q(\xi, \eta) \quad \forall \xi, \eta \in \mathcal{H},$$

and  $\|x\| \leq C$ .

[**Hint:** First fix  $\xi \in \mathcal{H}$  and show for all  $\eta \in \mathcal{H}$  that  $q(\xi, \eta) = \langle \xi_1, \eta \rangle$  for some  $\xi_1 \in \mathcal{H}$ . Then show that  $x(\xi) := \xi_1$  defines a bounded operator  $x \in B(\mathcal{H})$ .]

**W\*.2** Let  $\mathcal{H}$  be a Hilbert space and let  $p \in B(\mathcal{H})$  be a non-trivial projection:  $p \neq 0$  and  $p \neq 1$ . Show that the algebra  $A := pB(\mathcal{H})p$  has no cyclic vectors.

- C\*.1** Recall that the set  $U(\mathcal{H})$  of unitaries in  $B(\mathcal{H})$  is a group under multiplication. A *unitary representation* of a group  $G$  is a group homomorphism  $\rho : G \rightarrow U(\mathcal{H})$ . Show that representations of  $\mathbb{C}G$  are in bijection with unitary representations of  $G$ .
- C\*.2** Complete the proof of Proposition 6.3 by showing that  $\psi$  is well-defined (independent of the choice of sequence  $(a_n)_n$ );  $*$ -preserving; and multiplicative.
- W\*.1** Suppose  $(x_i)_{i \in I} \subset B(\mathcal{H})$  is a uniformly bounded net:  $\sup_i \|x_i\| < \infty$ .
- (a) Show that  $(x_i)_{i \in I}$  converges in the  $\sigma$ -SOT if and only if it converges in the SOT.
  - (b) Show that  $(x_i)_{i \in I}$  converges in the  $\sigma$ -WOT if and only if it converges in the WOT.
  - (c) Show that the example  $(x_{m,n})_{m \leq n}$  defined in lecture is **not** uniformly bounded.
- W\*.2** Recall that a  $*$ -isomorphism  $\pi : M \rightarrow N$  between von Neumann algebras  $M \subset B(\mathcal{H})$  and  $N \subset B(\mathcal{K})$  is called a *spatial isomorphism* if there exists a unitary  $U : \mathcal{H} \rightarrow \mathcal{K}$  such that  $\pi(x) = UxU^*$  for all  $x \in M$ . Show that a spatial isomorphism  $\pi : M \rightarrow N$  is normal.

**C\*.1** Show that any positive linear functional  $\phi : A \rightarrow \mathbb{C}$  is  $*$ -preserving, i.e.  $\phi(a^*) = \overline{\phi(a)}$  for all  $a \in A$ .

**C\*.2** Show that for a unital  $C^*$ -algebra  $A$ ,  $\mathcal{S}(A)$  is a weak\* closed convex subset of  $A_{\leq 1}^*$ . It follows from Alaoglu's theorem that it is weak\*-compact. What does the Krein-Milman theorem say about  $\mathcal{S}(A)$ ?

**C\*.3** Show that if the  $C^*$ -algebra  $A$  is finite dimensional as a vector space, then we may take the Hilbert space  $\mathcal{H}$  of the GNS Theorem to be finite dimensional.

[**Hint:** Show that you only need finitely many states  $\phi \in F$ , and that  $H_\phi$  is finite dimensional for all  $\phi$ .]

**W\*.1** Let  $\mathcal{H}$  be a Hilbert space.

(a) For orthonormal sets  $\{\xi_1, \dots, \xi_n\}, \{\eta_1, \dots, \eta_n\} \subset \mathcal{H}$ , show that  $\sum_{i=1}^n \xi_i \otimes \bar{\eta}_i$  is a partial isometry that implements the equivalence  $(\sum_{i=1}^n \eta_i \otimes \bar{\eta}_i) \sim (\sum_{i=1}^n \xi_i \otimes \bar{\xi}_i)$ .

(b) For finite-rank projections  $p, q \in B(\mathcal{H})$ , show that  $p \sim q$  if and only if  $\text{Tr}(p) = \text{Tr}(q)$ .

(c) Let  $\mathcal{E}, \mathcal{F} \subset \mathcal{H}$  be two orthonormal subsets with the same cardinality. Show that  $[\mathcal{E}] \sim [\mathcal{F}]$ .

[**Hint:** start with a bijection from  $\mathcal{E}$  to  $\mathcal{F}$  (as sets).]

