Math 310.003 Polynomial Euclidean Algorithm Fall 2018

Division Algorithm. Let F[x] be a polynomial ring, where F is any field, such as $\mathbb{Q}, \mathbb{R}, \mathbb{C}, \mathbb{Z}_p$. The long division algorithm allows us to divide a polynomial a(x) by b(x) to get a quotient polynomial q(x) with remainder r(x):

$$a(x) = q(x)b(x) + r(x)$$
 with $\deg r(x) < \deg b(x)$ or $r(x) = 0$.

Apart from an algorithm, the existence and uniqueness of such q(x), r(x) can be proved just as for division of integers.

Euclidean Algorithm.

We say one polynomial *divides* another, c(x) | a(x), when a(x) = c(x)q(x) for some polynomial $q(x) \in F[x]$; this just means the remainder of $a(x) \div c(x)$ is r(x) = 0. Equivalently, we say c(x) is a *factor* or *divisor* of a(x). Multiplying by a non-zero constant $u \in F$ has no effect on divisibility: if c(x) is a factor of a(x), then so is u c(x), since:

$$a(x) = c(x)q(x) \quad \iff \quad a(x) = u c(x) \cdot \frac{1}{u}q(x).$$

In fact u is a unit in F[x], taking the role of ± 1 in the integer ring \mathbb{Z} .

For $a(x), b(x) \in F[x]$, their greatest common divisor d(x) = gcd(a(x), b(x))is a highest-degree polynomial dividing both a(x) and b(x).

EXAMPLE: In $\mathbb{Q}[x]$, the divisors of $a(x) = 9x^2 - 4$ are:

$$u, u(3x-2), u(3x+2), u(9x^2-4)$$

for any non-zero constant $u \in F$. The divisors of $b(x) = 3x^2 + 2x$ are:

$$u, ux, u(3x+2), u(3x^2+2x)$$

Thus $d(x) = \gcd(a(x), b(x)) = u(3x+2)$, which is unique except for the constant multiple. If we choose $u = \frac{1}{3}$ so as to make the leading coefficient equal to 1, we get the unique $d(x) = \frac{1}{3}(3x+2) = x+\frac{2}{3}$.

The Euclidean Algorithm is an efficient method to find gcd(a, b) for $\deg a(x) \ge \deg b(x)$ by repeated division with remainder, which works just as for integers. *Example.* Find d(x) = gcd(a(x), b(x)) for:

$$a(x) = 6x^4 + 2x^3 + 5x^2 + 3x + 2$$
, $b(x) = 2x^2 + 1$.

Repeated long division gives:

$$a(x) = (3x^2 + x + 1) b(x) + r_1(x) \text{ where } r_1(x) = 2x + 1$$

$$b(x) = (x - \frac{1}{2}) r_1(x) + r_2(x) \text{ where } r_2(x) = \frac{3}{2}$$

$$r_1(x) = (\frac{4}{3}x + \frac{2}{3}) r_2(x) + 0.$$

The gcd is the last non-zero remainder: $d(x) = r_2(x) = \frac{3}{2}$, which we can multiply by any non-zero constant u.

Given $r_{-1}(x) = a(x)$, $r_0(x) = b(x)$, $r_1(x), \ldots, r_i(x)$, the iterative rule is: $r_{i-1}(x) = q_{i+1}(x)r_i(x) + r_{i+1}(x)$ with deg $r_i(x) > \deg r_{i+1}(x)$, ending when we reach $r_{i+1}(x) = 0$.

Extended Euclidean Algorithm

For gcd(a(x), b(x)) = d(x), we compute polynomials $f(x), g(x) \in F[x]$ with:

$$d(x) = f(x)a(x) + g(x)b(x).$$

Continuing our example, we solve for the the Euclidean algorithm remainders:

$$r_2(x) = b(x) - (x - \frac{1}{2}) r_1(x)$$

$$r_1(x) = a(x) - (3x^2 + x + 1) b(x)$$

Substituting the second equation into the first:

$$d(x) = r_2(x) = b(x) - (x - \frac{1}{2})r_1(x)$$

= $b(x) - (x - \frac{1}{2})(a(x) - (3x^2 + x + 1)b(x))$
= $-(x - \frac{1}{2})a(x) + (1 + (x - \frac{1}{2})(3x^2 + x + 1))b(x)$
 $\frac{3}{2} = (-x + \frac{1}{2})a(x) + (3x^3 - \frac{1}{2}x^2 + \frac{1}{2}x + \frac{1}{2})b(x).$

To tidy this, we can multiply by $u = \frac{2}{3}$ to make u d(x) = 1:

$$1 = \left(-\frac{2}{3}x + \frac{1}{3}\right)a(x) + \left(2x^3 - \frac{1}{3}x^2 + \frac{1}{3}x + \frac{1}{3}\right)b(x).$$

CLAIM: $d(x) = r_2(x)$ is indeed the greatest common divisor.

