ON SUBMANIFOLDS IN LOCALLY SYMMETRIC SPACES OF NON-COMPACT TYPE

JEAN-FRANÇOIS LAFONT AND BENJAMIN SCHMIDT

Abstract. Given a connected, compact, totally geodesic submanifold $Y^m$ of non-compact type inside a compact locally symmetric space of non-compact type $X^n$, we provide a sufficient condition that ensures that $[Y^m] \neq 0 \in H_m(X^n; \mathbb{R})$; in low dimensions, our condition is also necessary. We provide conditions under which there exist a tangential map of pairs from a finite cover $(\tilde{X}, \tilde{Y})$ to the non-negatively curved duals $(X_u, Y_u)$.

1. Introduction.

In this paper, we propose to study totally geodesic submanifolds inside locally symmetric spaces. Let us start by fixing some notation: $(X^n, Y^m)$ will always refer to a pair of compact locally symmetric spaces of non-compact type, with $Y^m \subset X^n$ a totally geodesic submanifold. The spaces $X^n, Y^m$ will be locally modelled on $G/K, G'/K'$ respectively, where $G, G'$ are a pair of semisimple Lie groups, and $K, K'$ are a pair of maximal compact subgroups in the respective $G, G'$. Note that, since $Y^m \subset X^n$ is totally geodesic, one can view $G'$ as a subgroup of $G$, and hence one can take $K' = K \cap G'$. We will denote by $X_u = G_u/K, Y_u = G'_u/K'$ the non-negatively curved dual symmetric spaces to the non-positively curved spaces $G/K, G'/K'$.

Note that for a pair $(X^n, Y^m)$, the submanifold $Y^m$ is always homotopically non-trivial. Indeed, the inclusion induces a monomorphism on the level of fundamental groups. A more subtle question is whether the submanifold $Y^m$ is homologically non-trivial, i.e. whether $[Y^m] \neq 0 \in H_m(X^n; \mathbb{R})$ (or in $H_m(X^n; \mathbb{Z})$). Our first result provides a criterion for detecting when a totally geodesic submanifold $Y^m$ is homologically non-trivial (over $\mathbb{R}$) in $X^n$.

Theorem 1.1. Let $Y^m \hookrightarrow X^n$ be a compact totally geodesic submanifold of non-compact type inside a compact locally symmetric space of non-compact type, and denote by $\rho$ the map on cohomology $H^m(X_u; \mathbb{R}) \to H^m(Y_u; \mathbb{R}) \cong \mathbb{R}$ induced by the embedding $Y_u \hookrightarrow X_u$. Then we have:

- if $[Y^m] = 0 \in H_m(X^n; \mathbb{R})$ then the map $\rho$ is identically zero.
- if $\rho$ is identically zero, and $m \leq m(g)$, where $m(g)$ is the Matsushima constant corresponding to the Lie algebra $g$ of the Lie group $G$, then we have that $[Y^m] = 0 \in H_m(X^n; \mathbb{R})$. 

1
Our proof of this first result is an adaptation of an argument of Matsushima [Mat], and relies on the existence of certain compatible maps (the Matsushima maps) from the real cohomology of the pair of non-negatively curved duals \((X_u, Y_u)\) to the real cohomology of the non-positively curved pair \((X^n, Y^m)\). It is reasonable to ask whether this map can be realized geometrically. Our second result, extending work of Okun [O1], shows that this can sometimes be achieved rationally.

**Theorem 1.2.** Assume that \(Y^m \hookrightarrow X^n\) is a totally geodesic embedding of compact, locally symmetric spaces of non-compact type. Furthermore, assume that the map \(G'_u \hookrightarrow G_u\) induced by the the inclusion \(Y \hookrightarrow X\) is a \(\pi_i\)-isomorphism, for \(i < m\), and a surjection on \(\pi_m\). Then there exists a finite cover \(\tilde{X} \subset X\) of \(Y^m\), with the property that there exists a tangential map of pairs \((\tilde{X}, \tilde{Y}) \to (X_u, Y_u)\). If in addition we have \(rk(G_u) = rk(K)\) and \(rk(G'_u) = rk(K')\), then the respective tangential maps induce the Matsushima maps on cohomology.

Since the tangent bundle of the submanifold \(Y^m\) Whitney sum with the normal bundle of \(Y_u\) in \(X_u\) yields the restriction of the tangent bundle of \(X^n\) to the submanifold \(Y^m\), this gives the immediate:

**Corollary 1.3.** Under the hypotheses of the preceding theorem, we have that the pullback of the normal bundle of \(Y_u\) in \(X_u\) is stably equivalent to the normal bundle of \(Y^m\) in \(X^n\).

In the previous corollary, we note that if \(2m + 1 \leq n\), then these two bundles are in fact isomorphic (see for instance [H, Ch. 8, Thm. 1.5]).

An example where the hypotheses of the Theorem 1.2 are satisfied arises in the situation where \(Y^m, X^n\) are real hyperbolic manifolds. Specializing the previous corollary to this situation, we obtain:

**Corollary 1.4.** Let \(Y^m \hookrightarrow X^n\) be a totally geodesic embedding, where \(X^n, Y^m\) are compact hyperbolic manifolds, and assume that \(2m + 1 \leq n\). Then there exists a finite cover \(\tilde{X} \subset X^n\), and a connected lift \(\tilde{Y}\) of \(Y^m\), with the property that the normal bundle of \(\tilde{Y}\) in \(\tilde{X}\) is trivial.

While the hypotheses of our second Theorem are fairly technical, we point out that there exist several examples of inclusions \(Y^m \hookrightarrow X^n\) satisfying the hypotheses of the theorem. The proof of the last corollary, as well as a discussion of some further examples will be included at the end of Section 4. Finally, we will conclude the paper with various remarks and open questions in Section 5.

