

# Double orthodontia formulas and Lascoux positivity

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# Outline

- Schubert polynomials and flagged Weyl modules
- Orthodontia formula for flagged Weyl modules
  - ▶ and key positivity of their dual characters
- Orthodontia formula for double Grothendieck polynomials
  - ▶ and a curious Lascoux positivity result

**Goal:** Analogue of flagged Weyl module for Grothendieck polynomials.

# Schubert polynomials

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## Definition

The  $i$ -th divided difference operator is

$$\partial_i(f) := \frac{f - s_i \cdot f}{x_i - x_{i+1}},$$

for  $i \in [n - 1]$ . ( $s_i \cdot f := f(x_1, \dots, x_{i+1}, x_i, \dots, x_n)$ )

## Definition

For  $w \in S_n$ , recursively define *Schubert polynomials*:

$$\mathfrak{S}_w(\mathbf{x}) = \begin{cases} x_1^{n-1} x_2^{n-2} \dots x_{n-1} & \text{if } w = w_0 \\ \partial_i(\mathfrak{S}_{ws_i}(\mathbf{x})) & \text{if } \ell(w) < \ell(ws_i). \end{cases}$$

# Schur polynomials

## Example

Schur polynomials  $s_\lambda := \text{ch}(V_\lambda)$  are  $\mathfrak{S}_w$  for “Grassmannian  $w$ ”.

(The  $\text{GL}_n$ -irreps  $V_\lambda$  are “representation-theoretic avatars” of Grassmannian  $\mathfrak{S}_w$ .)

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$$[X_u] \cdot [X_v] = \sum_w c_{uv}^w [X_w] \quad \rightsquigarrow \quad V_\lambda \otimes V_\mu = \bigoplus_\nu V_\nu^{\oplus c_{\lambda\mu}^\nu}$$

intersection nos.  $\rightsquigarrow$  multiplicities of irreps

$c_{uv}^w$ : “Littlewood–Richardson coefficients”

**Central problem:** Combinatorial formula for  $c_{uv}^w$ ?

# Rothe diagrams

(Towards representation-theoretic avatars of general  $\mathfrak{S}_w$ )

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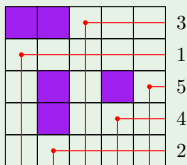
$$w \rightsquigarrow D(w) \quad \text{“Rothe diagram”}$$

## Definition

- Draw  $n \times n$  grid with dots in  $i$ -th row and  $w(i)$ -th column
- Draw “death rays” emanating east and south of each dot
- Remaining squares are  $D(w)$ .

## Running Example

$D(31542)$ :





# Flagged Weyl modules

$$D \rightsquigarrow \mathcal{M}_D \quad \text{“flagged Weyl module”}$$

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Theorem (Kraśkiewicz–Pragacz '87)

The dual character  $\mathrm{ch}^*(\mathcal{M}_{D(w)})$  is the Schubert polynomial  $\mathfrak{S}_w$ .

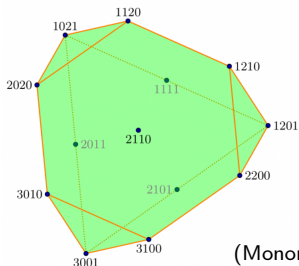
(Dual character of  $V$  is  $\mathrm{ch}^*(V)(x_1, \dots, x_n) = \mathrm{tr}(\mathrm{diag}(x_1^{-1}, \dots, x_n^{-1}): V \rightarrow V)$ .)

# Monomials in $\mathfrak{S}_w$

(What does  $\mathcal{M}_D$  buy us?)

## Question

Assume that  $\mathbf{x}^{\alpha-\beta}$  and  $\mathbf{x}^{\alpha+\beta}$  appear in  $\mathfrak{S}_w$ . Does  $\mathbf{x}^\alpha$  appear?

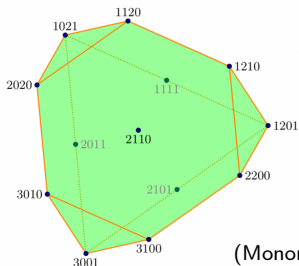


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## Conjecture (Monical–Tokcan–Yong '19)

$\mathcal{N}(w) := \{\text{wt}(C) : \mathbf{x}^{\text{wt}(C)} \text{ appears in } \mathfrak{S}_w\}$  is saturated.

