

Math 484n HFH 3/15/11

Defined Knot Floer homology & Proved

Thm. (Large Framed surgery Formula) Expresses $HF^0(Y_N(K))$ in terms of homology of sub-, quotient-, and subquotient-complexes of $CFK^\infty(Y, K) \leftarrow \mathbb{Z} \oplus \mathbb{Z}$

Surgery Exact Triangle: \exists l.e.s.

$$\dots \rightarrow HFK^0(Y_\infty(K)) \rightarrow HFK^0(Y_0(K)) \rightarrow HFK^0(Y, (K)) \rightarrow \dots$$

\exists a surgery formula for all framings. To prove it, we need a different surgery l.e.s.

Thm. \exists l.e.s. $\forall n, m \geq 0, K \in Y$ knot

$$\bigoplus_{i=1}^n HF^0(Y) \rightarrow HF^0(Y_m(K)) \rightarrow HF^0(Y_{n+m}(K))$$

Rmk: $n=1$ is the previous theorem.

To compute $HF^0(Y_m)$ for any m , it suffices to know

$$HF^0(Y_{n+m}(K)) \xrightarrow{F} \bigoplus_{i=1}^n HF(Y)$$

$F = \overline{W_{n+m}(K)}$ natural cobordism map.

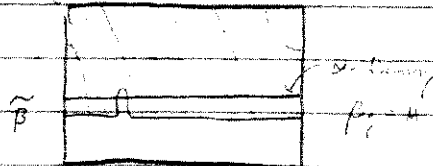
Note, for $n+m \gg 0$, we've computed $HF^0(Y_{n+m}(K))$ and $F = \overline{W_{n+m}(K)}$

Exercise: Prove the general Surgery Exact Triangle Formula.

Hint: Take notes 2 Tuesdays ago and repeat.

Use the Mapping Cone Lemma

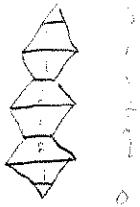
Mapping Cone



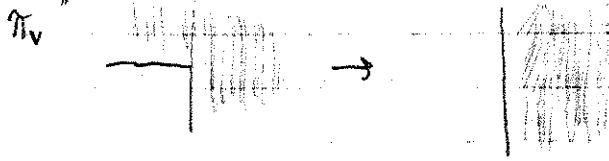
Given $CFK^\infty(Y, K)$. For simplicity, assume $Y = \mathbb{Z}H\mathbb{S}^3$. Interested in $HF^+(Y_n(K))$ for any n .

$$\text{Let } A_i^+ = C(\max\{i, s-m\} \geq 0) \quad (\text{Recall: } H_*(A_m^+) \cong HF^+(Y_m(K), s_m))$$

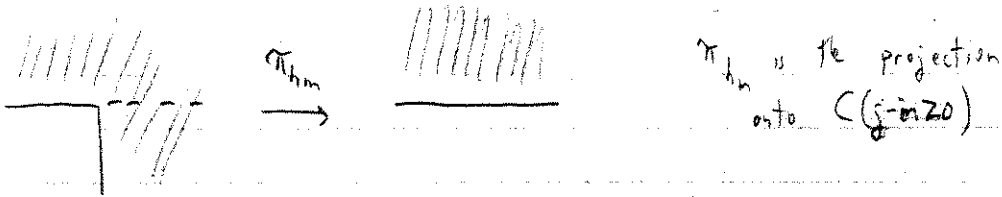
$$\text{Let } B_m^+ = C(i \geq 0) \quad (\text{Recall: } H_*(B_m^+) \cong HF^+(Y))$$



Let $v_m : A_m^+ \rightarrow B_m^+$ be the vertical projection map.



Let $h_m = \iota \circ \pi_{h_m}$ where $h_m : A_m^+ \rightarrow B_{m+1}^+$ is



Note: $C(j-m Z_0)$ is a complex for $HF^+(Y)$,

since it is a complex defined by a H.D. for Y ,

$$(\Sigma, \vec{z}, \vec{\beta}, \vec{z})$$

Not w. the other basepoint,
on the other side of the meridian.

$$\text{Let } \iota : C(j-m Z_0) \rightarrow C(i Z_0)$$

be a chain homotopy equivalence induced by change of basepoint.

$$\text{Let } \vec{A} = \bigoplus_{m=-\infty}^{\infty} A_m^+, \quad \vec{B} = \bigoplus_{m=-\infty}^{\infty} B_m^+$$

$$\text{Define } \vec{A} \xrightarrow{\vec{v} + \vec{h}} \vec{B} \text{ by } v|_{A_m} = v_m, \quad h|_{A_m} = h_m.$$

Thm. (0.5) Given $K \subseteq Y$, then

$$HF^+(Y_n(K)) \cong H_*(M(\vec{v} + \vec{h})) \quad \forall n \in \mathbb{Z}.$$

mapping
core of

Pf. Use general surgery exact sequence as $N \rightarrow \infty$.

$$\cdots \rightarrow \bigoplus_{i=1}^N HF^+(Y) \rightarrow HF^+(Y_n(K)) \rightarrow HF^+(Y_{n+2N}(K)) \rightarrow \cdots$$

If $N \gg 0$, then Large N surgery formula produces $HF^+(Y_{n+N}(K), \mathfrak{S}_m)$

$$\cong H_K(A_m^+)$$

Now, the \vec{v} 's are the m 's associated to 2-handle cobordism $W_{n+N}(K)$ in Spin^c -structure t_m , i.e. unique Spin^c -structure st.

$$\langle c_1(t_m), [\hat{S}] \rangle + (n+N) = 2m.$$

And $h_m \cong \text{Map}$ induced by $t_m + \text{PD}[\text{core of 2-handle}]$

Similar proof to the corresponding statement for V_m .

Grading argument tells us that although we need to compute $\bigoplus_{i=-\infty}^{\infty} F_{W_{n+N}(K), t_i}^+$

the lowest order part of the map comes from $v^+ + h^+$.

What does this mean?