**Acknowledgement:**

This research was partially conducted during the period B. Schmidt was employed by the Clay Mathematics Institute as a Liftoff Fellow.
2. Background.

In this section, we provide some discussion of the statements of our theorems. We also introduce some of the ingredients that will be used in the proofs of our results.

2.1. Dual symmetric spaces. Let us start by recalling the definition of dual symmetric spaces:

**Definition.** Given a symmetric space $G/K$ of non-compact type, we define the dual symmetric space in the following manner. Let $G_\mathbb{C}$ denote the complexification of the semi-simple Lie group $G$, and let $G_u$ denote the maximal compact subgroup in $G_\mathbb{C}$. Since $K$ is compact, under the natural inclusions $K \subset G \subset G_\mathbb{C}$, we can assume that $K \subset G_u$ (up to conjugation). The symmetric space dual to $G/K$ is defined to be the symmetric space $G_u/K$. By abuse of language, if $X = \Gamma \backslash G/K$ is a locally symmetric space modelled on the symmetric space $G/K$, we will say that $X$ and $G_u/K$ are dual spaces.

Now assume that $Y^m \hookrightarrow X^n$ is a totally geodesic submanifold, where both $Y^m$, $X^n$ are locally symmetric spaces of non-compact type. Fixing a lift of $Y$, we have a totally geodesic embedding of the universal covers:

$$\tilde{G}'/K' = \tilde{Y} \hookrightarrow \tilde{X} = G/K$$

Corresponding to this totally geodesic embedding, we get a natural commutative diagram:

$$
\begin{array}{ccc}
G' & \rightarrow & G \\
\uparrow & & \uparrow \\
K' & \rightarrow & K
\end{array}
$$

which, after passing to the complexification, and descending to the maximal compacts, yields a commutative diagram:

$$
\begin{array}{ccc}
G_u' & \rightarrow & G_u \\
\uparrow & & \uparrow \\
K' & \rightarrow & K
\end{array}
$$

In particular, corresponding to the totally geodesic embedding $Y \hookrightarrow X$, we see that there is a totally geodesic embedding of the dual symmetric spaces $G_u'/K' \hookrightarrow G/K$.

2.2. Classifying spaces. For $G$ a continuous group let $EG$ denote a contractible space which supports a free $G$-action. The quotient space, denoted $BG$, is called a classifying space for principal $G$-bundles. This terminology is justified by the fact that, for any topological space $X$, there is a bijective correspondance between (1) isomorphism classes of principal $G$-bundles over $X$, and (2) homotopy classes of maps from $X$ to $BG$. Note that the spaces $EG$ are only defined up to $G$-equivariant
homotopies, and likewise the spaces $BG$ are only defined up to homotopy. Milnor [Mil] gave a specific construction, for a Lie group $G$, of a space homotopy equivalent to $BG$. The basic fact we will require concerning classifying spaces is the following:

**Theorem 2.1.** If $H$ is a closed subgroup of the Lie group $G$, then there exists a natural map $BH \to BG$ between the models constructed by Milnor; furthermore this map is a fiber bundle with fiber the homogenous space $G/H$.

2.3. **Okun’s construction.** Okun established [O1, Theorem 5.1] the following nice result:

**Theorem 2.2.** Let $X = \Gamma \backslash G/K$ and $X_u = G_u/K$ be dual symmetric spaces. Then there exists a finite sheeted cover $\tilde{X}$ of $X$ (i.e. a subgroup $\tilde{\Gamma}$ of finite index in $\Gamma$, $\tilde{X} = \tilde{\Gamma} \backslash G/K$), and a tangential map $k : \tilde{X} \to X_u$.

This was subsequently used by Okun to exhibit exotic smooth structures on certain compact locally symmetric spaces of non-compact type (see [O2]), and by Aravinda-Farrell in their construction of exotic smooth structures on certain quaternionic hyperbolic manifolds supporting metrics of strict negative curvature [AF]. More recently, this was used by Lafont-Roy [LaR] to give an elementary proof of the Hirzebruch proportionality principle for Pontrjagin numbers, as well as (non)-vanishing results for Pontrjagin numbers of the Gromov-Thurston examples of manifolds with negative sectional curvature.

Since it will be relevant to our proof of the main theorem, we briefly recall the construction of the finite cover that appears in Okun’s argument for Theorem 2.2. Starting from the canonical principle fiber bundle

$$\Gamma \backslash G \to \Gamma \backslash G/K = X$$

with structure group $K$ over the base space $X$, we can extend the structure group to the group $G$, yielding the flat principle bundle:

$$\Gamma \backslash G \times_K G = G/K \times \Gamma G \longrightarrow \Gamma \backslash G/K = X$$

Further extending the structure group to $G_C$ yields a flat bundle with a complex linear algebraic structure group. A result of Deligne and Sullivan [DS] implies that there is a finite cover $\tilde{X}$ of $X$ where the pullback bundle is trivial; since $G_u$ is the maximal compact in $G_C$, the bundle obtained by extending the structure group from $K$ to $G_u$ is trivial as well. In terms of the classifying spaces, this yields the commutative diagram:
Upon homotoping the bottom diagonal map to a point, one obtains that the image of the horizontal map lies in the fiber above a point, i.e. inside $G_u/K$, yielding the dotted diagonal map in the above diagram. Okun then proceeds to show that the map to the fiber is the desired tangential map (since the pair of maps to $BK$ classify the respective canonical $K$-bundles on $\bar{X}$ and $G_u/K$, and the canonical $K$-bundles determine the respective tangent bundles).

