Factoring the Characteristic Polynomial

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Motivating Example

Quotient Posets

The Standard Equivalence Relation

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The Main Theorem

Partitions Induced by Chains

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The Main Theorem

Partitions Induced by Chains

All posets *P* will be finite and have a unique minimal element $\hat{0}$

$$\rho(P) = \max_{x \in P} \rho(x).$$

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If μ is the Möbius function of *P* then the *characteristic polynomial* of *P* is

$$\chi(\boldsymbol{P}) = \chi(\boldsymbol{P}; t) = \sum_{\boldsymbol{x} \in \boldsymbol{P}} \mu(\boldsymbol{x}) t^{\rho(\boldsymbol{P}) - \rho(\boldsymbol{x})}.$$

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Proposition

Let P, Q be ranked posets. 1. $P \cong Q \implies \chi(P; t) = \chi(Q; t)$. 2. $P \times Q$ is ranked and $\chi(P \times Q; t) = \chi(P; t)\chi(Q; t)$.

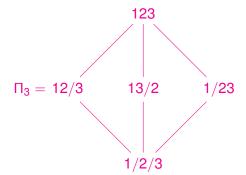
Theorem $\chi(\Pi_n, t) = (t - 1)(t - 2) \cdots (t - n + 1).$



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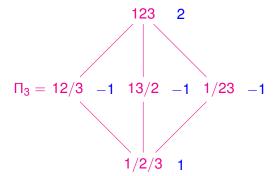


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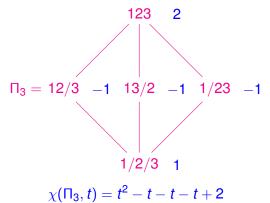
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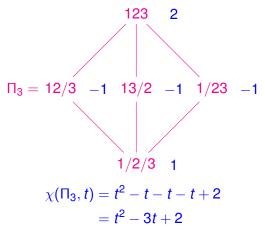
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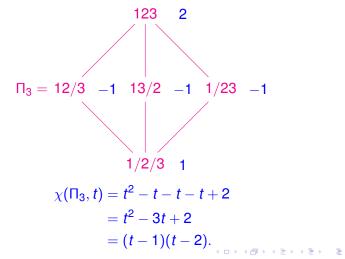


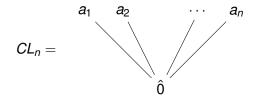
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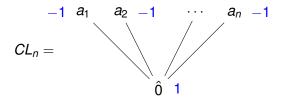


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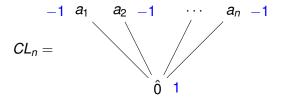




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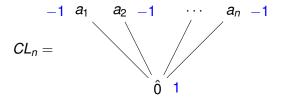
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Thus

 $\chi(CL_n)=t-n.$

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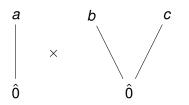
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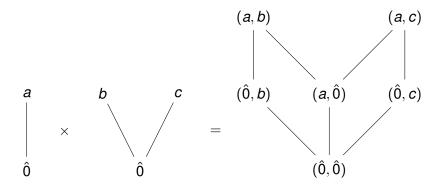
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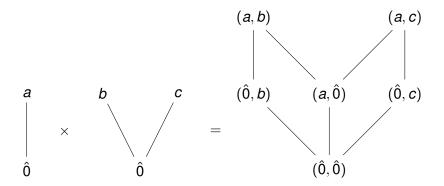
So the characteristic polynomial of CL_n can give us any positive integer root as *n* varies.

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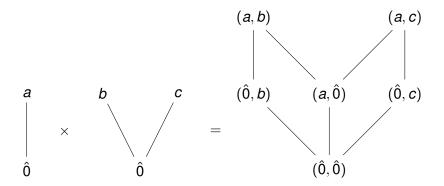
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We have

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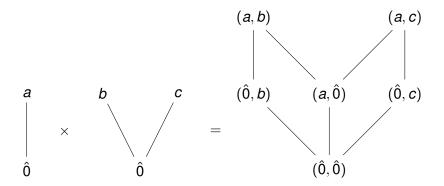


