# Möbius Functions of Posets IV: Why the Characteristic Polynomial Factors

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The Characteristic Polynomial

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The Chromatic Polynomial

The Bond Lattice

The Connection

## Outline

The Characteristic Polynomial

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The Chromatic Polynomial

The Bond Lattice

The Connection

B. Sagan, Why the characteristic polynomial factors, *Bull. Amer. Math. Soc.* **36** (1999), 113–134.

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Let *P* be a graded poset and let  $x \in P$ .

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Example. In our four running example posets:

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- 3.  $d \in D_n$ : rk  $d = \sum_i m_i$  where  $d = \prod_i p_i^{m_i}$ .

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Let *P* be a graded poset and let *t* be a variable.

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$$q(P) = q(P; t) = \sum_{x \in P} \mu(x) t^{\operatorname{rk} \hat{1} - \operatorname{rk} x}$$

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Note that we use the corank,  $rk \hat{1} - rk x$ , as the exponent on *t* rather than the rank so as to make q(P; t) monic:

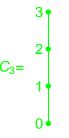
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Example.

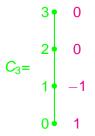


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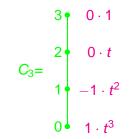
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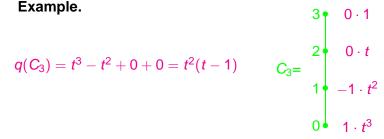
Example.

 $q(C_3) = t^3 - t^2 + 0 + 0$ 

$$C_{3} = \begin{bmatrix} 3 & 0 \cdot 1 \\ 2 & 0 \cdot t \\ 1 & -1 \cdot t^{2} \\ 0 & 1 \cdot t^{3} \end{bmatrix}$$

$$q(P) = q(P; t) = \sum_{x \in P} \mu(x) t^{\operatorname{rk} \hat{1} - \operatorname{rk} x}$$

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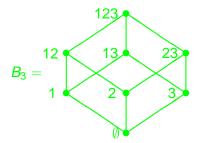
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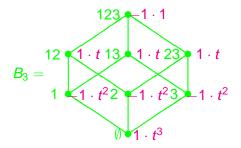
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Example.  

$$q(C_3) = t^3 - t^2 + 0 + 0 = t^2(t - 1)$$
  
In general,  $q(C_n; t) = t^{n-1}(t - 1)$  (easy to verify).  
 $3 \quad 0 \cdot 1$   
 $C_3 = 1 \quad 0 \cdot t$   
 $1 \quad -1 \cdot t^2$   
 $0 \quad 1 \quad t^3$ 

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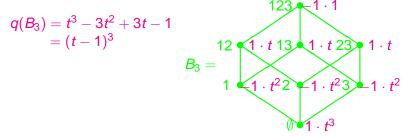


$$q(B_3) = t^3 - 3t^2 + 3t - 1$$

$$B_3 = \begin{bmatrix} 12 & -1 \cdot 1 \\ 1 & 1 \cdot t & 13 & 1 \cdot t & 23 \\ 1 & 1 \cdot t^2 & 1 \cdot t^2 & 1 \cdot t^2 \end{bmatrix}$$

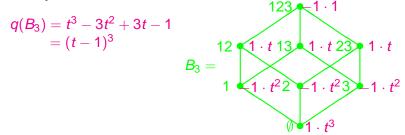
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$$q(B_3) = t^3 - 3t^2 + 3t - 1$$
  
=  $(t - 1)^3$   
$$B_3 = \begin{bmatrix} 123 & -1 \cdot 1 \\ 1 & 1 \cdot t & 13 \\ 1 & 1 \cdot t & 23 \\ 1 & 1 \cdot t^2 & 1 \cdot t^2 \end{bmatrix}$$

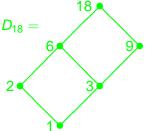


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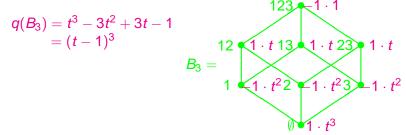
In general  $q(B_n; t) = (t - 1)^n$  (use the Binomial Theorem).