(Saturated:  $S = \text{conv}(S) \cap \mathbb{Z}^n$ .)

## Monomials in $\mathfrak{S}_w$ , II

Theorem (Fink–Mészáros–St. Dizier '18)

$\mathcal{N}(D) := \{\text{wt}(C) : \mathbf{x}^{\text{wt}(C)} \text{ appears in } \text{ch}^*(\mathcal{M}_D)\}$  is saturated.

Idea: use rep theory description of monomials in  $\text{ch}^*(\mathcal{M}_D)$ .

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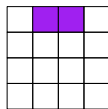
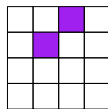
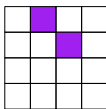
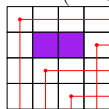
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- $\mathcal{S}(D) := \{\text{"diagrams obtained by bubbling boxes of } D \text{ upwards"}\}$

$D = D(1423)$



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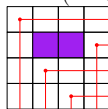
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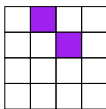
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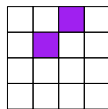
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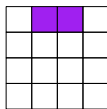
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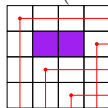
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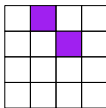
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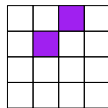
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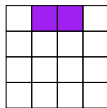
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Also in Fink–Mészáros–St. Dizier:  $\text{conv}(\mathcal{N}(D))$  is a *generalized permutahedron*.



# $\%_0$ -avoiding diagrams

(Towards the *orthodontia formula* computing  $\text{ch}^*(\mathcal{M}_D)$ )

Definition (Reiner–Shimozono '98)

$D$  is  $\%_0$ -avoiding if it does not have any instance of:



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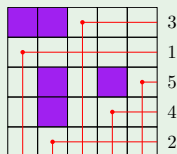
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Proposition

The Rothe diagram  $D(w)$  is %-avoiding for all  $w \in S_n$ .

Running Example



# Orthodontic sequence

$D_j := j$ -th column of a diagram  $D$

## Proposition (Reiner–Shimozono '98)

If  $D$  is %-avoiding, it can be reduced to the empty diagram via:

- Remove columns:  $D \mapsto D \setminus D_j$  when  $D_j = [i]$
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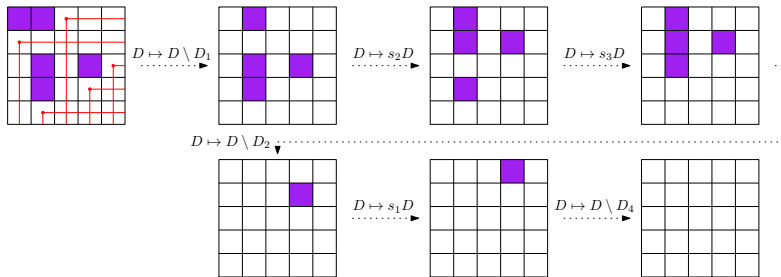
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$$\pi_i(f) := \partial_i(x_i f).$$

Theorem (Magyar '98, "orthodontia formula")

Let  $D$  be a %-avoiding diagram. Then:

- $\text{ch}^*(\mathcal{M}_D) = x_1 \dots x_i \cdot \text{ch}^*(\mathcal{M}_{D \setminus D_j})$  if  $D_j = [i]$ .
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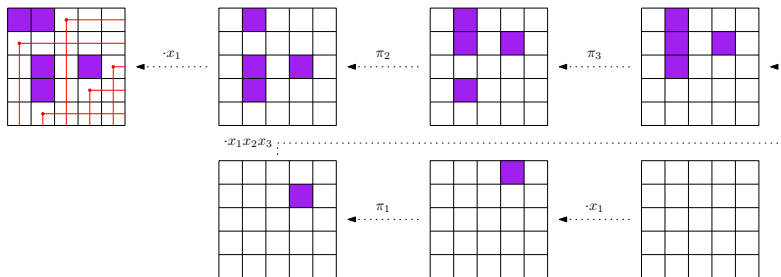
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Proof involves:  $\mathcal{M}_D \cong \{\text{sections of a line bundle on a variety}\}$ .

Uses comb. of chamber sets (Leclerc–Zelevinsky), geom. of Frobenius splitting (Van der Kallen).