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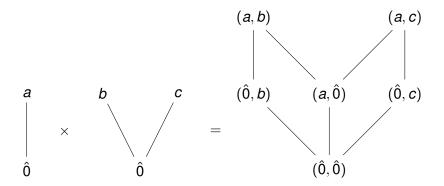
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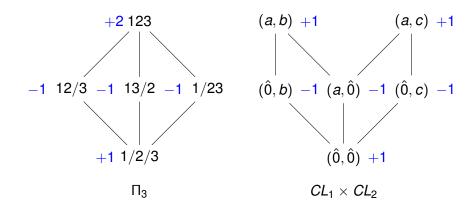
 $\chi(CL_1 \times CL_2) = \chi(CL_1)\chi(CL_2) = (t-1)(t-2) = \chi(\Pi_3).$

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Clearly Π_3 and $CL_1 \times CL_2$ are not isomorphic.

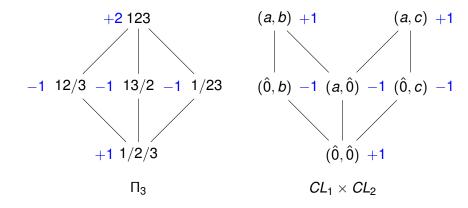
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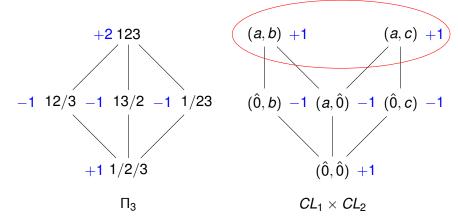
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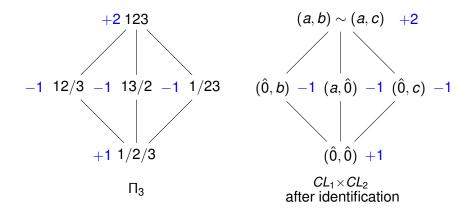
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Clearly Π_3 and $CL_1 \times CL_2$ are not isomorphic. What if we identify the top two elements of $CL_1 \times CL_2$?

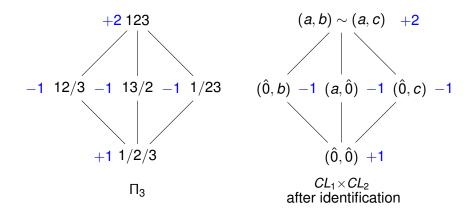




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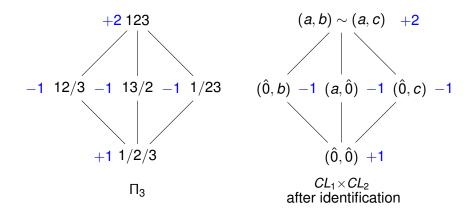


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Note that the Möbius values of (a, b) and (a, c) added to give the Möbius value of $(a, b) \sim (a, c)$.

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Note that the Möbius values of (a, b) and (a, c) added to give the Möbius value of $(a, b) \sim (a, c)$. So $\chi(CL_1 \times CL_2)$ did not change after the identification since characteristic polynomials only record the sums of the Möbius values at each rank.

Suppose *P* is a ranked poset and we wish to prove

$$\chi(P) = (t - r_1) \dots (t - r_n)$$

where r_1, \ldots, r_n are positive integers.



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$$Q = CL_{r_1} \times \cdots \times CL_{r_n}.$$

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 $X \leq Y$ in $P/ \sim \iff x \leq y$ in P for some $x \in X$ and some $y \in Y$.

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Quotients of posets *need not* be posets. **Ex.** Consider

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Put an equivalence relation on C_2 with classes

$$X = \{0, 2\}, \qquad Y = \{1\}.$$

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$$X = \{0, 2\}, \qquad Y = \{1\}.$$

Then X < Y since 0 < 1 and Y < X since 1 < 2.

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Let *P* be a poset and let \sim be an equivalence relation on *P*. We say the quotient *P*/ \sim is a *homogeneous quotient* if

- (1) $\hat{0}$ is in an equivalence class by itself, and
- (2) $X \le Y$ in P / \sim implies that for all $x \in X$ there is a $y \in Y$ with $x \le y$.