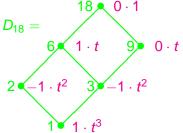
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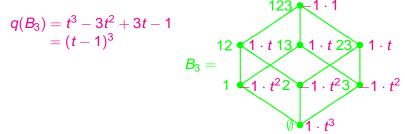
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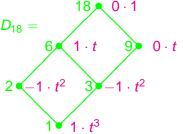


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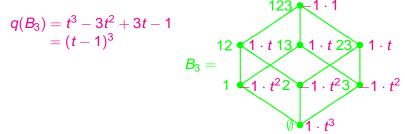


In general  $q(B_n; t) = (t - 1)^n$  (use the Binomial Theorem). **Example.** 

 $q(D_{18}) = t^3 - 2t^2 + t$ 

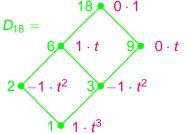


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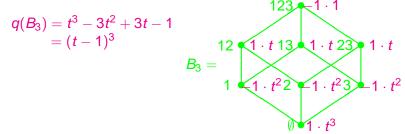


In general  $q(B_n; t) = (t - 1)^n$  (use the Binomial Theorem). **Example.** 

$$q(D_{18}) = t^3 - 2t^2 + t = t(t-1)^2$$



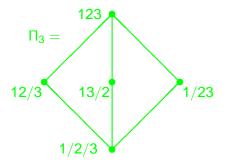
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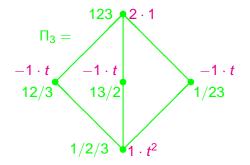
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$$q(D_{18}) = t^{3} - 2t^{2} + t$$
  
=  $t(t-1)^{2}$   
$$D_{18} =$$
  
$$0 \cdot t$$
  
$$2 \cdot -1 \cdot t^{2} \cdot 3 \cdot -1 \cdot t^{2}$$
  
$$1 \cdot t^{3}$$

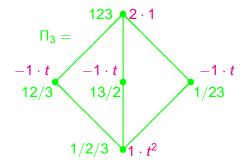
In general  $q(D_n; t) = \prod_i t^{m_i-1}(t-1)$  where  $n = \prod_i p_i^{m_i}$ .



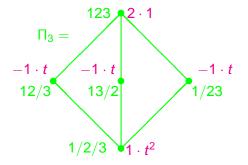
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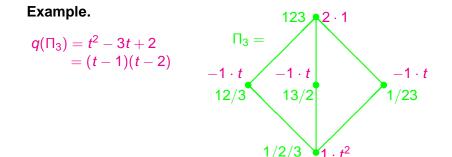
$$q(\Pi_3) = t^2 - 3t + 2$$



$$q(\Pi_3) = t^2 - 3t + 2$$
  
=  $(t - 1)(t - 2)$ 

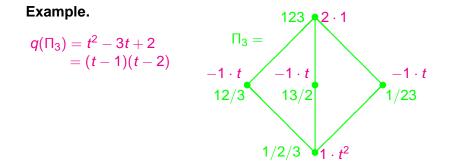


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In general  $q(\Pi_n; t) = (t - 1)(t - 2) \cdots (t - n + 1)$ . Not clear!

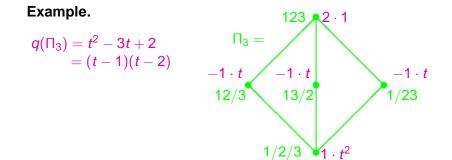


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We would like to have a technique which would

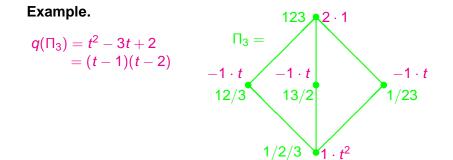
1. prove the formula for  $q(\Pi_n; t)$ ,



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We would like to have a technique which would

- 1. prove the formula for  $q(\Pi_n; t)$ ,
- 2. explain why these q(P; t) factor over  $\mathbb{Z}_{\geq 0}$ .



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We would like to have a technique which would

- 1. prove the formula for  $q(\Pi_n; t)$ ,
- 2. explain why these q(P; t) factor over  $\mathbb{Z}_{>0}$ .

We will use a technique based on graph theory. Two other techniques (one using the theory of hyperplane arrangements and one using properties of posets) are given in the paper.



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## Let G be a graph with vertices V and edges E.

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Let *G* be a graph with vertices *V* and edges *E*. Given a set *C*, called the *color set*, a *coloring of G* is a function  $\kappa : V \rightarrow C$ .