We first prove that d(x) must divide both a(x) and b(x). From the end of the Euclidean algorithm, we get $d(x) | d(x) = r_2(x)$ and $d(x) | q_3(x)r_2(x) = r_1(x)$; proceeding backward we get:

$$d(x) \mid q_2(x)r_1(x) + r_2(x) = b(x).$$

$$d(x) \mid q_1(x)a(x) + r_1(x) = a(x).$$

Therefore, d(x) is *some* common divisor of a(x), b(x). (Of course, here $d(x) = \frac{3}{2}$ divides any polynomial, but the argument illustrates the general case.)

Finally, we prove d(x) is the greatest common divisor of a(x), b(x). Suppose that c(x) is any common divisor, so c(x) | a(x) and c(x) | b(x). Then clearly c(x) | f(x)a(x) + g(x)b(x) = d(x), so that any common divisor c(x) is also a divisor of d(x), making d(x) the greatest common divisor.

Irreducible Polynomials.

Just as for integers, a non-trivial factorization of a polynomial f(x) writes it as the product of smaller-degree polynomials in F[x]:

$$f(x) = g(x)h(x)$$
 for $\deg g(x), \deg h(x) < \deg f(x)$.

Factoring out a non-zero constant $u \in F$ from $f(x) = u \cdot \frac{1}{u}f(x)$ does not count as a factorization, since the second factor is not of smaller degree: $\deg \frac{1}{u}f(x) = \deg f(x)$. If f(x) = u is itself a non-zero constant, then no non-trivial factorization is possible.

Repeated factorization must end, since the degrees of the factors keep getting smaller. The process ends with polynomials p(x) which have no divisors except a constant u and u p(x): we call these *irreducible* polynomials, analogous to prime numbers. Constant functions do *not* count as irreducibles.

The analog of the Prime Divisibility Property ([H] Thm 1.5 p. 18) is:

THEOREM: If p(x) is an irreducible polynomial with p(x) | a(x)b(x), then p(x) | a(x) or p(x) | b(x).

Proof: Let p(x) be an irreducible polynomial with p(x) | a(x)b(x). If p(x) | a(x), the conclusion holds, and we are done.

If p(x) is not a divisor of a(x), and p(x) has no other non-trivial divisors, then p(x) and a(x) have greatest common divisor d(x) = 1. The Extended Euclidean Algorithm gives f(x)p(x) + g(x)a(x) = 1. Multiplying by b(x):

$$p(x) \mid f(x)p(x)b(x) + g(x)a(x)b(x) = b(x).$$

That is, $p(x) \mid b(x)$, and the conclusion holds in this case also.

Unique Factorization Theorem: In a polynomial ring F[x], any polynomial f(x) with deg f(x) > 1 can be factored into irreducible polynomials in only one way, unique except for reordering the factors, and multiplying the factors by non-zero constants.

Proof: As we have seen, it is always possible to factor f(x) until the factors are irreducible. Suppose we had two factorizations into irreducible polynomials:

$$f(x) = p_1(x) \cdots p_\ell(x) = q_1(x) \cdots q_m(x).$$

Since $p_1(x)$ divides the product $q_1(x)q_2(x)\cdots q_m(x)$, by the Prime Divisibility Property, either $p_1(x) | q_1(x)$ or $p_1(x) | q_2(x)\cdots q_m(x)$. In the second case, we repeat this argument until we finally find $p_1(x) | q_j(x)$ for some $q_j(x)$. Since $p_1(x)$ and $q_j(x)$ are both irreducible, this means $q_j(x) = u_1 p_1(x)$ for some nonzero constant $u_1 \in F$. Let us reorder the factors q_1, \ldots, q_m so that $q_j = q_1$ is at the beginning, with $q_1(x) = u_1 p_1(x)$, and our equation becomes:

$$p_1(x) p_2(x) \cdots p_\ell(x) = u_1 p_1(x) q_2(x) \cdots q_m(x).$$

Cancelling $p_1(x)$ from both sides gives:

$$p_2(x)\cdots p_\ell(x) = u_1 q_2(x)\cdots q_m(x).$$

Now we perform the same process repeatedly, cancelling $p_2(x), \ldots, p_\ell(x)$, until finally we are left with only some extra q_i factors if $\ell < m$:

$$1 = u_1 u_2 \cdots u_\ell q_{\ell+1}(x) \cdots q_m(x).$$

However, the last factors $q_{\ell+1}(x) \cdots q_m(x)$ cannot be present, since an irreducible polynomial $q_j(x)$ is not constant, not invertible, and cannot multiply to produce 1.

Therefore $\ell = m$, and we can rearrange the $q_i(x)$'s so that $q_i(x) = u_i p_i(x)$ for non-zero constants $u_i \in F$. This is what we wanted to show.