**W\*.2** Let  $M \subset B(\mathcal{H})$  be a factor. Show any two minimal projections are equivalent.

[**Hint:** use the Comparison Theorem.]

**W\*.3** Let  $(X, \mu)$  be a positive  $\sigma$ -finite measure space. We call a measurable subset  $A \subset X$  an **atom** if  $\mu(A) > 0$  and for all measurable subsets  $E \subset A$  one has  $\mu(E) = \mu(A)$  or  $\mu(E) = 0$ .

(a) If  $A_1, A_2 \subset X$  are atoms, show that either  $1_{A_1 \cap A_2} = 0$  or  $1_{A_1 \cap A_2} = 1_{A_1} = 1_{A_2}$ .

(b) If  $A \subset X$  is an atom, show that  $f|_A$  is constant for all  $f \in L^\infty(X, \mu)$ .

(c) Show that  $1_A$  is a minimal projection in  $L^\infty(X, \mu)$  if and only if  $A$  is an atom.

**C\*.1** Show that the matrix amplification of any  $*$ -homomorphism between  $C^*$ -algebras is again a  $*$ -homomorphism. Conclude that any  $*$ -homomorphism is completely positive.

**C\*.2** Let  $A$  and  $B$  be  $C^*$ -algebras and  $C \subset B$  a  $C^*$ -subalgebra. Show that if  $\theta : A \rightarrow C$  is a nuclear map, then so is  $\theta$  when viewed as a map from  $A$  to  $B$ . Suppose we have a map  $\rho : A \rightarrow C$  that is nuclear as a map from  $A$  to  $B$ . What could prevent  $\rho$  from being a nuclear map as a map from  $A$  to  $C$ ?

**C\*.3** Partitions of unity are nicer when you have a concrete example. For each  $n \geq 2$ , cover  $[0, 1]$  by  $2^n - 1$  open intervals of equal length. (What are they? Also, we could start with  $n = 1$ , but it's too simple to pick up on a pattern.) Call this cover  $\mathcal{U}_n$ . Define (sketch) a partition of unity for  $\mathcal{U}_n$ . (Hint: think zig-zags.)

Now, construct a sequence of completely positive maps  $C([0, 1]) \xrightarrow{\psi_n} \mathbb{C}^{k_n} \xrightarrow{\phi_n} C([0, 1])$ , (what is  $k_n$ ?) that give a completely positive approximation of  $C([0, 1])$ .

**W\*.1** Let  $\Gamma$  be a countable discrete group. Show that all projections in  $L(\Gamma)$  are finite.

[Hint: use the trace.]

**W\*.2** Let  $\pi : M \rightarrow N$  be a  $*$ -isomorphism between von Neumann algebras and let  $p \in \mathcal{P}(M)$ .

- (a) Show  $p$  is finite in  $M$  if and only if  $\pi(p)$  is finite in  $N$ .
- (b) Assuming  $\pi$  is normal, show  $p$  is semi-finite in  $M$  if and only if  $\pi(p)$  is semi-finite in  $N$ .
- (c) Show  $p$  is purely infinite in  $M$  if and only if  $\pi(p)$  is purely infinite in  $N$ .
- (d) Show  $p$  is properly infinite in  $M$  if and only if  $\pi(p)$  is properly infinite in  $N$ .

**W\*.3** In this exercise, you will show that  $M_n(\mathbb{C})$  can be realized via a crossed-product construction. Consider  $\Gamma := \mathbb{Z}_n$ , the countable cyclic group of order  $n$ , and also set  $X := \mathbb{Z}_n$  which we view as simply a space and equip with the counting (probability) measure.

- (a) Show that  $\alpha_g(f) := f(\cdot - g)$  for  $g \in \Gamma$  defines an action  $\Gamma \curvearrowright L^\infty(X, \mu)$ .
- (b) Show that  $\Gamma \curvearrowright L^\infty(X, \mu)$  is free, ergodic, and probability measure preserving.
- (c) Show that  $1_{\{1\}}, \dots, 1_{\{n\}} \in L^\infty(X, \mu)$  are pairwise orthogonal and equivalent minimal projections.
- (d) Show that  $L^\infty(X, \mu) \rtimes_\alpha \Gamma \cong M_n(\mathbb{C})$ . What is the preimage of  $E_{i,j}$  under this isomorphism?
- (e) Explain why there does not exist a discrete group  $\Gamma$  such that  $L(\Gamma) \cong M_n(\mathbb{C})$ .