2.4. Matsushima’s map. In [Mat], Matsushima constructed a map on cohomology $j^*: H^*(G_u/K; \mathbb{R}) \to H^*(X; \mathbb{R})$ whenever $X$ is a compact locally symmetric space modelled on $G/K$. We will require the following fact concerning the Matsushima map:

**Theorem 2.3** (Matsushima [Mat]). The map $j^*$ is always injective. Furthermore, there exists a constant $m(\mathfrak{g})$ (called the Matsushima constant) depending solely on the Lie algebra $\mathfrak{g}$ of the Lie group $G$, with the property that the Matsushima map $j^*$ is a surjection in cohomology up to the dimension $m(\mathfrak{g})$.

The specific value of the Matsushima constant for the locally symmetric spaces that are Kähler can be found in [Mat]. We also point out the following result of Okun [O1, Theorem 6.4]:

**Theorem 2.4.** Let $X = \Gamma \backslash G/K$ be a compact locally symmetric space, and $\bar{X}$, $t: \bar{X} \to G_u/K$ the finite cover and tangential map constructed in Theorem 2.2. If the groups $G_u$ and $K$ have equal rank, then the induced map $t^*$ on cohomology coincides with Matsushima’s map $j^*$.

3. Detecting homologically essential submanifolds.

In this section, we provide a proof of Theorem 1.1, which gives a criterion for establishing when a totally geodesic submanifold $Y \subset X$ in a locally symmetric space of non-compact type, is homologically non-trivial.

**Proof** (Theorem 1.1). In order to establish the theorem, we make use of differential forms. If a group $H$ acts on a smooth manifold $M$, we let $\Omega^H(M)$ denote the complex of $H$-invariant differential forms on $M$. Let $X = \Gamma \backslash G/K$, $Y = \Lambda \backslash G'/K'$ be the pair
of compact locally symmetric spaces, and $X_u = G_u/K$, $Y_u = G_u'/K'$ be the corresponding dual spaces. We now consider the following four complexes of differential forms: (1) $\Omega^G(G/K)$, (2) $\Omega^{G'}(G'/K')$, (3) $\Omega^\Gamma(G/K)$, and (4) $\Omega^\Lambda(G'/K')$.

We now observe that the cohomology of the first two complexes can be identified with the cohomology of $X_u, Y_u$ respectively. Indeed, we have the sequence of natural identifications:

$$\Omega^G(G/K) = H^*(\mathfrak{g}, t) = H^*(\mathfrak{g}_u, t) = \Omega^{G_u}(G_u/K)$$

The first and third equalities come from the identification of the complex of harmonic forms with the relative Lie algebra cohomology. The second equality comes via the dual Cartan decompositions: $\mathfrak{g} = t \oplus p$ and $\mathfrak{g}_u = t \oplus i_p$. Since $X_u = G_u/K$ is a compact closed manifold, and $\Omega^{G_u}(G_u/K)$ is the complex of harmonic forms on $X_u$, Hodge theory tells us that the cohomology of the complex $\Omega^{G_u}(G_u/K)$ is just the cohomology of $X_u$. The corresponding analysis holds for $\Omega^{G'}(G'/K')$.

Next we note that the cohomology of the last two complexes can be identified with the cohomology of $X, Y$ respectively. This just comes from the fact that the projection $G/K \to \Gamma \backslash G/K = X$ induces the isomorphism of complexes $\Omega^\Gamma(G/K) = \Omega(X)$, and similarly for $Y$.

Now observe that the four complexes fit into a commutative diagram of chain complexes:

$$\begin{array}{ccc}
\Omega^\Lambda(G'/K') & \xleftarrow{\phi} & \Omega^\Gamma(G/K) \\
\downarrow{\jmath_Y} & & \downarrow{\jmath_X} \\
\Omega^G(G'/K') & \xleftarrow{\psi} & \Omega^G(G/K)
\end{array}$$

Let us briefly comment on the maps in the diagram. The vertical maps are obtained from the fact that $\Gamma \leq G$, so that any $G$-invariant form can be viewed as a $\Gamma$-invariant form, and similarly for $\Lambda \leq G'$.

For the horizontal maps, one observes that $G'/K' \hookrightarrow G/K$ is an embedding, hence any form on $G/K$ restricts to a form on $G'/K'$. We also have the inclusion $\Lambda \leq \Gamma$, and hence the restriction of a $\Gamma$-invariant form on $G/K$ yields a $\Lambda$-invariant form on $G'/K'$. This is the horizontal map in the top row. One obtains the horizontal map in the bottom row similarly.

Now passing to the homology of the chain complexes, and using the identifications discussed above, we obtain a commutative diagram in dimension $m = \text{dim}(Y) = \text{dim}(G_u'/K')$:

$$\begin{array}{ccc}
\mathbb{R} \simeq H^m(Y; \mathbb{R}) & \xleftarrow{\phi^*} & H^m(X; \mathbb{R}) \\
\downarrow{\jmath_Y^*} & & \downarrow{\jmath_X^*} \\
\mathbb{R} \simeq H^m(G_u'/K'; \mathbb{R}) & \xleftarrow{\psi^*} & H^m(G_u/K; \mathbb{R})
\end{array}$$

6
Note that the two vertical maps defined here are precisely the Matsushima maps for
the respective locally symmetric spaces. Since Matsushima’s map is always injective,
and the cohomology of $H^m(G'_u/K'; \mathbb{R})$ and $H^m(Y; \mathbb{R})$ are both one dimensional, we
obtain that $j_Y^*$ is an isomorphism. Likewise $j_X^*$ is always injective, and if $m \leq m(g)$
then $j_X^*$ is also surjective (and hence $j_X^*$ is an isomorphism as well). This implies the
following two facts:

- if $\phi^*$ is identically zero, then $\psi^*$ is identically zero, and
- if furthermore $m \leq m(g)$, then both vertical maps are isomorphisms, and we
  have that $\psi^*$ is identically zero if and only if $\phi^*$ is identically zero.