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Lemma (Hallam-S)

If P/\sim is a homogeneous quotient then P/\sim a poset.

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Quotient Posets

The Standard Equivalence Relation

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The Main Theorem

Partitions Induced by Chains

How do we determine a suitable equivalence relation?

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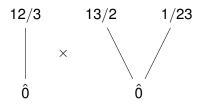
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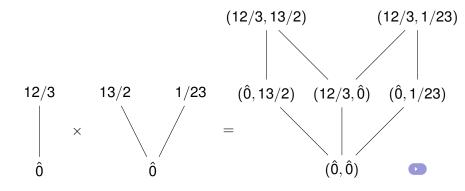
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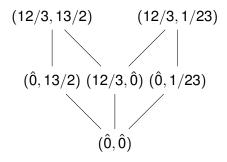


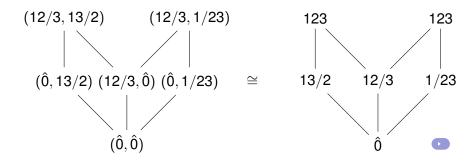
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Now relabel each element of the product with the join of its two coordinates.

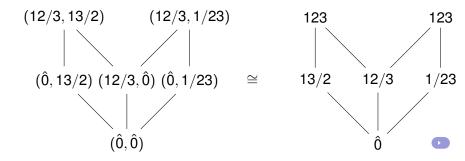
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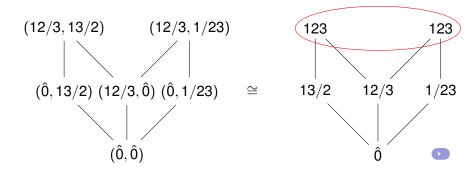


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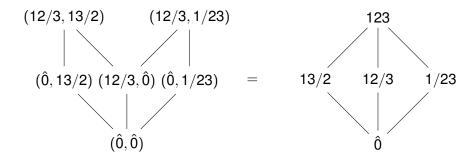
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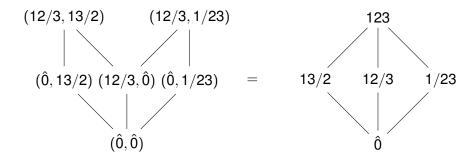
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Finally, identify elements with the same label to obtain the same quotient we did before. Not only is the quotient isomorphic to Π_3 , it even has the same labeling.

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Ex. $(A_1, A_2) \vdash \mathcal{A}(\Pi_3)$ with $A_1 = \{12/3\}, A_2 = \{13/2, 1/23\}$.

An ordered partition of a set \mathcal{A} is a sequence of subsets (A_1, \ldots, A_n) with $\bigcup_i A_i = \mathcal{A}$. We write $(A_1, \ldots, A_n) \vdash \mathcal{A}$. Let $(A_1, \ldots, A_n) \vdash \mathcal{A}(L)$, where $\mathcal{A}(L)$ is the atom set of a lattice *L*. Let CL_{A_i} be the claw with atom set A_i .

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$$\mathbf{t} \sim \mathbf{s}$$
 in $\prod_{i=1}^{n} CL_{A_i} \iff \bigvee \mathbf{t} = \bigvee \mathbf{s}$ in L .

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The *atomic transversals of* $x \in L$ are the elements of the equivalence class

$$\mathcal{T}_x^a = \left\{ \mathbf{t} \in \prod_{i=1}^n CL_{A_i} : \bigvee \mathbf{t} = x \right\}.$$

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Outline

Motivating Example

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We need a condition on the standard equivalence relation which will make sure that the quotient is homogeneous and ranked.

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$$\operatorname{supp} \mathbf{t} = \{i : t_i \neq \hat{\mathbf{0}}\}.$$

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Then the standard equivalence relation is homogeneous, \mathbf{Q}/\sim is ranked, and

 $\rho(\mathcal{T}_{x}^{a})=\rho(x).$

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Lemma (Hallam-S) Let lattice L, $(A_1, ..., A_n) \vdash A(L)$ and $Q = \prod_i CL_{A_i}$ satisfy the conditions of the previous lemma.