**Michael Brannan:** *Quantum Groups: what are they and what are they good for?*

Recall that the *Brown Algebra*  $B_n$  is the unital  $C^*$ -algebra satisfying the following universal property:  $B_n$  is generated by the elements  $u_{ij}$ ,  $1 \leq i, j \leq n$  satisfying the property that  $[u_{ij}]_{ij}$  is a unitary in  $M_n(B_n)$ , and if  $A$  is another unital  $C^*$ -algebra generated by elements  $v_{ij}$  satisfying the same relations then there exists a unique unital  $*$ -homomorphism  $\pi : B_n \rightarrow A$  such that  $u_{ij} \mapsto v_{ij}$  for all  $i, j$ .

**Exercise:** Prove that Brown's universal unitary algebras  $B_n$ , equipped with their canonical co-products, do **not** define compact quantum groups.

*If you have extra time, consider the following exercise:*

Let  $G = (A, \Delta)$  be a compact quantum group with comultiplication  $\Delta : A \rightarrow A \otimes_{\min} A$ . Define  $\Delta^{\text{opp}} := {}^t(\Delta) := t \circ \Delta$ , where  $t : A \otimes_{\min} A \rightarrow A \otimes_{\min} A$  denotes the flip map, i.e.,  $a \otimes b \mapsto b \otimes a$ . Show that  $G^{\text{opp}} = (A, \Delta^{\text{opp}})$  is a compact quantum group.

**Dawn Archey:** *A Crash Course in Crossed Product  $C^*$ -Algebras*

We say that a  $C^*$ -algebra  $A$  has **real rank zero** if the invertible elements in  $A_{s.a.}$  are dense in  $A_{s.a.}$ .

**Exercise:** Show that  $C([0, 1])$  does not have real rank zero, by finding a function  $f \in C([0, 1])_{s.a.}$  which cannot be approximated within  $\epsilon = 1/4$  by an invertible self-adjoint element.

*If you have extra time, consider the following exercises:*

1. Let  $G$  be a finite group. Let  $A$  be a unital  $C^*$ -algebra. Let  $\alpha : G \rightarrow \text{Aut}(A)$  be a homomorphism. As short hand, write  $\alpha_t$  instead of  $\alpha(t)$ . Consider the algebra  $AG$  of all sums  $\sum_{t \in G} a_t t$ .
  - (a) We will define multiplication on  $AG$  by the formal rule  $tat^{-1} = \alpha_t(a)$ .  
Work out an explicit formula for the product  $fg$  where  $f = \sum_{t \in G} a_t t$  and  $g = \sum_{s \in G} b_s s$ . Your final answer should be in the same format (a sum of things of the form: algebra element times group element).
  - (b) Later we will complete this to create a  $C^*$ -algebra. So we will need an adjoint. The adjoint is determined by  $s^* = s^{-1}$ . Use this to determine a formula for the adjoint of  $f$  as defined in the previous part of the problem.
2. Let  $h : X \rightarrow X$  be a homeomorphism. We say  $(H, h)$  is a **minimal dynamical system** if  $X$  has no proper closed  $h$  invariant subsets. Let  $X = S_1$ . Let  $h(z) = e^{-2\pi\theta z}$ . If  $\theta \in \mathbb{Q} \setminus \{0\}$  then show  $h$  is not minimal.

## Lecture Exercises

**C\*.1** Finish the proof of the following proposition from the lecture notes:

**Proposition 0.1.** For  $C^*$ -algebras  $A_1$  and  $A_2$ , and  $x = \sum_{j=1}^n a_j \odot b_j \in A_1 \odot A_2$ ,

$$\|x\|_{\min} = \sup\left\{\left\|\sum_{j=1}^n \pi_1(a_j) \otimes \pi_2(b_j)\right\| : \pi_i : A_i \rightarrow B(\mathcal{H}_i) \text{ (nondegenerate) representations}\right\}.$$

*Proof.* Let  $\pi_i : A_i \rightarrow B(\mathcal{H}_i)$  be representations and  $\sigma_i : A_i \rightarrow B(\mathcal{H}'_i)$  be faithful representations. Then by Exercise 4.16,  $\pi_i \oplus \sigma_i : A_i \rightarrow B(\mathcal{H}_i \oplus \mathcal{H}'_i)$  is a faithful representation. Let  $P_i \in B(\mathcal{H}_i \oplus \mathcal{H}'_i)$  be the compression to  $\mathcal{H}_i$  for each  $i = 1, 2, \dots$  □



(This is an example of a technique where one can *dilate* a map to one with a desired property (e.g. faithfulness) and then *cut down* to the original map to draw the desired conclusion.)