Recall from last time, the grading shift formula:

$$\text{gr}(F_{W,t}(\vec{v})) - \text{gr}(\vec{v}) = \frac{c_1^2(t) - 2\chi - 3\sigma}{4}$$

What does $c_1^2(t)$ mean?

$$H^2(W) \otimes H^2(W) \xrightarrow{\cup} H^4(W) = 0$$

$c_1(t) \quad c_1(t)$

$$Y_n(K) \cong \mathbb{S}^3$$

$$H^2(W, d) \rightarrow H^2(W) \rightarrow H^2(d)$$

$$c_1(t) \mapsto c_1(t|_d)$$

$$\Rightarrow L \cdot c_1(t|_d) = 0 \quad \text{for some } L \in \mathbb{Z}$$

$$\Rightarrow L \cdot c_1(t) \text{ lifts to } \widetilde{c_1(t)} \in H^2(W, d) \quad \text{by exact sequence.}$$

$$\text{Now, } H^2(W) \otimes H^2(W, d) \xrightarrow{\cup} H^2(W, d) \cong \mathbb{Z}$$

$$(c_1(t), \widetilde{c_1(t)}) \mapsto \frac{\langle c_1(t) \cup [L \cdot c_1(t), [W, d]] \rangle}{L} \in \mathbb{Q}.$$

Coming back to $\text{Spin}^c(W_{n+N}^4(K)) \ni t_m$

$$c_1^2(t_m) = \frac{(2m - (n+N))^2}{-(n+N)}$$

Exercise: Show this

Observe: As $|m| \rightarrow \infty$, $c_1^2 \rightarrow -\infty$,

and $t_m, t_m + PD[S]$

(the Spin^c -structures we understand)

are those Spin^c -structures which shift degree the least.

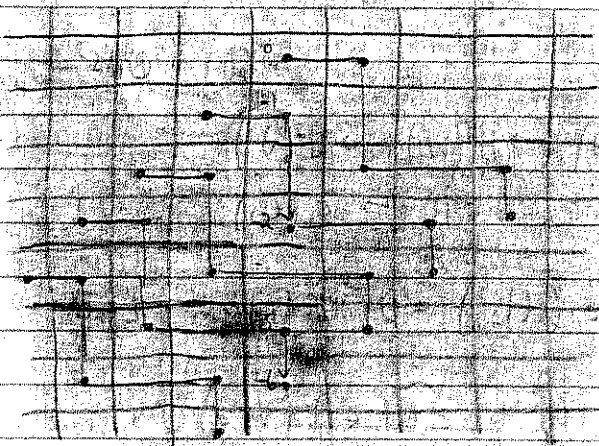
$$\langle c_1(t_m), [S] \rangle + (n+N) = 2k$$

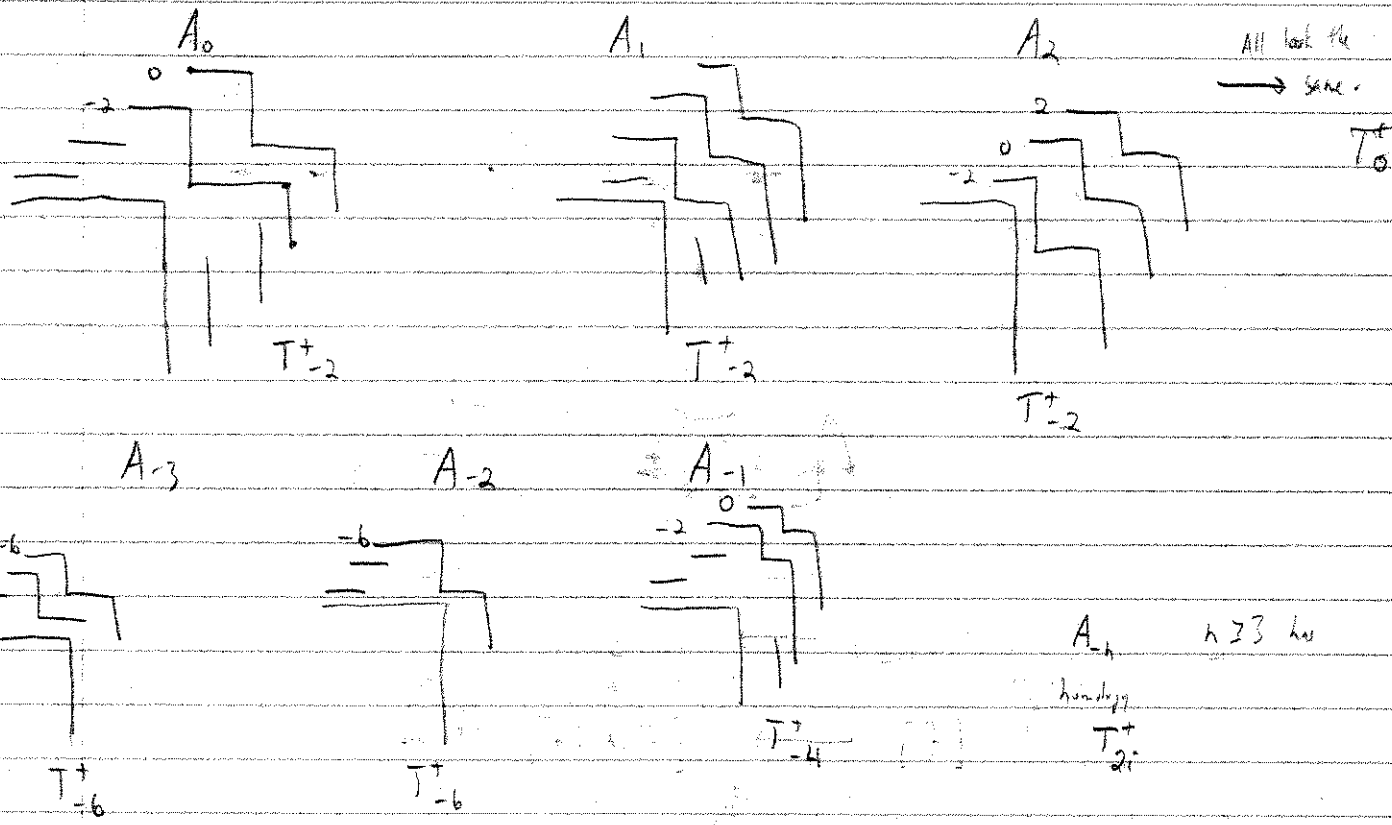
Finally, apply the Filtered Chain Map argument, together with observation that $M(\vec{v} + \vec{h})$ is finitely generated in each degree \rightarrow yield the Theorem.