Now observe that both of the horizontal maps coincide with the maps induced on
cohomology by the respective inclusions $Y \hookrightarrow X$ and $G'_u/K' \hookrightarrow G_u/K$; indeed the
maps are obtained by restricting the forms defined on the ambient manifold to the
appropriate submanifold. In particular, the map $\psi^*$ coincides with the map $\rho$
that appears in the statement of our theorem. On the other hand, from the Kronecker
pairing, the map $\phi^*$ is non-zero precisely when $[Y^m] \neq 0 \in H_m(X; \mathbb{R})$. Combining
these observations with the two facts in the previous paragraph completes the proof
of Theorem 1.1.

Remark. (1) The Matsushima map is only defined on the real cohomology (since
it passes through differential forms), and as a result, cannot be used to obtain
any information on torsion elements in $H^k(X^n; \mathbb{Z})$.

(2) We remark that the proof of Theorem 1.1 applies equally well to lower dimen-
sional cohomology (using the fact that Matsushima’s map is injective in all
dimensions), and gives the following lower dimensional criterion. Assume that
the map $H^k(X^n_u; \mathbb{R}) \to H^k(Y^m_u; \mathbb{R})$ has image containing a non-zero class $\alpha$,
and let $i(\alpha) \in H^k(Y^m_u; \mathbb{R})$ be the non-zero image class under the Matsushima
map. Then the homology class $\beta \in H_k(Y^m_u; \mathbb{R})$ dual (under the Kronecker
pairing) to $i(\alpha)$ has non-zero image in $H_k(X^n; \mathbb{R})$ under the map induced by
the inclusion $Y^m \hookrightarrow X^n$.

4. Pairs of tangential maps.

In this section, we proceed to give a proof of Theorem 1.2, establishing the existence
of pairs of tangential maps from the pair $(\bar{X}, \bar{Y})$ to the pair $(X_u, Y_u)$.

Proof (Theorem 1.2). We start out by applying Theorem 2.2, which gives us a finite
cover $\bar{X}$ of $X$ with the property that the natural composite map $\bar{X} \to BK \to BG_u$
is homotopic to a point. Note that the map above classifies the principle $G_u$ bundle
over $\bar{X}$. 7
Now let \( \tilde{Y} \hookrightarrow \tilde{X} \) be a connected lift of the totally geodesic subspace \( Y \hookrightarrow X \). Observe that, by naturality, we have a commutative diagram:

\[
\begin{array}{ccc}
G'_u/K' & \longrightarrow & G_u/K \\
\downarrow & & \downarrow \\
\tilde{Y} & \longrightarrow & \tilde{X} \\
\downarrow & & \downarrow \\
BK' & \longrightarrow & BK \\
\downarrow & & \downarrow \\
G_u/G'_u & \longrightarrow & BG'_u & \longrightarrow & BG_u \\
\end{array}
\]

By Okun’s result, the composite map \( \tilde{X} \to BG_u \) is homotopic to a point via a homotopy \( H : \tilde{X} \times I \to BG_u \). We would like to establish the existence of a homotopy \( F : \tilde{Y} \times I \to BG'_u \) with the property that the following diagram commutes:

\[
\begin{array}{ccc}
\tilde{Y} \times I & \xrightarrow{i \times Id} & \tilde{X} \times I \\
F \downarrow & & \downarrow H \\
BG'_u & \longrightarrow & BG_u \\
\end{array}
\]

Indeed, if we had the existence of such a compatible pair of homotopies, then one can easily complete the argument: since each of the vertical columns in the diagram are fiber bundles, we see that after applying the pair of compatible homotopies, the images of \((\tilde{X}, \tilde{Y})\) lies in the pair of fibers \((G_u/K, G'_u/K')\). This yields a pair of compatible lifts, yielding a commutative diagram of the form:

\[
\begin{array}{ccc}
G'_u/K' & \longrightarrow & G_u/K \\
\downarrow & & \downarrow \\
\tilde{Y} & \longrightarrow & \tilde{X} \\
\downarrow & & \downarrow \\
BK' & \longrightarrow & BK \\
\end{array}
\]

Since the pair of maps to \( BK' \) (respectively \( BK \)) classify the canonical \( K' \)-bundle structures on \( \tilde{Y}, G'_u/K' \) (respectively the canonical \( K \)-bundle structure on \( \tilde{X}, G_u/K \)), and since these bundles canonically determine the tangent bundles of these spaces (see [O1, Lemma 2.3]), commutativity of the diagram immediately gives us tangentiality of the maps \( \tilde{Y} \to G'_u/K' \) (respectively, of the map \( \tilde{X} \to G_u/K \)).
In order to show the existence of the compatible homotopy $F : \bar{Y} \times I \to BG'_u$, we start by observing that the bottom row of the commutative diagram is in fact a fibration

$$G_u/G'_u \to BG'_u \to BG_u.$$ 

Since $\bar{Y}$ is embedded in $\bar{X}$, we see that the homotopy $H$ induces by restriction a homotopy $H_i : \bar{Y} \times I \to BG_u$. Since the bottom row is a fibration, we may lift this homotopy to a homotopy $\tilde{H} : \bar{Y} \times I \to BG'_u$ with the property that $\tilde{H}_0$ coincides with the map $\bar{Y} \to BG'_u$ which classifies the canonical principle $G'_u$ bundle over $\bar{Y}$.

Unfortunately, we do not know, a priori, that the time one map $\tilde{H}_1$ maps $\bar{Y}$ to a point in $BG'_u$. Indeed, we merely know that $\tilde{H}_1(\bar{Y})$ lies in the pre-image of a point in $BG_u$, i.e. in the fiber $G_u/G'_u$. Our next goal is to establish that the map $\tilde{H}_1 : \bar{Y} \to G_u/G'_u$ is null-homotopic. If this were the case, we could concatenate the homotopy $\tilde{H}$ taking $\bar{Y}$ into the fiber $G_u/G'_u$ with a homotopy contracting $\tilde{H}_1 : \bar{Y} \to G_u/G'_u$ to a point within the fiber. This would yield the desired homotopy $F$.