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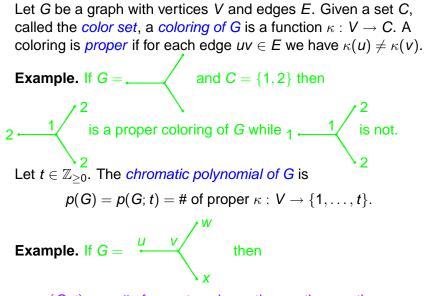
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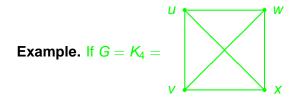
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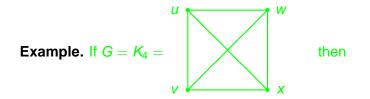
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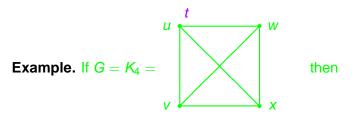






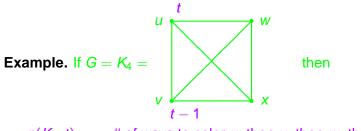
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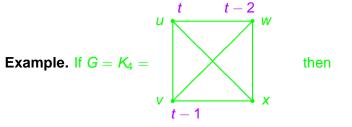
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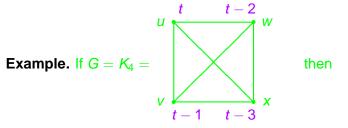
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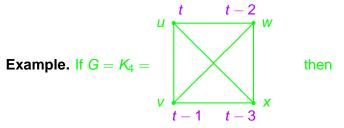
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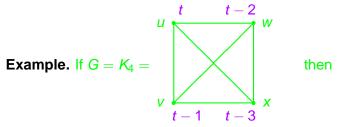
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In general  $p(K_n; t) = t(t-1)\cdots(t-n+1)$ .



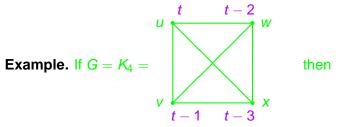
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We need to explain

1. why does p(G; t) always seem to be a polynomial in t?

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In general  $p(K_n; t) = t(t-1)\cdots(t-n+1)$ .

We need to explain

- 1. why does p(G; t) always seem to be a polynomial in t?
- 2. why do p(T; t) where T is a tree and  $p(K_n; t)$  seem to be related to  $q(B_n; t)$  and  $q(\Pi_n; t)$ , respectively?



The Characteristic Polynomial

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The Chromatic Polynomial

The Bond Lattice

The Connection

Let k(G) denote the number of components of G.

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Let k(G) denote the number of components of *G*. We also write  $u \sim v$  if *u* and *v* are in the same component of *G*. If we wish to be specific about the graph in question, we will use notation such as V(G) or  $\sim_G$ .

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1. V(H) = V(G),



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A subgraph  $H \subseteq G$  is a *bond* if

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Example. If 
$$G = \bigvee_{v}^{u} \bigvee_{y}^{x} \bigvee_{z}^{z}$$

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 then  
 $H = \bigvee_{V} \bigvee_{Y} Z$  is a bond of  $G$ ,

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Example. If 
$$G = \bigvee_{V} \bigvee_{Z} x$$
 then  
 $H = \bigvee_{V} \bigvee_{Z} x$  is a bond of  $G$ , while  $H = \bigvee_{V} \bigvee_{Z} x$  is not  
since  $u \sim_{H} v$  and  $uv \in E(G)$  but  $uv \notin E(H)$ .

$$L(G) = \{H : H \text{ is a bond of } G\}$$

partially ordered by inclusion.

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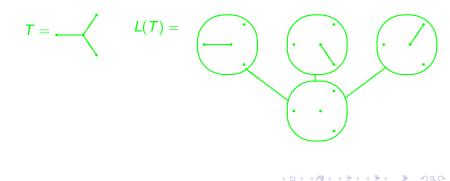


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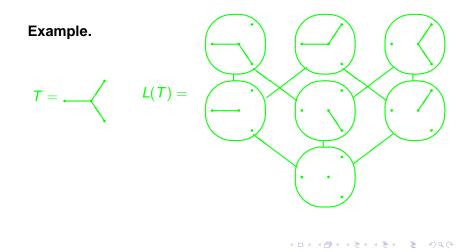
partially ordered by inclusion.

### Example.



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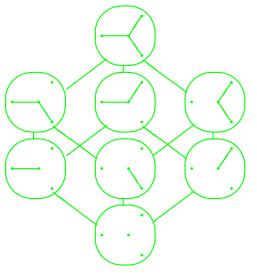


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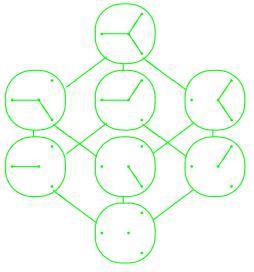
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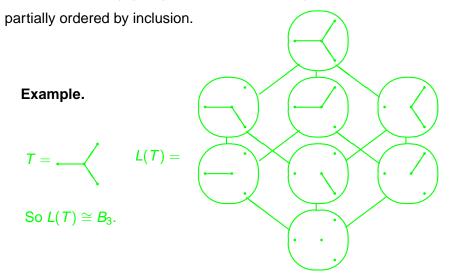
$$T = - L(T) =$$

So  $L(T) \cong B_3$ .



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$$L(G) = \{H : H \text{ is a bond of } G\}$$



In general, if T is a tree with n edges then  $L(T) \cong B_n$ .

## Example.





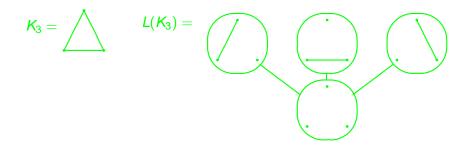
## Example.

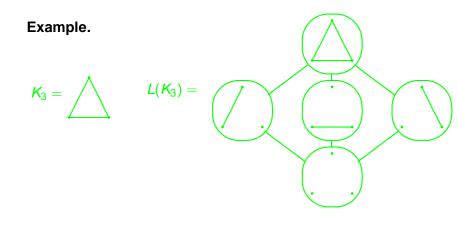
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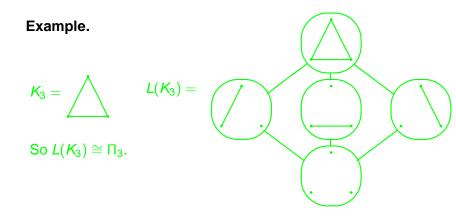


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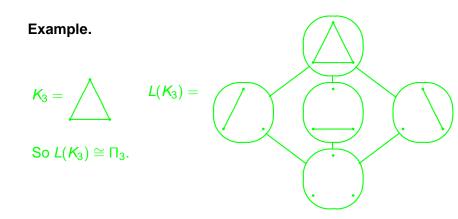
## Example.







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In general,  $L(K_n) \cong \Pi_n$ .

# Outline

The Characteristic Polynomial

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The Chromatic Polynomial

The Bond Lattice

The Connection

 $uv \in E(H_{\kappa})$  if and only if  $uv \in E(G)$  and  $\kappa(u) = \kappa(v)$ .

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Example. If 
$$\kappa = 1$$

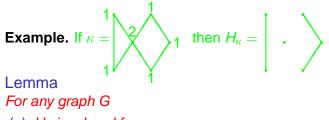
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Example. If 
$$\kappa = 1$$
 then  $H_{\kappa} = 1$ .

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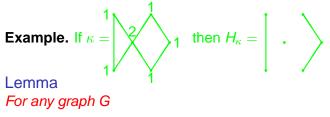
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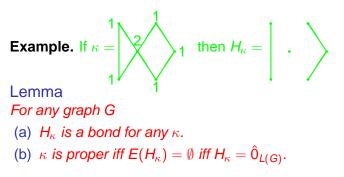


(a)  $H_{\kappa}$  is a bond for any  $\kappa$ .

(b)  $\kappa$  is proper iff  $E(H_{\kappa}) = \emptyset$  iff  $H_{\kappa} = \hat{0}_{L(G)}$ .

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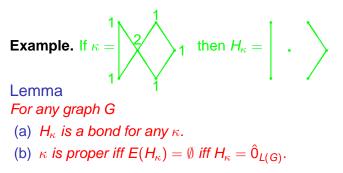
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Proof of (a).

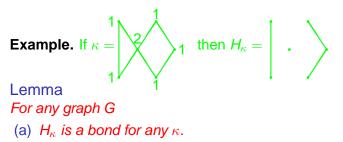
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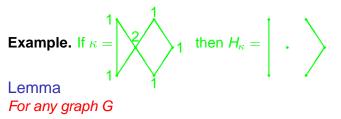


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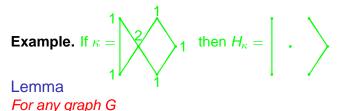
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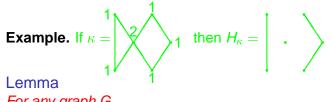


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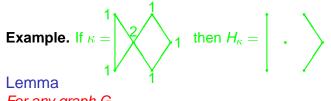
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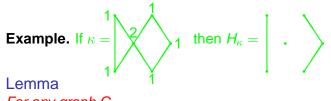
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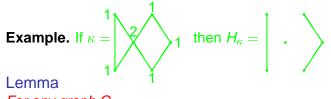
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