Example: Surgeries on $T_{3,4}$.

$CFK^\infty(T_{3,4})$

$\text{Ad} \int_{\mathbb{R}} \mathbb{R}^2 \times \mathbb{R}^2$
 \mathbb{R}
 $\mathbb{R}^2 \times \mathbb{R}^2$





$$T^+ \cong \mathbb{Z}[U, U^{-1}] / \langle U^2 - U \rangle \cong \text{HP}^+(S^3)$$

T_{-6}^+ means \emptyset other starting i instead of 0

Potential Issue with Giroux's Theorem !!

- Prove the existence of surgery exact triangles.

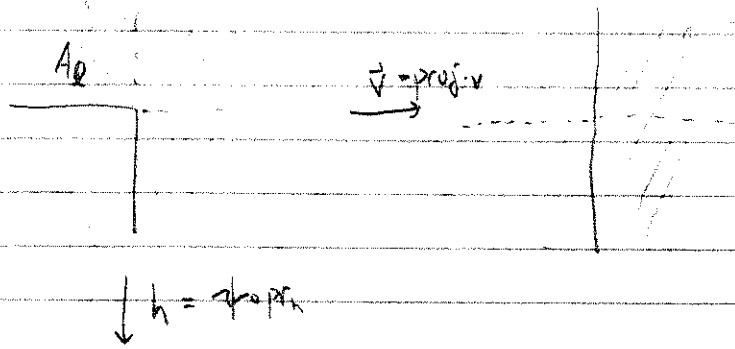
$$\dots \rightarrow HF^0(Y_{n-1}) \rightarrow HF^0(Y_n) \rightarrow HF^0(Y_{n+1}) \rightarrow \dots$$

$$\dots \rightarrow \bigoplus_{i=0}^n HF^0(Y_{i-1}) \rightarrow HF^0(Y_n) \rightarrow HF^0(Y_{n+m}) \rightarrow \dots$$

- Used later surgery sequence to complete the surgery formula for $CFK^\infty(Y, K)$.

$$M \left(\begin{array}{ccc} \text{Thm.} & \bigoplus A_i & \xrightarrow{\psi + h^m} \bigoplus R_i \\ & \cong \mathbb{R} & \cong \mathbb{R} \\ \bigoplus_{i=-\infty}^{\infty} CF^+(Y_n(K), \mathcal{L}_i) & \xrightarrow{\psi + h^m} & CF^+(Y) \end{array} \right) \cong HF^+(Y_m(K)) \quad \forall m.$$

\uparrow Mapping cone
 $v_i : A_i \rightarrow B_i$
 $h_i^m : A_i \rightarrow B_{i+m}$



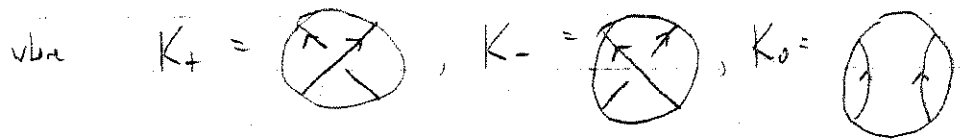
$$\psi : C(\{j \geq l\}) \rightarrow C(\{i \geq 0\})$$

- Computed Example : Surgery on $T_{3,4}$.

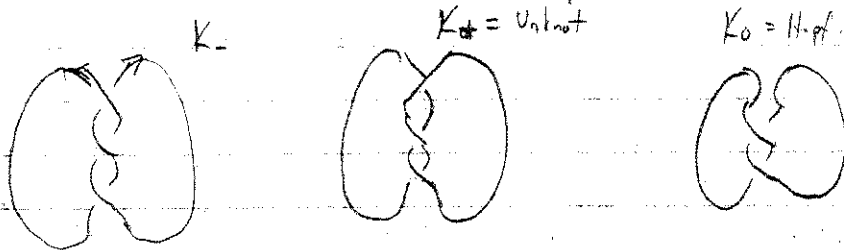
As an aside, recall $\Delta_K(T)$ is characterized by

(1) $\Delta_K(1) = 1$ (Normalization)

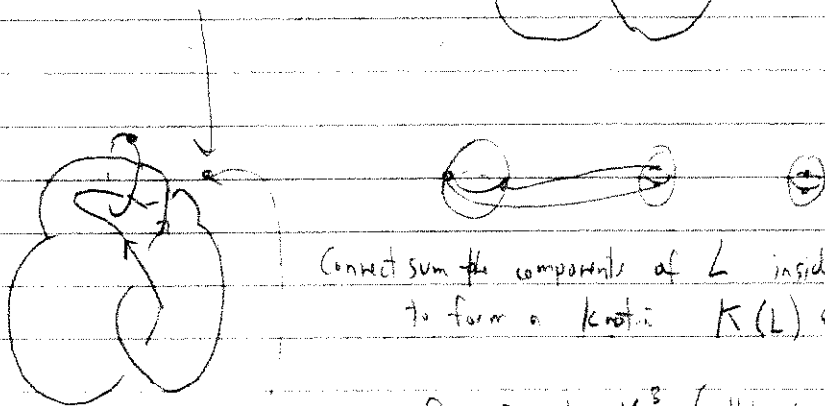
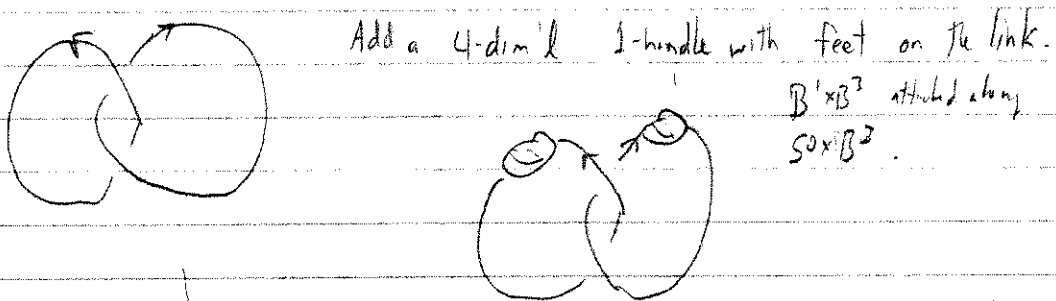
(2) $\Delta_{K_+}(T) - \Delta_{K_-}(T) = (T^{\frac{1}{2}} - T^{-\frac{1}{2}}) \Delta_{K_0}(T)$



The skein relation turns into an exact sequence for Knot Floer homology.