In order to establish that $\tilde{H}_1 : \bar{Y} \to G_u/G'_u$ is null-homotopic, we merely note that we have the fibration

$$G'_u \to G_u \to G_u/G'_u$$

From the corresponding long exact sequence in homotopy groups, and using the fact that the inclusion $G'_u \hookrightarrow G_u$ induces a $\pi_i$-isomorphism for $i < m$ and a surjection on $\pi_m$, we immediately obtain that $\pi_i(G_u/G'_u) \cong 0$ for $i \leq m$. Since the dimension of the manifold $\bar{Y}$ is $m$, we can now conclude that the map $\tilde{H}_1$ is null-homotopic. Indeed, taking a cellular decomposition of $\bar{Y}$ with a single 0-cell, one can recursively contract the image of the $i$-skeleton to the image of the 0-cell: the obstruction to doing so lies in $\pi_i(G_u/G'_u)$, which we know vanishes. This yields that $\tilde{H}_1$ is null-homotopic, which by our earlier discussion, implies the existence of a tangential map of pairs $(\bar{X}, \bar{Y}) \to (X_u, Y_u)$. Finally, to conclude we merely point out the Okun has shown (see Theorem 2.4) that in the case where the rank of $G_u$ equals the rank of $K$, the tangential map he constructed induces the Matsushima map on cohomology. Since our construction restricts to Okun’s construction on both $X$ and $Y$, and from the hypothesis on the ranks, we conclude that the tangential map of pairs induces the Matsushima map on the cohomology of each of the two spaces. This concludes the proof of Theorem 1.2.

Remark. We observe that the argument given above, for the case of a pair $(Y^m, X^n)$, can readily be adapted to deal with any descending chain of totally geodesic submanifolds. More precisely, assume that we have a series of totally geodesic embeddings $Y_1 \subset Y_2 \subset \cdots \subset Y_k = X^n$, with the property that each $Y_j$ is a closed locally symmetric space of non-compact type. Further assume that, if $(Y_j)_u = (G_j)_u/K_j$ denotes the compact duals, the maps $(G_j)_u \hookrightarrow (G_{j+1})_u$ induced by the inclusions $\bar{Y}_j \hookrightarrow \bar{Y}_{j+1}$ are $\pi_i$ isomorphisms for $i < \dim(Y_j)$ and a surjection on $\pi_i$ ($i = \dim(Y_j)$). Then there
exists a finite cover $\bar{X}^n = \bar{Y}_k$ of $X^n$, and connected lifts $\bar{Y}_j$ of $Y_j$, having the property that:

- we have containments $\bar{Y}_j \subset \bar{Y}_{j+1}$, and
- there exists a map $(\bar{Y}_k, \ldots, \bar{Y}_1) \rightarrow ((Y_k)_u, \ldots, (Y_1)_u)$ which restricts to a tangential map from each $\bar{Y}_j$ to the corresponding $(Y_j)_u$.

This is shown by induction on the length of a descending chain. We leave the details to the interested reader.

We now proceed to showing Corollary 1.4, that is to say, that in the case where $X^n$ is real hyperbolic, and $Y^m \hookrightarrow X^n$ is totally geodesic, there exists a finite cover $\bar{X}$ of $X^n$ and a connected lift $\bar{Y}$ of $Y^m$, with the property that the normal bundle of $\bar{Y}$ in $\bar{X}$ is trivial.

**Proof** (Corollary 1.4). We first observe that, provided one could verify the hypotheses of Theorem 1.2 for the pair $(X^n, Y^m)$, the Corollary would immediately follow. Note that in this case, the dual spaces $X_u$ and $Y_u$ are spheres of dimension $n$ and $m$ respectively. This implies that the totally geodesic embedding $Y_u \hookrightarrow X_u$ is in fact a totally geodesic embedding $S^m \hookrightarrow S^n$, forcing the normal bundle to $Y_u$ in $X_u$ to be trivial. But now Corollary 1.3 to the Theorem 1.2 immediately yields Corollary 1.4.

So we are left with establishing the hypotheses of Theorem 1.2 for the pair $(X^n, Y^m)$. We observe that in this situation we have the groups $G_u \cong SO(n+1)$, and $G'_u \cong SO(m+1)$. Furthermore, there is essentially a unique totally geodesic embedding $S^m \hookrightarrow S^n$, hence we may assume that the embedding $G'_u \hookrightarrow G_u$ is the canonical one. But now we have the classical facts that (1) the embeddings $SO(m+1) \hookrightarrow SO(n+1)$ induce isomorphisms on $\pi_i$ for $i < m$ and (2) that the embedding induces a surjection $\pi_m(SO(m+1)) \rightarrow \pi_m(SO(n+1))$. Indeed, this is precisely the range of dimensions where the homotopy groups stabilize (see [Mil2]). This completes the verification of the hypotheses, and hence the proof of Corollary 1.4.