**W\*.1** For each  $N \subset M$  below, compute the conditional expectation  $E_N : M \rightarrow N$ . Recall that the conditional expectation is determined by the formula

$$\langle E_N(x), y \rangle_2 = \langle x, y \rangle_2 \quad x \in M, y \in N$$

where  $\langle a, b \rangle_2 = \tau(b^*a)$  for  $a, b \in M$ .

- (a) For  $d \in \mathbb{N}$ , let  $M := M_d(\mathbb{C})$  and let  $N$  be the subalgebra of diagonal matrices.
- (b) Let  $M$  be an arbitrary finite factor and let  $N := \mathbb{C}$ .
- (c) Let  $\Gamma$  be a discrete i.c.c. group. Let  $\Lambda < \Gamma$  be a subgroup. Take  $M := L(\Gamma)$  and  $N := L(\Lambda)$ .

**Mark Tomforde** *K-theory: An Elementary Introduction*

Let  $A$  be a  $C^*$ -algebra, and let  $I$  be an ideal of  $A$ . Prove that if  $K_0(I) \cong K_1(I) \cong \{0\}$ , then  $K_0(A) \cong K_0(A/I)$  and  $K_1(A) \cong K_1(A/I)$ .

*If you have extra time, consider the following exercises:*

1. Suppose  $A$  is a unital  $C^*$ -algebra that is Morita equivalent to a crossed product of an AF-algebra by  $\mathbb{Z}$ ; that is, there exists an AF-algebra  $B$  and an automorphism  $\alpha: B \rightarrow B$  such that  $A$  is Morita equivalent to the crossed product  $B \rtimes_{\alpha} \mathbb{Z}$ . Prove that

$$K_0(A) \cong \text{coker}(id - \alpha_0) \quad \text{and} \quad K_1(A) \cong \ker(id - \alpha_0)$$

where  $(id - \alpha_0): K_0(B) \rightarrow K_0(B)$ . Also show that  $K_1(A)$  is torsion-free abelian group.

(Recall: if  $h: G \rightarrow H$  is a homomorphism between abelian groups, then the *cokernel* of  $h$  is defined  $\text{coker}(h) := H/\text{im}(h)$ .)

[**Hint:** use the Pimsner–Voiculescu (PV) sequence.]

2. Prove that  $K_0$  and  $K_1$  distribute over a direct sum; that is, for any  $C^*$ -algebras  $A$  and  $B$  prove that

$$K_0(A \oplus B) \cong K_0(A) \oplus K_0(B) \quad \text{and} \quad K_1(A \oplus B) \cong K_1(A) \oplus K_1(B).$$

There are several ways to do this problem. The hints below outline one possible approach.

[**Hint 1:** use the fact that  $K_0$  and  $K_1$  each take split exact sequences to split exact sequences.]

[**Hint 2:** obtain the following commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & K_0(A) & \longrightarrow & K_0(A) \oplus K_0(B) & \longrightarrow & K_0(B) \longrightarrow 0 \\ & & \parallel & & \downarrow & & \parallel \\ 0 & \longrightarrow & K_0(A) & \longrightarrow & K_0(A \oplus B) & \longrightarrow & K_0(B) \longrightarrow 0 \end{array}$$

and apply the three-lemma (i.e. a special case of the five-lemma). Similarly for  $K_1$ .]

**Ian Charlesworth** *Free Probability*

Let  $G$  and  $H$  be countable discrete groups and let  $G * H$  denote their free product. View  $L(G)$  and  $L(H)$  as subalgebras of  $L(G * H)$ , whose trace we denote by  $\tau$ .

- (a) For  $g_1, \dots, g_n \in G \setminus \{e\}$  and  $h_1, h_2, \dots, h_n \in H \setminus \{e\}$ , show that

$$\tau(\lambda(g_1)\lambda(h_1) \cdots \lambda(g_n)\lambda(h_n)) = 0.$$

- (b) For  $x \in \mathbb{C}[\lambda(G)]$ , characterize when  $\tau(x) = 0$ . Similarly for  $y \in \mathbb{C}[\lambda(H)]$ .