To define Knot Floer homology of a link, we "knotify" the link:



Connect sum the components of L inside $I \times S^2$ to form a knot: $K(L) \subseteq S^1 \times S^2$.

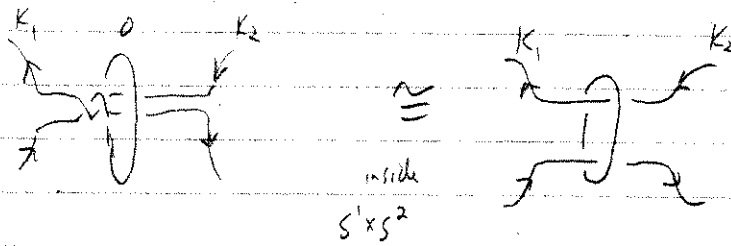
(This twist is to preserve orientation, independent of choice of twist.)

Prop: To $L \subseteq Y^3$ (null homologous) link, attaching $|L| - 1$ 2 handles to Y^3 along pts in L , we obtain a (null homologous) knot, $K(L) \subseteq Y^3 \# S^1 \times S^2$.

$K(L)$ sup homology is independent of all choices.

$$0 \rightarrow F_0 \xrightarrow{F_{\frac{1}{2}}} F_0 \rightarrow 0$$

Pf. 4-dim'l. Slide the 1 handles over each other, dragging the band along,
to show $K(L)$ is independent of choice of base points on L .



↑ because you can handle slide $K(L)$

over \emptyset . □

Def. $\widehat{HFK}(Y, L) := \widehat{HFK}(Y \# S^1 \times S^2, K(L))$

Thm. $\sum_{i \in \mathbb{Z}} \chi(\widehat{HFK}(Y, L, i)) \cdot T^i = \Delta_L(T) \cdot (T^{\frac{1}{2}} - T^{-\frac{1}{2}})^{|K|-2}$

↑ Absolute grading
i.e. $n_Z(\phi) - n_W(\phi) = A(\frac{1}{2}) - A(-\frac{1}{2})$

Prog. $L \subset Y$ a 2-component link.

$$(Y \# S^1 \times S^2)_0(K(L)) \cong (Y-L) / \sim$$

\sim means meridian 1 \leftrightarrow meridian 2

longitude 1 \leftrightarrow longitude 2.

End of Aside.

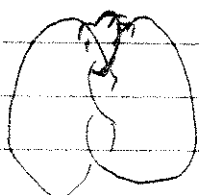
Thm. \exists long exact sequence, for each $i \in \mathbb{Z}$:

$$\dots \rightarrow \widehat{HFK}(K_+, i) \xrightarrow{\widehat{F}_2} \widehat{HFK}(K_-, i) \xrightarrow{\widehat{F}_0} \widehat{HFK}(K_0, i) \xrightarrow{\widehat{F}_1} \dots$$

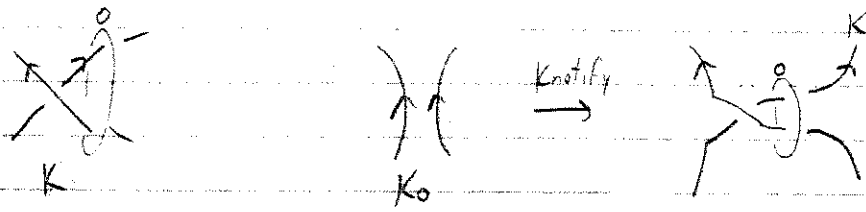
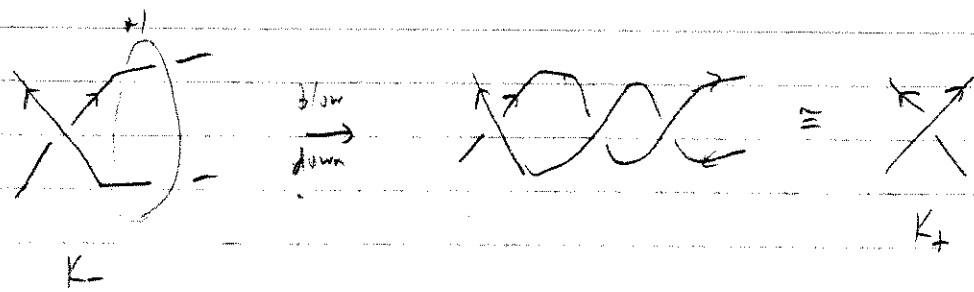
if arcs used for $K_+ + K_-$ belong to the same component,
then $\widehat{F}_0 = \widehat{F}_1$, decrease absolute grading by $\frac{1}{2}$, and \widehat{F}_2 preserves absolute grading.

Pf. Corollary to Surgery Triangle:

K_-



Consider the surgery sequence for $A, 0, -1$: surgery
on the blue unknot, a crossing circle.



So $(Y_\infty, K) \xrightarrow{\mathbb{R}} (Y_0, K) \xrightarrow{\mathbb{R}} (Y_1, K)$
 $\dots \rightarrow (Y, K_-) \rightarrow (Y \# S^1 \times S^2, K(K_0)) \rightarrow (Y, K_+) \rightarrow \dots$

Existence of Skein Exact Sequence would follow if we knew the ordinary exact sequence for $\infty, 0, 1$ respected the filtration induced by the knot.