We now proceed to give an example of an inclusion $Y^m \hookrightarrow X^n$ satisfying the hypotheses of our theorem. Our spaces will be modelled on complex hyperbolic spaces, namely we have:

$$Y^{2m} = \Lambda \backslash \mathbb{CH}^m = \Lambda \backslash SU(m, 1)/S(U(m) \times U(1))$$

$$X^{2n} = \Gamma \backslash \mathbb{CH}^n = \Gamma \backslash SU(n, 1)/S(U(n) \times U(1))$$

To construct such pairs, one starts with the standard inclusion of $SU(m, 1) \hookrightarrow SU(n, 1)$, which induces a totally geodesic embedding $\mathbb{CH}^m \hookrightarrow \mathbb{CH}^n$. One can now construct explicitly (by arguments similar to those in [GP]) an arithmetic uniform lattice $\Lambda \leq SU(m, 1)$ having an extension to an arithmetic uniform lattice $\Gamma \leq SU(n, 1)$. Quotienting out by these lattices gives the desired pair.
Let us now consider these examples in view of our Theorem 1.2. First of all, we have that the respective complexifications are $G'_C = SL(m+1, \mathbb{C})$ and $G_C = SL(n+1, \mathbb{C})$, with the natural embedding:

$$G'_C = SL(m+1, \mathbb{C}) \hookrightarrow SL(n+1, \mathbb{C}) = G_C.$$ 

Looking at the respective maximal compacts, we see that $G'_u = SU(m+1)$, $G_u = SU(n+1)$, and the inclusion is again the natural embedding:

$$G'_u = SU(m+1) \hookrightarrow SU(n+1) = G_u.$$ 

Hence the homotopy condition in our theorem boils down to asking whether the natural embedding $SU(m+1) \hookrightarrow SU(n+1)$ induces isomorphisms on the homotopy groups $\pi_i$, where $i \leq \dim(Y^{2m}) = 2m$. But it is a classical fact that the natural embedding induces isomorphisms in all dimensions $i < 2(m+1) = 2m+2$, since this falls within the stable range for the homotopy groups (and indeed, one could use complex Bott periodicity to compute the exact value of these homotopy groups, see [Mil2]). Finally, we observe that in this context, the dual spaces are complex projective spaces, and the embedding of dual spaces is the standard embedding $\mathbb{C}P^m \hookrightarrow \mathbb{C}P^n$. It is well known that for the standard embedding, we have that the induced map on cohomology $H^*(\mathbb{C}P^n) \rightarrow H^*(\mathbb{C}P^m)$ is surjective on cohomology. Our Theorem 1.1 now tells us that $Y^{2m} \hookrightarrow X^{2n}$ is homologically non-trivial. Furthermore, we note that for these manifolds, $rk(G_u) = rk(K)$ and $rk(G'_u) = rk(K')$, and hence Theorem 1.2 tells us that the cohomological map from the proof of Theorem 1.1 can be (rationally) realized via a tangential map of pairs.

5. Concluding remarks.

We conclude this paper with a few comments and questions. First of all, in view of our Theorem 1.1, it is reasonable to ask for the converse:

**Question:** Given an element $\alpha \in H_m(X^n; \mathbb{R})$, is there an $m$-dimensional totally geodesic submanifold $Y^m$ with $[Y^m] = \alpha$?

A cautionary example for the previous question is provided by the following:

**Proposition 5.1.** Let $X$ be a compact hyperbolic 3-manifold that fibers over $S^1$, with fiber a surface $F$ of genus $\geq 2$. Then the homology class represented by $[F] \in H_2(X; \mathbb{Z})$ cannot be represented by a totally geodesic submanifold.

**Proof.** Assume that there were such a totally geodesic submanifold $Y \subset X$, and observe that since $Y$ is totally geodesic, we have an embedding $\pi(Y) \hookrightarrow \pi_1(X)$. Furthermore, since $X$ fibers over $S^1$ with fiber $F$, we also have a short exact sequence

$$0 \rightarrow \pi_1(F) \rightarrow \pi_1(X) \rightarrow \mathbb{Z} \rightarrow 0$$

Our goal is to show that $\pi_1(Y) \subset \pi_1(F)$. Indeed, if we could establish this containment, one could then argue as follows: since $Y$ is a compact surface, covering space
theory implies that $\pi_1(Y) \subset \pi_1(F)$ is a finite index subgroup. Now pick a point $x$ in the universal cover $\tilde{X} \cong \mathbb{H}^3$, and consider the subset $\Lambda_Y \subset \partial^\infty \mathbb{H}^3 = S^2$ obtained by taking the closure of the $\pi_1(Y)$-orbit of $x$. Since $Y$ is assumed to be totally geodesic, the subset $\Lambda \subset S^2$ is a tamely embedded $S^1$ (identified with the boundary at infinity of a suitably chosen totally geodesic lift $\tilde{Y} \cong \mathbb{H}^2$ of $Y$). On the other hand, since $\pi_1(Y)$ has finite index in $\pi_1(F)$, we have that $\Lambda_Y$ must coincide with $\Lambda_F$, the closure of the $\pi_1(F)$-orbit of $x$. But the latter, by a well-known result of Cannon-Thurston is known to be the entire boundary at infinity (see for instance [Mit]).

So we are left with establishing that $\pi_1(Y) \subset \pi_1(F)$. In order to see this, let us consider the cohomology class $\alpha_F \in H^1(X;\mathbb{Z})$ which is Poincaré dual to the class $[F] \in H_2(X;\mathbb{Z})$. Now recall that the evaluation of the cohomology class $\alpha_F$ on an element $[\gamma] \in H_1(X;\mathbb{Z})$ can be interpreted geometrically as the intersection number of the representing curve $\gamma$ with the surface $F$. Furthermore, we have that the group $H_1(X;\mathbb{Z})$ is generated by the image of $H_1(F;\mathbb{Z})$, under the inclusion $F \hookrightarrow X$, along with an element $[\eta] \in H_1(X;\mathbb{Z})$ mapping to $[S^1] \in H_1(S^1;\mathbb{Z})$. Here $\eta$ is chosen to be a closed loop in $M$ with the property that $\eta$ maps homeomorphically to the base $S^1$ (preserving orientations) under the projection map. This gives us the following two facts:

- the class $\alpha_F$ evaluates to 1 on the element $[\eta]$, since $F \cap \eta$ is a single transverse point.
- the class $\alpha_F$ evaluates to zero on the image of $H_1(F;\mathbb{Z})$ in the group $H_1(X;\mathbb{Z})$. This follows from the fact that the surface $F$ has trivial normal bundle in $X$, allowing any curve in $F$ representing (the image of) an element in $H_1(F;\mathbb{Z})$ to be homotoped to a curve disjoint from $F$.