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Then for any $\mathcal{T}_x^a \in Q / \sim$ we have

$$\mu(\mathcal{T}_{x}^{a}) = \sum_{\mathbf{t}\in\mathcal{T}_{x}^{a}} \mu(\mathbf{t}).$$

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(a) $(Q/\sim) \cong L$. (b) $\chi(L;t) = \prod_{i=1}^{n} (t - |A_i|)$.

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(a) $(Q/\sim)\cong L$.

(b)
$$\chi(L; t) = \prod_{i=1}^{n} (t - |A_i|).$$

Condition (1) is used to prove that the map $(Q/\sim) \rightarrow L$ by $\mathcal{T}_x^a \mapsto x$ is surjective.

Corollary $\chi(\Pi_n; t) = (t-1)(t-2)\dots(t-n+1).$

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Proof. If i < j let $\{i, j\}$ be the atom of \prod_n having this set as its unique non-singleton block.

Corollary

 $\chi(\Pi_n; t) = (t-1)(t-2)\dots(t-n+1).$

Proof. If i < j let $\{i, j\}$ be the atom of Π_n having this set as its unique non-singleton block. Let $(A_1, \ldots, A_{n-1}) \vdash \mathcal{A}(\Pi_n)$ where

$$A_i = \{\{1, i+1\}, \{2, i+1\}, \dots, \{i, i+1\}\}.$$

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$$(\{1,2\},\{2,3\},\ldots,\{n-1,n\})\in \mathcal{T}_{\hat{1}}^{a}.$$

(2) • With any $\mathbf{t} \in Q$, associate a graph $G_{\mathbf{t}}$ with V = [n] and

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$$ij \in E \iff \{i, j\} \in \mathbf{t}.$$

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$$ij \in E \iff \{i,j\} \in \mathbf{t}.$$

I claim G_t is a forest.

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Proof. If i < j let $\{i, j\}$ be the atom of Π_n having this set as its unique non-singleton block. Let $(A_1, \ldots, A_{n-1}) \vdash \mathcal{A}(\Pi_n)$ where

$$A_i = \{\{1, i+1\}, \{2, i+1\}, \dots, \{i, i+1\}\}.$$

We will verify the three conditions for $x = \hat{1}$.

(1) ($\{1,2\},\{2,3\},\ldots,\{n-1,n\}$) $\in \mathcal{T}_{\hat{1}}^{a}$.

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Outline

Motivating Example

Quotient Posets

The Standard Equivalence Relation

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The Main Theorem

Partitions Induced by Chains

How do we find an appropriate atom partition?

$$A_i = \{a \in \mathcal{A}(L) : a \leq x_i \text{ and } a \not\leq x_{i-1}\}.$$

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Ex. In Π_n , our partition is induced by $\hat{0} < [2] < [3] < \cdots < \hat{1}$ where [*i*] is the partition having this set as its only non-trivial block.

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When will the partition induced by such a chain give the roots of a factorization? For $x \in L$ with $x \neq \hat{0}$, let *i* be the index with $x \leq x_i$ and $x \not\leq x_{i-1}$. Say that *C* satisfies the *meet condition* if, for every $x \in L$ of rank at least 2,

$$x \wedge x_{i-1} \neq \hat{0}.$$

Theorem (Hallam-S)

Let *L* be a lattice and (A_1, \ldots, A_n) induced by a chain *C*.

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- 1. For each $x \neq \hat{0}$ in L, there is i such that $|A_x \cap A_i| = 1$.
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- 3. The characteristic polynomial of L factors as

$$\chi(L,t) = t^{\rho(L)-n} \prod_{i=1}^{n} (t-|\mathbf{A}_i|).$$

Any lattice *L* satisfies: for all $x, y, z \in L$ with $y \leq z$ $y \lor (x \land z) \leq (y \lor x) \land z$ (modular inequality). (2)

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Corollary (Stanley, 1972)

Let L be a semimodular, supersolvable lattice and (A_1, \ldots, A_n) be induced by a saturated chain of left-modular elements. Then

$$\chi(L;t) = \prod_{i=1}^{n} (t - |A_i|).$$

DEAR RICHARD:

