Counting Admissible Orderings of a Pinnacle Set

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Definition

If $\pi = \pi_1 \dots \pi_n$ is a permutation in the symmetric group S_n then its *pinnacle set* is

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It is also possible to go backwards by first specifying a desired pinnacle set $P \subseteq [n]$ and then asking if there exists any $\pi \in S_n$ such that $Pin \pi = P$. If such a π exists, we say it is a *witness* to the pinnacle set. If P has a witness, we say it is an *admissible pinnacle set*.

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Example: n = 9 and $P = \{5, 6, 9\}$ has witness $\pi = 152639478$

However, $P = \{3, 4\}$ is not an admissible pinnacle set because there are not enough small non-pinnacles to surround the 3 and the 4.

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However, there is no witness where the pinnacles appear in the order 475 since this would require four elements less than both 4 and 5 rather than just three.

If a particular ordering of P has a witness, we say that it is an *admissible* ordering of P.

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Let ω be an ordering of a set S. Then for any permutation π of a set containing S we say that $\operatorname{Ord} \pi = \omega$ if the elements of S in π appear in order ω .

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Example: Suppose n = 9, $P = \{5, 7, 8\}$, and $\pi = 386452719$.

Then $\operatorname{Ord} \pi = 857$.

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Let ω any ordering of P. Then the set of all admissible orderings of P is

$$O(P) = \{ \omega \mid \text{there exists } \pi \in S_n \text{ with } \operatorname{Ord} \pi = \omega, \operatorname{Pin} \pi = P \}.$$

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This question has received a lot of attention. Currently the fastest known algorithms, one of them given by Fang in 2021 and one by myself, have run time $O(k^2 \log n + k^4)$ where k is the number of elements in P.

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For the rest of the talk, we will suppose that our given set P has k elements. We would like to find a formula or algorithm for computing o(P) which, in principle, would depend on n (the length of π) and k.

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Actually, this problem is independent of n, which is out first result.

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Suppose $P \subseteq [n]$ with |P| = k and let ω be some ordering of the elements in P. Then there exists a permutation π of the elements [n] with $Ord \pi = \omega$ and $Pin \pi = P$ if and only if there exists a permutation π' of the elements $N_{k+1} \cup P$ with $Ord \pi' = \omega$ and $Pin \pi' = P$.

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In essence, this says that if we have a witness π to an ordering, then we should be able to find a witness π' to that same ordering where the largest non-pinnacles are removed and the remaining non-pinnacles alternate with the pinnacles.

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Example: Suppose n = 8 and $P = \{7, 8\}$. Then $\omega = 78$ is admissible because we have $\pi = 43176852$. The theorem states there ought to be a witness using only the non-pinnacles 1, 2, and 3, and there is: $\pi' = 17382$.

The reverse direction of this theorem is easy because given some witness π' on the elements $N_{k+1} \cup P$ to an ordering, we may simply add on all the missing non-pinnacles to the end of π' in increasing order to get a permutation $\pi \in S_n$ with the same ordering.

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Then if $\pi' = 13274$, we can define $\pi = 132745689 \in S_9$

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By standardizing our result, we can always reduce to a case where the pinnacles not only alternate with the non-pinnacles, but where the witness is in S_{2k+1} .

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In what follows, we will assume the case where n = 2k + 1 so that pinnacles and non-pinnacles alternate.

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▶ If $P = \{1, 2, 5\}$, then $N = \{3, 4, 6, 7\}$, $p_1 = 1$, $n_1 = 3$, and $P \cup N \setminus \{p_1, n_1\} = \{2, 4, 5, 6, 7\}$. So $r(P) = \{1, 3\}$.

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Note that $n_1 < p_1$ implies $r(P) = \{p_2 - 2 < \cdots < p_k - 2\}$

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Let ω be an ordering of the elements $P \cup N_i$ for some *i*. Then we let

$$O_i(P) = \{ \omega \mid \text{there exists } \pi \in S_{2k+1} \text{ with } \operatorname{Ord} \pi = \omega, \operatorname{Pin} \pi = P \}$$

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In essence, $O_i(P)$ is a set of orderings in which we keep track of not only the desired pinnacle values in P, but also some of the smallest elements not in P.

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- $O_3(P) = \{13254, 23154, 45132, 45231\}$ which is every permutation that has pinnacle set *P*. In general however, we will not need to consider $O_i(P)$ where *i* is larger than the smallest element in *P*.

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Theorem

Suppose $i \ge 0$, P is non-empty, and $i < p_1$. Suppose further that for some $j \in \{0, 1, 2\}$ we have $O_i^j(P) \ne \emptyset$. Then if we let P' = r(P), we have the following

$$o_i^j(P) = \begin{cases} i(i-1)o_{i-1}(P') & \text{if } j = 2, \\ 2io_i(P') & \text{if } j = 1, \\ o_{i+1}(P') & \text{if } j = 0. \end{cases}$$

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 $\pi = \textbf{341658397} \longrightarrow \textbf{0658397} \longrightarrow \textbf{1436275}$

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Next, we start with our ordering in $O_2(P')$ and standardize it to the set $(P \setminus \{p_1\}) \cup (N_i \setminus \{x, y\}) \cup \{0\}$. Then we replace the 0 with the factor we formed.

 $14627 \longrightarrow 06829 \longrightarrow 3416829$

The witness that shows this ordering is admissible is gotten by doing these same steps on some original witness, except now we standardize to $[2k + 1] \setminus \{p_1, x, y\} \cup \{0\}.$

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Want to show:

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 $\pi = \mathbf{1436275} \longrightarrow \mathbf{0658297} \longrightarrow \mathbf{341658297}$

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Suppose $i \ge 0$, P is non-empty, and $i < p_1$. Suppose further that for some $j \in \{0, 1, 2\}$ we have $O_i^j(P) \ne \emptyset$. Then if we let P' = r(P), we have the following

$$o_i^j(P) = \begin{cases} i(i-1)o_{i-1}(P') & \text{if } j = 2, \\ 2io_i(P') & \text{if } j = 1, \\ o_{i+1}(P') & \text{if } j = 0. \end{cases}$$

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Given some set P with smallest element p_1 , we make the following definition:

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But $P' = \{3\}$ and $O_2(P') = \{132, 231\}$ is nonempty, and therefore will contribute to the sum if the $\delta_{i+1} = \delta_3$ didn't kill it.

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We can find the number of admissible orderings by starting with $o_0(P) = o(P)$. Each time we apply the recursion the number of elements in P goes down by one until we hit our base case when $P = \emptyset$, where we will always have only one ordering.

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Let us denote m applications of the reduction operator by P^m .

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m must increase whenever i increases, i can never exceed m.

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Question

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Additional work

There are still some open questions about pinnacle sets.

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Let $p_n(P)$ be the number of permutations $\pi \in S_n$ with pinnacle set P.

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Let $p_n(P)$ be the number of permutations $\pi \in S_n$ with pinnacle set P.

In addition to this recursion, I was also able to give a combinatorial proof of a weighted sum of $p_n(Q)$ over all $Q \subset P$ originally proven by Fang in 2021, and I was also able to come up with a recursion to compute $p_n(P)$ directly.

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Question

Does there exist a way of computing $p_n(P)$ that has a faster run time than $O(k^2 \log(n) + k^4)$?

Thank you! $P \cup N \in [10]$ -ded (pun intended)

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