One can show that

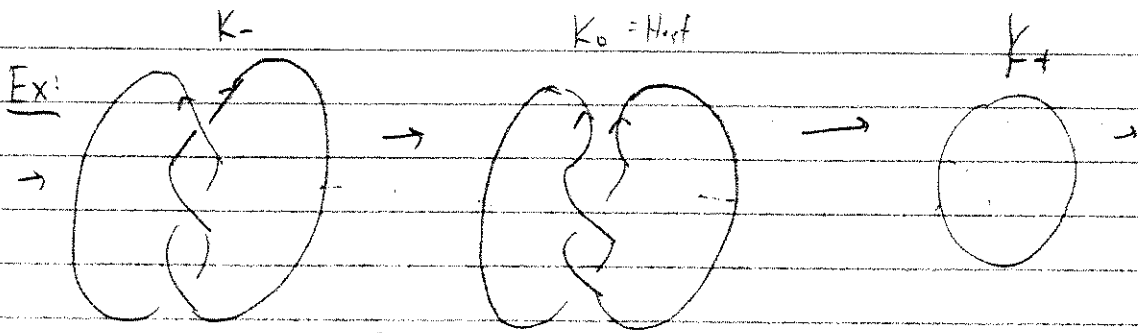
Thm. Let $K \cup J$ be a 2-component link with $lk(K, J) = 0$.

Then consider

$$F_{W_n(J), t}^0 : CF^0(Y) \rightarrow CF^0(Y_n(J)).$$

This chain map preserves the Alexander filtration on both sides induced by K .

In the proof $lk(K, J) = 0$ translates the information about how K intersects generator for \dots



HFK(trivial)	HFK(Hopf)	HFK(unknot)
$F_{(2)}$	$F_{(2)}$	0
$F_{(1)}$	$F_{(1)} \oplus F_{(1)}$	$F_{(0)} \rightarrow 0$
$F_{(0)}$	$F_{(-2)}$	$0 \wedge$

↑
Known

Since F_2 preserves grading.

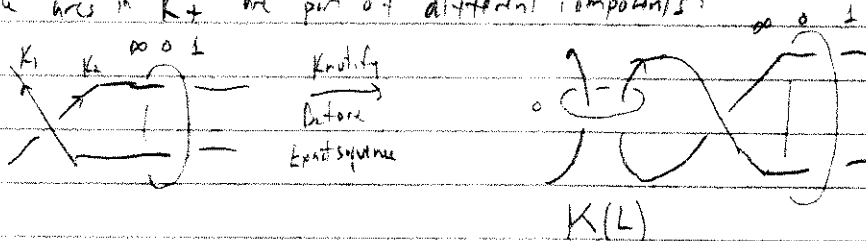
Note:

$$\sum \chi(\text{HFK}(\text{Hopf})) \cdot T^i =$$

$$\pm 1 \cdot T^{-1} \mp 2 \cdot T^0 \pm 1 \cdot T^{-1}$$

$$= \pm \Delta_{\text{Hopf}}(T) \cdot (T^{-1} - T^{-2})$$

If the arcs in K_+ are part of different components:

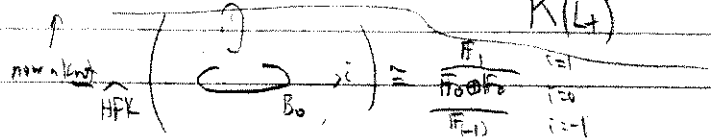


$$\widehat{\text{HFK}}(Y_\alpha, K(L)) \rightarrow \widehat{\text{HFK}}(Y_0, K(L)) \rightarrow \widehat{\text{HFK}}(Y_\pm, K(L))$$

\parallel
 $K(L_-)$

$L_0 \# (\mathbb{R}^2 \times S^1)$

\parallel
 $K(L)$



Endgame:

$$\rightarrow \widehat{\text{HFK}}(K_-, i) \rightarrow \widehat{\text{HFK}}(K_0 \# B, i) \rightarrow \widehat{\text{HFK}}(K_+, i) \rightarrow$$

if strands for K_-, K_+ belong to different components.

Exercise: Use the Stein exact sequence to show $\sum_{i \in \mathbb{Z}} \chi(\widehat{\text{HFK}}(K(L), i)) \cdot T^i$

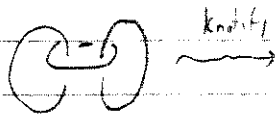
$$\parallel$$
$$\Delta_L(T) \cdot (T^{\frac{1}{2}} - T^{-\frac{1}{2}})^{2l-2}$$

Math Hedden HFT 3/31/11

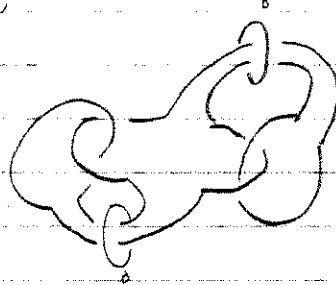
Last Time:

- Defined $\widehat{HFK}(n\text{-component link } \in Y) := \widehat{HFK}(Y \# S^1 \times S^2, K(L))$,
 where $K(L)$ = knotification of L ,

e.g. $L \in S^3$




knotify



$K(L) \in \# S^1 \times S^2$

- Discussed Thm. \exists l.e.s.

$$\cdots \rightarrow \widehat{HFK}(K_-, i) \rightarrow \widehat{HFK}(K_+, i) \rightarrow \widehat{HFK}(K_0, i) \rightarrow \cdots \quad \text{Alexander grading}$$

if  stands of crossing belong to same component of K_+ (and K_-).

$$\cdots \rightarrow \widehat{HFK}(K_-, i) \rightarrow \widehat{HFK}(K_+, i) \rightarrow (\widehat{HFK}(K_0) \otimes V, i) \rightarrow \cdots$$

$$\text{where } V \cong \begin{cases} \mathbb{F}_{\text{odd}} & \text{Alex} = 1 \\ \mathbb{F}_{\text{even}}^2 & \text{Alex} = 0 \\ \mathbb{F}_{(-i)} & \text{Alex} = -1 \end{cases}$$

if strands belong to different components.

Iteration of surgery exact triangle \rightsquigarrow Spectral sequence.

