Möbius Functions of Posets II: Möbius Inversion

Bruce Sagan Department of Mathematics Michigan State University East Lansing, MI 48824-1027 sagan@math.msu.edu www.math.msu.edu/~sagan

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The Incidence Algebra

The Möbius Function

The Möbius Inversion Theorem

Outline

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The Möbius Inversion Theorem

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The *incidence algebra* of a finite poset *P* is the set $I(P) = \{ \alpha : P \times P \to \mathbb{R} \mid \alpha(x, y) = 0 \text{ if } x \leq y \},\$

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together with the operations:

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since $\alpha(x, z) \neq 0$ implies $x \leq z$ and $\beta(z, y) \neq 0$ implies $z \leq y$.

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An *algebra* over a field *F* is a set *A* together with operations of sum (+), product (•), and scalar multiplication (·) such that 1. $(A, +, \bullet)$ is a ring,

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Example. The matrix algebra over \mathbb{R} is

 $Mat_n(\mathbb{R}) = all n \times n$ matrices with entries in \mathbb{R} .

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Note. Often · and • are suppressed since context makes it clear which multiplication is meant.

Let $L : x_1, ..., x_n$ be a list of the elements of *P*. An $L \times L$ matrix has rows and columns indexed by *L*.

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$$M = \begin{cases} 0 & \{1\} & \{2\} & \{1,2\} \\ 0 & \{1\} & \{2\} & \{1,2\} \\ \{2\} & \{1,2\} & 0 & \{1\} & \{1,2\} \\ 0 & 0 & 0 & \{2\} & 0 & 0 & \{1\} & \{2\} & \{1,2\} \\ 0 & \{1\} & \{2\} & \{1,2\} & \{2\} & \{1,2\} & \{2\} & \{1,2\} \\ 0 & \{1\} & \{2\} & \{1,2\} & \{2\} & \{1\} & \{2\} & \{1,2\} \\ 0 & \{1\} & \{2\} & \{1\} & \{2\} & \{1,2\} \\ 0 & \{1\} & \{2\} & \{1\} & \{2\} & \{1,2\} \\ 0 & \{1\} & \{2\} & \{1,2\} & \{2\} & \{1\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{2\} & \{1\} & \{1\} & \{2\}$$

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Outline

The Incidence Algebra

The Möbius Function

The Möbius Inversion Theorem



$$\zeta(\mathbf{x},\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{x} \leq \mathbf{y}, \\ 0 & \text{if } \mathbf{x} \leq \mathbf{y}. \end{cases}$$

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Note. If *P* has a zero then we write

$$\mu(\mathbf{y}) = \mu(\hat{\mathbf{0}}, \mathbf{y}).$$

$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

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$$\mu(0) = \mu(\hat{0}) = 1,$$

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$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_{3} = \begin{bmatrix} 3 \\ 2 \\ 1 \\ 0 \end{bmatrix} = 1,$$

$$\mu(0) = \mu(\hat{0}) = 1,$$

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$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_3 = \begin{bmatrix} 3 \\ 2 \\ 1 \\ 0 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 1 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 1 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 1 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 1 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 2 \end{bmatrix}$$

$$\mu(0) = \mu(\hat{0}) = 1,$$

 $\mu(1) = -\mu(0)$

$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_{3} = \begin{bmatrix} 3 \\ 2 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$

$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_{3} = \begin{bmatrix} 3 \\ 2 \\ 1 \\ -1 \\ 0 \end{bmatrix} = 1,$$

$$\mu(0) = \mu(\hat{0}) = 1,$$

$$\mu(1) = -\mu(0) = -1,$$

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$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{\mathbf{z} < \mathbf{y}} \mu(\mathbf{z}) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

Example: The Chain.

$$C_{3} = \begin{bmatrix} 3 \\ 2 \\ 1 \\ 0 \end{bmatrix} = -1$$

$$\mu(0) = \mu(\hat{0}) = 1,$$

$$\mu(1) = -\mu(0) = -1,$$

$$\mu(2)$$

$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{\mathbf{z} < \mathbf{y}} \mu(\mathbf{z}) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_{3} = \begin{bmatrix} 3 \\ -1 \\ 0 \\ 1 \\ -1 \\ 0 \\ 1 \end{bmatrix}$$

$$\mu(0) = \mu(\hat{0}) = 1,$$

$$\mu(1) = -\mu(0) = -1,$$

$$\mu(2) = -(\mu(0) + \mu(1))$$

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$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{\mathbf{z} < \mathbf{y}} \mu(\mathbf{z}) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_{3} = \begin{bmatrix} 3 \\ -1 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix}$$

$$\begin{aligned} \mu(0) &= \mu(0) = 1, \\ \mu(1) &= -\mu(0) = -1, \\ \mu(2) &= -(\mu(0) + \mu(1)) = -(1 - 1) = 0, \end{aligned}$$