- (c) For  $x_1, \dots, x_n \in \mathbb{C}[\lambda(G)]$  and  $y_1, \dots, y_n \in \mathbb{C}[\lambda(H)]$  assume  $\tau(x_i) = \tau(y_i) = 0$  for  $i = 1, \dots, n$ . Show that

$$\tau(x_1 y_1 \cdots x_n y_n) = 0.$$

- (d) Show that the previous part holds for  $x_i \in L(G)$  and  $y_i \in L(H)$ .

**Lauren Ruth** *Operator Algebras and Equivalences between Groups*

**Exercise:** Show that measure equivalence of groups is an equivalence relation.

*If you have extra time, consider the following exercises:*

1. Give an example of a space measure  $(X, \mu)$  and a measure-preserving action of  $\mathbb{Z}$  on  $X$  along with a fundamental domain.
2. Let  $\Gamma = SL_2(\mathbb{Z})$  act on the upper-half plane  $H \subseteq \mathbb{C}$  by fractional linear transformations:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot z = \frac{az + b}{cz + d}.$$

- (a) Show that if  $\mu(E) := \int_E \frac{dx dy}{y^2}$  then

$$\mu(E) = \mu(g \cdot E)$$

for every  $g \in \Gamma$  and  $E \subset H$  measurable.

- (b) Show that the set  $\mathcal{F} = \{z \in H : -\frac{1}{2} \leq \operatorname{Re}(z) < \frac{1}{2}, |z| \geq 1\} \cup \{z \in H : |z| = 1, \operatorname{Re}(z) \leq 0\}$  is a fundamental domain for  $\Gamma$ .

[**Hint:** Use the fact that  $\Gamma$  is generated by the elements

$$a = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad b = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}.$$

How do the elements  $a$  and  $b$  act on a point  $z \in H$ ?

**Nate Brown** *Duality as the bridge between  $C^*$ - and  $W^*$ -algebras*

We say a von Neumann algebra  $M \subset B(\mathcal{H})$  is **injective** if there is a contractive linear map  $\Phi: B(\mathcal{H}) \rightarrow M$  such that  $\Phi(x) = x$  for all  $x \in M$ .

**Exercise:** For a direct sum of von Neumann algebras  $M := M_1 \oplus M_2$ , show that  $M$  is injective if and only if  $M_1$  and  $M_2$  are injective.

**Exercise:** Suppose  $M$  is injective and  $I \subset M$  is a  $\sigma$ -WOT closed ideal. Show that  $I$  and  $M/I$  are injective.

## Lecture Exercises

**W\*.1** Let  $\Gamma$  be an i.c.c. group, let  $\Lambda < \Gamma$  be a finite index subgroup, and set  $M := L(\Gamma)$  and  $N := L(\Lambda)$ .

- (a) Show that  $\Lambda$  is i.c.c.
- (b) Suppose  $\Gamma = \Lambda \sqcup \Lambda g_2 \sqcup \cdots \sqcup \Lambda g_n$  for  $g_2, \dots, g_n \in \Gamma \setminus \Lambda$ . For each  $i = 2, \dots, n$ , show that  $J\lambda(g_i^{-1})J e_N J\lambda(g_i)J \in N'$  and that this is the projection onto  $\ell^2(\Lambda g_i)$ .
- (c) For each  $i = 2, \dots, n$ , show that  $e_N$  is equivalent to  $J\lambda(g_i^{-1})J e_N J\lambda(g_i)J$  in  $N'$ .
- (d) Compute  $\tau_{N'}(e_N)$  and  $[M : N]$ .
- (e) Show that  $\langle M, e_N \rangle$  is isomorphic to  $M_n(N)$ . What is the image of  $M$  under this isomorphism?

**C\*.1** Prove the following fact used in the proof of Theorem 12.7: if  $f \in \ell^\infty(G)$ ,  $f = \sum_{g \in G} a_g u_g$ , then  $\lambda_s(f) = u_s f u_s^*$  as operators on  $\ell^2(G)$ . In other words, left translation is spatially implemented.

**Robin Deeley** *Groupoid  $C^*$ -algebras*

**Exercise:** Suppose  $X$  is a nonempty finite set and  $R$  is an equivalence relation on  $X$ .

- (a) Prove that  $C^*(R)$  is the direct sum of finitely many matrix algebras.
- (b) Compute the  $K$ -theory of  $C^*(R)$ .