Furthermore, since we are assuming that $[Y] = [F] \in H_2(X;\mathbb{Z})$, we know that we have an identification of Poincaré duals $\alpha_Y = \alpha_F$.

Now let us assume that $\pi_1(Y)$ is not contained in $\pi_1(F)$, and observe that this implies that there exists a closed loop $\gamma \subset Y$ having the property that under the composition $Y \hookrightarrow X \to S^1$, the class $[\gamma] \in H_1(Y;\mathbb{Z})$ maps to $k \cdot [S^1] \in H_1(S^1;\mathbb{Z})$ (and $k \neq 0$). We now proceed to compute, in two different ways, the evaluation of the cohomology classes $\alpha_Y = \alpha_F$ on a suitable multiple of the homology class $[\gamma]$.

Firstly, from the comments above, we can write $[\gamma]$ as the sum of $k \cdot [\eta]$, along with an element $\beta$, where $\beta$ lies in the image of $H_1(F;\mathbb{Z})$. By linearity of the Kronecker pairing, along with the two facts from the previous paragraph, we obtain:

$$\alpha_F([\gamma]) = \alpha_F(\beta) + k\alpha_F([\eta]) = k \neq 0$$

Secondly, observe that $Y$ is assumed to be embedded in $X$, and represents the nonzero homology class $[Y] = [F] \in H_2(X;\mathbb{Z})$. This implies that $Y$ must be orientable, and hence has trivial normal bundle in $X$. In particular, the curve $\gamma \subset Y$ can be homotoped (within $X$) to have image disjoint from $Y$. Since the integer
\(\alpha_Y([\gamma])\) can be computed geometrically as the intersection number of the curve \(\gamma\) with \(Y\), we conclude that \(\alpha_Y([\gamma]) = 0\).

Combining the two observations above, we see that \(0 = \alpha_Y([\gamma]) = \alpha_F([\gamma]) \neq 0\), giving us the desired contradiction. This completes the proof of the Proposition.

We observe that Thom [T] has shown that in dimensions \(0 \leq k \leq 6\) and \(n - 2 \leq k \leq n\), every integral homology class can be represented by an immersed submanifold. In general however, there can exist homology classes which are not representable by submanifolds (see for instance the paper by Bohr, Hanke, and Kotschick [BHK]). The question above asks for a more stringent condition, namely that the immersed submanifold in question be totally geodesic. We believe that the weaker question is also of some interest, namely:

**Question:** Find an example \(X^n\) of a compact locally symmetric space of non-compact type, and a homology class in some \(H_k(X^n; \mathbb{Z})\) which cannot be represented by an immersed submanifold.

Now our original motivation for looking at totally geodesic submanifolds inside locally symmetric spaces was the desire to exhibit lower dimensional bounded cohomology classes. In [LaS], the authors showed that for the fundamental group \(\Gamma\) of a compact locally symmetric space of non-compact type \(X^n\), the comparison map from bounded cohomology to ordinary cohomology:

\[H^*_b(\Gamma) \to H^*(\Gamma)\]

is surjective in dimension \(n\). The proof actually passed through the dual formulation, and showed that the \(L^1\) (pseudo)-norm of the fundamental class \([X^n] \in H_n(X^n; \mathbb{R})\) is non-zero. Now given a totally geodesic embedding \(Y \hookrightarrow X\) of the type considered in this paper, it is tempting to guess that the homology class \([Y]\) also has non-zero \(L^1\) (pseudo)-norm. Of course, this naive guess fails, since one can find examples where \([Y] = 0 \in H_m(X^n; \mathbb{R})\). The problem is that despite the fact that the intrinsic \(L^1\) (pseudo)-norm of \([Y]\) is non-zero, the extrinsic \(L^1\) (pseudo)-norm of \([Y]\) is zero. In other words, one can represent the fundamental class of \(Y\) more efficiently by using simplices that actually do not lie in \(Y\). The authors were unable to answer the following:

**Question:** Assume that \(Y\) and \(X\) are compact locally symmetric spaces of non-compact type, that \(Y \subset X\) is a totally geodesic embedding, and that \(Y\) is orientable with \([Y] \neq 0 \in H_m(X^n; \mathbb{R})\). Does it follow that the dual cohomology class \(\beta \in H^m(X^n; \mathbb{R})\) (via the Kronecker pairing) has a bounded representative?

Now one situation in which non-vanishing of the \(L^1\) (pseudo)-norm would be preserved is the case where \(Y \hookrightarrow X\) is actually a retract of \(X\). Hence one can ask the following:
**Question:** If $Y \subset X$ is a compact totally geodesic proper submanifold inside a locally symmetric space of non-compact type, when is $Y$ a retract of $X$?

**Remark.** (1) In the case where $X$ is a (non-product) higher rank locally symmetric space of non-compact type, one cannot find a proper totally geodesic submanifold $Y \subset X$ which is a retract of $X$. Indeed, if there were such a submanifold, then the morphism $\rho : \pi_1(X) \to \pi_1(Y)$ induced by the retraction would have to be surjective. By Margulis’ normal subgroup theorem [Mar], this implies that either (1) $\ker(\rho)$ is finite, or (2) the image $\pi_1(Y)$ is finite. Since $Y$ is locally symmetric of non-compact type, $\pi_1(Y)$ cannot be finite, and hence we must have finite $\ker(\rho)$. But $\ker(\rho)$ is a subgroup of the torsion-free group $\pi_1(X)$, hence must be trivial. This forces $\pi_1(X) \cong \pi_1(Y)$, which contradicts the fact that the cohomological dimension of $\pi_1(X)$ is $\dim(X)$, while the cohomological dimension of $\pi_1(Y)$ is $\dim(Y) < \dim(X)$. This implies that no such morphism exists, and hence no such submanifold exists. The authors thank C. Leininger for pointing out this simple argument.