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## Corollary (Magyar '98)

For any  $\%$ -avoiding diagram  $D$ , the dual character  $\text{ch}^*(\mathcal{M}_D)$  can be obtained from  $1 \in \mathbb{C}[\mathbf{x}]$  by applying various  $\cdot x_1 \dots x_i$  and  $\pi_i$ .

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For  $\alpha \in \mathbb{Z}_{\geq 0}^n$ , recursively define *key polynomials*:

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## Lemma (Reiner–Shimozono '98)

For any  $k$  and  $\alpha$ , the polynomial  $x_1 \cdots x_k \cdot \kappa_\alpha$  is a  $\mathbb{Z}_{\geq 0}$ -linear combination of key polynomials.

# What does orthodontia buy us?

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# Double Grothendieck polynomials

Double Grothendieck polynomials  $\mathfrak{G}_w(\mathbf{x}; \mathbf{y})$  are lifts of structure sheaves of Schubert varieties  $[\mathcal{O}_{X_w}] \in K_T^*(\mathcal{F}l_n)$ .

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## Definition

For  $w \in S_n$ , recursively define *double Grothendieck polynomials*:

$$\mathfrak{G}_w(\mathbf{x}; \mathbf{y}) = \begin{cases} \prod_{i+j \leq n} (x_i + y_j - x_i y_j) & \text{if } w = w_0 \\ \bar{\partial}_i(\mathfrak{G}_{ws_i}(\mathbf{x}; \mathbf{y})) & \text{if } \ell(w) < \ell(ws_i), \end{cases}$$

where  $\bar{\partial}_i(f) := \partial_i((1 - x_{i+1})f)$ .

Lowest degree part of  $\mathfrak{G}_w(\mathbf{x}; \mathbf{0})$  is  $\mathfrak{S}_w$ .



# Flagged Weyl modules in $K$ -theory

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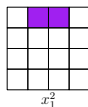
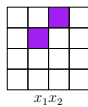
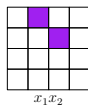
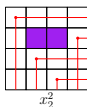
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Pechenik–Speyer–Weigandt '24:

- $\deg(\mathfrak{G}_w) = \text{raj}(w)$
- $\mathfrak{G}_w^{\text{top}}(\mathbf{x}; \mathbf{y}) = f(\mathbf{x})g(\mathbf{y})$

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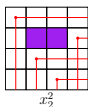
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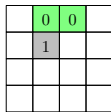
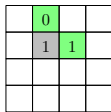
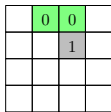
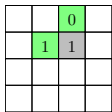
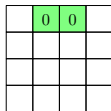
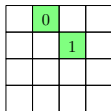
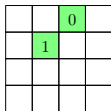
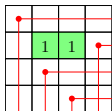


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Hafner–Mészáros–S.–St. Dizier '24:  $\{\text{monomials in vexillary } \mathfrak{S}_w(\mathbf{x}; \mathbf{0})\}$ .



(What is the rep-theoretic meaning of this?)

# Flagged Weyl modules in $K$ -theory

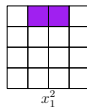
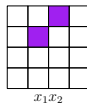
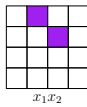
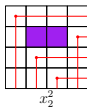
Combinatorics of  $\mathfrak{S}_w$  often extends to  $\mathfrak{S}_w(\mathbf{x}; \mathbf{y})$ .

## Goal

*What is the analogue of  $\mathcal{M}_D$  for  $\mathfrak{S}_w$ ?*

- Want {monomials in  $\mathfrak{S}_w$ }:

$\mathfrak{S}_w^{\text{top}}$ : Pechenik–Speyer–Weigandt '24  
Vexillary  $\mathfrak{S}_w(\mathbf{x}; \mathbf{0})$ : HMSS '24



- Want to “access”  $\mathfrak{S}_D$  for  $\%$ -avoiding  $D$ :
  - ▶ To use for induction purposes

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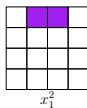
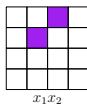
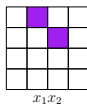
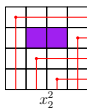
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- Want to “access”  $\mathfrak{S}_D$  for  $\%$ -avoiding  $D$ :
  - ▶ To use for induction purposes
  - ▶ To collect certain  $\mathfrak{S}_D$  together into generating functions

$$\sum_{\mathbf{m}} \mathfrak{S}_{D(\mathbf{m})} \cdot \mathbf{t}^{\mathbf{m}}$$

cf. generating function  $\sum_{\lambda} s_{\lambda}(\mathbf{x}) \mathbf{t}^{\lambda} = \text{ch}(\mathbb{C}[G/U])$

# Orthodontia for double Grothendieck polynomials

Schubert story:

## Theorem (Magyar '98, "orthodontia formula")

Let  $D$  be a %-avoiding diagram. Then:

- $\text{ch}^*(\mathcal{M}_D) = x_1 \dots x_i \cdot \text{ch}^*(\mathcal{M}_{D \setminus D_j})$  if  $D_j = [i]$ .
- $\text{ch}^*(\mathcal{M}_D) = \pi_i(\text{ch}^*(\mathcal{M}_{S_i D}))$  when  $i \in D_k$  implies  $i + 1 \in D_k$  for all  $k$ .

## Theorem (Kraśkiewicz–Pragacz '87)

The dual character  $\text{ch}^*(\mathcal{M}_{D(w)})$  is the Schubert polynomial  $\mathfrak{S}_w$ .

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For %-avoiding  $D$ , define  $\mathcal{G}_D \in \mathbb{C}[\mathbf{x}, \mathbf{y}]$  so that  $\mathcal{G}_{D(w)} = \mathfrak{S}_w(\mathbf{x}; \mathbf{y})$ .

Easier goal: Define  $\mathcal{G}_D \in \mathbb{C}[\mathbf{x}]$  so that  $\mathcal{G}_{D(w)} = \mathfrak{S}_w(\mathbf{x}; \mathbf{0})$ .

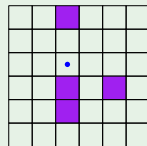


# Orthodontia algorithm

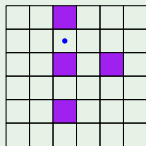
## Definition

Let  $C$  be the leftmost nonempty, non-up-aligned column of  $D$ . The *first missing tooth* is the minimal  $i$  so that  $i \notin C$  and  $i + 1 \in C$ .

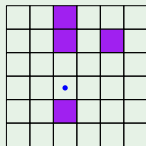
## Example



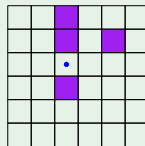
$i := 3$



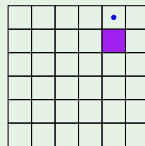
$i := 2$



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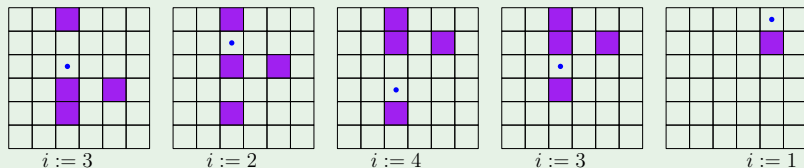
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# Orthodontia algorithm

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- 1 Remove any columns  $D_j = [i]$
- 2 Swap rows  $i$  and  $i + 1$ , for  $i :=$  first missing tooth
- 3 Repeat steps 1 & 2 until empty

# Orthodontia for ordinary Grothendieck polynomials

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$$\bar{\pi}_i := \pi_i((1 - x_{i+1})f)$$

## Definition (Mészáros–S.–St. Dizier '22)

For %-avoiding  $D$ , define  $\mathcal{G}_D \in \mathbb{C}[\mathbf{x}]$  recursively:

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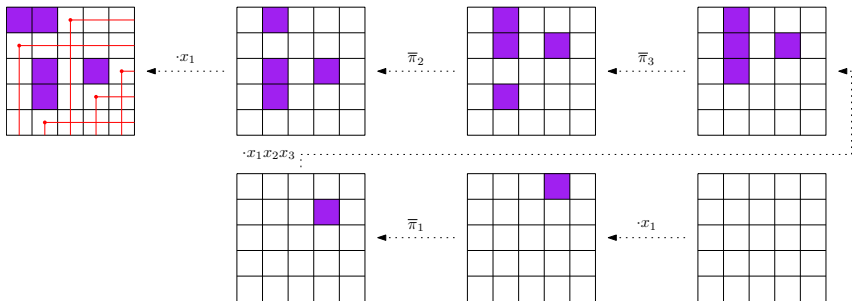
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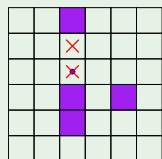


# Orthodontia algorithm, II

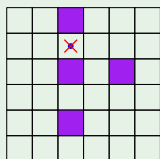
## Definition

Let  $D_k$  be the leftmost nonempty column of  $D$ . Let  $i$  be the first missing tooth and  $j := k - \#\{a \leq i : a \notin D_k\}$ . The *first missing double-tooth* is  $(i, j)$ .