Cor. \exists spectral sequence whose $E_2 \cong Kh^{red}(L) + E_\infty \cong HF(\Sigma(L))$

\uparrow
 Double-branched cover
 of S^3 branched over L .

$$(\pi_1(S^3 - L) \rightarrow H_1(S^3 - L) \cong (\mathbb{Z}\langle M \rangle)_{i=1}^{|M|} \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z})$$

This gives

$$(S^3 - L)_{\text{orb}}$$

$\downarrow 2:1$

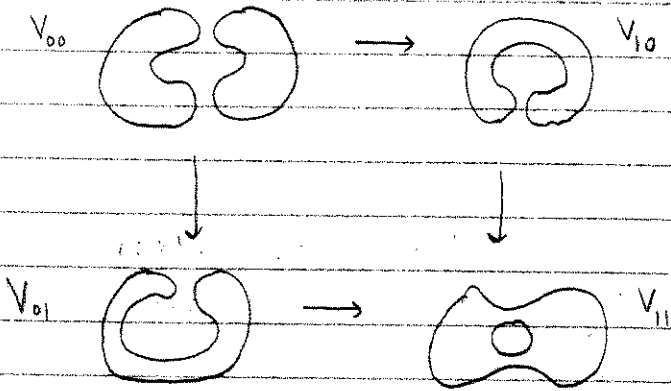
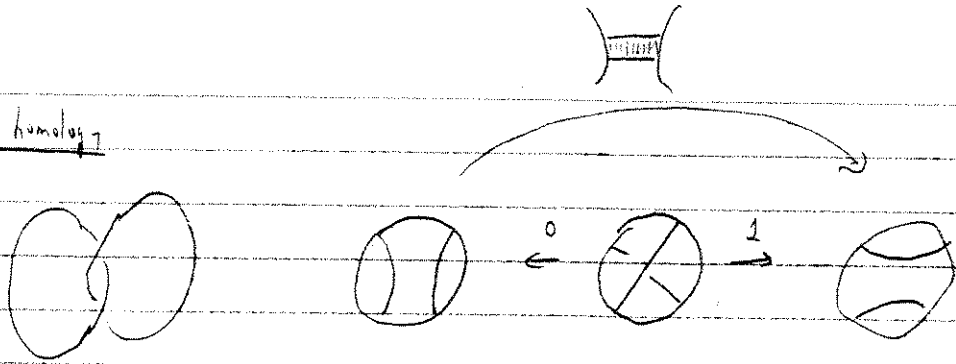
$$(S^3 - L)$$

glue $S^1 \times D^2$ to $(S^3 - L)_2$ so
 that covering extends over $S^1 \times D^2$

$$\downarrow (\theta, \tau^2)$$

$$S^1 \times D^2$$

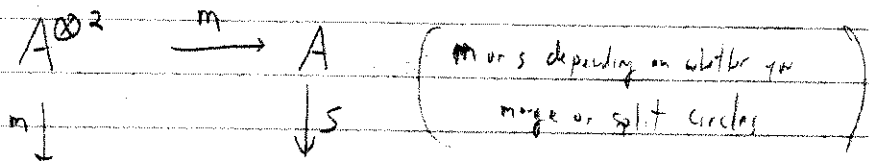
Khovanov homology



(1+1) dim'l
TQFT i.e. Functor from Category of 2-manifolds
to Algebraic Category

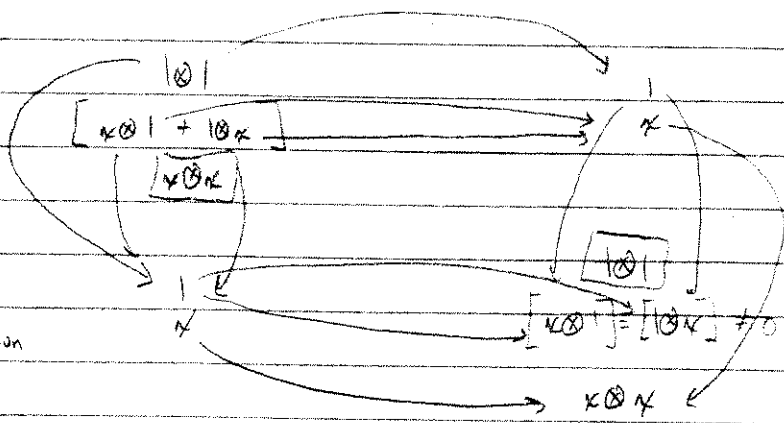
$$A = \mathbb{F}[x] / \mathbb{F}[x]^2$$

$$\mathbb{F} = \mathbb{Z}/2\mathbb{Z}$$



- $m: A \otimes A \rightarrow A$
 - $1 \otimes x \mapsto x$
 - $x \otimes 1 \mapsto x$
 - $1 \otimes 1 \mapsto 1$
 - $x \otimes x \mapsto 0$
- } multiplication

- $s: A \rightarrow A \otimes A$
 - $1 \mapsto 1 \otimes x + x \otimes 1$
 - $x \mapsto x \otimes x$
- } comultiplication



Since $\mathbb{F} = \mathbb{Z}/2\mathbb{Z}$, commutativity corresponds to compositions being 0.

s. $(\sum \text{edge maps})^2 = 0$, i.e. $\partial = \sum \text{edge maps}$ is a differential.

Denote this complex by $CKh(D)$

Thm. $H_*(CKh(D), \partial)$ is independent of choice of diagram for L .
 \parallel
 $Kh(L)$

Khovanov homology for Hopf link is rank 4.

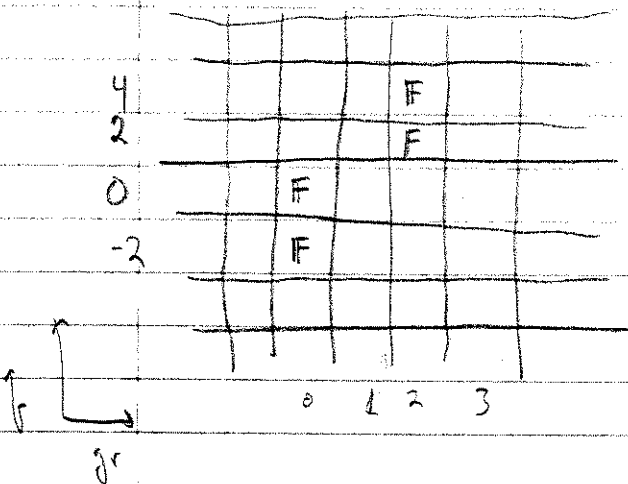
\exists bigrading:

(1) Homological grading $gr(\vec{v}) = \#\{1\text{'s in writhe where } \vec{v} \text{ lies}\}$

(2) Quantum grading $q(\vec{v}) = gr(\vec{v}) + q'(\vec{v})$

where $q'(1) = 1$
 $q'(x) = -1$

$q'(1 \otimes 1) = 2$
 $q'(x \otimes 1) = q'(1 \otimes x) = 0$
 $q'(x \otimes x) = -2$ } grading on A .



Note: Technically, this depends on the writhe of the diagram, though this could be accounted for.

Why should Khovanov homology have any relation at all to HFH?

Ex: Show \exists l.e.s. relating

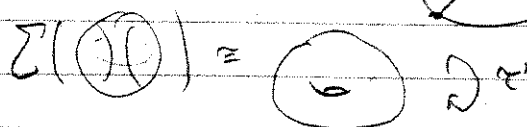
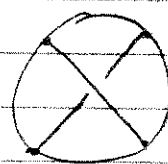
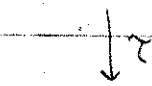
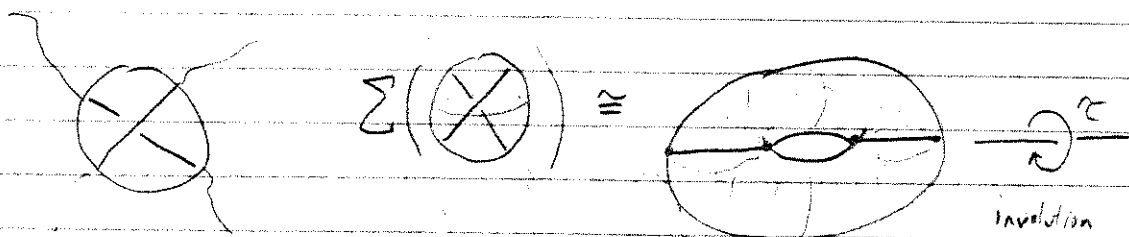
$$\dots \rightarrow Kh(\emptyset) \rightarrow Kh(\infty) \rightarrow Kh(X) \rightarrow \dots$$

$$(0 \rightarrow CKh(\infty) \rightarrow CKh(X) \rightarrow CKh(\emptyset) \rightarrow 0)$$

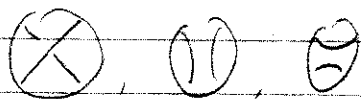
Lemma. Consider L_0, L_1, L differing by the Khovanov skein move
(aka: unoriented skein relation)

Then $\Sigma(L_0), \Sigma(L_1), \Sigma(L)$ differ by surgery on a knot,
+ the 3 surgery curves form a triad.

Pf



Exercise: See that the boundary of a meridian disk for each solid torus
obtained from



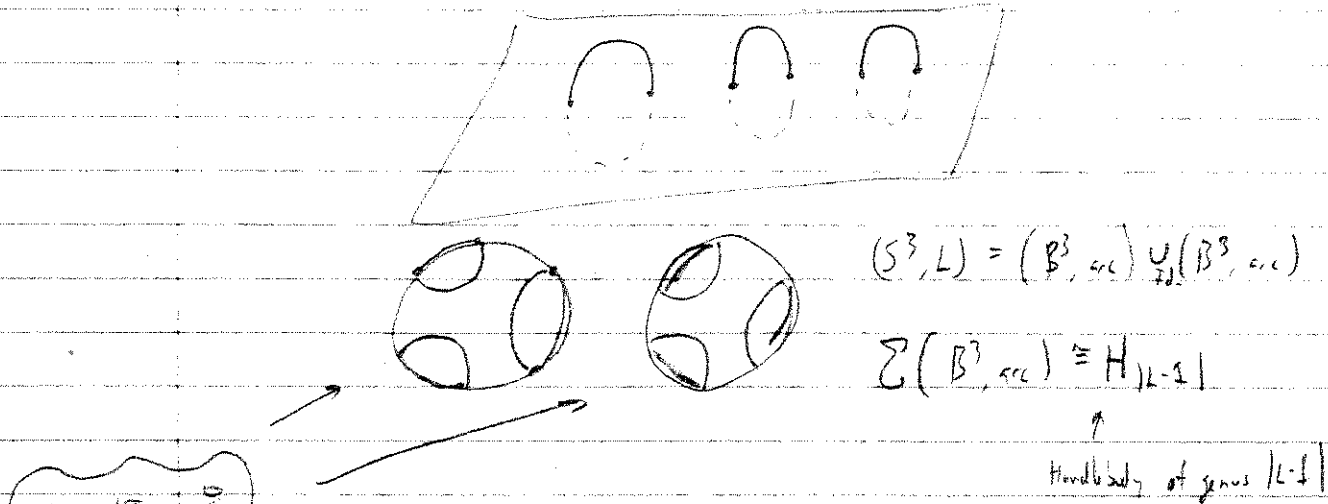
satisfy the triad condition.



(lines are pushed onto the boundary,
lift to meridians in the
solid torus.)

Lemma. $\Sigma \left(\underbrace{0 \dots 0}_n \right) \cong \#^{n-1} S^1 \times S^2$

Sketch:



$\Sigma(S^3, L) \cong H_{|L|-1} \cup_{\mathbb{Z}_2} H_{|L|-1} \cong \#^{n-1} S^1 \times S^2$

↑
Handlebody of genus $|L|-1$

Recall: $\widehat{HF}(S^1 \times S^2) \cong \begin{cases} \mathbb{F}_2 \\ \mathbb{F}_2 \end{cases}$

$\widehat{HF}(\#^2 S^1 \times S^2) \cong \begin{cases} \mathbb{F}_2 \\ \mathbb{F}_2 \\ \mathbb{F}_2 \end{cases}$

Shift the grading down by $\frac{3}{2}$.