(2) In the case where $X$ has rank one, the question is more delicate. Some examples of such retracts can be found in a paper by Long-Reid [LoR]. We remark that in this case, the application to bounded cohomology is not too interesting, as Mineyev [Min] has already shown that the comparison map in this situation is surjective in all dimensions $\geq 2$.

Finally, we point out that bounded cohomology can be used to obtain information on the *singularities* of the tangential maps between locally symmetric spaces of non-compact type and their non-negatively curved dual spaces. More precisely, for a smooth map $f : X \to X_u$, we can consider the subset $Sing(f) \subset X_u$ consisting of points $p \in X_u$ having the property that there exists a point $q \in X$ satisfying $f(q) = p$, and $\ker(df(q)) \neq 0$ (where $df : T_qX \to T_pX_u$ is the differential of $f$ at the point $q$).

**Proposition 5.2.** Let $X^{2n}$ be a closed, complex hyperbolic manifold, and $t : \bar{X} \to X_u = \mathbb{CP}^n$ be the Okun map from a suitable finite cover $X$ of $X^{2n}$. Then for every smooth map $h$ in the homotopy class of $t$, we have that $Sing(h) \cap \mathbb{CP}^1 \neq \emptyset$, where $\mathbb{CP}^1 \subset \mathbb{CP}^n$ is the standardly embedded complex projective plane in $\mathbb{CP}^n$ (induced by the first two coordinates embedding $\mathbb{C}^2 \hookrightarrow \mathbb{C}^{n+1}$).

**Proof.** Let $h$ be a smooth map homotopic to $t$, and assume that $Sing(h) \cap \mathbb{CP}^1 = \emptyset$. This implies that $dh$ has full rank at every pre-image point of $\mathbb{CP}^1 \subset \mathbb{CP}^n$. Choose a connected component $S \subset \bar{X}$ of the set $h^{-1}(\mathbb{CP}^1)$, and observe that the restriction of $h$ to $S$ provides a local diffeomorphism to $\mathbb{CP}^1$. We note that the pre-image of $\mathbb{CP}^1$ is closed, hence compact, and each component (via the local diffeomorphism) is locally a 2-manifold. This forces $S$ to be a closed surface, and $h$ restricts to a covering map from $S$ to $\mathbb{CP}^1$. Since $\mathbb{CP}^1$ is diffeomorphic to $S^2$ (which is simply connected), this implies that $S$ is likewise diffeomorphic to $S^2$, and $h$ restricts to a diffeomorphism from $S \subset \bar{X}$ to $\mathbb{CP}^1 \subset \mathbb{CP}^n$. 

14
Next we observe that the homology class represented by $[S] \in H_2(\bar{X}; \mathbb{Z})$ has infinite order in the homology group (and in particular, is non-zero). Indeed, this class has image, under $h$, the homology class $[CP^1] \neq 0 \in H_2(CP^n; \mathbb{Z})$, which is precisely the generator for $H_2(CP^n; \mathbb{Z}) \cong \mathbb{Z}$. This implies that the homology class $[S]$ survives under the extension of coefficient rings $H_2(\bar{X}; \mathbb{Z}) \rightarrow H_2(\bar{X}; \mathbb{R})$; we will now think of $[S]$ as a real homology class.

Now let us consider the cohomology class $\beta \in H^2(\bar{X}; \mathbb{R})$ dual to the class $\alpha = [S] \in H_2(\bar{X}; \mathbb{R})$ via the Kronecker pairing. As we mentioned earlier, a result of Mineyev [Min] implies that all cohomology classes for $\bar{X}$ of dimension $\geq 2$ can be represented by bounded classes. Let us denote by $||\beta||_{\infty}$ the infimum of the $L^\infty$-norm of all bounded representatives for the cohomology class $\beta$, and by $||\alpha||_1$ the infimum of the $L^1$-norm of all real chains representing the homology class $\alpha$. Then combining the Kronecker pairing with the Hahn-Banach theorem, Gromov established the relationship $||\alpha||_1 \cdot ||\beta||_{\infty} = 1$ (see [Gr, Section 1] for more details). Since the cohomology class of $\beta$ can be represented boundedly, we have that $||\beta||_{\infty} < \infty$, and hence $||\alpha||_1 > 0$. But the class $\alpha = [S]$ is represented geometrically by an embedded $S^2 \subset \bar{X}$, and it is well known that this forces $||\alpha||_1 = 0$, yielding a contradiction. This completes the proof of the Proposition.

We note that a similar argument can be used in the context of a quaternionic hyperbolic manifold $X$ to show that for any smooth map $h$ in the homotopy class of Okun’s tangential map $t : \bar{X} \rightarrow \mathbb{H}P^n$, we must have that $\text{Sing}(h) \cap \mathbb{H}P^1 \neq \emptyset$, where $\mathbb{H}P^1 \subset \mathbb{H}P^n$ is the standard quaternionic projective plane.

References


DEPARTMENT OF MATHEMATICS, THE OHIO STATE UNIVERSITY, 100 MATH TOWER, 231 WEST 18TH AVENUE, COLUMBUS, OH 43210-1174

E-mail address: jlafont@math.ohio-state.edu

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MICHIGAN, 2074 EAST HALL, 530 CHURCH ST., ANN ARBOR, MI 48109-1043

E-mail address: bischmid@umich.edu