$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_{3} = \begin{bmatrix} 3 \\ 2 \\ 1 \\ -1 \\ 0 \end{bmatrix} \begin{bmatrix} -1 \\ 1 \end{bmatrix}$$

$$\begin{aligned} \mu(0) &= \mu(\hat{0}) = 1, \\ \mu(1) &= -\mu(0) = -1, \\ \mu(2) &= -(\mu(0) + \mu(1)) = -(1 - 1) = 0, \end{aligned}$$

$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{\mathbf{z} < \mathbf{y}} \mu(\mathbf{z}) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

μ**(3)**

$$C_{3} = \begin{bmatrix} 3 \\ 2 \\ -1 \\ 0 \\ 1 \\ -1 \\ 0 \\ 1 \end{bmatrix}$$

$$\mu(0) = \mu(\hat{0}) = 1,$$

$$\mu(1) = -\mu(0) = -1,$$

$$\mu(2) = -(\mu(0) + \mu(1)) = -(1 - 1) = 0,$$

$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_{3} = \begin{bmatrix} 3 \\ 2 \\ 1 \\ -1 \\ 0 \end{bmatrix} \begin{bmatrix} -1 \\ 1 \end{bmatrix}$$

$$\begin{split} \mu(0) &= \mu(\hat{0}) = 1, \\ \mu(1) &= -\mu(0) = -1, \\ \mu(2) &= -(\mu(0) + \mu(1)) = -(1 - 1) = 0, \\ \mu(3) &= -(\mu(0) + \mu(1) + \mu(2)) \end{split}$$

$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_3 = \begin{bmatrix} 3 \\ 2 \\ 0 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} -1 \\ 0 \end{bmatrix}$$

$$\begin{split} \mu(0) &= \mu(\hat{0}) = 1, \\ \mu(1) &= -\mu(0) = -1, \\ \mu(2) &= -(\mu(0) + \mu(1)) = -(1 - 1) = 0, \\ \mu(3) &= -(\mu(0) + \mu(1) + \mu(2)) = -(1 - 1 + 0) = 0, \end{split}$$

$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_{3} = \begin{bmatrix} 3 & 0 \\ 2 & 0 \\ 1 & -1 \\ 0 & 1 \end{bmatrix}$$

$$\begin{split} \mu(0) &= \mu(\hat{0}) = 1, \\ \mu(1) &= -\mu(0) = -1, \\ \mu(2) &= -(\mu(0) + \mu(1)) = -(1 - 1) = 0, \\ \mu(3) &= -(\mu(0) + \mu(1) + \mu(2)) = -(1 - 1 + 0) = 0, \end{split}$$

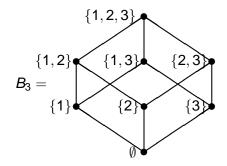
$$\mu(\mathbf{y}) = \begin{cases} 1 & \text{if } \mathbf{y} = \hat{\mathbf{0}}, \\ -\sum_{z < \mathbf{y}} \mu(z) & \text{if } \mathbf{y} > \hat{\mathbf{0}}. \end{cases}$$

$$C_{3} = \begin{bmatrix} 3 & 0 \\ 2 & 0 \\ 1 & -1 \\ 0 & 1 \end{bmatrix}$$

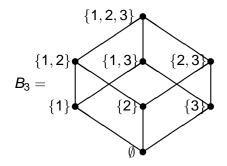
$$\begin{split} \mu(0) &= \mu(\hat{0}) = 1, \\ \mu(1) &= -\mu(0) = -1, \\ \mu(2) &= -(\mu(0) + \mu(1)) = -(1 - 1) = 0, \\ \mu(3) &= -(\mu(0) + \mu(1) + \mu(2)) = -(1 - 1 + 0) = 0, \end{split}$$

Proposition

In
$$C_n$$
 we have $\mu(i, j) = \begin{cases} 1 & \text{if } i = j \\ -1 & \text{if } i \triangleleft j, \\ 0 & \text{else.} \end{cases}$

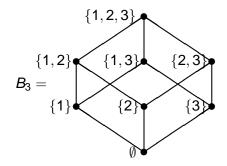






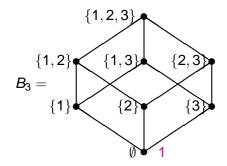
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 $\mu(\emptyset)$

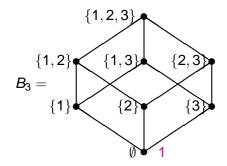


$$\mu(\emptyset) = \mu(\hat{0}) = 1,$$

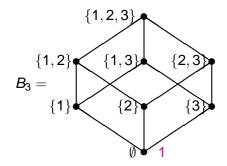
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$$\mu(\emptyset) = \mu(\hat{0}) = \mathbf{1},$$

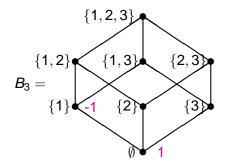


$$\mu(\emptyset) = \mu(\hat{0}) = 1, \ \mu(\{1\})$$



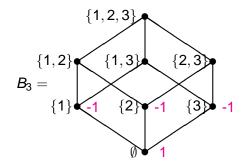
$$\mu(\emptyset) = \mu(\hat{0}) = 1,$$

$$\mu(\{1\}) = -\mu(\emptyset) = -1,$$



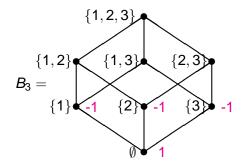
$$\mu(\emptyset) = \mu(\hat{0}) = 1,$$

$$\mu(\{1\}) = -\mu(\emptyset) = -1,$$

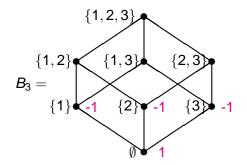


$$\mu(\emptyset) = \mu(\hat{0}) = 1,$$

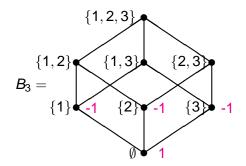
$$\mu(\{1\}) = -\mu(\emptyset) = -1,$$



$$\mu(\emptyset) = \mu(\hat{0}) = 1, \mu(\{1\}) = -\mu(\emptyset) = -1, \mu(\{1,2\})$$



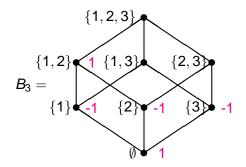
$$\begin{aligned} \mu(\emptyset) &= \mu(\hat{0}) = 1, \\ \mu(\{1\}) &= -\mu(\emptyset) = -1, \\ \mu(\{1,2\}) &= -(\mu(\emptyset) + \mu(\{1\}) + \mu(\{2\}) \end{aligned}$$



$$\begin{split} \mu(\emptyset) &= \mu(\hat{0}) = 1, \\ \mu(\{1\}) &= -\mu(\emptyset) = -1, \\ \mu(\{1,2\}) &= -(\mu(\emptyset) + \mu(\{1\}) + \mu(\{2\})) = -(1-1-1) = 1, \end{split}$$

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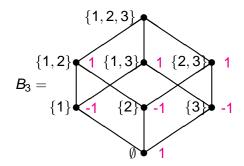
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$$\begin{split} \mu(\emptyset) &= \mu(\hat{0}) = 1, \\ \mu(\{1\}) &= -\mu(\emptyset) = -1, \\ \mu(\{1,2\}) &= -(\mu(\emptyset) + \mu(\{1\}) + \mu(\{2\})) = -(1-1-1) = 1, \end{split}$$

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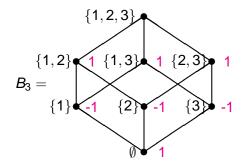
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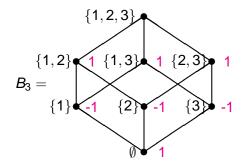
$$\begin{split} \mu(\emptyset) &= \mu(\hat{0}) = 1, \\ \mu(\{1\}) &= -\mu(\emptyset) = -1, \\ \mu(\{1,2\}) &= -(\mu(\emptyset) + \mu(\{1\}) + \mu(\{2\})) = -(1-1-1) = 1, \end{split}$$

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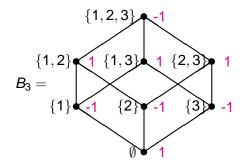
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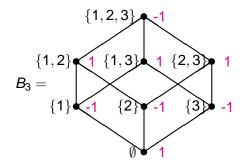
$$\begin{aligned} \mu(\emptyset) &= \mu(\hat{0}) = 1, \\ \mu(\{1\}) &= -\mu(\emptyset) = -1, \\ \mu(\{1,2\}) &= -(\mu(\emptyset) + \mu(\{1\}) + \mu(\{2\})) = -(1-1-1) = 1, \\ \mu(\{1,2,3\}) \end{aligned}$$



$$\begin{split} \mu(\emptyset) &= \mu(\hat{0}) = 1, \\ \mu(\{1\}) &= -\mu(\emptyset) = -1, \\ \mu(\{1,2\}) &= -(\mu(\emptyset) + \mu(\{1\}) + \mu(\{2\})) = -(1-1-1) = 1, \\ \mu(\{1,2,3\}) &= -(1-1-1-1+1+1+1) = -1 \end{split}$$

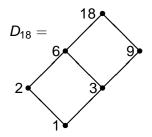


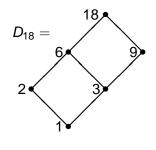
$$\begin{split} \mu(\emptyset) &= \mu(\hat{0}) = 1, \\ \mu(\{1\}) &= -\mu(\emptyset) = -1, \\ \mu(\{1,2\}) &= -(\mu(\emptyset) + \mu(\{1\}) + \mu(\{2\})) = -(1-1-1) = 1, \\ \mu(\{1,2,3\}) &= -(1-1-1-1+1+1+1) = -1 \end{split}$$



$$\mu(\emptyset) = \mu(\hat{0}) = 1, \mu(\{1\}) = -\mu(\emptyset) = -1, \mu(\{1,2\}) = -(\mu(\emptyset) + \mu(\{1\}) + \mu(\{2\})) = -(1-1-1) = 1, \mu(\{1,2,3\}) = -(1-1-1-1+1+1+1) = -1$$

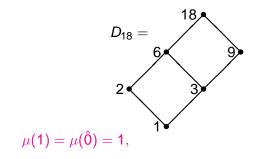
Conjecture In B_n we have $\mu(S) = (-1)^{|S|}$.

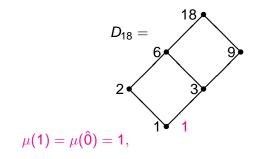


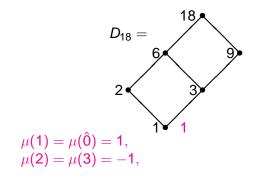


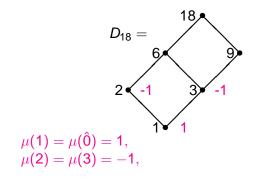
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μ(1)

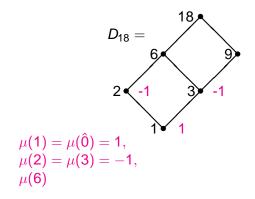


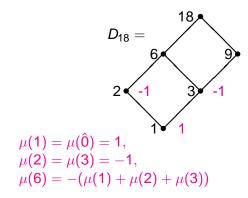


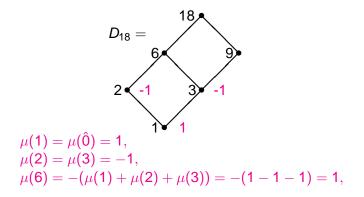


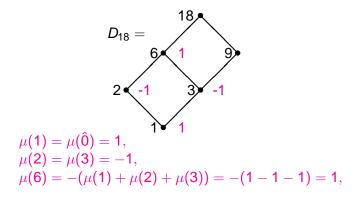


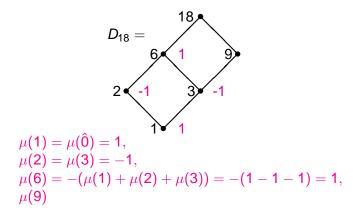
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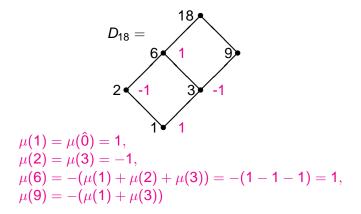




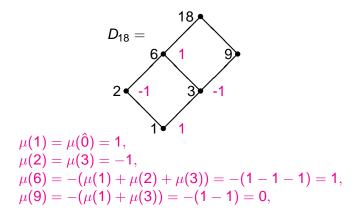


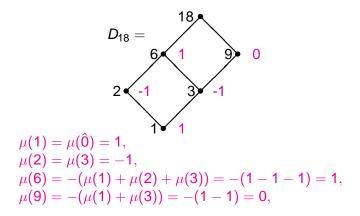


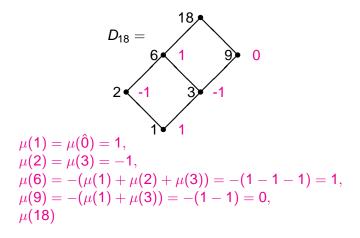




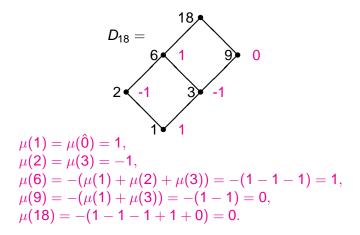
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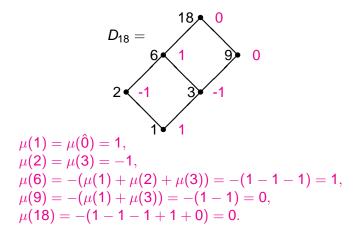




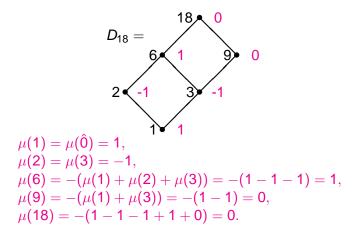
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Conjecture

If $d \in D_n$ has prime factorization $d = p_1^{m_1} \cdots p_k^{m_k}$ then

$$\mu(d) = \begin{cases} (-1)^k & \text{if } m_1 = \ldots = m_k = 1, \\ 0 & \text{if } m_i \geq 2 \text{ for some } i. \end{cases}$$

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2. If $a, b \in P$ and $x, y \in Q$ then $\mu_{P \times Q}((a, x), (b, y)) = \mu_P(a, b)\mu_Q(x, y).$ (1)

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Outline

The Incidence Algebra

The Möbius Function

The Möbius Inversion Theorem

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Theorem (Möbius Inversion Theorem or MIT, Weisner (1935))

Consider a finite poset P and two functions $f : P \to \mathbb{R}$ and $g : P \to \mathbb{R}$. Then the following are equivalent statements.

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Example: Theory of Finite Differences.

For $g : \mathbb{Z}_{\geq 0} \to \mathbb{R}$: $\Delta g(n) = g(n) - g(n-1)$,

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Then by the MIT applied to C_n

$$g(n) = \sum_{i \le n} \mu(i, n) f(i) = \mu(n, n) f(n) + \mu(n - 1, n) f(n - 1)$$

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= f(n) - f(n - 1)

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For $g: \mathbb{Z}_{\geq 0} \to \mathbb{R}$: $\Delta g(n) = g(n) - g(n-1)$, $Sg(n) = \sum_{i=0}^{n} g(i)$.

Theorem (FTDC) If $g : \mathbb{Z}_{>0} \to \mathbb{R}$ then: $\Delta Sg(n) = g(n)$.

Proof. Consider the chain C_n and the restriction $g : C_n \to \mathbb{R}$. For each $k \in C_n$, define

$$f(k) = \sum_{i \leq k} g(i) = Sg(k).$$

$$g(n) = \sum_{i \le n} \mu(i, n) f(i) = \mu(n, n) f(n) + \mu(n - 1, n) f(n - 1)$$

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Theorem (PIE) Let U be a finite set and $U_1, \ldots, U_n \subseteq U$. $|U - \bigcup_{i=1}^n U_i| = |U| - \sum_{1 \le i \le n} |U_i| + \dots + (-1)^n |\bigcap_{i=1}^n U_i|.$

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Proof. For the Boolean algebra B_n , define $f, g : B_n \to \mathbb{R}$ by

f(S) = # of elements in all U_i , $i \in S$, and possibly other U_j ,

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g(S) = # of elements in all $U_i, i \in S$, and no other U_j . Now $f(S) = | \cap_{i \in S} U_i |$

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Now $f(S) = |\cap_{i \in S} U_i|$ and $f(S) = \sum_{T \supseteq S} g(T)$. By the Dual MIT

$$|U - \bigcup_{i=1}^n U_i| = g(\emptyset)$$

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Theorem (PIE) Let U be a finite set and $U_1, \ldots, U_n \subseteq U$. $|U - \bigcup_{i=1}^n U_i| = |U| - \sum_{1 \le i \le n} |U_i| + \dots + (-1)^n |\bigcap_{i=1}^n U_i|.$

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f(S) = # of elements in all U_i , $i \in S$, and possibly other U_j ,

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Theorem (Number Theory MIT) Let $f, g : \mathbb{Z}_{>0} \to \mathbb{R}$ satisfy $f(n) = \sum_{d|n} g(d)$ for all $n \in \mathbb{Z}_{>0}$. Then

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