*If you have extra time:*

Let  $G$  be a group. Examine the structure of the following two groupoids constructed from  $G$ .

1. Let  $\mathcal{G} = G$  and  $\mathcal{G}^2 = G \times G$ ; the multiplication map  $\mathcal{G}^2 \rightarrow \mathcal{G}$  is given by group multiplication, and the map  $\mathcal{G} \rightarrow \mathcal{G}$  is given by taking the inverse.
  - (a) Prove that  $\mathcal{G}$  is a groupoid.
  - (b) What is  $\mathcal{G}^0$  in this case?
  - (c) Prove that  $\mathcal{G}$  is étale iff  $G$  is discrete.
2. Let  $G$  be a finite group, and set  $\tilde{\mathcal{G}} = G \times G$ , with  $\tilde{\mathcal{G}}^2 = \{((g, h), (gh, k)) : g, h, k \in G\}$ . Then the map  $\tilde{\mathcal{G}}^2 \rightarrow \tilde{\mathcal{G}}$  is given by  $((g, h), (gh, k)) \mapsto (g, hk)$  and the map  $\tilde{\mathcal{G}} \rightarrow \tilde{\mathcal{G}}$  is defined via  $(g, h) \mapsto (gh, h^{-1})$ .
  - (a) Prove that  $\tilde{\mathcal{G}}$  is a groupoid.
  - (b) What is  $C_c(\tilde{\mathcal{G}})$ ? What changes if  $G$  is a countable discrete group?

**Corey Jones** *Subfactors and quantum symmetries*

**Exercise:** Let  $N \subset B(\mathcal{H})$  be a  $\text{II}_1$  factor. For  $d \in \mathbb{N}$ , embed  $N \hookrightarrow M_d(N)$  by

$$x \mapsto \begin{pmatrix} x & & 0 \\ & \ddots & \\ 0 & & x \end{pmatrix} \quad x \in N.$$

In this exercise, you will compute  $[M_d(N) : N]$ .

- (a) Show that  $B(L^2(M_d(N))) = M_{d^2}(B(L^2(N)))$ , where the entries in the latter space are indexed by pairs of pairs:  $((i, j), (k, \ell))$  for  $i, j, k, \ell = 1, \dots, d$ .

**[Hint:** first show that  $L^2(M_d(N)) \cong L^2(N)^{\oplus d^2}$ .]

- (b) Show that  $N' \cap B(L^2(M_d(N))) = M_{d^2}(N' \cap L^2(N))$ .
- (c) For  $X = (x_{i,j})_{i,j=1}^d \in M_d(N)$ , show that

$$e_N X = \begin{pmatrix} \frac{1}{d} \sum_{i=1}^d x_{i,i} & & 0 \\ & \ddots & \\ 0 & & \frac{1}{d} \sum_{i=1}^d x_{i,i} \end{pmatrix}.$$

as vectors in  $L^2(M_d(N))$ .

- (d) Viewing  $e_N \in M_{d^2}(N' \cap L^2(N))$ , show that the  $((i, j), (k, \ell))$ -entry of  $e_N$  is  $\frac{1}{d} \delta_{i=j} \delta_{k=\ell}$ .
- (e) Compute  $\tau_{M_d(N)}(e_N)$  and  $[M_d(N) : N]$ .
- (f) Show that  $\langle M_d(N), e_N \rangle \cong M_{d^2}(N)$ .

**Isaac Goldbring** *Model theory and von Neumann algebras*

**Exercise:** Let  $N$  be a tracial von Neumann algebra, and construct  $N^\omega$  the tracial ultrapower, where  $\omega$  is a non principal ultrafilter on the natural numbers. Note that  $N$  embeds diagonally in  $N^\omega$ . Suppose  $N' \cap N^\omega$  is not contained in the diagonal embedding of  $N$ , we will show in this exercise that  $N' \cap N^\omega$  is infinite dimensional.

- (a) Suppose  $x_0 = (a_i)_\omega$  is an element of  $N' \cap N^\omega$  that is not in the diagonal embedding of  $N$ . Show that there is an  $\epsilon > 0$  such that for all  $y \in N$ ,  $\lim_{i \rightarrow \omega} \|a_i - y\|_2 > \epsilon$ .
- (b) Show that there exists  $x_1 \in N' \cap N^\omega$  such that  $\|x_0\| = \|x_1\|$  and  $\|x_0 - x_1\|_2 > \epsilon/2$ .  
[Hint: try working with the same  $x_0$ , but speeding up the sequence.]
- (c) Show that one can find a sequence  $x_i$  as above, such that they all have the same norm, and they are pairwise 2-norm distance  $\epsilon/2$  apart. Conclude that the commutant is infinite dimensional.

**Sam Kim** *An introduction to Operator Systems*

For a  $C^*$ -algebra, let  $M_{m,n}(A)$  denote the vector space of  $m \times n$ -matrices with entries in  $A$ . For all  $n \geq 1$ , let  $1_n$  denote the identity element in  $M_n(A)$ . It follows from [Theorem 3.10,  $C^*$ -Algebra Notes] that for a  $C^*$ -algebra  $A$ , an element  $a \in A$  is positive if and only if there is some  $b \in A$  such that  $a = b^*b$ . The proof of the following lemma is almost exactly the same as the proof of [Lemma 9.16,  $C^*$ -Algebra Notes] and you can feel free to fill in the details if you are interested.

**Lemma.** Let  $m, n \geq 1$ . Let  $A \in M_n(B(H))$  be a positive operator, let  $X \in M_{m,n}(B(H))$ , and let  $\lambda$  be a non-negative number. We have the inequality

$$\begin{bmatrix} \lambda \cdot 1_m & X \\ X^* & A \end{bmatrix} \geq 0$$

if and only if  $X^*X \leq \lambda A$ .

The next two exercises use the above result to give us alternative ways to describe multiplication in a  $C^*$ -algebra.

**Exercise (Walter's Lemma):** Let  $U, V \in B(H)$  be unitary operators and let  $X \in B(H)$ . Show that  $X = UV$  if and only if

$$\begin{bmatrix} 1 & U & X \\ U^* & 1 & V \\ X^* & V^* & 1 \end{bmatrix} \geq 0.$$

**Exercise<sup>1,2</sup>:** Let  $X, Y, Z \in B(H)$  be contractions. Show that  $Z = XY$  if and only if for all  $B \in B(H)$ , we have the norm identity

$$\left\| \begin{bmatrix} 2 \cdot 1 & X & -Z & B \\ 0 & Y^* & 1 & 0 \end{bmatrix} \right\|^2 = \left\| \begin{bmatrix} 2 \cdot 1 & X & -Z & B \end{bmatrix} \right\|^2.$$

[Hint: you may require the following two facts.

- (1) For any  $m \times n$ -block matrix  $X$ ,  $\|X^*X\| = \|X\|^2$ .

<sup>1</sup>This is a result of David Blecher and Matthew Neal

<sup>2</sup>This was also used by Isaac Goldbring and Thomas Sinclair to show that the class of unital  $C^*$ -algebras is *first order axiomatizable* in the language of operator systems (see Isaac Goldbring's talk).

- (2) For a positive operator  $T$ , we have the inequality  $T \leq \|T\|1$ . ]

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## Lecture Exercises

**C\*.1** Let  $\pi : A \rightarrow B$  be a surjective  $*$ -homomorphism between  $C^*$ -algebras and  $b \in B$  a self-adjoint element. Show that  $b$  lifts to a self-adjoint element  $a \in A$  with  $\pi(a) = b$  and  $\|a\| = \|b\|$ .

**W\*.1** Suppose that  $\Gamma$  is a discrete group. We say that  $\Gamma$  is **inner amenable** if the representation  $\pi : \Gamma \rightarrow \mathcal{U}(\ell^2(\Gamma \setminus \{e\}))$  given by

$$\pi(g)(\xi(x)) = \xi(g^{-1}xg) \quad \forall \xi \in \ell^2(\Gamma \setminus \{e\}), x \in \Gamma \setminus \{e\}, g \in \Gamma$$

has a sequence of unit vectors  $(\xi_n)_{n=1}^\infty$  such that

$$\|\pi_g(\xi_n) - \xi_n\|_2 \rightarrow 0 \quad \forall g \in \Gamma$$

- (a) Show that any non-inner amenable group is an i.c.c. group.
- (b) Let  $\Gamma = \Gamma_1 * \Gamma_2$  with  $|\Gamma_1| \geq 2$ ,  $|\Gamma_2| \geq 3$ . Show that  $\Gamma$  is an i.c.c. group that is not inner amenable by showing that  $\pi$ , as described above, has spectral gap. Conclude that  $L(\Gamma) \not\cong L(S_\infty)$ .