$\widehat{HF}_*(\#^n S^1 \times S^2) \cong H_*(T^n) \cdot \left\{ -\frac{n}{2} \right\} \cong \text{Kh}_{\frac{n}{2}}(0 \dots 0)$

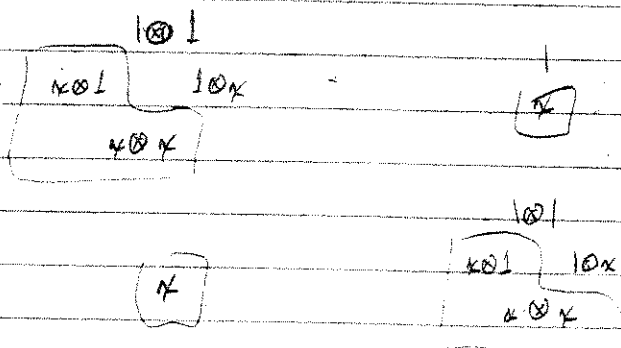
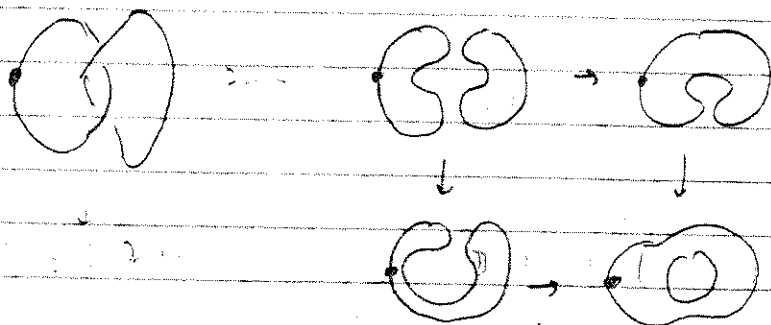
$\text{Kh}_{\frac{n}{2}}(0 \dots 0) \cong \text{CKh}(0 \dots 0) \cong A^n$

Σ (Khovanov cube for Hopf link)

$$\begin{array}{ccc} \Sigma(00) & \rightarrow & \Sigma(0) \\ \downarrow & & \downarrow \\ \Sigma(0) & \rightarrow & \Sigma(00) \end{array} \cong \begin{array}{ccc} \# S^1 \times S^2 & \rightarrow & S^3 \\ \downarrow & & \downarrow \\ S^3 & \rightarrow & \# S^1 \times S^2 \end{array}$$

$$\begin{array}{ccc} \widetilde{Kh}(00) & & \widetilde{Kh}(0) \\ \cong & \xrightarrow{HFH} & \cong \\ A^{\otimes 1} & \rightarrow & A^{\otimes 0} \\ \downarrow & & \downarrow \\ \widetilde{Kh}(00) \cong A^{\otimes 0} & \rightarrow & A^{\otimes 1} \cong \widetilde{Kh}(0) \end{array}$$

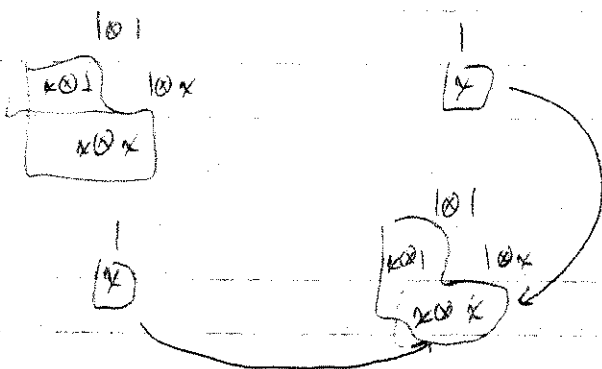
Reduced Khovanov homology



Claim: Picking k in the tensor factor corresponding to the circle containing marked point gives rise to a subcomplex of CKh .

Def. $\widetilde{Kh}(K) := H_*(\text{marked pt. subcomplex})$.

Recall: The exact triangle provides chain maps from

$$\Sigma(\bigcirc) \xrightarrow{\Sigma(\bigcirc)} \Sigma(\bigcirc)$$


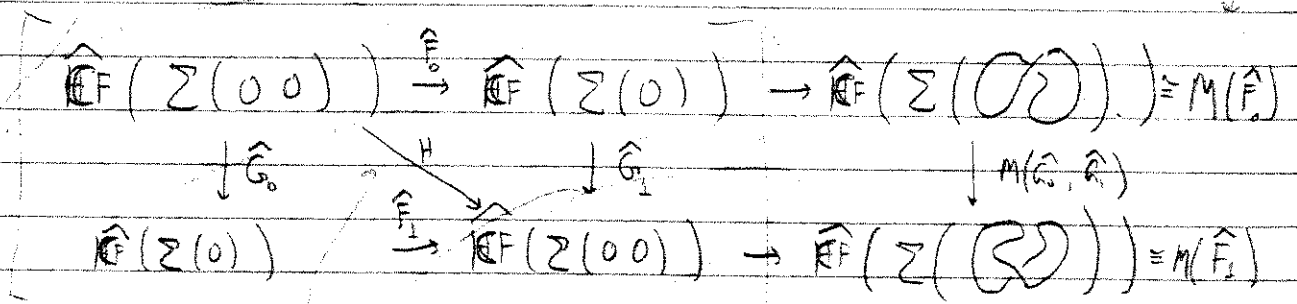
$$\widehat{HF}(\Sigma(0)) \cong \widehat{HF}(S^3) \cong \mathbb{F}\langle x \rangle$$

$\downarrow \widehat{F}_{W_2\text{-handle}}$

$$\widehat{HF}(\Sigma(00)) \cong \widehat{HF}(S^1 \times S^2) \cong \mathbb{F}\langle x @ 1 \rangle$$

$$\cong \mathbb{F}\langle x @ x \rangle$$

Exercise: Compute exact triangle for $\bigcirc \xrightarrow{\bigcirc} \bigcirc$



Chain homology equivalence, not equality on the nose

$$\widehat{HF}(\Sigma(\bigcirc)) \cong M(\widehat{G}_0, \widehat{G}_1)$$

Thm. Blue box is a chain complex whose homology computes $\widehat{HF}(\Sigma(L))$

Moreover, the box is a filtered chain complex, filtered by Khovanov grading (cube grading)

E_2 term of the associated spectral sequence
 $\cong \widetilde{K}h(\mathbb{Z})$.