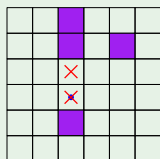
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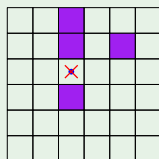
$$i := 3$$
$$j := 1$$



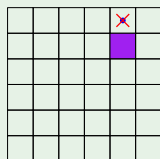
$$i := 2$$
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$$i := 4$$
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# Double orthodontic polynomials

## Goal

For %-avoiding  $D$ , define  $\mathcal{G}_D \in \mathbb{C}[\mathbf{x}, \mathbf{y}]$  so that  $\mathcal{G}_{D(w)} = \mathfrak{G}_w(\mathbf{x}; \mathbf{y})$ .

$$\bar{\omega}_i^{\{j\}} := \prod_{k=1}^i (x_k + y_j - x_k y_j)$$
$$\bar{\pi}_{i,j} := \bar{\partial}_i((x_i + y_j - x_i y_j)f)$$

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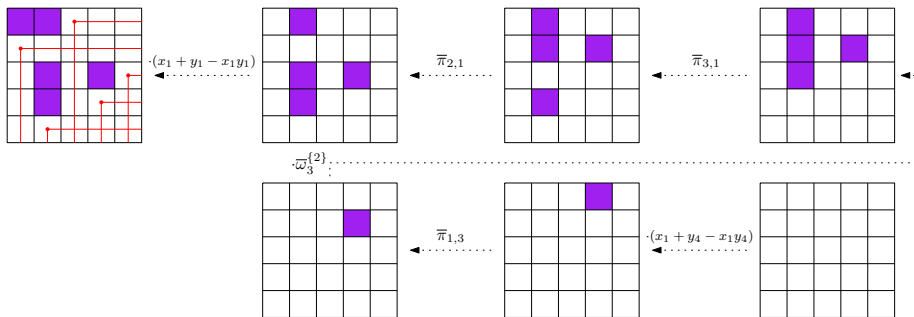
When  $D = D(w)$  is a Rothe diagram,  $\mathcal{G}_D = \mathfrak{G}_w(\mathbf{x}; \mathbf{y})$ .



# Orthodontia for double Grothendieck polynomials

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When  $D = D(w)$  is a Rothe diagram,  $\mathcal{G}_D = \mathfrak{G}_w(\mathbf{x}; \mathbf{y})$ .



$$\left(\bar{w}_3^{(2)} := (x_1 + y_2 - x_1y_2)(x_2 + y_2 - x_2y_2)(x_3 + y_2 - x_3y_2)\right)$$

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When  $D = D(w)$  is a Rothe diagram,  $\mathcal{G}_D = \mathfrak{G}_w(\mathbf{x}; \mathbf{y})$ .

$\text{ch}^*(\mathcal{M}_D)$  is invariant under reordering columns, but  $\mathcal{G}_D$  is not.

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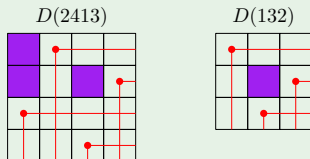
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## Example

$$\mathfrak{G}_{2413}(\mathbf{x}) = x_1 x_2 \mathfrak{G}_{132}(\mathbf{x})$$

$$\mathfrak{G}_{2413}(\mathbf{x}; \mathbf{0}) = x_1 x_2 \mathfrak{G}_{132}(\mathbf{x}; \mathbf{0})$$

$$\mathfrak{G}_{2413}(\mathbf{x}; \mathbf{y}) \neq g(\mathbf{x}, \mathbf{y}) \cdot \mathfrak{G}_{132}(\mathbf{x}; \mathbf{y}) \quad \text{for any } g$$

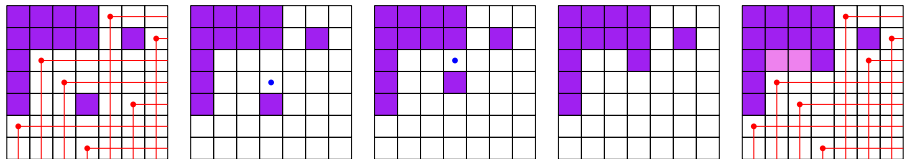


# Orthodontia for double Grothendieck polynomials, III

## Theorem (S.–St. Dizier)

When  $D = D(w)$  is a Rothe diagram,  $\mathcal{G}_D = \mathfrak{G}_w(\mathbf{x}; \mathbf{y})$ .

Proof idea: “Find almost-Rothe-diagrams in reduction sequence for  $D(w)$ ”

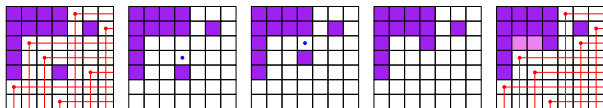


# Orthodontia for double Grothendieck polynomials, III

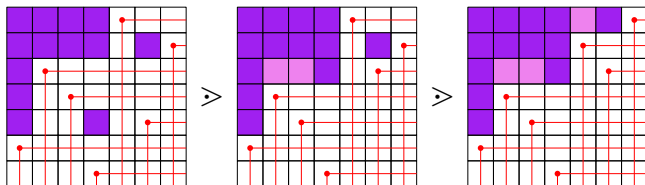
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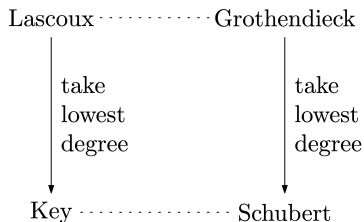
“orthodontic sort”:



(what's the geometric meaning of this?)

# Lascoux polynomials

Lascoux polynomials are “ $K$ -theoretic analogues” of key polynomials:



## Definition

For  $\alpha \in \mathbb{Z}_{\geq 0}^n$ , recursively define *Lascoux polynomials*:

$$\mathfrak{L}_{\alpha}(\mathbf{x}) = \begin{cases} x_1^{\alpha_1} \cdots x_n^{\alpha_n} & \text{if } \alpha_1 \geq \cdots \geq \alpha_n \\ \bar{\pi}_i(\mathfrak{L}_{s_i \alpha}(\mathbf{x})) & \text{if } \alpha_i < \alpha_{i+1}, \end{cases}$$

where  $\bar{\pi}_i(f) := \pi_i((1 - x_{i+1})f)$ .

# Double Lascoux polynomials...?

$$\alpha \rightsquigarrow D(\alpha) \quad \text{“skyline diagram”}$$

## Example

$$\alpha = (3, 1, 2, 0, 1) \rightsquigarrow D(\alpha) = \begin{array}{|c|c|c|} \hline \color{purple} \blacksquare & \color{purple} \blacksquare & \color{purple} \blacksquare \\ \hline \color{purple} \blacksquare & \square & \square \\ \hline \color{purple} \blacksquare & \color{purple} \blacksquare & \square \\ \hline \square & \square & \square \\ \hline \color{purple} \blacksquare & \square & \square \\ \hline \end{array}$$

## Observation (Mészáros–S.–St. Dizier, '22)

When  $D = D(\alpha)$  is a skyline diagram,  $\mathcal{G}_D = \mathfrak{L}_\alpha(\mathbf{x})$ .

Who is  $\mathcal{G}_{D(\alpha)}(\mathbf{x}; \mathbf{y})$ ? And what about reordered-column  $D(\alpha)$ 's?

# A curious Lascoux positivity conjecture

$\mathcal{G}_D^{\text{bot}}$  := lowest degree part of  $\mathcal{G}_D$ .

( $\mathcal{G}_{D(w)}^{\text{bot}}(\mathbf{x}; -\mathbf{y})$  is the *double Schubert polynomial*.)



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## Conjecture (S.–St. Dizier)

If  $D$  is %-avoiding,  $x_1^n \dots x_n^n \mathcal{G}_D^{\text{bot}}(x_n^{-1}, \dots, x_1^{-1}; -1, \dots, -1)$  is a graded nonnegative sum of Lascoux polynomials.

## Example

The polynomial  $x_1^4 x_2^4 x_3^4 x_4^4 \mathcal{G}_{D(2143)}^{\text{bot}}(x_4^{-1}, x_3^{-1}, x_2^{-2}, x_1^{-1}; -1, -1, -1, -1)$  is

$$x_1^4 x_2^3 x_3^4 x_4^3 + x_1^4 x_2^4 x_3^4 x_4^2 + x_1^4 x_2^4 x_3^3 x_4^3 - x_1^4 x_2^3 x_3^4 x_4^4 - x_1^4 x_2^4 x_3^3 x_4^4 - 4x_1^4 x_2^4 x_3^4 x_4^3 + 3x_1^4 x_2^4 x_3^4 x_4^4$$

which is

$$(\mathfrak{L}_{(4,3,4,3)} + \mathfrak{L}_{(4,4,4,2)}) - (\mathfrak{L}_{(4,3,4,4)} + 2\mathfrak{L}_{(4,4,4,3)}) + \mathfrak{L}_{(4,4,4,4)}$$

# A curious Lascoux positivity conjecture, II

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## Proof??

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Orthodontia:  $x_1^n \dots x_n^n \mathcal{G}_D^{\text{bot}}(x_n^{-1}, \dots, x_1^{-1}; -1, \dots, -1)$  is obtained from the polynomial 1 by applying

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# A curious Lascoux positivity conjecture, II

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**Conjecture:** The product  $\mathfrak{L}_\alpha \cdot x_1 \dots x_i (1 - x_{i+1}) \dots (1 - x_n)$  is graded Lascoux positive. (cf. key positivity of  $\kappa_\alpha \cdot x_1 \dots x_i$ ) □

# A curious Lascoux positivity result

## Corollary (S.–St. Dizier)

*When the columns of  $D$  can be ordered by inclusion, the polynomial  $x_1^n \cdots x_n^n \mathcal{G}_D^{\text{bot}}(x_n^{-1}, \dots, x_1^{-1}; -1, \dots, -1)$  is a graded nonnegative sum of Lascoux polynomials.*

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## Sketch.

In this case,  $x_1^n \dots x_n^n \mathcal{G}_D^{\text{bot}}(x_n^{-1}, \dots, x_1^{-1}; -1, \dots, -1)$  can be obtained from  $f \mapsto x_1 \dots x_i(1 - x_{i+1}) \dots (1 - x_n)f$ , followed by  $f \mapsto \bar{\pi}_i(f)$ .

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$\rightsquigarrow$  Suffices to show products of  $x_1 \dots x_i(1 - x_{i+1}) \dots (1 - x_n)$  are graded Lascoux positive.



# A curious Lascoux positivity result

## Corollary (S.–St. Dizier)

When the columns of  $D$  can be ordered by inclusion, the polynomial  $x_1^n \dots x_n^n \mathcal{G}_D^{\text{bot}}(x_n^{-1}, \dots, x_1^{-1}; -1, \dots, -1)$  is a graded nonnegative sum of Lascoux polynomials.

( $D(w)$  ordered by inclusion  $\iff w$  vexillary.)

## Sketch.

In this case,  $x_1^n \dots x_n^n \mathcal{G}_D^{\text{bot}}(x_n^{-1}, \dots, x_1^{-1}; -1, \dots, -1)$  can be obtained from  $f \mapsto x_1 \dots x_i(1 - x_{i+1}) \dots (1 - x_n)f$ , followed by  $f \mapsto \bar{\pi}_i(f)$ .

$\rightsquigarrow$  Suffices to show products of  $x_1 \dots x_i(1 - x_{i+1}) \dots (1 - x_n)$  are graded Lascoux positive.

Follows from Orelowitz–Yu '23:  $G_w \cdot \mathfrak{L}_\alpha$  is graded Lascoux positive.

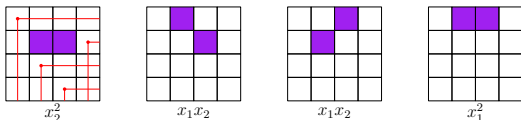
( $G_w :=$  stable Grothendieck)



# Thank you!

## Goal

Find analogue of  $\mathcal{M}_D$  for Grothendieck polynomials.



## Theorem (S.–St. Dizier)

When  $D = D(w)$  is a Rothe diagram,  $\mathcal{G}_D = \mathfrak{G}_w(\mathbf{x}; \mathbf{y})$ .

